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8.512 Theory of Solids II  
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## 8.512 Theory of Solids

**Problem Set #1**

**Due: February 12, 2004**

- 1.) (a) Prove the finite temperature version of the fluctuation dissipation theorem

$$\chi''(q, \omega) = \frac{1}{2} (e^{-\beta\omega} - 1) S(q, \omega),$$

and

$$S(q, \omega) = -2(n_B(\omega) + 1)\chi''(q, \omega),$$

where  $S(q, \omega) = \int d\bar{x} dt e^{-i\bar{q}\cdot\bar{x}} e^{i\omega t} \langle \rho(\bar{x}, t) \rho(0, 0) \rangle_T$  and  $n_B(\omega) = (e^{\beta\omega} - 1)^{-1}$  is the Bose occupation factor.

- (b) Show that  $\chi''(q, \omega) = -\chi''(-q, -\omega)$  and  $S(-q, -\omega) = e^{-\beta\omega} S(q, \omega)$ . In terms of the scattering probability, show that this is consistent with detailed balance.

- 2.) Neutron scattering by crystals.

We showed in class that the probability of neutron scattering with momentum  $\vec{k}_i$  to  $\vec{k}_f$  is given by  $(2\pi b / M_n)^2 S(\vec{Q}, \omega)$  where  $b$  is the scattering of the nucleus  $\vec{Q} = \vec{k}_i - \vec{k}_f$  and

$$S(\vec{Q}, \omega) = \int dt e^{i\omega t} F(\vec{Q}, t)$$

where

$$F(\vec{Q}, t) = \sum_{j,e} \left\langle e^{-i\vec{Q}\cdot\vec{r}_j(t)} e^{i\vec{Q}\cdot\vec{r}_j(0)} \right\rangle_T \quad (1)$$

and  $\vec{r}_j(t)$  is the instantaneous nucleus position. Write  $\vec{r}_j = \vec{R}_j + \vec{u}_j$ , where  $\vec{R}_j$  are the lattice sites, and expand  $\vec{u}_j$  in terms of phonon modes

$$\vec{u}_j = \sum_{\alpha} \sum_q \vec{\lambda}_{\alpha} \frac{1}{\sqrt{2NM\omega_q}} \left( a_{\alpha} e^{i(\vec{q}\cdot\vec{R}_j - \omega_q t)} + c.c. \right) \quad (2)$$

where  $\vec{\lambda}_\alpha$  are the polarization vectors and  $\alpha$  labels the transverse and longitudinal modes. Note that only  $\vec{Q} \cdot \vec{u}_j$  appear in Eq. (1). For simplicity, assume the  $\alpha$  modes are degenerate for each  $\vec{q}$  so that we can always choose one mode with  $\vec{\lambda}_\alpha$  parallel to  $\vec{Q}$ . Henceforth we will drop the  $\alpha$  label and  $\vec{\lambda}_\alpha$  and treat  $\vec{Q} \cdot \vec{u}_j$  as scalar products  $Qu_j$ . Then

$$F(\vec{Q}, t) = \sum_{jl} e^{-i\vec{Q} \cdot (\vec{R}_j - \vec{R}_l)} F_{jl}(t)$$

where

$$F_{jl}(t) = \left\langle e^{-iQu_j(t)} e^{iQu_l(0)} \right\rangle_T.$$

a) Show that

$$F_{jl}(t) = \left\langle e^{-iQ(u_j(t) - u_l(0))} \right\rangle_T e^{\frac{1}{2}[Qu_j(t), Qu_l(0)]} \quad (3)$$

Furthermore, for harmonic oscillators, the first factor can be written as

$$\left\langle e^{-iQ(u_j(t) - u_l(0))} \right\rangle_T = e^{-\frac{1}{2}Q^2 \langle (u_j(t) - u_l(0))^2 \rangle_T} \quad (4)$$

b) Using Eqs. (1–4) show that

$$F_{jl}(t) = e^{-2W} \exp \left\{ \frac{Q^2}{2NM} \sum_q \frac{1}{\omega_q} \left( (2n_q + 1) \cos \theta_{jl} + i \sin \theta_{jl} \right) \right\} \quad (5)$$

where the Debye-Waller factor  $2W$  is given by

$$2W = \frac{Q^2}{2NM} \sum_q \frac{1}{\omega_q} (2n_q + 1)$$

and  $n_q = 1 / (e^{\beta\omega_q} - 1)$ ,  $\theta_{jl} = -\omega_q t + \vec{q} \cdot (\vec{R}_j - \vec{R}_l)$ .

- c) Expand the exp factor in Eq. (5) to lowest order and show that ( $V^*$  is the volume of reciprocal lattice unit cell)

$$\begin{aligned}
 S(Q, \omega) = N V^* e^{-2W} & \left\{ \sum_{\vec{G}} \delta(\vec{Q} - \vec{G}) \right. \\
 & + \sum_{\vec{q}} \frac{Q^2}{2N M \omega_q} \left( (n_q + 1) \sum_{\vec{G}} \delta(\vec{Q} - \vec{q} - \vec{G}) \delta(\omega - \omega_q) \right. \\
 & \left. \left. + n_q \sum_{\vec{G}} \delta(\vec{Q} + \vec{q} - \vec{G}) \delta(\omega + \omega_q) \right) \right\} \quad (6)
 \end{aligned}$$

- d) Discuss the interpretation of various terms in Eq. (6) .
- e) Even though we did not compute it explicitly, what experiment would you propose to measure the polarization vector  $\lambda_\alpha$  of a given mode at energy  $\omega_q$ ?