

(2021)

Last time

$$\begin{array}{c} \text{label} \quad \text{residual} \\ \mathbf{P}^- = \mathbf{p}^- + \mathbf{k}^- \\ \mathbf{P}_\perp = \mathbf{p}_\perp + \mathbf{k}_\perp \end{array}$$

$$\mathbf{q}_{n,p}(x) \quad A_{n,p}^\mu(x)$$

label operator  $\mathcal{P}^\mu$ 

$$\mathcal{P}^\mu \mathbf{q}_{n,p} = \mathbf{p}^\mu \mathbf{q}_{n,p}$$

$$\mathcal{P}^\mu \mathbf{q}_{n,p} \cap \mathbf{q}_{n,p} = (\mathbf{p}^\mu - \mathbf{p}'^\mu) \mathbf{q}_{n,p} \cap \mathbf{q}_{n,p}$$

$$i\partial^\mu \sum_{\mathbf{p} \neq 0} e^{-i\mathbf{p} \cdot \mathbf{x}} \mathbf{q}_{n,p}(x) = e^{-i\mathbf{x} \cdot \mathcal{P}} \sum_{\mathbf{p} \neq 0} (\mathcal{P}^\mu + i\partial^\mu) \mathbf{q}_{n,p}(x)$$

↑  
 labels conserved  
 [ ]  
 often suppress this

↑  
 residual momentum conserved

summary

Type	$(\mathbf{p}^+, \mathbf{p}^-, \mathbf{p}_\perp)$	Fields	Field Scaling
collinear	$(\lambda^2, 1, \lambda)$	$\mathbf{q}_{n,p}(x)$ $(A_{n,p}^+, A_{n,p}^-, A_{n,p}^\perp)$	$\lambda$ $(\lambda^2, 1, \lambda)$
soft	$(\lambda^2, \lambda^2, \lambda^2)$	$\mathbf{q}_{us}(x)$ $A_{us}^\mu(x)$	$\lambda^3$ $\lambda^2$
soft (later)	$(\lambda, \lambda, \lambda)$	$\mathbf{q}_{s,p}$ $A_{s,p}^\mu$	$\lambda^{3/2}$ $\lambda$

### Collinear Lagrangian

Write  $\Psi = \Psi_n + \Psi_{\bar{n}}$ ,  $\Psi_n = P_n \Psi$ ,  $\Psi_{\bar{n}} = P_{\bar{n}} \Psi$

$$P_n = \frac{\partial \Psi}{4}, \quad P_{\bar{n}} = \frac{\partial \Psi}{4}$$

$$\mathcal{L} = \bar{\Psi} i\partial \Psi = (\bar{\Psi}_{\bar{n}} + \bar{\Psi}_n) \left( i\frac{\pi}{2} \bar{n} \cdot D + i\frac{\pi}{2} n \cdot D + i\partial_{\perp} \right) (\Psi_n + \Psi_{\bar{n}})$$

$$= \bar{\Psi}_n \frac{\pi}{2} i\bar{n} \cdot D \Psi_n + \bar{\Psi}_{\bar{n}} \underbrace{\frac{\pi}{2} i\bar{n} \cdot D \Psi_{\bar{n}}}_{+ \bar{\Psi}_n i\partial_{\perp} \Psi_{\bar{n}}} + \bar{\Psi}_n i\partial_{\perp} \Psi_{\bar{n}} + \underbrace{\bar{\Psi}_{\bar{n}} i\partial_{\perp} \Psi_n}_{+ \bar{\Psi}_n i\partial_{\perp} \Psi_n}$$

So far we've done nothing, just written QCD in diff. vars.  
 Only  $\Psi_n$  components are big, so let's take only external  $\Psi_n$ 's [do not couple current to  $\Psi_{\bar{n}}$  in path int.]

Integrate out  $\Psi_{\bar{n}}$

$$\begin{aligned} \frac{\delta}{\delta \bar{\Psi}_{\bar{n}}} : \quad & \frac{\pi}{2} i\bar{n} \cdot D \Psi_{\bar{n}} + i\partial_{\perp} \Psi_{\bar{n}} = 0 \\ & i\bar{n} \cdot D \Psi_{\bar{n}} + \frac{\pi}{2} i\partial_{\perp} \Psi_{\bar{n}} = 0 \\ \Psi_{\bar{n}} = & \frac{1}{i\bar{n} \cdot D} i\partial_{\perp} \frac{\pi}{2} \Psi_n \end{aligned}$$

$$\text{Think of } \frac{1}{i\bar{n} \cdot D} f(x) = \int d^4 p \frac{e^{-ip \cdot x}}{\bar{n} \cdot p} f(p) \quad \text{for inv. deriv.}$$

Now

$$\mathcal{L} = \bar{\Psi}_n \left( i\bar{n} \cdot D + i\partial_{\perp} \frac{1}{i\bar{n} \cdot D} i\partial_{\perp} \right) \frac{\pi}{2} \Psi_n$$

Next: introduce collinear & soft gluon fields & phases  $e^{-ip \cdot x}$

\* recall  $A^{\mu}$  has  $p^2 \sim Q^2 \lambda^4 \ll p_c^2 \sim Q^2 \lambda^2$

i.e. long wavelength, it's like a classical background field as far as  $A^{\mu}$  &  $\Psi_n$  are concerned

write  $A^{\mu} = A_n^{\mu} + A^{us}$  [not quite right, but suffices here]

- Phase Redefinition  $i\partial^\mu \rightarrow p^\mu + i\partial^\mu$   
get  $e^{-ix \cdot p}$  out front irrespective of  
number of fields we have ( $\frac{1}{i\pi \cdot D}$  means we  
have Feyn rules with 0, 1, 2, 3, ... gluons)

$$\begin{aligned} Y_n &= \bar{Y}_{n,p} && \left. \begin{array}{l} \\ \end{array} \right\} \text{suppress } \sum_p, \sum_g \\ i n \cdot D &= i n \cdot \partial + g n \cdot A_{n,g} + g n \cdot A_{n,s} && \left. \begin{array}{l} \\ \end{array} \right\} \sum_p, \sum_g \\ &\quad \lambda^2 \quad \lambda^2 \quad \lambda^2 \\ i D_\perp &= \underbrace{(p_\perp + g A_{n,g}^\perp)}_{i D_\perp^c \sim \lambda} + \underbrace{(i \partial^\perp + g A_{n,s}^\perp)}_{\lambda^2 \text{ drop it}} \\ i \bar{n} \cdot D &= \underbrace{(\bar{p} + g \bar{n} \cdot A_{n,g})}_{i \bar{n} \cdot D^c \sim \lambda^0} + \underbrace{(i \bar{n} \cdot \partial + g \bar{n} \cdot A_{n,s})}_{\lambda^2 \text{ drop it}} \end{aligned}$$

Leading Order Action is  $\mathcal{O}(\lambda^4)$  [ $* \lambda^{-4}$  from measure]

$$\mathcal{L}_{gg}^{(0)} = e^{-ix \cdot p} \bar{Y}_{n,p} \left[ i n \cdot D + i D_\perp^c \frac{1}{i \bar{n} \cdot D_c} i D_\perp^c \right] \frac{\not{p}}{2} \bar{Y}_{n,p}$$

- drop this if we remember to impose label conservation
- all fields are at  $x$ , derivatives  $i\partial^\mu \sim \lambda^2$ 
  - action explicitly local at  $\mathcal{O}\lambda^2$  scale
  - action local at  $\mathcal{O}\lambda$  too ( $D_\perp$  in numerator, mem. space version of locality)
  - only non-local at  $\sim Q$  scale
- terms are same size in power counting

Repeat for Gluons

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^A G^{\mu\nu A} = -\frac{1}{2} \text{tr} [G_{\mu\nu} G^{\mu\nu}] , \quad G^{\mu\nu} = \frac{i}{g} [D^\mu, D^\nu]$$

$$\mathcal{L}_{\text{eff}}^{(0)} = \frac{1}{2g^2} + \left\{ \left( [i\hat{D}^\mu + gA_{\mu,0}^\mu, i\hat{D}^\nu + gA_{\nu,0}^\nu] \right)^2 \right\} + \text{gauge fixing}$$

$$i\hat{D}^\mu = i\frac{\bar{n}^\mu}{2} n \cdot \partial + P_\perp^\mu + \frac{n^\mu}{2} \bar{P}$$

?

see  
hep-ph/0109045

- terms dropped in constructing  $\mathcal{L}_{\text{eff}}^{(0)}$ ,  $\mathcal{L}_{\text{eff}}^{(0)}$   
give  $\mathcal{L}_{\text{eff}}^{(1)}, \mathcal{L}_{\text{eff}}^{(2)}, \dots$

Argument so far was tree level. To go further we need symmetries (& power counting)

- ① Gauge Symmetry
  - ② Reparameterization Invariance
  - ③ Spin Symmetry?
- ] v. Useful

ii) Easiest in two-component form (rather than 4-components  $\gamma_n$  with  $\frac{\not{n}}{4} \gamma_n = \gamma_n$ )

$$\gamma_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \gamma_n \\ \sigma_3 \gamma_n \end{pmatrix}$$

$$\mathcal{L} = \gamma_{n,p}^\dagger \left\{ i n \cdot D + i D_\perp^\mu \frac{1}{i\bar{n} \cdot D_\perp} i D_\perp^\nu (g_{\mu\nu}^\perp + i \epsilon_{\mu\nu}^\perp \sigma_3) \right\} \gamma_{n,p}$$

not  $SU(2)$

just  $U(1)$ : helicity  $h = \frac{i\epsilon_{\perp}^{\mu\nu}}{4} [\gamma_\mu, \gamma_\nu]$  generator  
 $h \sim \sigma_3 \rightarrow$  spin along direction of motion

Broken by masses

Broken by non-pert effects

Useful in pert. theory

## ① Gauge Symmetry

$$U(x) = \exp [i \alpha^A(x) \tau^A]$$

Need to consider  $U$ 's which leave us within EFT

e.g.  $i \partial^\mu \alpha^A \sim Q \alpha^A$  then  $\tilde{\epsilon}_n' = U(x) \tilde{\epsilon}_n$  would no longer have  $p^2 \lesssim Q^2 z^2$

collinear $U(x)$	$i \partial^\mu U_c(x) \sim Q(z^2, 1, z) U_c(x) \leftrightarrow A_{n,q}^{\mu}$
usoft $U(x)$	$i \partial^\mu U_u(x) \sim Q(z^2, \lambda^2, z^2) U_u(x) \leftrightarrow A_{u,r}^{\mu}$

- two classes of gauge transfm for two gauge fields
- in momentum space we have convolutions for  $U_c$

$$\tilde{\epsilon}_{n,p} \rightarrow \sum_q (U_c)_{p-q} \tilde{\epsilon}_{n,q}$$

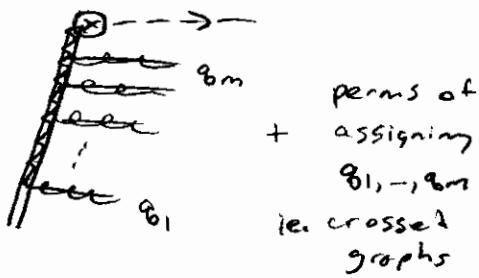
We'll write shorthand  $\tilde{\epsilon}_n \rightarrow U_c \tilde{\epsilon}_n$

Now  $\eta_{us} \xrightarrow{U_c} \eta_{us}$  since otherwise we give large mom. to an usoft field

Aside recall our heavy-to-light current

$\tilde{\epsilon}_n \Gamma h^u \rightarrow \tilde{\epsilon}_n U_c^+ \Gamma h^u$  is not gauge invariant

But we had to integrate out offshell propagators



$$\begin{aligned}
 &= \Gamma \sum_{m=0}^{\infty} \sum_{\text{perms}} \frac{(-i)^m \bar{n} \cdot E_{n,q_1}^{a_1} \cdots \bar{n} \cdot E_{n,q_m}^{a_m}}{\bar{n} \cdot q_1 \bar{n} \cdot (q_1 + q_2) \cdots \bar{n} \cdot (\Sigma q_i)} \\
 &\quad \times T^{a_m} \cdots T^{a_1} \\
 &= \Gamma W \quad \bar{n} \cdot A_{n,q_i}^{a_i} \rightarrow \bar{n} \cdot E_{n,q_i}^{a_i}
 \end{aligned}$$

we had first term previously

$$-\frac{g \bar{n}^{\mu}}{\bar{n} \cdot g} \Gamma T^a$$

Here  $W$  is a Wilson Line

$$\text{Short form } W = \left[ \sum_{\text{perms}} \exp \left( -\frac{g}{\bar{p}} \bar{n} \cdot A_{n,p}(x) \right) \right]$$

If we set residual coordinate  $x=0$  then Fourier transform  $W = W(y, -\infty) = P \exp \left( i \int_{-\infty}^y ds \bar{n} \cdot A(s) \right)$

re like  $\bar{T}_n(y) W(y, -\infty) h_r(-\infty)$

$\begin{matrix} t \\ \text{short dist.} \end{matrix}$   $\begin{matrix} t \\ \text{soft field at "long" dist.} \end{matrix}$   
 $y$   $t$  doesn't see short  
dist. interactions

Now  $W \rightarrow U_c W$  &  $\bar{T}_n W \Gamma h_r$  is invariant

End Aside

### Gauge Transformations

		$U_c$	$U_{us}$	$U_{\text{global}}$
collinear	$\gamma_{n,p}$	$U_c \gamma_{n,p}$	$U_{us} \gamma_{n,p}$	easy
	$A_{n,p}$	$U_c A_{n,p} U_c^\dagger + \frac{i}{g} U_c [i \hat{D}^\mu, U_c^\dagger]$	$U_{us} A_{n,p} U_{us}^\dagger$	---
	$W$	$U_c W$	$U_{us} W U_{us}^\dagger$	--
usoft	$g_{us}$	$g_{us}$	$U_{us} g_{us}$	--
	$A_{us}$	$A_{us}$	$U_{us} (A_{us}^\mu + \frac{i}{g} \gamma^\mu) U_{us}^\dagger$	---
	$\gamma$	$\gamma$	$U_{us} \gamma$	--

- homogeneous in  $\lambda$ , recall  $i \hat{D}^\mu$  has in  $D$  in it
- $U_{us} A_{n,p} U_{us}^\dagger$  is like background field transfn of quantum field  $A_{n,p}$

Gauge Symmetry ties together

$$\text{in}\cdot D = \text{in}\cdot d + g n\cdot A_n + g n\cdot A_{\bar{n}}$$

$$iD_\perp^c$$

$$i\bar{n}\cdot D^c$$

Mass Dimension & p.c. means either  $\text{in}\cdot D \sim \lambda^2$

$$\text{or } \frac{1}{P} (iD_\perp)^2 \sim \lambda^2 \quad (\text{no other } \lambda^2 \text{ ops})$$

What about coeff. between  $\text{in}\cdot D$  &  $i\partial_\perp \frac{1}{i\bar{n}\cdot D} i\partial_\perp$  ?

What about other operators like

$$\text{in} \cdot iD_\perp^{\mu c} \frac{1}{i\bar{n}\cdot D} iD_{\perp c}^\mu \frac{\cancel{D}}{2} \text{in} \quad ?$$

### (ii) Reparameterization Invariance (RPI)

$n, \bar{n}$  break Lorentz Inv.  $n^\mu m_{\mu\nu}, \bar{n}^\mu m_{\mu\nu}$   
(only  $E^{(\mu)} m_{\mu\nu}$  preserved)

rotations about 3-axes

3 types of RPI which keep  $n^2 = \bar{n}^2 = 0, n \cdot \bar{n} = 2$

$$\begin{array}{l} \text{I} \quad n \rightarrow n + \Delta_\perp \\ \bar{n} \rightarrow \bar{n} \end{array}$$

$$\begin{array}{l} \text{II} \quad n \rightarrow n \\ \bar{n} \rightarrow \bar{n} + \epsilon_\perp \end{array}$$

$$\begin{array}{l} \text{III} \quad n \rightarrow e^{i\alpha} n \\ \bar{n} \rightarrow e^{-i\alpha} \bar{n} \end{array}$$

Type III is simple: implies for any operator with an  $n^\mu$  we have corresponding  $n$  in denominator or a corresponding  $\bar{n}$  in numerator

e.g.  $\mathcal{L}_{\text{eff}}^{(0)}$  had  $\cancel{x} \frac{1}{i\bar{n}\cdot D_c} \checkmark, \cancel{x} n\cdot D \checkmark$   
can't have  $\cancel{x} \bar{n}\cdot D$

Power Counting.  $\Delta_\perp \sim \lambda$   
 $\epsilon_\perp \sim \lambda^0, d \sim \lambda^0$

max power that  
leaves scaling of  
collinear momenta  
intact

i.e. we only care about restoring Lorentz Inv.  
for the set of fluctuations described by SCET  
stopped here

Find

$$\text{Under I} \quad n \cdot D \rightarrow n \cdot D + \Delta^\perp \cdot D^\perp$$

$$D_F^\perp \rightarrow D_F^\perp - \frac{\Delta_F^\perp}{2} \bar{n} \cdot D - \frac{\bar{n}_F}{2} \Delta^\perp \cdot D^\perp$$

$$\bar{n} \cdot D \rightarrow \bar{n} \cdot D$$

$$\gamma_n \rightarrow \left( 1 + \frac{\Delta_\perp \pi}{4} \right) \gamma_n$$

$$\omega \rightarrow \omega$$

Under II

$$n \cdot D \rightarrow n \cdot D$$

$$D_F^\perp \rightarrow D_F^\perp - \frac{\epsilon_F^\perp}{2} n \cdot D - \frac{n_F}{2} \epsilon^\perp \cdot D^\perp$$

$$\bar{n} \cdot D \rightarrow \bar{n} \cdot D + \frac{\epsilon^\perp \cdot D^\perp}{2}$$

$$\gamma_n \rightarrow \left( 1 + \frac{\epsilon^\perp}{2} \frac{1}{i \bar{n} \cdot D} i \theta_\perp \right) \gamma_n$$

$$\omega \rightarrow \left[ \left( 1 - \frac{1}{i \bar{n} \cdot D} i \epsilon^\perp \cdot D_\perp \right) \omega \right]$$

$$V^\mu = \frac{n \cdot V}{2} \bar{n}^\mu + \frac{\bar{n} \cdot V}{2} n^\mu + V_\perp^\mu \quad \text{invariant under I, II, III}$$