

Soft - Collinear Effective Theory (SCET)

For this part we'll switch sign convention for  $g$

$$\text{---} \text{---} \text{---} = ig T^A \gamma^\mu \quad \text{to agree with literature}$$

Outline

Class 1: Intro, Degrees of Freedom, Scales  
Expansion of Spinors, Propagators,  
Power Counting see (2), (3)

Class 2: Construction of Currents, Lagrangian  
Multipole Expansion, Labels, Grid in detail  
see (2), (3), (10) (not in notes)

Class 3: <sup>SCET I</sup> Lagrangian, Gauge Symmetry, (3), (4), (6)  
Reparameterization Invariance (RPI)

Class 4: More RPI, Ultrasoft - Collinear Fact.  
Hard-Collinear Factorization, IR divs,  
Matching, Running see (4), (1), (2), (3)

Class 5: DIS see (8)  
Soft - Collinear Interactions (4)

Class 6: <sup>SCET II</sup> (4), (7), (10)  
Power Counting Formulae (5)  
eg.  $\gamma^* \gamma \rightarrow \pi^0$  (8), eg.  $B \rightarrow D\pi$  (9)  
eg.  $B \rightarrow Xs\gamma$ . Define a Jet (4)  
(Jets in  $e^+e^-$ , see (11))

Refs I used

- ① hep-ph/0005275
- ② hep-ph/0011336
- ③ hep-ph/0107001
- ④ hep-ph/0109045  
Gauge Inv.
- ⑤ <sup>Power Counting</sup> hep-ph/0205289
- ⑥ hep-ph/0204229  
RPI
- ⑦ <sup>Gauge Inv. at  $\lambda^2$</sup>  hep-ph/0303156
- ⑧ hep-ph/0202088  
Hard-Scattering
- ⑨ hep-ph/0107002  
 $B \rightarrow D\pi$
- ⑩ hep-ph/0605001  
0-bin
- ⑪ hep-ph/0212255  
hep-ph/0603066

## Intro, Degrees of Freedom, Coordinates

Want an EFT for energetic hadrons,  $E_H \approx Q \gg \Lambda_{QCD}$

Why? • Many processes have large regions of phase space where the hadrons are energetic,  $E_H \gg M_H$

eg B-decays  $B \rightarrow \pi e \nu$ ,  $B \rightarrow K^* \gamma$ ,  $B \rightarrow \pi \pi$ ,  $B \rightarrow X e \nu$   
 $B \rightarrow X s \gamma$ ,  $B \rightarrow D^* \pi$ , ...

$$M_B = 5.279 \text{ GeV} \gg \Lambda_{QCD}$$

eg. Hard Scattering

$e^- p \rightarrow e^- X$  (DIS),  $p p \rightarrow X e^+ e^-$  (Drell Yan),  
 $\gamma^* \gamma \rightarrow \pi^0$ ,  $\gamma^* p \rightarrow \gamma^{(*)} p'$  (Deeply Virtual Compton Scattering)

- Need to separate perturbative,  $d_s(Q)$  & non-perturbative "  $d_s(\Lambda_{QCD})$  " effects  $\rightarrow$  factorization

What are the low energy degrees of freedom?

eg 1  $B \rightarrow D \pi$



in B-rest frame  $P_\pi^\mu = (2.310 \text{ GeV}, 0, 0, -2.306 \text{ GeV})$   
 $\approx Q n^\mu$  to good approx.

$Q \gg \Lambda$ ,  $n^\mu \equiv (1, 0, 0, -1)$ ,  $n^2 = 0$  light-like

$\uparrow$   
 in 0,1,2,3 basis

Q2

Basis vectors  $n^\mu, \bar{n}^\mu$

Use Light-Cone coordinates:  $n^2=0, \bar{n}^2=0, n \cdot \bar{n}=2$

vectors 
$$P^\mu = \frac{n^\mu \bar{n} \cdot p}{2} + \frac{\bar{n}^\mu n \cdot p}{2} + p_\perp^\mu$$

↑ orthogonal  $n^\mu, \bar{n}^\mu$

metric 
$$g^{\mu\nu} = \frac{n^\mu \bar{n}^\nu}{2} + \frac{\bar{n}^\mu n^\nu}{2} + g_\perp^{\mu\nu}$$

epsilon 
$$\epsilon_\perp^{\mu\nu} \equiv \epsilon^{\mu\nu\alpha\beta} \frac{\bar{n}_\alpha n_\beta}{2}$$

Define  
 $P^+ \equiv n \cdot p$   
 $P^- \equiv \bar{n} \cdot p$

- since  $n^2=0$  we needed to define complementary vector  $\bar{n}$
- choice  $n^\mu = (1, 0, 0, -1), \bar{n}^\mu = (1, 0, 0, 1)$  is possible, but other choices also work eg.  $n^\mu = (1, 0, 0, -1), \bar{n}^\mu = (3, 2, 2, 1)$

(more on this later)

In  $B \rightarrow D\pi$  the  $B, D$  are soft  $E_H \sim M_H$   
 & we can use HQET for their constituents  
 ie quarks & gluons with  $p^\mu \sim \Lambda$

But pion is "collinear",  $E_H \gg M_H$

In rest frame  $\textcircled{\pi}$  has quark & gluon constituents  $p^\mu \sim (\Lambda, \Lambda, \Lambda)$

boosting for  $B \rightarrow D\pi$   $\textcircled{\pi} \rightarrow$  has constituents  $p^\mu \sim (\frac{\Lambda^2}{Q}, Q, \Lambda)$   
 $\equiv$  collinear  
 fluctuations around  $(0, Q, 0) = p_\pi^\mu$

Note: Boost in direction orthogonal to  $\perp$  directions changes  $P^+, P^-$  multiplicatively  $P^+ \rightarrow a P^+, P^- \rightarrow \frac{1}{a} P^-$

Generically

$$(P^+, P^-, P^\perp) \sim Q(\lambda^2, 1, \lambda) \text{ is collinear}$$

where  $\lambda \ll 1$  is small parameter. (above eq.  $\lambda = \frac{\Lambda}{Q}$ )

What makes this EFT different?

- usually we separate scales  $M_1 \gg M_2$  and have

$$\sum_{i=1}^n C_i(\mu, m_i) \mathcal{O}_i(\mu, M_2)$$

$\uparrow$  short distance Wilson Coeffs       $\uparrow$  long distance operators

eg in HQET the B-meson

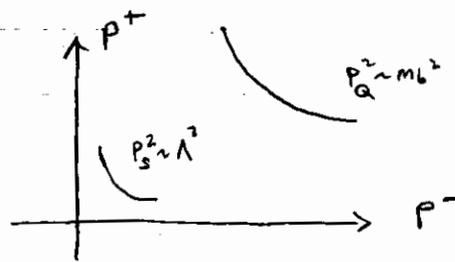


$$m_b \gg \Lambda$$

$$P_a^+ \sim m_b$$

$$P_s^+ \sim \Lambda$$

picture momenta



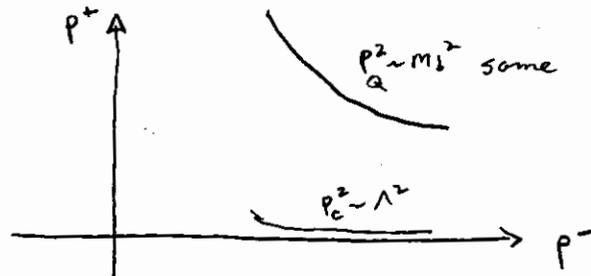
well separated in all components

- now we have overlap between perturbative & non-perturbative momenta in  $P^-$  component

for collinear pion

$$E_\pi \sim m_b$$

$$P_c \sim (\frac{\Lambda^2}{m_b}, m_b, \Lambda)$$



↑ overlap in  $P^-$ , but  $P_c^2 \ll P_a^2$  still

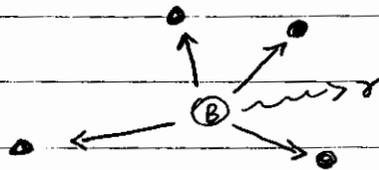
(18)

a. inclusive decay  $B \rightarrow Xs \gamma$  from  $b \rightarrow s \gamma$   
 $\uparrow \geq 1$  hadron, summed over

in general  $E_\gamma = \frac{m_B^2 - m_{Xs}}{2m_B} \in [0, \frac{m_B^2 - m_{K^*}^2}{2m_B}]$

for  $m_X \in [m_B, m_{K^*}]$

For  $m_X^2 \sim m_B^2$



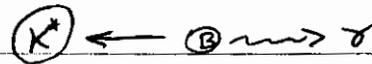
X has hadrons in all directions

standard OPE

just like we

did for  $B \rightarrow XceU$

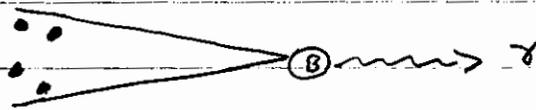
For  $m_X^2 \sim \Lambda^2$



exclusive decay

(not inclusive)

For  $m_X^2 \sim m_B \Lambda$



jet of hadrons in X

jet constituents  $(P^+, P^-, P_\perp) \sim (\Lambda, Q, \sqrt{\Lambda Q}) \sim Q(\lambda^2, 1, \lambda)$

collinear again

this time  $\lambda = \sqrt{\frac{\Lambda}{Q}} \ll 1$



(10)

Collinear Spinors

$u_n$  : labelled by direction  $n$   
(recall HQET spinors  $u, v$ )

massless QCD spinors (Dirac Rep)

$$u(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \frac{\vec{\sigma} \cdot \vec{p}}{p^0} u \end{pmatrix}, \quad v(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\vec{p} \cdot \vec{\sigma}}{p^0} v \\ v \end{pmatrix}$$

let  $n^\mu = (1, 0, 0, 1)$  and expand,  $\bar{n} \cdot p = p^0 + p^3 = \frac{Q}{2} + \frac{Q}{2}$   
 $\bar{n}^\mu = (1, 0, 0, -1)$   $n \cdot p \ll Q, p_\perp \ll Q$   
 $\frac{\vec{\sigma} \cdot \vec{p}}{p^0} = \sigma^3$

$$u_n = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \sigma^3 u \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \right\} \quad \text{particles}$$

$$v_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \sigma^3 v \\ v \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix} \right\} \quad \text{antiparticle}$$

$$\alpha = \begin{pmatrix} \mathbb{1} & -\sigma^3 \\ \sigma^3 & -\mathbb{1} \end{pmatrix} \quad \alpha u_n = \alpha v_n = 0$$

$$\frac{\alpha \bar{\alpha}}{4} = \frac{1}{2} \begin{pmatrix} \mathbb{1} & \sigma^3 \\ \sigma^3 & \mathbb{1} \end{pmatrix} \quad \frac{\alpha \bar{\alpha}}{4} u_n = u_n, \quad \frac{\alpha \bar{\alpha}}{4} v_n = v_n$$



Projection Operator,  $\mathbb{1} = \frac{\alpha \bar{\alpha}}{4} + \frac{\bar{\alpha} \alpha}{4}$

field  $\psi^{QCD} = \psi_n + \psi_{\bar{n}}$

we'll integrate out "small" component  $\psi_{\bar{n}}$

Collinear Propagators

$$p^2 + i\epsilon = \bar{n} \cdot p \, n \cdot p + p_{\perp}^2 + i\epsilon$$

$$\sim \lambda^0 + \lambda^2 + \lambda + \lambda \quad \text{same size}$$

Fermions

$$\frac{i \cancel{p}}{p^2 + i\epsilon} = \frac{i\alpha}{2} \frac{\bar{n} \cdot p}{p^2 + i\epsilon} + \dots$$

$$= \frac{i\alpha}{2} \frac{1}{n \cdot p + \frac{p_{\perp}^2}{\bar{n} \cdot p} + i\epsilon \text{ sign}(\bar{n} \cdot p)} + \dots$$

↑ from  $T \{ \psi_n(x), \psi_n(0) \}$

Gluons

$$\frac{-i g^{\mu\nu}}{p^2 + i\epsilon}$$

stays same as QCD  $g^{\mu\nu} \sim \lambda^0$   
(true in any gauge)

↑  
(eg Feyn. Gauge)

Power counting for collinear fields

$$\mathcal{L} = \int d^4x \quad \underbrace{\psi_n}_{\lambda^a} \underbrace{\not{\partial}}_{\lambda^2} \underbrace{[i \cancel{n} \cdot \psi + \dots]}_{\lambda^2} \underbrace{\psi_n}_{\lambda^a} = \lambda^{2a-2}$$

set  $\mathcal{L} \sim \lambda^0$  ie normalize kinetic term so no  $\lambda$ 's

then

$$\boxed{\psi_n \sim \lambda}$$

For gluons

find  $A_n^M = (A_n^+, A_n^-, A_n^{\perp}) \sim (\lambda^2, 1, \lambda)$

just like collinear momenta

ie have

$$p^M + A^M = i0^M$$

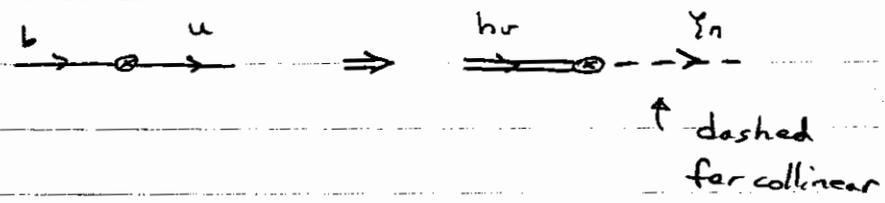
homogeneous covariant derivative

Currents

eg. QCD  $b \rightarrow u e \gamma$   $J = \bar{u} \Gamma b$   $\Gamma = \gamma^\mu (1 - \gamma_5)$

if  $u$  energetic match onto SCET ( $\neq$  HQET for  $b$ )

$J^{eff} = \bar{\Psi}_n \Gamma h_v$



Consider

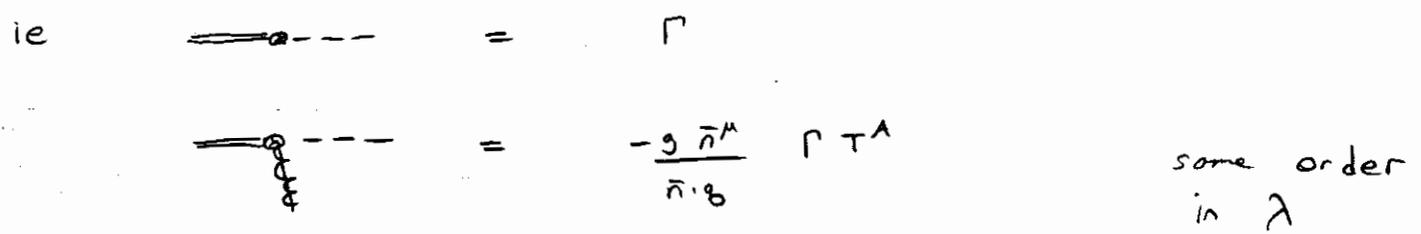
far offshell,  $k^\mu = m_b v^\mu + \frac{n^\mu}{2} \bar{n} \cdot q + \dots$

$k^2 = m_b^2 + n \cdot v m_b \bar{n} \cdot q$   
 $k^2 - m_b^2 \sim m_b^2$   
 for  $\bar{n} \cdot q \sim m_b$

$\bar{n} \cdot A_n \sim \lambda^0 \leftarrow$  no power suppression for these gluons

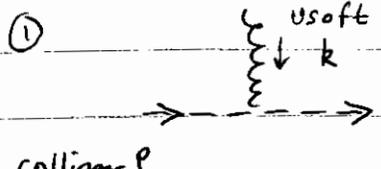
Find  $\bar{\Psi}_n \Gamma \frac{i(k+m_b)}{k^2 - m_b^2} i g T^A \gamma^\mu h_v = -g \bar{\Psi}_n \Gamma \left( \cancel{m_b(1+\sigma)} + \frac{\cancel{\sigma}}{2} \bar{n} \cdot q \right) \frac{\cancel{\sigma}}{2} \bar{n}^\mu T^A h_v$

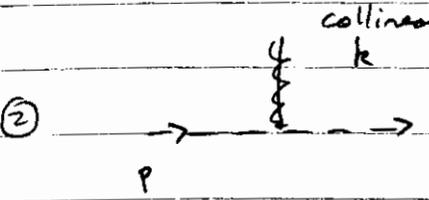
$= \frac{-g \bar{n}^\mu}{\bar{n} \cdot q} \bar{\Psi}_n \Gamma T^A \left( \frac{-\cancel{\sigma}}{2} (1-\sigma) + \cancel{\sigma} \right) h_v$   $\sigma h_v = h_v$

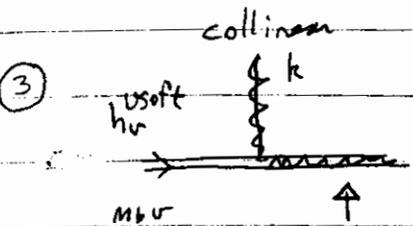


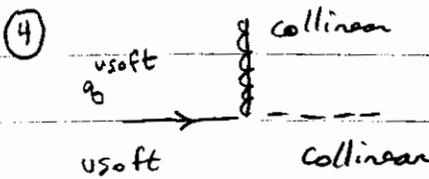
(add more gluons later)

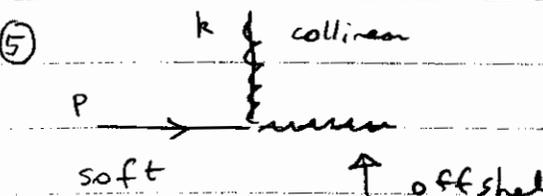
Which fields can interact in a local way?

①  
$$p+k = \frac{n^\mu}{2} \bar{n} \cdot p + \frac{\bar{n}^\mu}{2} n \cdot (p+k) + P_\perp + \dots$$
 still collinear  
∴ local

②  
$$p+k = \frac{n^\mu}{2} \bar{n} \cdot (p+k) + \frac{\bar{n}^\mu}{2} n \cdot (p+k) + P_\perp + k_\perp$$
 still collinear  
∴ local

③  offshell integrate it out (prev. eg.)

④  OK, local

⑤  in SCET<sub>II</sub>

$$p+k = \frac{n^\mu}{2} \bar{n} \cdot p + \frac{\bar{n}^\mu}{2} n \cdot k + \dots$$

$$(p+k)^2 = \bar{n} \cdot p n \cdot k \sim Q^2 \lambda \gg Q^2 \lambda^2$$

↑ IR dof.

↑ UV

Fields which mediate interactions in SCET<sub>II</sub> are offshell making it more complicated so we postpone further discussion to after developing SCET<sub>I</sub>

201

# More on Power Counting

Separate  $Q, Q\lambda, Q\lambda^2$  momenta

			label	residual
Analogy	b:	HQET	$P^\mu = m_b v^\mu + k^\mu$	$h_v(x)$
	u:	SCET	$P^\mu = p^\mu + k^\mu$	$\chi_{n,p}(x)$
			$\uparrow$ (1, $\lambda$ ) terms	$\uparrow$ $\lambda^2$ terms

## Mode Expn

$$\psi(x) = \int d^4p \delta(p^2) \theta(p^0) \left[ u(p) a(p) e^{-ip \cdot x} + v(p) b^\dagger(p) e^{ip \cdot x} \right]$$

$$= \psi^+ + \psi^-$$

expand  $\psi$

Write

$$\psi^+(x) = \sum_p e^{-ip \cdot x} \psi_{n,p}^+(x) \quad \alpha \psi_{n,p}^\pm = 0$$

$$\psi^-(x) = \sum_p e^{ip \cdot x} \psi_{n,p}^-(x)$$

$\uparrow$  both have  $\theta(\bar{n} \cdot p)$

Now define  $\chi_{n,p}(x) \equiv \psi_{n,p}^+(x) + \psi_{n,-p}^-(x)$

$$\bar{n} \cdot p > 0 \text{ particles: } E = \frac{\bar{n} \cdot p}{2} > 0$$

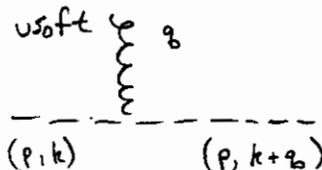
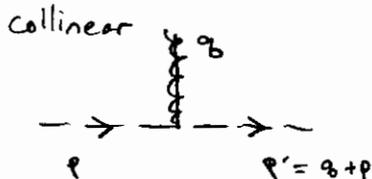
$$\bar{n} \cdot p < 0 \text{ antiparticles: } E = -\frac{\bar{n} \cdot p}{2} > 0$$

Similar for Gluons

$$A_{n,b}^\mu \quad \text{destroy}$$

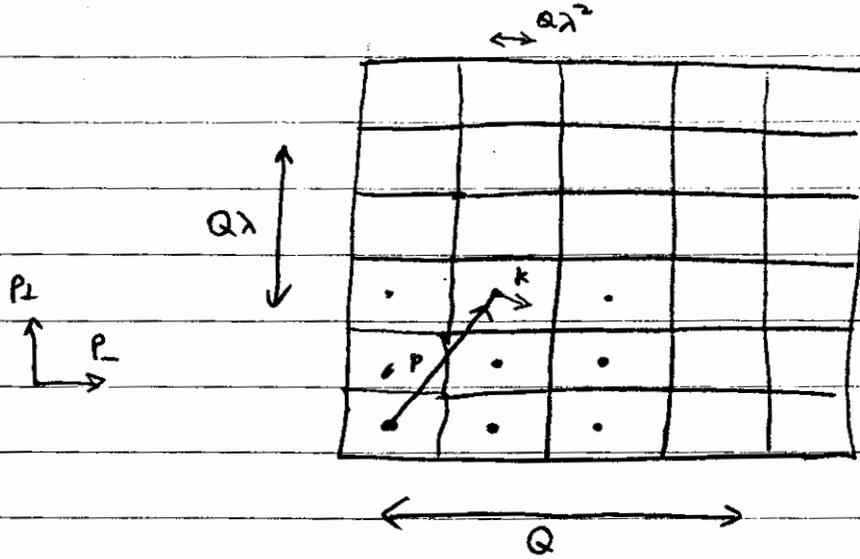
$$A_{n,b}^{\mu*} = A_{n,-b}^\mu \quad \text{create}$$

In HQET label  $v^\mu$  was conserved by gluons  
 In SCET labels are changed by collinear gluons  
 || are conserved by soft gluons



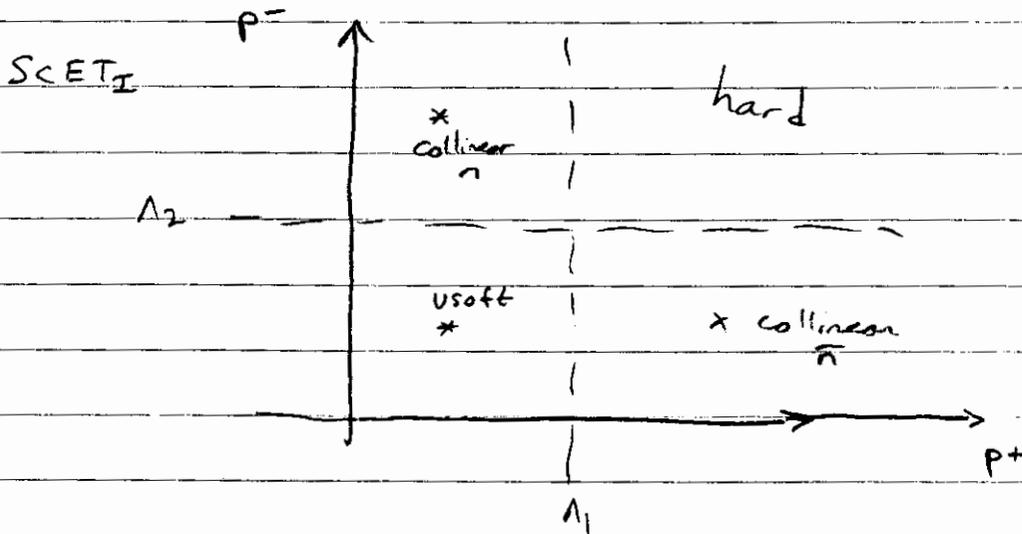
(label, residual)

Page with SCET Grid



large momentum  $E^- = p^- + k^-$   
 $\uparrow p^- \neq 0$

small momentum  $E^- = k^-$ ,  $p^- = 0$ , zero-bin



See hep-ph/0605001 for information on the details that we discussed in lecture

Introduce Label Operator for  $p^\mu$  momenta

$$P^\mu (\phi_{p_1}^+ \phi_{p_2}^+ \dots \phi_{p_1} \phi_{p_2} \dots) = (p_1^\mu + p_2^\mu + \dots - q_1^\mu - q_2^\mu) (\phi_{p_1}^+ \dots \phi_{p_1} \dots)$$

eigenvalue eqn

"derivative" for labels  $p^\mu$   
 derivative for residual  $i\partial^\mu$

$$i\partial^\mu \sum_p e^{-ip \cdot x} \phi_{n,p}(x) = \sum_p e^{-ip \cdot x} (\mathcal{P}^\mu + i\partial^\mu) \phi_{n,p}(x)$$

$$= \sum_p e^{-ix \cdot \mathcal{P}} (\mathcal{P}^\mu + i\partial^\mu) \phi_{n,p}(x)$$

in products of fields this  
 makes labels conserved

residual  
 momentum  
 conserved

5/2/06

Summary

Type	$(p^+, p^-, p^\perp)$	Fields	Field Scaling
collinear	$(\lambda^2, 1, \lambda)$	$\psi_{n,p}(x)$ $(A_{n,p}^+, A_{n,p}^-, A_{n,p}^\perp)$	$\lambda$ $(\lambda^2, 1, \lambda)$
soft	$(\lambda, \lambda, \lambda)$	$g_{s,p}$ $A_{s,p}^\mu$	essentially Fourier transform $\lambda^{3/2}$ $\lambda$
usoft	$(\lambda^2, \lambda^2, \lambda^2)$	$g_{us}$ $A_{us}^\mu$	$\lambda^3$ $\lambda^2$

Last time

label    residual

$$P^- = p^- + k^-$$

$$P_\perp = p_\perp + k_\perp$$

$$\zeta_{n,p}(x)$$

$$A_{n,p}^\mu(x)$$

label operator  $\mathcal{P}^\mu$

$$\mathcal{P}^\mu \zeta_{n,p} = P^\mu \zeta_{n,p}$$

$$\mathcal{P}^\mu \bar{\zeta}_{n,p'} \zeta_{n,p} = (P^\mu - P'^\mu) \bar{\zeta}_{n,p'} \zeta_{n,p}$$

$$i\partial^\mu \sum_{p \neq 0} e^{-ip \cdot x} \zeta_{n,p}(x) = e^{-ix \cdot P} \sum_{p \neq 0} (\mathcal{P}^\mu + i\partial^\mu) \zeta_{n,p}(x)$$

↑

labels conserved

often suppress this

↑

residual momentum conserved

summary

Type	(P <sup>+</sup> , P <sup>-</sup> , P <sup>⊥</sup> )	Fields	Field Scaling
collinear	(λ <sup>2</sup> , 1, λ)	$\zeta_{n,p}(x)$ $(A_{n,p}^+, A_{n,p}^-, A_{n,p}^\perp)$	$\lambda$ $(\lambda^2, 1, \lambda)$
usoft	(λ <sup>2</sup> , λ <sup>2</sup> , λ <sup>2</sup> )	$\zeta_{us}(x)$ $A_{us}^\mu(x)$	$\lambda^3$ $\lambda^2$
soft (later)	(λ, λ, λ)	$\zeta_{s,p}$ $A_{s,p}^\mu$	$\lambda^{3/2}$ $\lambda$

### Collinear Lagrangian

Write  $\psi = \psi_n + \psi_{\bar{n}}$  ,  $\psi_n = P_n \psi$  ,  $\psi_{\bar{n}} = P_{\bar{n}} \psi$   
 $P_n = \frac{\not{n}\not{\bar{n}}}{4}$  ,  $P_{\bar{n}} = \frac{\not{\bar{n}}\not{n}}{4}$

$$\begin{aligned} \mathcal{L} &= \bar{\psi} i \not{D} \psi = (\bar{\psi}_{\bar{n}} + \bar{\psi}_n) \left( i \frac{\not{n}}{2} \bar{n} \cdot D + i \frac{\not{\bar{n}}}{2} n \cdot D + i \not{D}_{\perp} \right) (\psi_n + \psi_{\bar{n}}) \\ &= \bar{\psi}_n \frac{\not{n}}{2} i n \cdot D \psi_n + \bar{\psi}_{\bar{n}} \frac{\not{\bar{n}}}{2} i \bar{n} \cdot D \psi_{\bar{n}} + \bar{\psi}_n i \not{D}_{\perp} \psi_{\bar{n}} + \bar{\psi}_{\bar{n}} i \not{D}_{\perp} \psi_n \end{aligned}$$

So far we've done nothing, just written QCD in diff. vars.  
 Only  $\psi_n$  components are big, so lets take only external  $\psi_n$ 's [do not couple current to  $\psi_{\bar{n}}$  in path int.]

Integrate out  $\psi_{\bar{n}}$

$$\begin{aligned} \delta / \delta \psi_{\bar{n}} : \quad & \frac{\not{n}}{2} i \bar{n} \cdot D \psi_n + i \not{D}_{\perp} \psi_{\bar{n}} = 0 \\ & i \bar{n} \cdot D \psi_{\bar{n}} + \frac{\not{\bar{n}}}{2} i \not{D}_{\perp} \psi_n = 0 \\ & \psi_{\bar{n}} = \frac{1}{i \bar{n} \cdot D} i \not{D}_{\perp} \frac{\not{n}}{2} \psi_n \end{aligned}$$

Think of  $\frac{1}{i \bar{n} \cdot D} f(x) = \int d^4 p \frac{e^{-i p \cdot x}}{\bar{n} \cdot p} f(p)$  for inv. deriv.

Now

$$\mathcal{L} = \bar{\psi}_n \left( i n \cdot D + i \not{D}_{\perp} \frac{1}{i \bar{n} \cdot D} i \not{D}_{\perp} \right) \frac{\not{n}}{2} \psi_n$$

Next: introduce collinear & usoft gluon fields & phases  $e^{-i p \cdot x}$

- recall  $A_{us}^{\mu}$  has  $p^2 \sim Q^2 \lambda^4 \ll p_c^2 \sim Q^2 \lambda^2$   
 is long wavelength, its like a classical background field as far as  $A_n^{\mu}$  &  $\psi_n$  are concerned

write  $A^{\mu} = A_n^{\mu} + A_{us}^{\mu}$  [not quite right, but suffices here]

- Phase Redefinition  $i\partial^\mu \rightarrow \not{P}^\mu + i\partial^\mu$   
 get  $e^{-ix \cdot P}$  out front irrespective of number of fields we have ( $\frac{1}{i\bar{n} \cdot D}$  means we have Feyn rules with 0, 1, 2, 3, ... gluons)

$$\begin{aligned} \Upsilon_n &= \Upsilon_{n,p} \\ i\bar{n} \cdot D &= \underbrace{i\bar{n} \cdot \partial}_{\lambda^2} + g \bar{n} \cdot A_{n,3} + g \bar{n} \cdot A_{n,5} \quad \left. \vphantom{i\bar{n} \cdot D} \right\} \begin{array}{l} \text{Suppress} \\ \Sigma, \Sigma \\ P, \Sigma \end{array} \\ iD_\perp &= \underbrace{(\not{P}_\perp + g A_{n,3}^\perp)}_{iD_\perp^c \sim \lambda} + \underbrace{(i\partial_\perp + g A_{n,5}^\perp)}_{\lambda^2 \text{ drop it}} \\ i\bar{n} \cdot D &= \underbrace{(\bar{P} + g \bar{n} \cdot A_{n,3})}_{i\bar{n} \cdot D^c \sim \lambda^0} + \underbrace{(i\bar{n} \cdot \partial + g \bar{n} \cdot A_{n,5})}_{\lambda^2 \text{ drop it}} \end{aligned}$$

Leading Order Action is  $\mathcal{O}(\lambda^4)$  [ $\times \lambda^{-4}$  from measure]

$$\mathcal{L}_{gg}^{(0)} = e^{-ix \cdot P} \Upsilon_{n,p} \left[ i\bar{n} \cdot D + iD_\perp^c \frac{1}{i\bar{n} \cdot D^c} iD_\perp^c \right] \frac{\bar{n}}{2} \Upsilon_{n,p}$$

- drop this if we remember to impose label conservation
- all fields are at  $x$ , derivatives  $i\partial^\mu \sim \lambda^2$ 
  - action explicitly local at  $\mathcal{O}(\lambda^2)$  scale
  - action local at  $\mathcal{O}(\lambda)$  too ( $D_\perp$  in numerator, mom. space version of locality)
  - only non-local at  $\sim Q$  scale
- terms are same size in power counting

Repeat for Gluons

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^A G^{\mu\nu A} = -\frac{1}{2} \text{tr} [G_{\mu\nu} G^{\mu\nu}] \quad , \quad G^{\mu\nu} = \frac{i}{g} [D^\mu, D^\nu]$$

...

$$\mathcal{L}_{CS}^{(0)} = \frac{1}{2g^2} \text{tr} \left\{ \left( [i\hat{D}^M + gA_{n,3}^M, i\hat{D}^0 + gA_{n,3}^0] \right)^2 \right\} + \text{gauge fixing}$$

$$i\hat{D}^M = \frac{i\vec{n}^M}{2} n \cdot D + \mathcal{P}_\perp^M + \frac{n^M}{2} \bar{P}$$

↑ see  
hep-ph/0109045

- terms dropped in constructing  $\mathcal{L}_{\mathcal{R}^2}^{(0)}$ ,  $\mathcal{L}_{CS}^{(0)}$  give  $\mathcal{L}_{\mathcal{R}^2}^{(1)}$ ,  $\mathcal{L}_{CS}^{(1)}$ , ...

Argument so far was tree level. To go further we need symmetries (& power counting)

- ① Gauge Symmetry
  - ② Reparameterization Invariance
  - ③ Spin Symmetry?
- ] v. Useful

∪: Easiest in two-component form (rather than 4-components  $\psi_n$  with  $\frac{\alpha \bar{\alpha}}{4} \psi_n = \psi_n$ )

$$\psi_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_n \\ \sigma_3 \psi_n \end{pmatrix}$$

$$\mathcal{L} = \psi_{n,p}^\dagger \left\{ i n \cdot D + i D_\perp^{cM} \frac{1}{i\vec{n} \cdot D_c} i D_\perp^{cM} (g_{\mu\nu}^\perp + i \epsilon_{\mu\nu}^\perp \sigma_3) \right\} \psi_{n,p}$$

not  $SU(2)$

just  $U(1)$ : helicity  $h = \frac{i \epsilon_{\perp}^{\mu\nu}}{4} [\gamma_\mu, \gamma_\nu]$  generator  
 $h \sim \sigma_3$ , spin along direction of motion

Broken by masses

Broken by non-pert effects

Useful in pert. theory

① Gauge Symmetry

$$U(x) = \exp [ i \alpha^A(x) T^A ]$$

Need to consider U's which leave us within EFT

eg.  $i \partial^\mu \alpha^A \sim Q \alpha^A$  then  $\xi_n' = U(x) \xi_n$  would no longer have  $p^2 \lesssim Q^2 \lambda^2$

collinear  $U(x)$   $i \partial^\mu U_c(x) \sim Q(\lambda^2, 1, \lambda) U_c(x) \leftrightarrow A_{n, \mathbf{q}}^\mu$   
 usoft  $U(x)$   $i \partial^\mu U_u(x) \sim Q(\lambda^2, \lambda^2, \lambda^2) U_u(x) \leftrightarrow A_{u, \mathbf{r}}^\mu$

- two classes of gauge transfm for two gauge fields

- in momentum space we have convolutions for  $U_c$

$$\xi_{n, \mathbf{r}} \rightarrow \sum_{\mathbf{q}} (U_c)_{\mathbf{p}-\mathbf{q}} \xi_{n, \mathbf{q}}$$

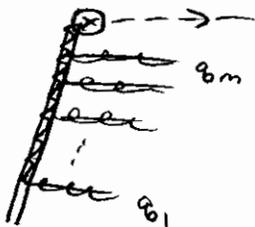
we'll write shorthand  $\xi_n \rightarrow U_c \xi_n$

Now  $\mathcal{G}_{us} \xrightarrow{U_c} \mathcal{G}_{us}$  since otherwise we give large mom. to an usoft field

Aside recall our heavy-to-light current

$$\xi_n \Gamma h_v^{us} \rightarrow \xi_n U_c^\dagger \Gamma h_v^{us} \text{ is not gauge invariant}$$

BUT we had to integrate out offshell propagators



perms of  $\mathcal{G}_{1, \dots, \mathcal{G}_m}$   
 + assigning  $\mathcal{G}_1, \dots, \mathcal{G}_m$   
 i.e. crossed graphs

$$= \Gamma \sum_{m=0}^{\infty} \sum_{\text{perms}} \frac{(-g)^m \bar{n} \cdot E_{n, \mathbf{q}_1}^{a_1} \dots \bar{n} \cdot E_{n, \mathbf{q}_m}^{a_m}}{\bar{n} \cdot \mathbf{q}_1 \bar{n} \cdot (\mathbf{q}_1 + \mathbf{q}_2) \dots \bar{n} \cdot (\Sigma \mathbf{q}_i)} \times T^{a_m} \dots T^{a_1}$$

$$= \Gamma W$$

$$\bar{n} \cdot A_{n, \mathbf{q}_i}^{a_i} \rightarrow \bar{n} \cdot E_{n, \mathbf{q}_i}^{a_i}$$

we had first term previously  $-\frac{g \bar{n}^M}{\bar{n} \cdot \bar{a}} \Gamma \Gamma^a$

Here  $W$  is a Wilson Line

Short form  $W = \left[ \sum_{perms} \exp \left( \frac{-g}{\bar{p}} \bar{n} \cdot A_{n, \bar{a}}(x) \right) \right]$

If we set residual coordinate  $x=0$  then Fourier transform  $W = W(y, -\infty) = P \exp \left( i g \int_{-\infty}^y ds \bar{n} \cdot A(s\bar{n}) \right)$

ie like  $\bar{\Psi}_n(y) W(y, -\infty) \psi(-\infty)$   
 $\uparrow$  short dist.  $\uparrow$  soft field at "long" dist. & doesn't see short dist. interactions

Now  $W \rightarrow U_c W$  &  $\bar{\Psi}_n W \Gamma \psi$  is invariant

End Aside

Gauge Transformations

		$U_c$	$U_{us}$	$U_{global}$
collinear	$\Psi_{n,p}$	$U_c \Psi_{n,p}$	$U_{us} \Psi_{n,p}$	easy
	$A_{n,p}$	$U_c A_{n,p} U_c^\dagger + \frac{i}{g} U_c [i \hat{D}^M, U_c^\dagger]$	$U_{us} A_{n,p} U_{us}^\dagger$	---
	$W$	$U_c W$	$U_{us} W U_{us}^\dagger$	---
usoft	$q_{us}$	$q_{us}$	$U_{us} q_{us}$	--
	$A_{us}$	$A_{us}$	$U_{us} \left( A_{us} + \frac{i \hat{D}^M}{g} \right) U_{us}^\dagger$	---
	$\Upsilon$	$\Upsilon$	$U_{us} \Upsilon$	--

- homogeneous in  $\lambda$ , recall  $i \hat{D}^M$  has  $i \lambda \cdot D$  in it  
 $U_{us} A_{n,p} U_{us}^\dagger$  is like background field transfm of quantum field  $A_{n,p}$

Gauge Symmetry ties together

$$i n \cdot D = i n \cdot \partial + g n \cdot A_n + g n \cdot A_{uv}$$

$$i D_{\perp}^c$$

$$i \bar{n} \cdot D^c$$

Mass Dimension & p.c. means either  $i n \cdot D \sim \lambda^2$   
 or  $\frac{1}{P} (i D_{\perp})^2 \sim \lambda^2$  (no other  $\lambda^2$  ops)

What about coeff. between  $i n \cdot D$  &  $i D_{\perp} \frac{1}{i n \cdot D} i D_{\perp}$  ?

What about other operators like

$$\sum_n i D_{\perp}^{\mu} \frac{1}{i n \cdot D} i D_{\perp}^{\mu} \frac{\not{x}}{2} \sum_n ?$$

(ii) Reparameterization Invariance (RPI)

$n, \bar{n}$  break Lorentz Inv.  $n^{\mu} m_{\mu\nu}, \bar{n}^{\mu} m_{\mu\nu}$

(only  $E_{\pm}^{\mu\nu} m_{\mu\nu}$  preserved)

rotations about 3-axis

3 types of RPI which keep  $n^2 = \bar{n}^2 = 0, n \cdot \bar{n} = 2$

- |   |                                    |    |  |     |   |
|---|------------------------------------|----|--|-----|---|
| I | $n \rightarrow n + \Delta_{\perp}$ | II | $n \rightarrow n$                                | III | $n \rightarrow e^{\alpha} n$              |
|   | $\bar{n} \rightarrow \bar{n}$      |    | $\bar{n} \rightarrow \bar{n} + \epsilon_{\perp}$ |     | $\bar{n} \rightarrow e^{-\alpha} \bar{n}$ |

type III is simple: implies for any operator with an  $n^{\mu}$   
 we have corresponding  $\bar{n}$  in denominator  
 or a corresponding  $\bar{n}$  in numerator

eg.  $\frac{1}{2} \not{x} \not{x} \not{x}$  had  $\not{x} \frac{1}{i \bar{n} \cdot D} \checkmark, \not{x} n \cdot D \checkmark$

can't have  $\not{x} \bar{n} \cdot D$

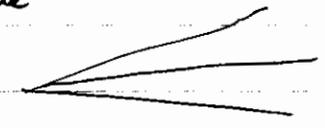
Power Counting

$$\left. \begin{aligned} \Delta_{\perp} &\sim \lambda \\ \epsilon_{\perp} &\sim \lambda^0, \alpha \sim \lambda^0 \end{aligned} \right\}$$

max power that leaves scaling of collinear momenta intact

ie we only care about restoring Lorentz Inv. for the set of fluctuations described by SCET

stopped here



Find

Under I

$$\begin{aligned} n \cdot D &\rightarrow n \cdot D + \Delta^+ \cdot D^+ \\ D_{\mu}^+ &\rightarrow D_{\mu}^+ - \frac{\Delta_{\mu}^+}{2} \bar{n} \cdot D - \frac{\bar{n}_{\mu}}{2} \Delta^+ \cdot D^+ \\ \bar{n} \cdot D &\rightarrow \bar{n} \cdot D \\ \psi_n &\rightarrow \left( 1 + \frac{\Delta_{\perp} \not{x}}{4} \right) \psi_n \\ W &\rightarrow W \end{aligned}$$

Under II

$$\begin{aligned} n \cdot D &\rightarrow n \cdot D \\ D_{\mu}^+ &\rightarrow D_{\mu}^+ - \frac{\epsilon_{\mu}^+}{2} n \cdot D - \frac{n_{\mu}}{2} \epsilon^+ \cdot D^+ \\ \bar{n} \cdot D &\rightarrow \bar{n} \cdot D + \epsilon^+ \cdot D^+ \\ \psi_n &\rightarrow \left( 1 + \frac{\epsilon^+}{2} \frac{1}{i \bar{n} \cdot D} i \not{\epsilon}_{\perp} \right) \psi_n \\ W &\rightarrow \left[ \left( 1 - \frac{1}{i \bar{n} \cdot D} i \epsilon^+ \cdot D_{\perp} \right) W \right] \end{aligned}$$

$$V^{\mu} = \frac{n \cdot V}{2} \bar{n}^{\mu} + \frac{\bar{n} \cdot V}{2} n^{\mu} + V_{\perp}^{\mu} \quad \text{invariant under I, II, III}$$

Last Time

RPI

$$P^\mu = \frac{n^\mu}{2} \bar{n} \cdot (p+k) + \frac{\bar{n}^\mu}{2} n \cdot k + (P_\perp^\mu + k_\perp^\mu)$$

- Any choice of basis vectors,  $n^2=0=\bar{n}^2$ ,  $n \cdot \bar{n}=2$  equally good

I  $n \rightarrow n + \Delta_\perp$   
 $\bar{n} \rightarrow \bar{n}$

II  $n \rightarrow n$   
 $\bar{n} \rightarrow \bar{n} + \epsilon_\perp$

III  $n \rightarrow e^\alpha n$   
 $\bar{n} \rightarrow e^{-\alpha} \bar{n}$

- Freedom in the component decomposition

$$n \cdot (p+k), \quad P_\perp^\mu + k_\perp^\mu$$

$$P_\mu \rightarrow P_\mu + \beta_\mu, \quad i\partial_\mu \rightarrow i\partial_\mu - \beta_\mu \quad n \cdot \beta = 0$$

$$\psi_{n,p}(x) \rightarrow e^{i\beta \cdot x} \psi_{n,p+\beta}(x)$$

Connects:  $P^\mu + i\partial^\mu$

Gauge this

$$\left. \begin{aligned} iD_\perp^{\mu} + W iD_\perp^{\nu\mu} W^\dagger \\ i\bar{n} \cdot D^c + W i\bar{n} \cdot D_{\nu} W^\dagger \end{aligned} \right\}$$

nice properties under gauge symmetry

Modifies earlier attempt: - due to W's this is not  $A_n^\mu + A_{\bar{n}}^\mu$   
- doesn't effect  $n \cdot D$  in LO  $\mathcal{L}$ .

I, II, III leave  $V^\mu = \frac{n^\mu}{2} \bar{n} \cdot V + \frac{\bar{n}^\mu}{2} n \cdot V + V_\perp^\mu$  invariant

III last time

Under I

$$n \cdot D \rightarrow n \cdot D + \Delta_{\perp} \cdot D_{\perp}$$

$$D_{\mu}^{\pm} \rightarrow D_{\mu}^{\pm} - \frac{\Delta_{\mu}^{\pm}}{2} n \cdot D - \frac{n_{\mu}}{2} \Delta^{\pm} \cdot D^{\pm}$$

$$\bar{n} \cdot D \rightarrow \bar{n} \cdot D$$

$$\zeta_n \rightarrow \left( 1 + \frac{\Delta_{\perp} \cdot \not{x}}{4} \right) \zeta_n$$

$$W \rightarrow W$$

Under II

$$n \cdot D \rightarrow n \cdot D$$

$$D_{\mu}^{\pm} \rightarrow D_{\mu}^{\pm} - \frac{E_{\mu}^{\pm}}{2} n \cdot D - \frac{n_{\mu}}{2} E^{\pm} \cdot D^{\pm}$$

$$\bar{n} \cdot D \rightarrow \bar{n} \cdot D + E_{\perp} \cdot D_{\perp}$$

$$\zeta_n \rightarrow \left( 1 + \frac{E_{\perp}}{2} \frac{1}{i \bar{n} \cdot D} i \not{D}_{\perp} \right) \zeta_n$$

$$W \rightarrow \left[ \left( 1 - \frac{1}{i \bar{n} \cdot D} i E^{\pm} \cdot D_{\perp} \right) W \right]$$

Power Counting: max power that leaves scaling for collin momentum

$$E_{\perp} \sim \lambda^0, \quad \alpha \sim \lambda^0$$

$$\Delta_{\perp} \sim \lambda \quad [\text{else } n \cdot D \sim \lambda^2]$$

eg.

$$S^{(I)} \left( \bar{\zeta}_n i \not{D}_{\perp}^c \frac{1}{i \bar{n} \cdot D} i \not{D}_{\perp}^c \frac{\not{x}}{2} \zeta_n \right) = - \bar{\zeta}_n i \Delta^{\pm} \cdot D^{\pm} \frac{\not{x}}{2} \zeta_n$$

$$S^{(II)} \left( \bar{\zeta}_n i n \cdot D \frac{\not{x}}{2} \zeta_n \right) = \underbrace{\bar{\zeta}_n i \Delta^{\pm} \cdot D^{\pm} \frac{\not{x}}{2} \zeta_n}_{\text{connected}}$$

connected

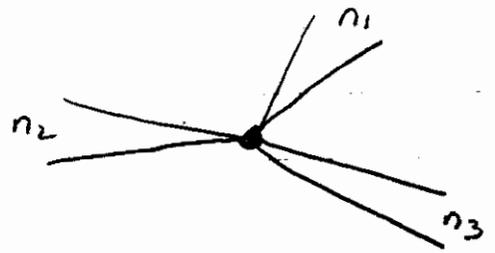
type -II rules out  $\bar{\zeta}_n D_{\perp}^{\mu} \frac{1}{i \bar{n} \cdot D} D_{\perp}^{\mu} \frac{\not{x}}{2} \zeta_n$  operator in  $\mathcal{L}_{q\bar{q}}^{(10)}$

$$\underline{S_0} \mathcal{L}_{q\bar{q}}^{(10)} = \bar{\zeta}_n \left[ i n \cdot D + i \not{D}_{\perp}^c \frac{1}{i \bar{n} \cdot D} i \not{D}_{\perp}^c \right] \frac{\not{x}}{2} \zeta_n$$

Unique by p.c., gauge inv,  $\perp$  RPI

More collinear fields: for  $>1$  energetic hadron  
 or  $>1$  " jet  
 need more  $n$ 's ( $\neq \bar{n}$ 's)

Generalize to  $\sum_n \mathcal{L}_{\text{eff}}^{(n)}$



For  $n_1, n_2, n_3, \dots$  the  
 modes are distinct only if  
 $n_i \cdot n_j \gg \lambda^2 \quad i \neq j$

eg.  $P_2 = Q n_2$   
 $n_1 \cdot P_2 = Q n_1 \cdot n_2 \sim Q \lambda^2$  then  $P_2$  is  $n_1$ -collinear

Discrete Symmetries

$n = (1, 0, 0, 1), \quad \bar{n} = (1, 0, 0, -1)$

$C^{-1} \mathcal{L}_{n,p} C = - [\bar{\mathcal{L}}_{\bar{n}, \bar{p}}]^\top$

$P^{-1} \mathcal{L}_{n,p}(x) P = \mathcal{L}_{\bar{n}, \bar{p}}(x_P)$

$T^{-1} \mathcal{L}_{n,p}(x) T = \mathcal{L}_{\bar{n}, \bar{p}}(x_T)$

$P = (P^+, P^-, P^\perp)$

$\bar{P} = (P^-, P^+, -P^\perp)$

$X_P = (x^-, x^+, -x^\perp)$

$X_T = (-x^-, -x^+, x^\perp)$

Study 2.22<sup>(a)</sup>

① Propagator

$$\frac{i\alpha}{2} \frac{\Theta(\bar{n}\cdot p)}{n\cdot p + \frac{p_\perp^2}{\bar{n}\cdot p} + i\epsilon} + \frac{i\alpha}{2} \frac{\Theta(-\bar{n}\cdot p)}{+n\cdot p + \frac{p_\perp^2}{\bar{n}\cdot p} - i\epsilon} = \frac{i\alpha}{2} \frac{\bar{n}\cdot p}{n\cdot p \bar{n}\cdot p + p_\perp^2 + i\epsilon}$$

particles  $\bar{n}\cdot p > 0$

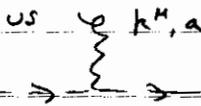
anti  $\bar{n}\cdot p < 0$

✓  
exp. of  $Q < 0$

② Interactions

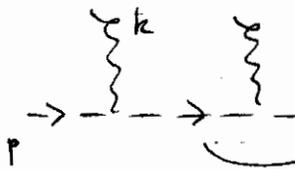
- only n.Aus gluons at LO

us  $\psi k^\mu, a$



$$= i g T^a n^\mu \frac{\alpha}{2}$$

only sees  $n\cdot k$  soft momentum (multipole expn.)



$$\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot(p+k) + p_\perp^2 + i\epsilon} = \frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + p_\perp^2 + i\epsilon}$$

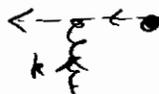
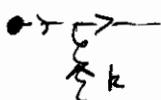
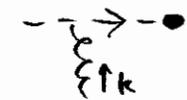
= on-shell  $\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + i\epsilon}$

(Compare Collinear Gluon   $\frac{\bar{n}\cdot(p+\delta)}{(p+\delta)^2 + i\epsilon}$ )

Propagator reduces to eikonal approx when appropriate

$\bar{n}\cdot p > 0$

$\bar{n}\cdot p < 0$



$$\frac{n^\mu}{n\cdot k + i\epsilon}$$

$$\frac{n^\mu}{-n\cdot k + i\epsilon}$$

$$\frac{n^\mu}{-n\cdot k - i\epsilon}$$

$$\frac{n^\mu}{n\cdot k - i\epsilon}$$

Usoft - Collinear Factorization

Consider

$$= \Gamma \sum_n \sum_{\text{perms}} \frac{(-g)^n n \cdot A^{a_1} \dots n \cdot A^{a_n} T^{a_n} \dots T^{a_1}}{n \cdot k_1 n \cdot (k_1 + k_2) \dots n \cdot (\sum k_i)} \times U_n$$

on-shell so  $\frac{1}{n \cdot k + \frac{p^2}{\Lambda^2}} \rightarrow \frac{1}{n \cdot k}$

Motivates us to consider a field redefinition

$$\psi_{n,p}(x) = Y(x) \psi_{n,p}^{(0)}(x) \quad A_{n,p} = Y A_{n,p}^{(0)} Y^\dagger$$

↑ adjoint version

$$Y(x) = P \exp \left( ig \int_{-\infty}^0 ds n \cdot A_{ns}(x+ns) T^a \right)$$

$$n \cdot D Y = 0, \quad Y^\dagger Y = 1 \quad \text{find } W = Y W^{(0)} Y^\dagger$$

$$\begin{aligned} \mathcal{L}_{\psi\psi}^{(0)} &= \bar{\psi}_{n,p} \frac{\not{n}}{2} [in \cdot D + \dots] \psi_{n,p} \\ &= \bar{\psi}_{n,p}^{(0)} \frac{\not{n}}{2} [Y^\dagger in \cdot D_{us} Y + Y^\dagger (Y g \not{n} \cdot A_n Y^\dagger) Y + \dots] \psi_{n,p} \\ &= \bar{\psi}_{n,p}^{(0)} \frac{\not{n}}{2} [\underbrace{in \cdot D}_{} + g \not{n} \cdot A_n + \dots] \psi_{n,p} \end{aligned}$$

↑ all  $n \cdot A_{us}$ 's disappear!

True for gluon action too

$$\mathcal{L}(\psi_{n,p}, A_{n,b}, n \cdot A_{us}) = \mathcal{L}(\psi_{n,p}^{(0)}, A_{n,b}^{(0)}, 0)$$

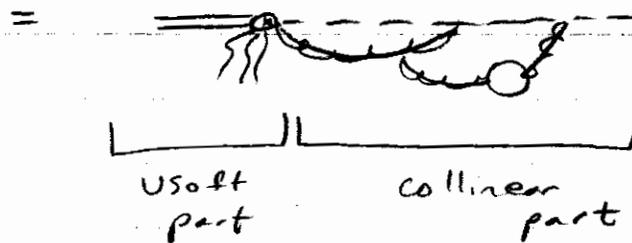
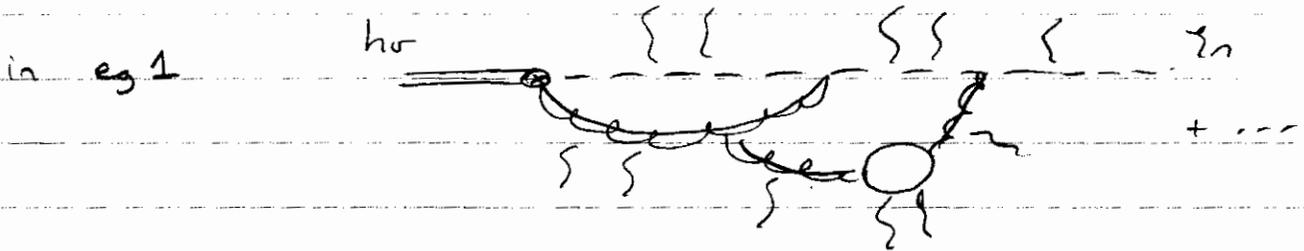
Interactions don't disappear, but are moved out of L.O.  $\mathcal{L}$  and into currents

eg 1  $J = \bar{\psi} W \Gamma h \psi = \bar{\psi}_n^{(0)} \psi^+ \psi W^{(0)} \psi^+ \Gamma h \psi$   
 $= (\bar{\psi}_n^{(0)} W^{(0)}) \Gamma (\psi^+ h \psi)$

If our current was a collinear color singlet

eg 2  $J = (\bar{\psi}_n W) \Gamma (W^+ \psi_n) = \bar{\psi}_n^{(0)} W^{(0)} \cancel{\psi^+ \psi} \Gamma (W^{+(0)} \psi_n^{(0)})$

Quite powerful, sums an  $\infty$  class of diagrams



in eg 2 usoft gluons decouple at L.O. from any graph  
 This is color transparency



- usoft gluons decouple from energetic partons in color singlet state
- they just "see" overall color singlet due to multipole expansion



In general define  $\chi_n = (W^\dagger \xi_n)$   
 $\chi_{n,w} = S(w-\bar{P}) (W^\dagger \xi_n)$

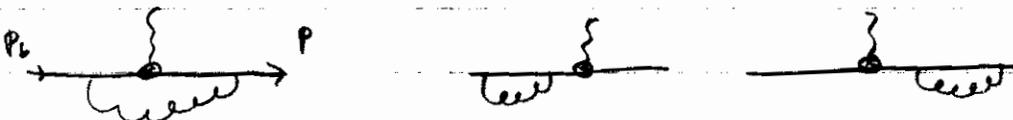
Operators  $\int dw_1 dw_2 \bar{\chi}_{n,w_1} \Gamma \chi_{n,w_2}$  etc.

IR divergences, Matching, & Running

Consider heavy-to-light current for  $b \rightarrow s \gamma$

$J^{QCD} = \bar{s} \Gamma b$   $\Gamma = \sigma^{\mu\nu} P_R F_{\mu\nu}$ ,  $O_{2\gamma}$   
 $J^{SCEF} = (\bar{s} w) \Gamma b u C(\bar{P}^+)$  (pre  $\gamma$ -field redefn)

QCD graphs at one-loop, take  $p^2 \neq 0$  to regulate IR of collin-quark



$= -\bar{s} \Gamma b \frac{\alpha_s C_F}{4\pi} \left[ \ln^2 \left( -\frac{p^2}{m_b^2} \right) + 2 \ln \left( -\frac{p^2}{m_b^2} \right) + \dots \right]$

$Z_b = 1 - \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + \frac{2}{\epsilon_{IR}} + 3 \ln \frac{\mu^2}{m_b^2} + \dots \right]$  ← IR reg. by D.R. here

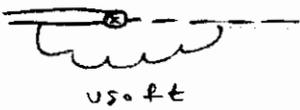
$Z_s = 1 - \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} - \ln \frac{p^2}{\mu^2} \right]$

$Z_{tensor} = 1 + \frac{\alpha_s C_F}{4\pi} \frac{1}{\epsilon}$  tensor current not conserved

full  $\epsilon$ 's, not  $\overline{MS}$  match - Somerix

Sum =  $\bar{s} \Gamma b \left[ 1 - \frac{\alpha_s C_F}{4\pi} \left( \ln^2 \left( -\frac{p^2}{m_b^2} \right) + \frac{3}{2} \ln \left( -\frac{p^2}{m_b^2} \right) + \frac{1}{\epsilon_{IR}} + \dots \right) \right]$

usoft



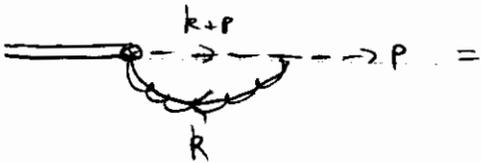
$$\int \frac{d^d k}{(v \cdot k + i\epsilon)(k^2 + i\epsilon)(n \cdot k + P^2/\bar{n} \cdot p + i\epsilon)}$$

$$= -\bar{q} \Gamma_h v \frac{dS_{CF}}{4\pi} \left[ \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln\left(\frac{\mu \bar{n} \cdot p}{-p^2 - i\epsilon}\right) + 2 \ln^2\left(\frac{\mu \bar{n} \cdot p}{-p^2}\right) + \frac{3\pi^2}{4} \right]$$

$\alpha n^\mu n_\mu = 0$  Feyn. Gauge

$$\text{Z}_{HQET} = 1 + \frac{dS_{CF}}{4\pi} \left[ \frac{2}{\epsilon_{UV}} - \frac{2}{\epsilon_{IR}} \right]$$

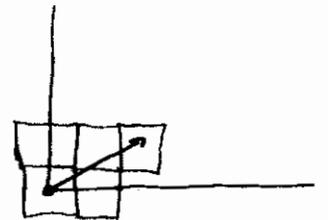
Collinear Graphs



$$\sum_{\substack{k \neq 0 \\ k \neq -p}} \int \frac{d^d k}{\bar{n} \cdot k} \frac{n \cdot \bar{n} \bar{n} \cdot (p+k)}{k^2 (k+p)^2}$$

each has label & residual (k, kr)

recall grid



Grid is like Wilsonian EFT

To make it continuous

if  $k=0$ , gluon is usoft

$$\sum_{k \neq 0} \int \frac{d^d k}{\bar{n} \cdot k} F(k, p, k_r) = \int \frac{d^d k}{\bar{n} \cdot k} \left[ F(k, p) - F_{\text{subt}}(k, p) \right]$$

$k_r = -p$  usoft quark (harmless)

k scales towards usoft

$$\frac{n \cdot \bar{n} \bar{n} \cdot p}{\bar{n} \cdot k k^2 (n \cdot k \bar{n} \cdot p + p^2)}$$

$\frac{1}{\epsilon_{UV}} - \frac{1}{\epsilon_{IR}}$

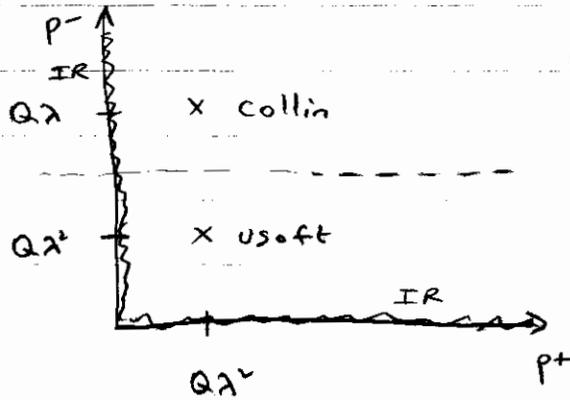
$$= -\bar{q} \Gamma_h v \frac{dS_{CF}}{4\pi} \left[ -\frac{2}{\epsilon^2} - \frac{2}{\epsilon} - \frac{2}{\epsilon} \ln\left(\frac{\mu^2}{-p^2}\right) - \ln^2\left(\frac{\mu^2}{-p^2}\right) - 2 \ln\left(\frac{\mu^2}{-p^2}\right) - 4 + \frac{\pi^2}{6} \right]$$



$$Z = 1 - \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + 2 \ln \frac{\mu^2}{p^2} \right]$$

IR matches  $\ln^2(p^2)$  QCD = SCET  
 $\ln(p^2)$  "  
 $\gamma_{EIR}$  "

If we had neglected collinear graphs this would not be true [historically LEET...]



degrees of freedom tile momentum space while maintaining p.c.

UV divergences in SCET need a cot.

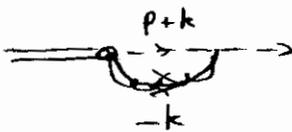
$$Z = 1 + \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln \left( \frac{\mu}{\bar{n} \cdot p} \right) + \frac{5}{2\epsilon} \right]$$

$\uparrow_{LL}$   $\uparrow_{\text{part of NLL}}$

Running

In general we must be careful with coeffs since they act like operators  $C(\mu, \bar{P})$

In our eg.  $\bar{P} \rightarrow \bar{n} \cdot p$  of external field always

non-trivial case   $C(\mu, \bar{n} \cdot (p+k) + \bar{n} \cdot (-k)) = C(\mu, \bar{n} \cdot p)$

$$\mu \frac{d}{d\mu} C(\mu) = - \frac{ds(\mu)}{\pi} C_F \ln\left(\frac{\mu}{\bar{P}}\right) C(\mu) \quad \text{LO anom dim}$$

Soln: QED  $ds = \text{fixed}, C_F = 1$

$$C(\mu) = \exp\left[ -\frac{\alpha}{2\pi} \ln^2\left(\frac{\mu}{\bar{P}}\right) \right] \quad \text{Sudakov suppression}$$

$$QCD \quad C(\mu) = \exp\left[ \frac{-4\pi C_F}{\beta_0^2 ds(m_b)} \left( \frac{1}{z} - 1 + \ln z \right) \right]$$

$$z = \frac{ds(\mu)}{ds(m_b)}$$

here  $m_b = \text{matching scale}$

In more complicated cases  $C(\bar{P}, \bar{P}^+)$  will be sensitive to  $\bar{n} \cdot k$  loop momentum and we'll get

$$\mu \frac{z}{2\mu} C(\mu, w) = \int dw' \gamma(w, w') C(\mu, w')$$

examples

DIS

Altarelli-Parisi evolution

$$\gamma^* \pi^0 \rightarrow \pi^0$$

Brodsky-Lepage "

$$\gamma^* p \rightarrow \gamma p'$$

Deeply-Virtual Compton Scatting

these are actually all the evolution of a single SCET operator

$$(\bar{\xi}_n W) C(\bar{P}, \bar{P}^+) (W^\dagger \xi_n)$$

Note: series in  $\ln C(\mu)$

		one-loop	two-loop	3-loop
LL	$\alpha_s^n \ln^{n+1}$	$\gamma_E^2$	-	-
NLL	$\alpha_s^n \ln^n$	$\gamma_E$	$\gamma_E^2$	-
NNLL	$\alpha_s^n \ln^{n-1}$	matching	$\gamma_E$	$\gamma_E^2$

$$\gamma_E^2 \rightarrow \gamma_E \ln(\mu) \text{ term}$$

Differs from single log case somewhat

At LHC, Sudakov effects are important in  
 Parton showers [Prob. to evolve without branching]  
 Jets

ast Time

- SCET<sub>I</sub> :
- usoft - collinear factorization
  - hard - collinear factorization

[ $\Delta_{q^2}^{(0)}$ , RPI, IR div., Running]

hard  $P^M \sim (a, a, a) \quad C = H$   
 collin  $\sim (a\lambda^2, a, a\lambda)$   
 usoft  $\sim (a\lambda^2, a\lambda^2, a\lambda^2)$

- SCET<sub>II</sub> : still to come ,
- soft - collinear factorization
  - Wilson coeffs

hard-collin  $P^M \sim (a\eta, a, \sqrt{a\eta}) \quad C = J \quad \text{jet function}$   
 collin  $P^M \sim (a\eta^2, a, a\eta)$   
 soft  $P^M \sim (a\eta, a\eta, a\eta)$

Note: identification of d.o.f. is frame dependent, but relationships between d.o.f. are frame indep.

eg. boost can swap collin  $\leftrightarrow$  soft

Results for observables which tie d.o.f together are "Factorization Theorems"

eg  $[d \dots] H(\beta^-) J(\beta^-, p^-, k^+) \phi(p^-) \phi(k^+)$



## Processes

- $\gamma^* \gamma \rightarrow \pi^0$        $\pi$ - $\gamma$  form factor at  $Q^2 \gg \Lambda^2$  for  $\gamma^*$   
 Breit frame     $q^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,     $p_\gamma^\mu = E \bar{n}^\mu$   
 $p_\pi^\mu = \frac{Q}{2} n^\mu + \underbrace{(E - \frac{Q}{2})}_{m_\pi^2/2Q} \bar{n}^\mu$   
 pion = collinear in  $n$ -direction      (SCET<sub>II</sub>)
  - $\gamma^* M \rightarrow M'$        $M$ - $M'$  (meson) form factor     $Q^2 \gg \Lambda^2$  for  $\gamma^*$   
 $M =$  collinear in  $n$   
 $M' =$  " "  $\bar{n}$  (say)      (SCET<sub>II</sub>)
  - $B \rightarrow D \pi$       Matrix ELT. of 4-quark operators  
 $Q = \{M_b, M_c, E_\pi\} \gg \Lambda$   
 $B, D$  are soft  $p^2 \sim \Lambda^2$ ,     $\pi$ -collinear (SCET<sub>II</sub>)
  - DIS      Structure Functions at  $Q^2 \gg \Lambda^2$   
 $e^- p \rightarrow e^- X$       and  $1-x \gg \Lambda/Q$  (ie not near endpoints in Bjorken  $x$ )  
 Breit frame:    proton  $n$ -collinear,     $X$ -hard      (SCET<sub>I</sub>)
  - Drell-Yan       $\frac{d\sigma}{dQ^2}$        $Q^2 =$  inv. mass of  $l^+ l^- \gg \Lambda^2$   
 $p \bar{p} \rightarrow l^+ l^- X$   
 $p$ - $n$ -collin,     $\bar{p}$ - $\bar{n}$ -collin,     $X$ -hard
  - $e^+ e^- \rightarrow$  jets  
 $\bar{p} \rightarrow$  jets  
 $pp \rightarrow$  jets

    - depends on observable we formulate
    - eg two jets       $n$ -collin jet  
                       $\bar{n}$ -collin jet
- etc.

JIS

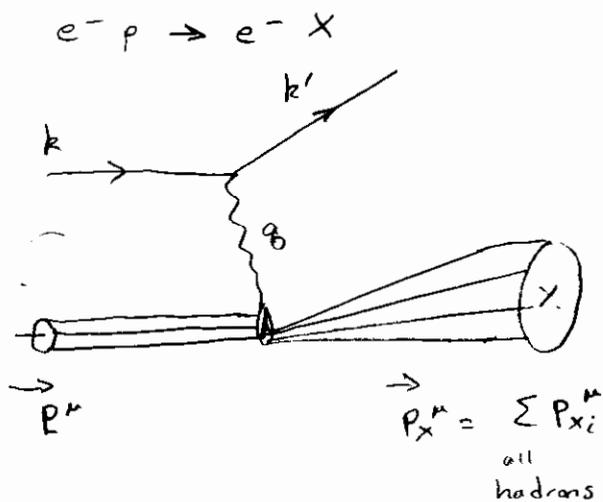
A rich subject, only aspects related to QCD factorization are covered here using SCET

Refs: § 1.8 of text

Aneesh M.'s review: hep-ph/9204208

Bob J.'s review: hep-ph/9602236

paper: hep-ph/0202088 (for material below)



$$Q^2 \gg \Lambda^2$$

$$q^2 = -Q^2, \quad x = \frac{Q^2}{2P \cdot q}$$

$$P_X^\mu = P^\mu + q^\mu$$

$$P_X^2 = \frac{Q^2}{x} (1-x) + M_p^2$$

regions

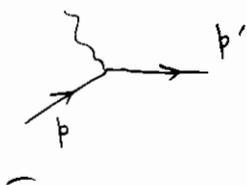
$P_X^2$	$(\frac{1}{x} - 1)$
$\sim Q^2$	$\sim 1$
$\sim Q\Lambda$	$\sim \Lambda/Q$
$\sim \Lambda^2$	$\sim \Lambda^2/Q^2$

inclusive OPE

endpt. region

resonance region

Parton Variables



struck quark carries some fraction  $\xi$  of proton momentum

$$\bar{n} \cdot p = \xi \bar{n} \cdot P$$

$$p'^2 \approx Q^2 \left( \frac{1}{x} - 1 \right)$$

we'll see how to formulate  $\xi$  in QCD

$$e^- p \rightarrow e^- p'$$

↑  
eg. excited state

Frames

Breit Frame

$$q^\mu = \frac{Q}{2} (\bar{n}^\mu - n^\mu)$$

$$P^\mu = \frac{n^\mu}{2} \bar{n} \cdot P + \frac{\bar{n}^\mu m_p^2}{2 \bar{n} \cdot P} = \frac{n^\mu}{2} \frac{Q}{x} + \dots \text{collinear}$$

$$P_x^\mu = \frac{n^\mu}{2} Q + \frac{\bar{n}^\mu}{2} \frac{Q(1-x)}{x} + \dots \text{hard}$$

Proton is made of collinear quarks and gluons

Rest Frame

$$P^\mu = \frac{m_p}{2} (n^\mu + \bar{n}^\mu) \text{ soft}$$

$$q^\mu = \frac{\bar{n}^\mu}{2} \frac{Q^2}{m_p x} - \frac{n^\mu}{2} m_p x + \dots$$

$$P_x^\mu = \text{sum}$$

"collinear"  $P_x^2 \sim Q^2$

Like  $B \rightarrow X c e \nu$  we can write cross-section in terms of leptonic & hadronic tensors

$$d\sigma = \frac{d^3 k'}{2 |k'|} \frac{e^4}{s Q^4} L^{\mu\nu}(k, k') W_{\mu\nu}(P, q)$$

we'll look at

spin-avg. case

$$W_{\mu\nu} = \frac{1}{\pi} \text{Im } T_{\mu\nu}$$

$$T_{\mu\nu} = \frac{1}{2} \sum_{\text{spin}} \langle P | \hat{T}_{\mu\nu}(q) | P \rangle$$

$$\hat{T}_{\mu\nu} = i \int d^4 x e^{i q \cdot x} T [ J_\mu(x) J_\nu(0) ]$$

$\uparrow$   
e.m. currents

$$T_{\mu\nu} = \left( -g_{\mu\nu} + \frac{g_\mu g_\nu}{g^2} \right) T_1(x, Q^2) + \left( \frac{P_\mu + g_\mu}{2x} \right) \left( \frac{P_\nu + g_\nu}{2x} \right) T_2(x, Q^2)$$

satisfies current conservation, P, C, T, etc.

Want imaginary part of forward scattering



First Match onto SCET ops.  
at L.O.:



⊕ gluon initiates

$$\hat{T}^{\mu\nu} = \frac{g_\perp^{\mu\nu}}{Q} \left( O_1^{(i)} + \frac{O_1^g}{Q} \right) + \frac{(n^\mu + \bar{n}^\mu)(n^\nu + \bar{n}^\nu)}{Q} \left( O_2^{(i)} + \frac{O_2^g}{Q} \right)$$

$O(\lambda^2)$  operators

$$O_j^{(i)} = \bar{\psi}_{n,p}^{(i)} \not{W} \frac{\not{\bar{n}}}{2} C_j^{(i)}(\bar{P}_+, \bar{P}_-) W^+ \psi_{n,p}^{(i)}$$

↓ flavor = u, d, ...

$$O_j^{(g)} = \text{tr} [ W^+ B_\perp^a W C_j^g(\bar{P}_+, \bar{P}_-) W^+ B_\perp^a W ]$$

where  $i\partial B_\perp^a \equiv [i\bar{n} \cdot D_\perp, iD_\perp^a] \sim a \sim \psi_n$   
 $\bar{P}_\pm = \bar{P}^+ \pm \bar{P}$

$O_j^{(i)}$  will lead to quark, anti-quark p.d.f.'s  
 $O_j^g$  " " " " gluon p.d.f.'s

Quark contribution in detail:

$$O_j^{(i)} = \int dw_1 dw_2 C_j^{(i)}(w_+, w_-) \left[ \underbrace{(\bar{\psi}_n(w))_{w_1}}_{\uparrow S(w_1 - \bar{P}^+)} \frac{\not{\bar{n}}}{2} \underbrace{(W^+ \psi_n(w))_{w_2}}_{\uparrow S(w_2 - \bar{P})} \right]$$

$w_\pm = w_1 \pm w_2$

coord space  $f_{i/p}(z) = \int dy e^{-i2z\bar{n}\cdot y} \langle p | \bar{\psi}(y) W(y,-y) \psi(y) | p \rangle$   
 parton distr for quark  $i$  in proton  $p$

$\bar{f}_{i/p}(z) = -f_{i/p}(1-z)$  for anti-quark

mom.

space  $\langle p_n | (\bar{\psi}_n)_w \psi (w^+ \psi_n)_{w_2} | p_n \rangle = 4\bar{n}\cdot p \int_0^1 dz \delta(w_-)$

\*  $[\delta(w_+ - 2z\bar{n}\cdot p) f_{i/p}(z) - \delta(w_+ + 2z\bar{n}\cdot p) \bar{f}_{i/p}(z)]$

recall

positive  $w_1 = w_2$  gives particles

negative  $w_1 = w_2$  gives anti-particles

$(\bar{\psi}_n)_w \psi (w^+ \psi_n)_w$  is a number operator for collinear quarks with momentum  $w$   
 a parton

[ If we tried to couple usoft or soft gluons to this op. its a singlet so they decouple, more later ]

Charge Conjugation

$C_j^{(i)}(-w_+, w_-) = -C_j^{(i)}(w_+, w_-)$

- relates Wilson Coeff for quarks & anti-quarks at operator level

- Only need matching for quarks

-  $\delta$ -functions set  $w_- = 0, w_+ = 2z\bar{n}\cdot p = 2Q \frac{z}{x}$

Relate basis

$$\frac{1}{\pi} \text{Im } T_1 = \int [d\omega] \frac{-1}{Q} \left( \frac{1}{\pi} \text{Im } C_1(\omega) \right) \langle O^{(i)}(\omega) \rangle$$

$$\frac{1}{\pi} \text{Im } T_2 = \int [d\omega] \left( \frac{4x}{Q} \right)^2 \frac{1}{Q} \frac{1}{\pi} \text{Im} \left( C_2(\omega) - \frac{C_1(\omega)}{4} \right) \langle O^{(i)}(\omega) \rangle$$

Define  $H_j(z) = \frac{\text{Im}}{\pi} C_j(2Qz, 0, Q^2, \mu^2)$   
 $w_+, w_-$

do  $w_{\pm}$  with  $\delta$ -functions

$$T_1(x, Q^2) = \frac{-1}{x} \int_0^1 d\xi H_1^{(i)}\left(\frac{\xi}{x}\right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

$$T_2(x, Q^2) = \frac{4x}{Q^2} \int_0^1 d\xi \left( 4H_2^{(i)}\left(\frac{\xi}{x}\right) - H_1^{(i)}\left(\frac{\xi}{x}\right) \right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

- this is factorization for DIS (to all order in  $d_s$ ) into computable coefficients  $H_i$  universal non-pert. functions  $f_{i/p}, \bar{f}_{i/p}$  (show up in many processes)

- Coefficients  $C_j$  were dimensionless and can only have  $d_s(\mu) \ln(\mu/a)$  dependence on  $Q$   
 $\rightarrow$  Bjorken scaling

[Analysis valid to LO in  $\frac{\Lambda^2}{Q^2}$ ]

- $H_i(\mu) f_{i/p}(\mu)$  traditionally this  $\mu$ -dependence is called the "factorization-scale"  $\mu = \mu_F$  & one also has "renorm. scale"  $d_s(\mu = \mu_R)$

In SCET the  $\mu$  is just the ren. scale in SCET. We have new UV divergences associated with running of p.d.f., along with running for  $d_s(\mu)$ .

- Tree Level Matching  
(upon which a lot of intuition is based)



find just  $g_{\perp}^{\mu\nu}$  ie  $C_2 = 0$

↳ Callan-Gross relation  
that  $W_1/W_2 = Q^2/4x^2$

$$C_1(\omega) = 2e^2 Q_i^2 \left[ \frac{Q}{(\omega - 2Q) + i\epsilon} - \frac{Q}{-(\omega + 2Q) + i\epsilon} \right]$$

↑  
charges

$$H_1 = -e^2 Q_i^2 \delta\left(\frac{\omega}{x} - 1\right) \quad \text{gives parton-model interpretation}$$

$\omega = x$

### Comments on DIS

- contrast  $\propto$  set of ops in text
- power of SCET<sub>II</sub>/SCET<sub>III</sub> not really needed, no soft (think of it as SCET<sub>II</sub> for example)

# Soft-Collinear Interactions (SCET<sub>II</sub>)

Recall  $g = g_s + g_c \sim Q(\lambda, 1, \lambda)$

$$g^2 = Q^2 \lambda \gg (Q\lambda)^2$$

offshell w.r.t s, c

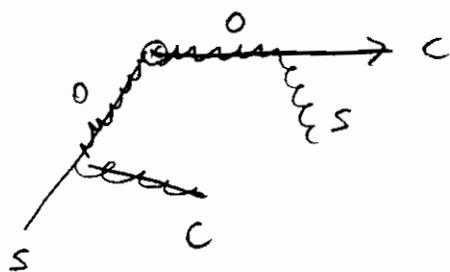
On-shell modes  $g^{\mu} \sim Q(\lambda, 1, \sqrt{\lambda})$  one hard-collinear  
 compared to collinear  $g^{\mu} \sim Q(\lambda^2, 1, \lambda)$

Integrating out these fluctuations builds up a soft Wilson line  $S_n$  (analogous to  $\Upsilon(n \cdot A_{us})$  but with soft fields)

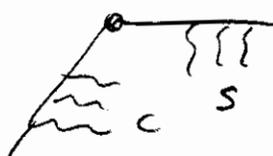
Toy eg. heavy-to-light soft-collin current  $\bar{\chi}_n \Gamma h_v$

s = soft, c = collinear

o = offshell



adding more gives

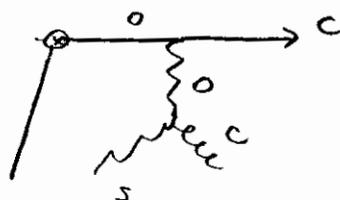
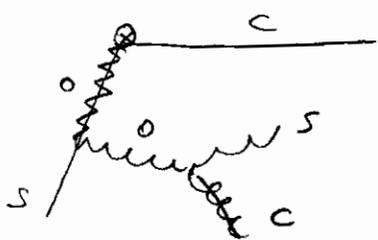


$$\bar{\chi}_n S_n^+ \Gamma W h_v$$

$$S_n^+[n \cdot A_{us}]$$

$$W[\bar{n} \cdot A_c]$$

In QCD need 3-gluon, 4-gluon vertices too; these flip order of  $s^+ \nabla W$



$$(\bar{\chi}_n W) \Gamma (S_n^+ h_v)$$



collinear gauge invariant



soft gauge invariant

[can be extended to all orders]

this is soft-collinear factorization

Another Method

- construct SCET<sub>II</sub> operators using SCET<sub>I</sub>

- i) Match QCD onto SCET<sub>I</sub>

usoft	$p_s^2 \sim \Lambda^2$
collinear	$p_c^2 \sim Q\Lambda$
- ii) Factorize usoft with field redefinition
- iii) Match SCET<sub>I</sub> onto SCET<sub>II</sub>

soft	$p_s^2 \sim \Lambda^2$
collin	$p_c^2 \sim \Lambda^2$

Notes

- this gives us a simple procedure to construct SCET<sub>II</sub> ops. (even though they're non-local)
- usoft fields in I are renamed soft for II

eg.

- i)  $J^I = (\bar{\chi}_n w) \Gamma h_v$
- ii)  $J^I = (\bar{\chi}_n^{(0)} w^{(0)}) \Gamma (\psi^+ h_v)$
- iii)  $J^{II} = (\bar{\chi}_n w) \Gamma (s^+ h_v)$       as before

↑ here all T-products in SCET<sub>I</sub> & SCET<sub>II</sub> match up, so matching was trivial

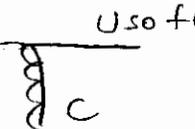
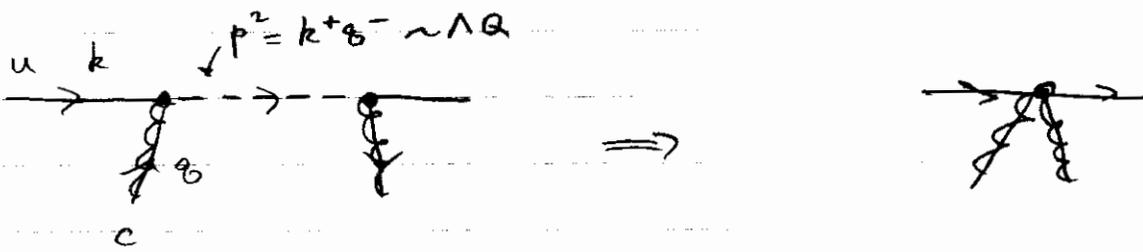
"Thm"

• In cases where we have T-products in SCET<sub>I</sub> with  $\geq 2$  operators involving both collin & usoft fields, we can generate a non-trivial coefficient in SCET<sub>II</sub> (jet-function J)

$$\int d p_- d k_+ J(p_-, k_+) \overbrace{(\bar{\psi} w)_{p_-} \Gamma (s^+ \psi_s)_{k_+}}^{p^2 \sim \Lambda^2}$$

↑      ↑  
 SCET<sub>I</sub> loops    in. d's allow  
 $p^2 \sim Q\Lambda$        $k_+$  dependence

eg. two operators  $\overset{c}{\text{---}} \overset{U_{\text{soft}}}{\text{---}}$

When we lower offshells of ext. collin fields the intermediate line still has  $p^2 \sim Q\Lambda$  and must really be integrated out

P.C.  $T^I \sim \lambda^{2K} \Rightarrow O^II \sim \eta^{K+E}$

where  $\lambda^2 = \eta = \frac{\Lambda}{Q}$

factor  $E > 0$  from changing the scalg of ext. fields

eg.  $\mathcal{L}_I \sim \lambda$   
 $\mathcal{L}_{II} \sim \eta = \lambda^2$

$\Rightarrow$  No mixed soft-collin  $\mathcal{L}$  at leading order  
 - after field redefn no mixed  $\mathcal{L}_I$  ops at LO

- mixed  $\mathcal{L}_I^{(1)}$  gives  $T\{\mathcal{L}_I^{(1)}, \mathcal{L}_I^{(1)}\} \sim \lambda^2$   
 matches onto  $O_{II} \sim \eta$  or higher

SCET<sub>I</sub>  $\lambda^\delta$

$$\delta = 4 + 4u + \sum_k (k-4) V_k^c + (k-8) V_k^u$$

$\uparrow$   $u=1$  noc., else  $u=0$ 
 $\downarrow$  rest
 $\downarrow$  pure usoft

SCET<sub>II</sub>

$$\delta = 4 + \sum_k (k-4) (V_k^c + V_k^s + V_k^{sc}) + L^{sc}$$

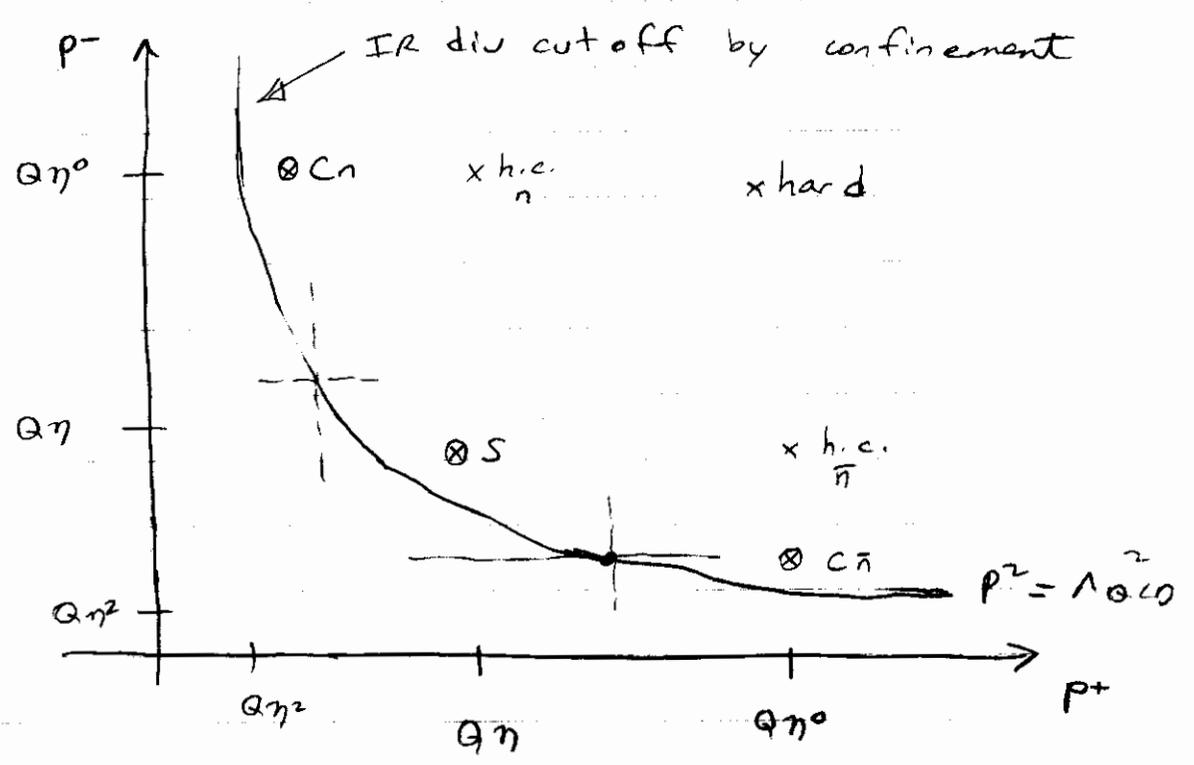
$\uparrow$  pure  $\uparrow$  pure  $\uparrow$  mixed  $\uparrow$   $p \sim (\eta^2, \eta, \eta)$   
 $c$   $s$   $\downarrow$  loops

$$\delta = 5 - N_c - N_s + \sum_k (k-4) (V_k^s + V_k^c) + (k-3) V_k^{sc}$$

$\uparrow$   $\uparrow$   
 # connected soft, collin components

[ in eq. SCET<sub>II</sub>  $\lambda^3 \lambda \frac{1}{\lambda^2} \lambda^3 \lambda \sim \lambda^{6-4} \sim \lambda^2 \Rightarrow (\eta^{3/2} \eta)^2 \frac{1}{\eta} = \eta^{4-3} = \eta$  ]  
 or  $\lambda * \lambda \sim \lambda^2$

$$\mathcal{L}_{SCET^{\text{II}}} = \mathcal{L}_{\text{soft}}^{(0)} [B_s, A_s] + \mathcal{L}_{\text{collin-}\eta}^{(0)} [B_\eta, A_\eta] + \mathcal{L}_{\text{collin-}\bar{\eta}}^{(0)} [B_{\bar{\eta}}, A_{\bar{\eta}}]$$



Non-pert d.o.f in different sectors  $B \rightarrow \pi\pi$



Exclusive

eg.  $\gamma^* \gamma \rightarrow \pi^0$  hard-collin factorization

[Breit frame: soft modes have no active role so this does not really probe difference between SCET<sub>I</sub> & SCET<sub>II</sub>]

QCD has

$$\langle \pi^0(p_\pi) | J_\mu(0) | \gamma(p_\gamma, \epsilon) \rangle = ie E^3 \int d^4z e^{-i p_\gamma \cdot z} \langle \pi^0(p_\pi) | T J_\mu(0) J_0(z) | 0 \rangle$$

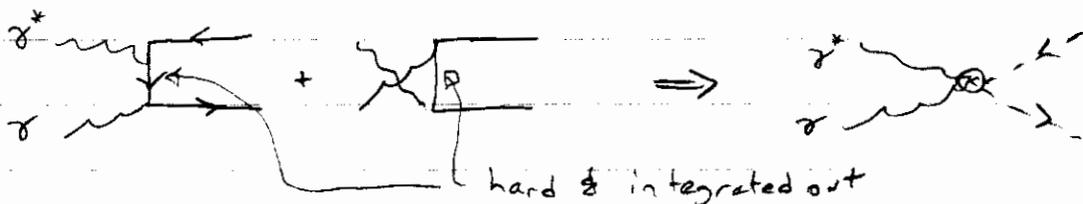
$$= -ie F_{\pi\gamma}(Q^2) \epsilon_{\mu\nu\alpha\beta} p_\pi^\nu \epsilon^\alpha \gamma^\beta$$

e.m. current  $J^\mu = \bar{\Psi} \hat{Q} \gamma^\mu \Psi$ ,  $\hat{Q} = \frac{\tau_3}{2} + \frac{1}{6} = \left( \frac{2}{3} \quad -\frac{1}{3} \right)$

For  $Q^2 \gg \Lambda^2$   $F_{\pi\gamma}$  simplifies (ala Brodsky-Lepage)

Frame  $\gamma^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,  $p_\gamma^\mu = E \bar{n}^\mu$

$p_\pi^\mu = p + p_\gamma = \frac{Q}{2} n^\mu + (E - \frac{Q}{2}) \bar{n}^\mu$



SCET operator at leading-order (for T-product) is

$$\mathcal{O} = \frac{i \epsilon_{\mu\nu}^\perp}{Q} [\bar{\chi}_{n,p} w] \Gamma C(\bar{p}, \bar{p}^+, \mu) [w^+ \chi_{n,p'}]$$

order  $\lambda^2$  ("twist-2")

- obeys current conservation
- dim analysis fixes  $\frac{1}{Q}$  pre-factor for C dimless
- Charge Conj:  $T \{ J, J \}$  even so  $\mathcal{O}$  even  
so  $C(\mu, \bar{p}, \bar{p}^+) = C(\mu, -\bar{p}^+, -\bar{p})$

- flavor & spin structure

$$\Gamma = \underbrace{\not{n} \gamma_5}_{\text{for pion}} \underbrace{3\sqrt{2}}_{\text{2nd order e.m.}} \hat{Q}$$

- color singlet, purely collinear (again) so soft gluons decouple

SCET<sub>II</sub>

equate  $\frac{Q^2}{2} F_{\pi\gamma} = \frac{i}{Q} \langle \pi^0 | (\bar{\psi} \omega) \Gamma C (\omega^\dagger \psi) | 0 \rangle$

write  $\bar{P}_\pm = \bar{P}^\pm \pm \bar{P}$

now  $\bar{P}_-$  gives total mom of  $(\bar{\psi} \omega) \Gamma (\omega^\dagger \psi)$  operator ie momentum of pion



$$\bar{P}_- = \bar{n} \cdot P_\pi = Q$$

→ total mom

$$F_{\pi\gamma}(Q^2) = \frac{2i}{Q^2} \int d\omega C(\omega, \mu) \langle \pi^0 | (\bar{\psi} \omega) \Gamma \delta(\omega - \bar{P}_+) (\omega^\dagger \psi) | 0 \rangle$$

Non-perturbative Matrix EFT

position space

$$\langle \pi^0(p) | \bar{\psi}_n(y) \frac{\not{n} \gamma_5 \tau^3}{\sqrt{2}} \omega(y,x) \psi_n(x) | 0 \rangle$$

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz e^{i \bar{n} \cdot p (2z + (1-z)x)} \phi_\pi(\mu, z)$$

$$\int_0^1 dz \phi_\pi(z) = 1$$

momentum space

$$\langle \pi^0(p) | (\bar{\psi}_n, \omega) \frac{\not{n} \gamma_5 \tau^3}{\sqrt{2}} \delta(\omega - \bar{P}_+) (\omega^\dagger \psi_n, \mu) | 0 \rangle$$

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz \delta(\omega - (2z-1)\bar{n} \cdot p) \phi_\pi(\mu, z)$$

Plug it into  $F_{\pi\gamma}(Q^2)$  and do integral over  $\omega$

$$F_{\pi\gamma}(Q^2) = \frac{2 f_{\pi}}{Q^2} \int_0^1 dz C((2z-1)Q, Q, \mu) \phi_{\pi}(z, \mu)$$

- $\phi_{\pi}$  is universal light-cone dist'n for pions
- $C$  is process dependent (all orders factorization in  $\alpha_s$ )
- one-dim convolution again

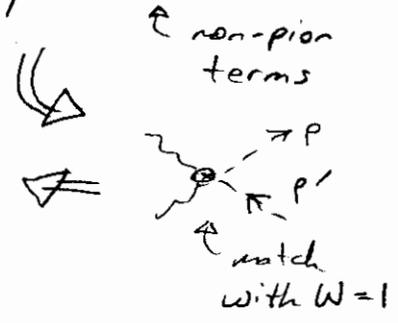
Tree Level Matching

expand

$$i \left( \frac{\not{p}'}{\not{p}} + \not{A} \right) = \frac{ie}{2} \epsilon_{\mu\nu\rho\sigma} \epsilon^{\nu} \bar{n}^{\rho} n^{\sigma} \left( \frac{\not{A}}{2} \gamma_5 \right) Q^{\mu} \times \left( \frac{1}{\bar{n} \cdot p} - \frac{1}{\bar{n} \cdot p'} \right) + \dots$$

so  $C = \frac{1}{6\sqrt{2}} \left( \frac{Q}{\bar{p}^+} - \frac{Q}{\bar{p}^-} \right)$

$$C(w = (2x-1)Q) = \frac{1}{6\sqrt{2}} \left( \frac{1}{x} + \frac{1}{1-x} \right)$$



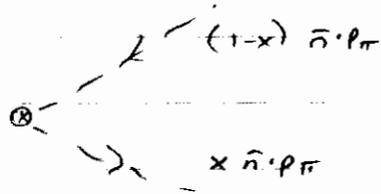
Charge Conj +1 for  $|\pi^0\rangle$  gives  $\phi_{\pi}(x) = \phi_{\pi}(1-x)$  (Hawk.)

So only  $\int_0^1 dx \frac{\phi_{\pi}(x, \mu)}{x}$  appears in our prediction

↑ integrate over all  $x$ , much different than DIS  $\delta(1-\frac{2}{x}) \Rightarrow f_{1/p}(x, \mu)$

Interpretation:

Naively



non fraction of quarks in pion

Really



non fractions at point where quarks are produced. Hadronization process changes "x" carried by valence quarks which is encoded in  $\phi_\pi(x)$

Higher Order Matching

full



SCET



Difference will be IR finite, and gives C at one-loop

Another Exclusive Example

(hep-ph/0107002)

$B \rightarrow D \pi$

$\underbrace{m_b, m_c, E_\pi}_{Q} \gg \Lambda_{QCD}$

QCD operators at  $\mu \approx m_b$

$H_W = \frac{4GF}{\sqrt{2}} V_{ud}^* V_{cb} [C_0^F O_0 + C_8^F O_8]$

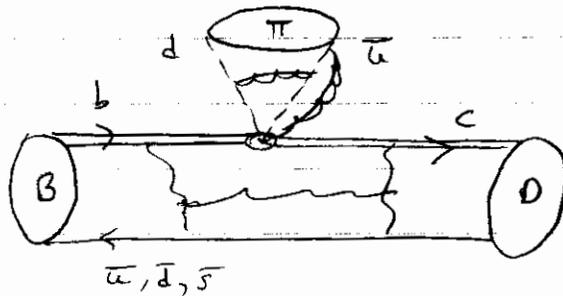
$p_L = \frac{1-\gamma_5}{2}$

Where  $O_0 = [\bar{c} \gamma^\mu p_L b] [\bar{d} \gamma_\mu p_L u]$

$O_8 = [\bar{c} \gamma^\mu p_L T^a b] [\bar{d} \gamma_\mu p_L T^a u]$

Want to Factorize  $\langle D \pi | O_{0,8} | B \rangle$

ie show at LO



no gluons btw B, D and quarks in pion

expect  $B \rightarrow D$  form factor  $\phi_\pi(x)$  distn for pion Isgur-wise

B, D soft  $p^2 \sim \Lambda^2$   
 $\pi$  collinear  $p^2 \sim \Lambda^2$  } SCET II

Use SCET II as intermediate step

1 Match at  $\mu^2 \approx Q^2$

$\left. \begin{matrix} O_0 \\ O_8 \end{matrix} \right\} \Rightarrow \left\{ \begin{matrix} Q_0^{1,5} = [\bar{h}_v^{(c)} \Gamma_h^{1,5} h_v^{(b)}] [(\bar{\chi}_n^{(d)} w) \Gamma_e C_0(\bar{p}_+) W^+ \chi_n^{(u)}] \\ Q_8^{1,5} = [ \quad \quad \quad +^A \quad ] [ \quad \quad \quad C_8(\bar{p}_+) T^a \quad ] \end{matrix} \right.$

$\Gamma_h^{1,5} = \frac{\not{v}}{2} \{1, \gamma_5\}$

$\Gamma_e = \frac{\not{v}}{4} (1-\gamma_5)$

$\uparrow$  soft SCET I

$\uparrow$  collinear  $p^2 \sim Q\Lambda$

② Field redefinitions  $\xi_{n,p} = Y \xi_{n,p}^{(0)}, \dots$

in  $Q_0^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} \cancel{Y^\dagger} \cancel{Y} W^{(0)} \xi_n^{(0)}$   
 in  $Q_8^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} Y^\dagger T^a Y W^{(0)} \xi_n^{(0)}$

$$Y T^a Y^\dagger = y^{ba} T^b \qquad Y^\dagger T^a Y = y^{ab} T^b$$

↑ adjoint Wilson line

$$T^a \otimes Y^\dagger T^a Y = Y T^a Y^\dagger \otimes T^a$$

↑ moves usoft Wilson lines next to h.c. fields

③ Match SCET<sub>I</sub> onto SCET<sub>II</sub> (trivial here again)

$$Y \rightarrow S$$

$$\xi_n^{(0)} \rightarrow \xi_n \text{ in II etc.}$$

$$Q_0^{1,5} = [\bar{h}_{v'}^{(c)} \Gamma_h h_{v'}^{(b)}] [\bar{\xi}_n^{(d)} W \Gamma_a C_0(\bar{P}_+) W^\dagger \xi_{n,p}^{(u)}]$$

$$Q_8^{1,5} = [\bar{h}_{v'}^{(c)} \Gamma_h S T^a S^\dagger h_{v'}^{(b)}] [\bar{\xi}_n^{(d)} W \Gamma_a C_0(\bar{P}_+) T^a W^\dagger \xi_{n,p}^{(u)}]$$

④ Take Matrix Elements

$$\langle \pi_n^- | \bar{\xi}_n W \Gamma C_0(\bar{P}_+) W^\dagger \xi_n | 0 \rangle = \frac{i}{2} f_\pi E_\pi \int_0^1 dx C(2E_\pi(2x-1)) \phi_\pi(x)$$

$$\langle D_{v'} | \bar{h}_{v'} \Gamma h_{v'} | B \rangle = N' \xi(\omega_0, \mu)$$

↑  $\omega_0 = v \cdot w'$

B, D purely soft → no contractions with collinear fields

$\pi$  " collinear → no " " soft fields

which is why it factors into two matrix elements

F.O.S.:

$$\langle D_{v'} | \bar{h}_{v'} \underbrace{Y T^a Y^\dagger}_{\text{color octet operator}} h_{v'} | B_{v'} \rangle = 0$$

color octet operator between color singlet states

Find

Factorization

Formula

$$\langle \pi D | H_w | B \rangle = i N \underbrace{\xi(\omega_0, \mu)}_{\text{prefactors}} \int_0^1 dx C(2E_\pi(2x-1), \mu) \phi_\pi(x, \mu) + O(1/Q)$$

- $\xi(\omega_0, \mu)$  is Isgur-Wise function at max. recoil  
 $\omega_0 = \frac{m_B^2 - m_D^2}{2m_B}$  (measured in  $B \rightarrow \rho e$  recall)

- This applies to type-I (≠ III) decays

$$\bar{B}^0 \rightarrow D^+ \pi^- \quad \bar{B}^0 \rightarrow D^{*+} \pi^- \quad , \quad \bar{B}^0 \rightarrow D^+ e^- \quad , \quad \dots$$

$$B^- \rightarrow D^0 \pi^- \quad B^- \rightarrow D^{*0} \pi^- \quad B^- \rightarrow D^0 e^- \quad , \quad \dots$$

predicts type-II decays are suppressed by  $1/Q$

$$\bar{B}^0 \rightarrow D^0 \pi^0 \quad , \quad \dots \quad (\text{we could derive fact. thm. for these too})$$



Another inclusive example -  $B \rightarrow X s \gamma$

Case where  $u_{\text{soft}}$  modes matter

Here we will need both  $u_{\text{soft}}$  & collinear d.o.f. in SCET<sub>I</sub>

$$H_{\text{eff}} = \frac{-4G_F}{\sqrt{2}} V_{cb} V_{cs}^* C_7 \mathcal{O}_7$$

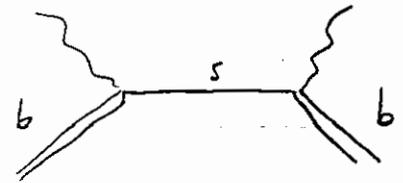
$$\mathcal{O}_7 = \frac{e}{16\pi^2} m_b \bar{s} \sigma^{\mu\nu} F_{\mu\nu} P_R b$$

photon  $q^\mu = E_\gamma \bar{n}^\mu$

$$\frac{1}{\Gamma_0} \frac{d\Gamma}{dE_\gamma} = \frac{4E_\gamma}{m_b^3} \left( \frac{-1}{\pi} \right) \text{Im } T$$

$$T = \frac{i}{m_B} \int d^4x e^{-iq \cdot x} \langle \bar{B} | T J_\mu^+(x) J^\mu(0) | \bar{B} \rangle$$

$$J^\mu = \bar{s} i\sigma^{\mu\nu} q_\nu P_R b$$



looks like DIS

Consider endpoint region

$$m_B/2 - E_\gamma \lesssim \Lambda_{\text{QCD}}$$

$$p_x^2 \approx m_B \Lambda$$



B - rest frame

$$p_B = \frac{m_B}{2} (n^\mu \cdot \bar{n}^\mu) = p_x + q$$

$$p_x = \frac{m_B}{2} n^\mu + \frac{\bar{n}^\mu}{2} \underbrace{(m_B - 2E_\gamma)}_{\Lambda}$$

collinear

so quarks and gluons in X are collinear with  $p_c^2 \sim m_B \Lambda$

B has u<sub>soft</sub> light d.o.f.

~~WW~~

$$J_\mu = -E_\gamma e^{i(\bar{P} \frac{n}{2} - m_b v) \cdot x}$$

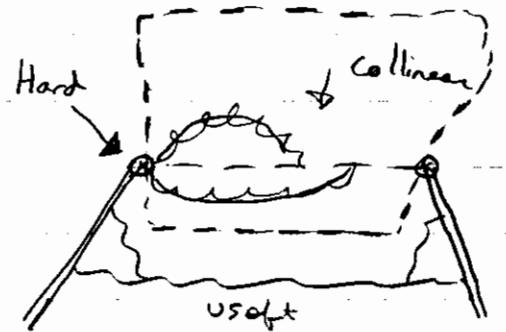
$$\bar{\psi} W \gamma_\mu^\perp P_L h_v C(\bar{P}^+, \mu)$$

our heavy-to-light current from earlier  $\equiv J_{eff}^\mu$

The coefficient  $C(\bar{P}^+)$  has  $\bar{P}^+ = M_b$  since this is total momentum of  $s$ -quark jet in  $\bar{n} \cdot P_x$

Factor with Field redefn

$$J_{eff}^\mu = \bar{\psi}^{(0)} W^{(0)} \gamma_\mu^\perp P_L \psi^{(0)}$$



$$T_{eff} = i \int d^4x e^{i(m_b \frac{\bar{n}}{2} - \delta) \cdot x} \langle \bar{B} | T J_{eff}^{\mu+}(x) J_{eff, \mu}^-(0) | \bar{B} \rangle$$

factored

$$= i \int d^4x e^{i(\delta)} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi h_v)(0) | \bar{B} \rangle$$

$$* \langle 0 | T (W^{(0)} \psi^{(0)})(x) (\bar{\psi}^{(0)} W)(0) | 0 \rangle$$

spin & color indices & structures  $\gamma_\mu^\perp P_L$  suppressed

$$= \frac{1}{2} \int d^4x \int d^4k e^{i(m_b \frac{\bar{n}}{2} - \delta - k) \cdot x} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi^{(0)} h_v)(0) | \bar{B} \rangle$$

$$* J_P(k)$$

$$\langle 0 | T (W^{(0)} \psi^{(0)}) (\bar{\psi}^{(0)} W) | 0 \rangle = i \int d^4k e^{-ik \cdot x} J_P(k) \frac{\bar{n}}{2}$$

only depend on  $k^+$ !  
so do  $k^-, k^+$  integrals

in  $T_{eff}$  we then get

$$S(\ell^+) = \frac{1}{2} \int \frac{dx^-}{4\pi} e^{-i\frac{1}{2} \ell^+ x^-} \langle \bar{B}_v | T [\bar{h}_v \psi)(\frac{\ell^+ x^-)}{2} (\psi^{(0)} h_v)(0) | \bar{B}_v \rangle$$

$$= \frac{1}{2} \langle \bar{B}_v | \bar{h}_v \delta(\text{in.0} - k^+) h_v | \bar{B}_v \rangle$$

~~WW~~

$$J_\mu = -E_\gamma e^{i(\bar{P} \frac{n}{2} - m_b v) \cdot x}$$

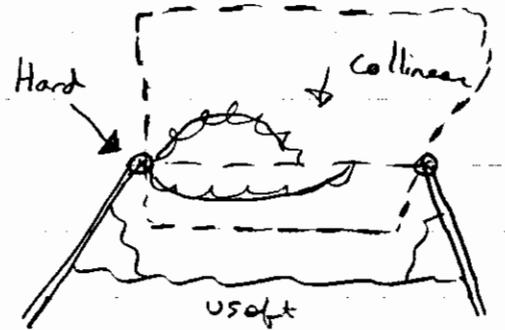
$$\bar{\psi} W \gamma_\mu^\perp P_L h_v C(\bar{P}^+, \mu)$$

our heavy-to-light current from earlier  $\equiv J_{eff}^\mu$

The coefficient  $C(\bar{P}^+)$  has  $\bar{P}^+ = M_b$  since this is total momentum of  $s$ -quark jet in  $\bar{n} \cdot P_x$

Factor with Field redefn

$$J_{eff}^\mu = \bar{\psi}^{(0)} W^{(0)} \gamma_\mu^\perp P_L \psi^{(0)}$$



$$T_{eff} = i \int d^4x e^{i(m_b \frac{\bar{n}}{2} - \delta) \cdot x} \langle \bar{B} | T J_{eff}^{\mu+}(x) J_{eff, \mu}^-(0) | \bar{B} \rangle$$

factored

$$= i \int d^4x e^{i(\delta)} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi h_v)(0) | \bar{B} \rangle * \langle 0 | T (W^{(0)} \psi^{(0)})(x) (\bar{\psi}^{(0)} W^{(0)})(0) | 0 \rangle$$

spin & color indices & structures  $\gamma_\mu^\perp P_L$  suppressed

$$= \frac{1}{2} \int d^4x \int d^4k e^{i(m_b \frac{\bar{n}}{2} - \delta - k) \cdot x} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi^{(0)} h_v)(0) | \bar{B} \rangle * J_P(k)$$

$$\langle 0 | T (W^{(0)} \psi^{(0)}) (\bar{\psi}^{(0)} W^{(0)}) | 0 \rangle = i \int d^4k e^{-ik \cdot x} J_P(k) \frac{\bar{n}}{2}$$

only depend on  $k^+$ !  
so do  $k^-, k^+$  integrals

in  $T_{eff}$  we then get

$$S(\ell^+) = \frac{1}{2} \int \frac{dx^-}{4\pi} e^{-i\frac{1}{2} \ell^+ x^-} \langle \bar{B}_v | T [\bar{h}_v \psi)(\frac{x^-}{2}) (\psi^{(0)} h_v)(0) | \bar{B}_v \rangle$$

$$= \frac{1}{2} \langle \bar{B}_v | \bar{h}_v \delta(\text{in. } 0 - k^+) h_v | \bar{B}_v \rangle$$



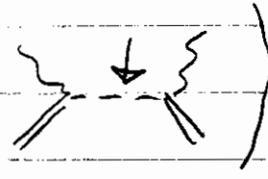
imaginary part is in jet function

$$\text{let } J(k^+) = -\frac{1}{\pi} \text{Im } J_p(k^+)$$

(tree level

$$J(k^+) = \delta(k^+)$$

from



All order's factorization

$$\frac{1}{\Gamma_0} \frac{dP}{dE_T} = N C(m_b, \mu) \int_0^{\Lambda} dl^+ S(l^+) J(l^+ + m_b - 2E_T)$$

$\uparrow$   $2E_T - m_b$   $\uparrow$   $\uparrow$   
 $p^2 \sim m_b^2$   $p^2 \sim \Lambda^2$   $p^2 \sim m_b \Lambda$

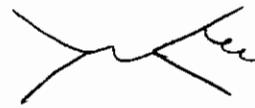
$\uparrow$   
Shape function  
is seen in these  
data

Final example

two - jet production

How do we define a jet ?

Consider  $e^+e^- \rightarrow q\bar{q}g$   
 $q = p_1 + p_2 + p_3$   
 $2 = x_1 + x_2 + x_3$   
 for  $x_i = \frac{2 p_i \cdot q}{q^2}$

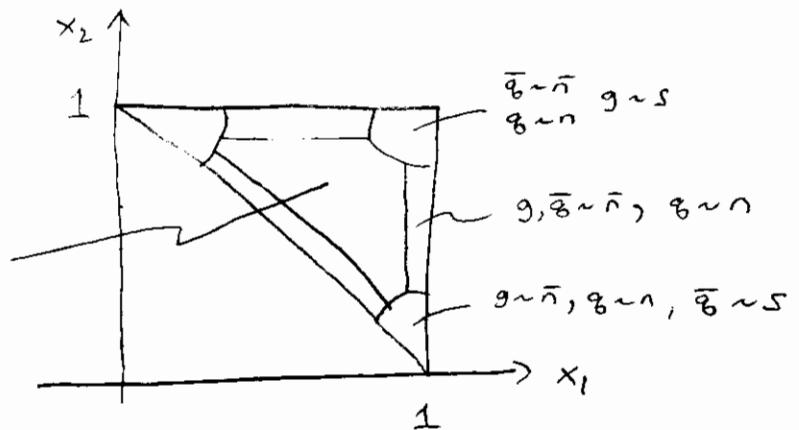


gives

$$\frac{1}{\sigma_0} \frac{d\sigma}{dx_1 dx_2} = \frac{C_F \alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

Two jets along edges  
 Three jets in middle

$q \sim n_1$   
 $\bar{q} \sim n_2$   
 $g \sim n_3$



Sterman-Weinberg Definition of 2-jets

if gluon has  $p_3^0 < \epsilon Q$  or

if gluon has angle  $\cos \theta_{13} > 1 - 2\delta^2$  or  $\cos \theta_{23} > 1 - 2\delta^2$



$$\frac{d\sigma}{de} = \frac{1}{2Q^2} \sum_N |\langle N | J_{\text{QED}}^\mu(0) | 0 \rangle L_\mu|^2 (2\pi)^4 \delta^{(4)}(q - \sum p_N) \delta(e - e(N))$$

$\uparrow$   $\uparrow$   $\uparrow$   
 $\psi \bar{\psi}$  leptons event shape variable

eg. jet energy

$$E_J = \sum_{i \text{ in cone}} E_i$$

eg. Thrust

$$T = \max_{\hat{e}} \frac{\sum_{i \in N} |\vec{p}_i \cdot \hat{e}|}{\sum_{i \in N} |\vec{p}_i|}$$

Two-jets (SCET<sub>I</sub>)

$$\mathbb{P} \mathbb{P} \mathbb{P} \rightarrow (\bar{\psi}_n \psi_n) \gamma_{\perp}^{\mu} C(\bar{P}, P^+, \mu) (W_n^{\dagger} \psi_n) = J_{SCET}^{\mu}$$

Matching ensures only 2-jets



Decouple U-soft

$$\psi_n \rightarrow Y_n \psi_n^{(0)}$$

$$Y_n = \bar{P} \exp(-is \int_0^{\infty} ds n \cdot A_{us})$$

$$\psi_{\bar{n}} \rightarrow Y_{\bar{n}} \psi_{\bar{n}}^{(0)}$$

$$J^{\mu} = (\bar{\psi}_n \psi_n Y_n^{\dagger}) \gamma_{\perp}^{\mu} C(Y_{\bar{n}} W_{\bar{n}}^{\dagger} \psi_{\bar{n}})$$

State:

$$|N\rangle = |X_n X_{\bar{n}} X_u\rangle$$

all the soft particles, not observed

we will not bother to observe this jet, e(N) indep of it.

Schematically

$$d\sigma = \int d^4 p_{\bar{n}} \delta^{(4)}(q - p_n - p_{\bar{n}}) |C(p_n^-, p_{\bar{n}}^+)|^2$$

always bigger than usoft

big momenta

$\sum_{X_n, X_{\bar{n}}, X_u}$

$$\delta^{(4)}(p_n - \sum p_{X_n^i}) \delta^{(4)}(p_{\bar{n}} - \sum p_{X_{\bar{n}}^i}) \langle 0 | J_{(0)}^{\mu} | X_n X_{\bar{n}} X_u \rangle \langle X_u X_n X_{\bar{n}} | J_{(0)}^{\nu} | 0 \rangle$$

$$\int d^4 x e^{i x \cdot (p_n - \sum p_{X_n^i})} \int d^4 y e^{i y \cdot (p_{\bar{n}} - \sum p_{X_{\bar{n}}^i})}$$

recall  $p_n^+ \sim p_{\bar{n}}^- \sim$  usoft momentum

$$(\bar{\psi}_n \psi_n Y_n^{\dagger})_{p_n^-} \gamma_{\perp}^{\mu} (Y_{\bar{n}} W_{\bar{n}}^{\dagger} \psi_{\bar{n}})_{p_{\bar{n}}^+} \dots$$



No Time for this

In lecture I defined what a jet is in terms of operators and discussed how it relates to our example of a jet in b->s gamma.