

MIT OpenCourseWare  
<http://ocw.mit.edu>

8.512 Theory of Solids II  
Spring 2009

For information about citing these materials or our Terms of Use, visit: <http://ocw.mit.edu/terms>.

1. This problem reviews the Boltzmann equation and compares the result with the Kubo formula. For a derivation of the Boltzmann equation, read p.319 of Ashcroft and Mermin.

- (a) Consider an electron gas subject to an electron field

$$\vec{E}(\vec{r}, t) = \vec{E}_0 e^{i(\vec{q} \cdot \vec{r} - \omega t)} \quad (1)$$

The Boltzmann equation in the relaxation time approximation is

$$\frac{\partial f}{\partial t} + \frac{\partial f}{\partial \vec{r}} \cdot \vec{v} + \frac{\partial f}{\partial \vec{k}} \cdot (-e) \vec{E} = -\frac{f - f_0}{\tau} \quad (2)$$

where  $f_0$  is the equilibrium distribution

$$f_0(\epsilon) = \frac{1}{e^{\beta\epsilon} + 1} \quad (3)$$

Write

$$f(\vec{r}, \vec{k}, t) = f_0(\epsilon_k) + \Phi(\vec{k}) e^{i\vec{q} \cdot \vec{r} - i\omega t} \quad (4)$$

and work to first order in  $\Phi(\vec{k})$  and  $\vec{E}$ . Show that the conductivity is given by

$$\sigma(\vec{q}, \omega) = \frac{e^2}{4\pi^3} \int d\vec{k} \frac{\tau(\hat{e} \cdot \vec{v})^2}{1 - i\tau(\omega - \vec{q} \cdot \vec{v})} \left( -\frac{\partial f_0}{\partial \epsilon_k} \right) \quad (5)$$

where  $\hat{e}$  is the unit vector in the direction of  $\vec{E}_0$ .

- (b) A simple way to derive the Kubo formula is to compare the energy dissipation rate  $\sigma E_0^2$  with the rate of photon absorption. At finite temperature, we need to include both absorption and emission processes. Show that for free electrons (including spin)

$$\sigma'(q, \omega) = \frac{2e^2}{m^2 V} \sum_{\alpha, \beta} | \langle \beta | e^{i\vec{q} \cdot \vec{r}} \hat{e} \cdot \vec{p} | \alpha \rangle |^2 \frac{(f_0(E_\alpha) - f_0(E_\beta))}{(E_\beta - E_\alpha)/\hbar} \delta(\hbar\omega - (E_\beta - E_\alpha)) \quad (6)$$

Using the Kramers-Kronig relation, show that the complex conductivity is

$$\sigma(\vec{q}, \omega) = \frac{2e^2}{m^2 V} \sum_{\alpha, \beta} \frac{| \langle \beta | e^{i\vec{q} \cdot \vec{r}} \hat{e} \cdot \vec{p} | \alpha \rangle |^2 (-i) (f_0(E_\alpha) - f_0(E_\beta))}{(E_\beta - E_\alpha)\hbar} \frac{1}{(E_\beta - E_\alpha - \hbar\omega - i\eta)} \quad (7)$$

(c) For  $|q| \ll k_F$ , show that Eq.(7) reduces to Eq.(5) under the assumptions that  $|\alpha\rangle, |\beta\rangle$  are plane waves and  $\eta$  is identified with  $\frac{1}{\tau}$ .

2. Equation (5) in Problem 1 is valid for any relation between  $\vec{q}$  and  $\hat{e}$ . In an isotropic material the response can be separated into the longitudinal ( $\vec{q} \parallel \hat{e}$ ) and transverse parts ( $\vec{q} \perp \hat{e}$ ). The latter is appropriate for the propagation of electromagnetic waves.

(a) For  $T \ll \epsilon_F$ , show that the transverse conductivity can be written as an integration over the Fermi surface.

$$\sigma_{\perp}(\vec{q}, \omega) = \frac{\sigma_0}{1 - i\omega\tau} \frac{3}{4} \int_{-1}^1 dx \frac{1 - x^2}{1 + sx} \quad (8)$$

where

$$s = \frac{iqv_F\tau}{1 - i\omega\tau} \quad (9)$$

In Eq.(8)  $\sigma_0 = ne^2\tau/m$  is the DC Boltzmann conductivity and the integration variable  $x$  stands for  $\cos\theta$  in an integration over the Fermi surface.

(b) The integral in Eq.(8) can be done analytically. For our purposes, find the small  $|s|$  and large  $|s|$  limits. The small  $|s|$  limit is the Drude conductivity while the large  $|s|$  limit is called the “extreme anomalous region.” It describes the situation when the electron mean free path  $\ell$  is much greater than the wavelength of light. Note that it is reduced from  $\sigma_0$  by the factor  $1/(q\ell)$ . Produce a simple argument to show that this reduction factor can be understood on the basis of kinetic theory of classical particles. (**Hint:** Consider a low frequency transverse electromagnetic wave. For  $q\ell \ll 1$ , all the electrons can absorb energy from the electric field. However, for  $q\ell \gg 1$ , only a fraction travelling almost parallel to  $\hat{e}$  can do so. The argument was first given by Pippard.)