

$P_{\text{orb}}$  are good operators, and diagonalize into ON basis  
 w/ evolves 1 or  $\emptyset$ .  
 QM breaks down at some scale  $> 10^{-33}$  cm, but can use  $P_{\text{orb}}$  for any <sup>current</sup> expts.

Problem: This approach while mathematically correct, introduces unnecessary complications from physical point of view.

Most operators of interest cannot be diagonalized in  $\mathcal{H}$ .

Ex.	Position	$x$	
	momentum	$p = -i\hbar \frac{\partial}{\partial x}$	
	Energy	$H = p^2/2m + V(x)$	(for example)

estates of  $x$   $\rightarrow$   support at a point,  $\int H|\psi|^2 = 0$  except at  $x$ .  
 " "  $p$   $\Rightarrow$   support everywhere,  $\int |\psi|^2 = \infty$

Solution (Dirac): Ignore this problem. Treat all these operators as acceptable and include their eigenvectors formally, even if not in  $\mathcal{H}$ .

[ " " Quote from Von Neumann ]

Dirac's approach:

Replace discrete basis  $|a_i\rangle$  with continuous basis  $|\xi\rangle$ ,  $\xi$  in continuous domain (like  $(-\infty, \infty)$ ).

$$A|a_i\rangle = a_i|a_i\rangle \implies \sum |z\rangle = z|z\rangle$$

$$\langle a_i | a_j \rangle = \delta_{ij} \implies \langle z | z' \rangle = \delta(z - z')$$

$$\sum |a_i\rangle \langle a_i| = \mathbf{1} \implies \int_z dz |z\rangle \langle z| = \mathbf{1}.$$

[ Brief review of Dirac  $\delta$  function ] "distribution"

$$\delta(z) = 0, \text{ when } z \neq 0$$

$$\int_{-\infty}^{\infty} \delta(z) dz = 1, \quad \forall a > 0.$$

Conv. Property:  $\int_{-\infty}^{\infty} \delta(z) f(z) dz = f(0) \quad \text{for smooth } f.$

Can realize  $\delta(z)$  as a limit of smooth functions

$$\text{e.g. } \delta(z) = \lim_{a \rightarrow \infty} \frac{1}{\pi} e^{-az^2}$$

$$= \lim_{\epsilon \rightarrow 0} \frac{1}{\pi} \frac{1}{x^2 + \epsilon^2} = \frac{1}{\pi} \int dk e^{ikx}$$

Generally, can allow operators with partly continuous & partly discrete spectrum.

Example: "position basis"

$$|x|x'\rangle = x'|x'\rangle$$

[ Notation:  $x$  is always operator,  $x', x'' \dots$  are eigenvalues ]

$$\langle x' | x'' \rangle = \delta(x' - x''), \quad \int_{-\infty}^{\infty} |x' x''|^2 dx = 1$$

$|x'\rangle$  is not in  $\mathcal{H}$ , but can still treat as state for most operations; justifiable in terms of appropriate limits.

Note:  $x$  is not measurable to arbitrary precision experimentally.<sup>(non-local)</sup> So not really an observable, but a convenient formal tool.

Working with  $x, p$ :

Write

$$|\psi\rangle = \int dx' |x' \times x'| |\psi\rangle$$

$$= \int dx' |\psi(x')\rangle |x'\rangle$$

$$\text{so } |\psi(x')\rangle = \langle x' | \psi \rangle.$$

The probability that  $a \leq x \leq b$  is given by

$$\int_a^b dx' |\psi(x')|^2 = \int_a^b dx' \langle \psi | x' \times x' | \psi \rangle,$$

$$= \langle \psi | P_{[a,b]} | \psi \rangle.$$

Momentum operator

$$P = -i\hbar \frac{\partial}{\partial x}$$

P is generator of translation

$$e^{iaP/\hbar} f(x) = e^{a\hbar \partial_x} f(x) = f(x+a).$$

Commutation relation  $[x, p] = i\hbar$

(recall  $[A, B] = \frac{1}{i\hbar} \text{Imag. for finite dim}$ )

[Related to  $\{x, p\} = 1$  through classical-quantum correspondence,  
foundation of "matrix mechanics" of Bohr, Jordan, etc...  
(more later)]

From general uncertainty relation,

$$\langle \Delta x^2 \rangle \langle \Delta p^2 \rangle \geq \hbar^2 / 4.$$

S wavefunctions can't be localized in  $x$  and in  $p$ .

Momentum basis

~~Effect of Fourier transforms in wave functions~~

Construct a basis of states with

$$|p' p''\rangle = |p'| |p''\rangle.$$

know  $-i \partial/\partial x' \langle x' | p' \rangle = p' \langle x' | p' \rangle$

so  $\langle x' | p' \rangle = N e^{ip' x' / \hbar}$

want  $\langle p' | p'' \rangle = \delta(p' - p'')$

so  $\int dx' \langle p' | x' \times x' | p'' \rangle = \int dx' |N|^2 e^{ix'(p'' - p') / \hbar}$   
 $= |N|^2 \cdot 2\pi\hbar \cdot \delta(p' - p'')$

so  $|N|^2 = \frac{1}{2\pi\hbar}$ ,

$\langle x' | p' \rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{ip' x' / \hbar}.$

Completeness for momentum states

$$\begin{aligned} & \int dp' |p' \times p'| \\ &= \int dx' dx'' dp' |x'\rangle \langle x'| p' \times p'' |x''\rangle \langle x''| \\ &= \int dx' dx'' dp' |x'\rangle \frac{1}{2\pi\hbar} e^{ip'(x''-x')/\hbar} \langle x''| \\ &= \int dx' |x' \times x'| = 1. \end{aligned}$$

Fourier transforms

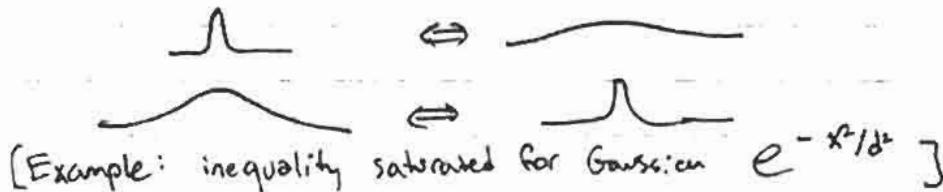
$$\begin{aligned} |\psi\rangle &= \int dp' |p' \times p| \psi\rangle \\ &= \int dp' \phi(p') |p'\rangle \end{aligned}$$

$$\begin{aligned} \phi(p') &= \langle p' | \psi \rangle \\ &= \int dx' \langle p' | x' \rangle \langle x' | \psi \rangle \\ &= \frac{1}{\sqrt{2\pi\hbar}} \int dx' e^{-ip'x'/\hbar} \psi(x) \end{aligned}$$

similarly

$$\psi(x') = \frac{1}{\sqrt{2\pi\hbar}} \int dp' e^{ip'x'/\hbar} \phi(p')$$

Uncertainty principle  $\langle \Delta x^2 \times \Delta p^2 \rangle \geq \hbar^2/4$  relates width of wavefunction  $\psi(x')$  and Fourier transform  $\phi(p')$



Generalize to 3D

$$\mathcal{H} = \mathcal{H}^{(x)} \otimes \mathcal{H}^{(y)} \otimes \mathcal{H}^{(z)}$$

$$|\psi\rangle = \int dx dy dz \psi(x, y, z) |x, y, z\rangle$$

$|x, y, z\rangle = |x\rangle \otimes |y\rangle \otimes |z\rangle$  is basis for  $\mathcal{H}$

Translation group

$$T(\vec{a}) |\vec{x}\rangle = |\vec{x} + \vec{a}\rangle, \quad \vec{a} \in \mathbb{R}^3.$$

$\mathbb{R}^3$  forms a group under addition  $\vec{a} + \vec{b}$ .  
(closed, associative, identity  $\vec{0}$ , inverse  $-\vec{a}$ ).

A representation of a group  $G$  on  $\mathcal{H}$  is a map  $R$  from  $G$  to linear operators on  $\mathcal{H}$  so that  $R(\text{Identity}) = \mathbf{1}$ ,  $R(ab) = R(a)R(b)$ .

$T$  is a unitary representation of the 3D translation group on  $\mathcal{H}$ .

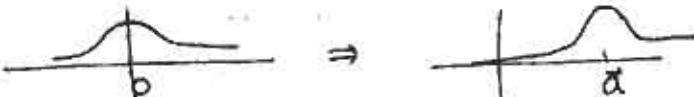
$$T^*(\vec{a}) = T^{-1}(\vec{a}) = T(-\vec{a})$$

$$T(\vec{a} + \vec{b}) = T(\vec{a})T(\vec{b})$$

$$T(\vec{0}) = \mathbf{1}$$

Realization:  $T(\vec{a}) = e^{-i\vec{a} \cdot \vec{p}/\hbar}$ .  $[p_i, p_j] = 0 \Rightarrow T(\vec{a})T(\vec{b}) = T(\vec{b})T(\vec{a})$

Active picture:



Passive picture:

$$\psi(x) \Rightarrow \psi(x - \vec{a})$$

(peak at  $x'$ )

$$\begin{aligned} T(\vec{a}) \int \psi(x') |x'\rangle dx' &= \int \psi(x') |x'+\vec{a}\rangle dx' \\ &= \int \psi(x'' - \vec{a}) |x''\rangle dx'' \end{aligned}$$

## 1.5 Eigenvalue problems

For finite-dimensional  $\mathcal{H}$ , operators are matrices.

For  $\infty$ -dimensional  $\mathcal{H}$ , have operators like  $H = H(x, p)$ .

### Fundamental problem:

$$\text{Solve } H|\psi\rangle = \lambda|\psi\rangle$$

- 1) Find spectrum of eigenvalues  $\lambda_n$  [discrete + cont spectrum]
- 2) Find eigenstates  $|\psi_n\rangle$

Sometimes have simpler problem:

- 1b) Find smallest eigenvalue  $\lambda_0$
- 2b) Find associated eigenstate  $|\psi_0\rangle$  ("ground state" for  $H$ )

How to solve?

For finite-dimensional systems,

$$\det(H - \lambda I) = 0 \quad \text{degree } N \text{ polynomial.}$$

$\lambda_0, \dots, \lambda_{N-1}$  are roots.

Solve  $H|\psi\rangle = \lambda|\psi\rangle$  by linear algebra.

Difficult for large matrices.

Trick: For matrix  $H$ , with all  $\lambda > 0$ , can get largest  $\lambda_{\max}$  by looking at

$$H^n |V\rangle \xrightarrow{n \rightarrow \infty} \lambda_{\max}^n |X| V_{\max} |V\rangle + \text{small terms.}$$

for large  $n$ , generic  $|V\rangle$ . Fit to linear form:  $\ln C^n = n \ln \lambda + \text{bias.}$

To get  $\lambda_0$ , take  $\hat{H} = X^{-1} H$  for large  $X$ .

How about when  $\dim \mathcal{H} = \infty$ ?

$\det(H - \lambda I)$  not a polynomial.

Must solve differential equation.

For example, in 1D:

$$H = \frac{p^2}{2m} + V(x)$$

$$H |\Psi\rangle = E |\Psi\rangle$$

$$\Rightarrow -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \Psi(x) + V(x) \Psi(x) = E \Psi(x).$$

Need to find values of  $E$ , solutions.

Many methods exist.

[some appropriate for large  $D$ , some for small  $D$ .]

Example: Simple Harmonic Oscillator (SHO)

$$H = \frac{P^2}{2m} + \frac{m\omega^2}{2} X^2$$

Want to solve equation of form

$$-\psi''(x) + x^2 \psi(x) = E \psi(x)$$

For simple diff eqs like this: can find (or look up) analytic solutions

Solution by operator method

(basic idea:  $a^2 + b^2 = (a+ib)(a-ib)$ )

Define  $a = \sqrt{\frac{m\omega}{2\hbar}} (X + \frac{iP}{m\omega})$

$$a^+ = \sqrt{\frac{m\omega}{2\hbar}} (X - \frac{iP}{m\omega})$$

so

$$\begin{aligned} a^+ a &= \frac{m\omega}{2\hbar} X^2 + \frac{P^2}{2\hbar m\omega} + \frac{i}{2\hbar} [X, P] \\ &= \frac{H}{\hbar\omega} - \frac{1}{2} \end{aligned}$$

similarly

$$a a^+ = \frac{H}{\hbar\omega} + \frac{1}{2}$$

so

$$\boxed{[a, a^+] = 1}$$

Writing  $N = a^+ a$ ,

$$\boxed{H = \hbar\omega (N + \frac{1}{2})}$$

Define  $|0\rangle$  by  $a|0\rangle = 0$ .

State is unique

$$(x' + \frac{\hbar}{m\omega} \frac{d}{dx'}) \psi_0(x) = 0$$

$$\Rightarrow \psi_0(x) = \langle x' | 0 \rangle = C e^{-\frac{m\omega}{2\hbar} x^2}$$

$$\text{for } \langle 0 | 0 \rangle = 1, C = \sqrt[4]{\frac{m\omega}{\hbar}} \pi^{-1/4}.$$

So

$$a|0\rangle = 0$$

$$\Rightarrow N|0\rangle = a^+ a|0\rangle = 0.$$

Now, if  $N|n\rangle = n|n\rangle$

$$N(a^+|n\rangle) = a^+ a a^+ |n\rangle$$

$$= (a^+ a^+ a + a^+) |n\rangle$$

$$= (n+1) a^+ |n\rangle$$

$$(\text{equivalently } [N, a^+] = a^+).$$

So we have a tower of states

$$|0\rangle$$

$$|1\rangle = c_1 a^+ |0\rangle$$

$$|2\rangle = c_2 (a^+)^2 |0\rangle$$

:

$$\text{with } N|n\rangle = n|n\rangle$$

If  $\langle n|n \rangle = 1$ ,

$$\langle n|a^+|n\rangle = \langle n|(N+1)|n\rangle = n+1,$$

$$\text{so } |n+1\rangle = \frac{1}{\sqrt{n+1}} a^+ |n\rangle \text{ gives } \langle n+1|n+1\rangle = 1.$$

Gives normalized states by induction.

Generally,  $|n\rangle = \frac{(a^+)^n}{\sqrt{n!}} |0\rangle$ .

$$\boxed{a^+ |n\rangle = \sqrt{n+1} |n+1\rangle}$$

$$\boxed{a |n\rangle = \sqrt{n} |n-1\rangle}$$

and  $\langle n|n' \rangle = \delta_{n,n'}$ .

Energy of  $n^{\text{th}}$  state:

$$H|n\rangle = E_n |n\rangle$$

$$\boxed{E_n = \hbar\omega(n + 1/2)}$$

$$\begin{aligned} E_0 &= \hbar\omega/2 \\ E_1 &= 3\hbar\omega/2 \\ E_2 &= 5\hbar\omega/2 \\ &\vdots \end{aligned}$$

Can there be other eigenstates?

$|\tilde{n}\rangle$ ,  $\tilde{n}$  integer,  $|n\rangle \neq |\tilde{n}\rangle$ ?

no, since  $a|\tilde{n}\rangle = \sqrt{\tilde{n}} |\tilde{n-1}\rangle$

$$a^+ |\tilde{n}-1\rangle = |\tilde{n}\rangle, \text{ but } |0\rangle \text{ unique.}$$

$|\alpha\rangle$ ,  $\alpha$  non-integer? no, since

$$a^k |\alpha\rangle \sim |\alpha - k\rangle, \quad \alpha - k < 0$$

$$\text{but } \langle (\alpha - k) | a^k | \alpha \rangle = \alpha - k \cancel{=} \geq 0.$$

Upshot:  $|n\rangle$  form a complete on basis for  $\mathcal{H} = \mathcal{L}^2(\mathbb{R})$

All operators can be expressed as (infinite) matrices  
wrt this countable on basis

$$\begin{aligned}\langle n' | x | n \rangle &= \langle n' | \sqrt{\frac{\hbar}{2m\omega}} (a + a^\dagger) | n \rangle \\ &= \sqrt{\frac{\hbar}{2m\omega}} \left[ \delta_{n,n'+\sqrt{n}} + \delta_{n+1,n'} \sqrt{n'} \right] \\ &\quad - \left( \sqrt{\frac{\hbar}{2m\omega}} \begin{bmatrix} 0 & 1 & 0 & 0 & \dots \\ 0 & 0 & 2 & 0 & \dots \\ 0 & 0 & 0 & 3 & \dots \\ 0 & 0 & 0 & 0 & \dots \end{bmatrix} \right)\end{aligned}$$

similarly

$$\begin{aligned}\langle n' | p | n \rangle &= i \sqrt{\frac{m\hbar\omega}{2}} \left[ -\delta_{n,n'+\sqrt{n}} + \delta_{n+1,n'} \sqrt{n'} \right] \\ &\quad \left( i \sqrt{\frac{m\hbar\omega}{2}} \begin{bmatrix} 0 & -1 & 0 & 0 & \dots \\ 0 & 0 & -2 & 0 & \dots \\ 0 & 0 & 0 & -3 & \dots \\ 0 & 0 & 0 & 0 & \dots \end{bmatrix} \right) \text{ check: } [x,p] = i\hbar\end{aligned}$$

Can calculate position basis for all states

$$\langle x' | n \rangle = \left( \frac{1}{\pi^{1/4} \sqrt{2^n n!}} \right) \left( \frac{m\omega}{\hbar} \right)^{n+1/2} \left( x' - \frac{\hbar}{m\omega} \frac{d}{dx} \right)^n e^{-\frac{m\omega}{2\hbar} x'^2}$$

- Hermite polynomials  $\times \psi_n(x)$

[Homework: write  $|k\rangle$  in  $|n\rangle$  basis as "squeezed state"  
 $e^{\alpha + \beta a^\dagger + \gamma a^2} |0\rangle$ ]

Useful exercise: show in state  $|n\rangle$

$$\langle \Delta x^2 \rangle \langle \Delta p^2 \rangle = (n + 1/2)^2 \hbar^2.$$

Today: More on solving Eigenvalue problems

Many ways to solve diff. eq's — focus on those giving physical insight.  
 Only some can be solved exactly  
 - Today: some approximate methods for low-dimensional systems  
 [next week: quantum mechanics]

Symmetry:

A key principle is to exploit any available symmetry.

Unitary representation  $\mathcal{U}$  of gp.  $G$  on  $\mathcal{H}$ :

$$\begin{aligned}\mathcal{U}(g) &\text{ is a linear op. on } \mathcal{H} \quad \forall g \in G. \\ \mathcal{U}(gh) &= \mathcal{U}(g)\mathcal{U}(h) \\ \mathcal{U}^*(g) &= \mathcal{U}(g^{-1}) \\ \mathcal{U}(id) &= \mathbb{1}\end{aligned}$$

If  $H = \mathcal{U}^*(g) H \mathcal{U}(g)$   $\forall g \in G$   
 then  $G$  is a group of symmetries of the physical system.

$$\text{If } H|\psi\rangle = E|\psi\rangle$$

$$\begin{aligned}\text{then } H\mathcal{U}(g)|\psi\rangle &= \mathcal{U}(g)(\mathcal{U}^*(g)H\mathcal{U}(g))|\psi\rangle \\ &= E\mathcal{U}(g)|\psi\rangle.\end{aligned}$$

so  $\mathcal{U}|\psi\rangle$  has same energy as  $|\psi\rangle$ .

Example:  $\mathbb{Z}_2$  parity symmetry

Group  $\mathbb{Z}_2$  has 2 elements: 1, a.  
 mult. rule  $a^2 = 1$ .

Representation of parity  $\mathbb{Z}_2$  on  $\mathcal{H}$  for single particle:

Parity operator  $\Pi = \mathbb{B}\pi(a)$

$$\Pi |x\rangle = | -x \rangle \quad (\text{note: phase is convention})$$

$$\Pi^2 = \mathbb{1}.$$

Theorem: If  $[\Pi, H] = 0$  ( $\Pi H \Pi = H$ )

then when  $H|\psi_n\rangle = E_n |\psi_n\rangle$ ,  $E_n$  nondegenerate,

then  $\psi_n(x) = \pm \psi_n(-x)$ . (parity even / odd)

PF. can choose  $\psi_n(x)$  phase to be real,  
 $\Pi |\psi_n\rangle = \pm |\psi_n\rangle$ .

(Euler-Cross)

"Shooting method" for solving 1D problems

$$\left( \frac{P^2}{2m} + V(x) \right) |\psi\rangle = E |\psi\rangle,$$

where  $V(x) = V(-x)$  (even potential)

Even states:  
 $(\psi(x) = \psi(-x))$



Fix  $E$ , solve  $\psi''(x) = \frac{2m}{\hbar^2} [V(x) - E] \psi(x)$   
 with initial conditions

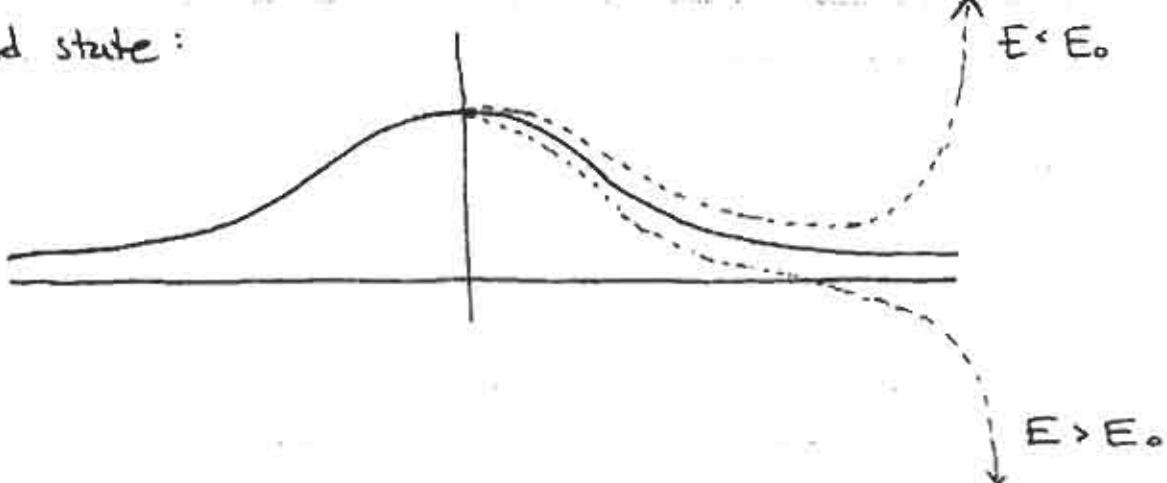
$$\psi(0) = 1, \quad \psi'(0) = 0$$

Naive Newton algorithm:

$$\begin{aligned}\psi^{(0)}(x + \Delta x) &= \psi^{(0)}(x) + \Delta x \psi''(x) \\ \psi^{(0)}(x + \Delta x) &= \psi^{(0)}(x) + \Delta x \frac{2m}{\hbar^2} (V(x + \Delta x) - E) \psi^{(0)}(x)\end{aligned}$$

[Can use Runge-Kutta, etc... to be more exact]

Ground state:



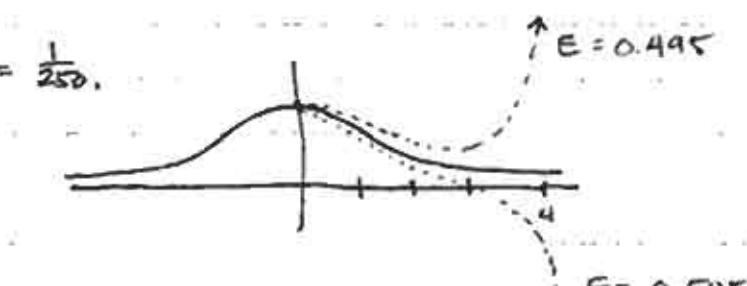
Can triangulate quickly on  $E_0$ .  
Increased accuracy as  $\Delta x \rightarrow 0$ .

Ex SMO

$$-\frac{1}{2} \psi'' + \left(\frac{1}{2} x^2 - E\right) \psi = 0$$

$(\hbar = m = \omega = 1)$

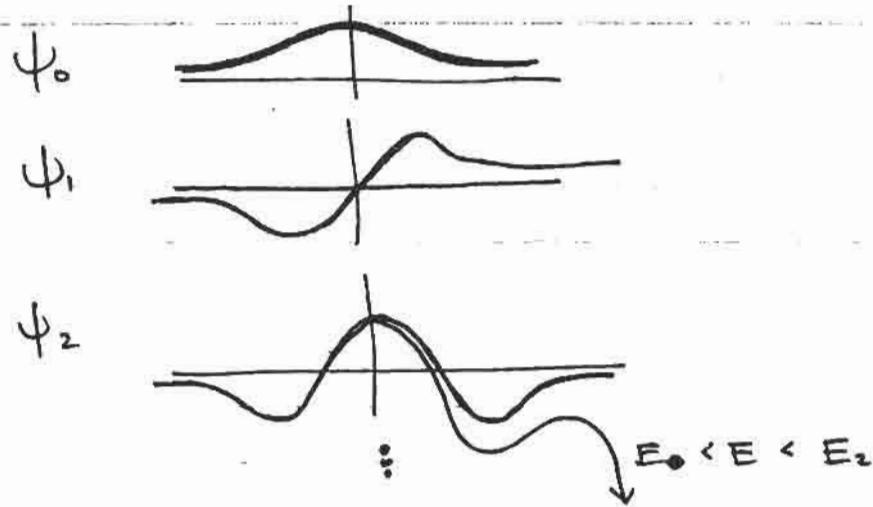
with  $\Delta x = \frac{1}{250}$ .



1000 steps  $\rightarrow$  within 1% of  $E_0$ .

Similar story for  $n^{\text{th}}$  excited state.

Can show:  $n^{\text{th}}$  excited state has  $n$   $\phi$ 's.



Shooting method works well in 1D, not in higher dimensions.

### Variational method (Rayleigh - Ritz)

#### Basic theorem:

define  $\bar{H} = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$  for any  $|\psi\rangle \in \mathcal{H}$ .

If  $E_0$  is the ground state energy then  $\bar{H} \geq E_0$ .

#### Proof:

Suffices to show when  $\langle \psi | \psi \rangle = 1$ .

Write  $|\psi\rangle = \sum c_n |\pi\rangle$ ,  $H|\pi\rangle = E_n |\pi\rangle$   
( $\sum |c_n|^2 = 1$ ) (note: not SHS basis necessarily)

$$\begin{aligned}\langle \psi | H | \psi \rangle &= \sum E_n |c_n|^2 \\ &= E_0 + \sum (E_n - E_0) |c_n|^2 \geq E_0.\end{aligned}$$

Variational method for finding upper bound on  $E_0$ :

A) Define a multi-parameter space of "trial functions"  
 $|\Psi(\lambda_1, \lambda_2, \dots, \lambda_k)\rangle$

B) Calculate  $\bar{H}(\lambda_1, \lambda_2, \dots, \lambda_k)$

C) Minimize  $\bar{H}$  by solving  $\frac{\partial \bar{H}}{\partial \lambda_i} = 0 \quad i=1, \dots, k$ .

Can often get very good approx. to  $E_0$  with a few parameters

Helpful to use physical intuition to pick states.

Ex of variational method (others in book: pp. 313-316)

Consider SHO

$$H = \frac{1}{2} p^2 + 2x^2 \quad [k=m=1, \omega=2]$$

Use linear combination of  $\omega=1$  eigenstates  $|n\rangle$  as trial function:

$$|\Psi\rangle = \sum C_n |n\rangle, \quad \sum |C_n|^2 = 1.$$

$$\langle n | H | m \rangle = \langle n | [N + 1]_2 + \frac{3}{2} x^2 | m \rangle$$

$$= \frac{5}{2} (n + 1)_2 \delta_{n,m} + \frac{3}{4} \sqrt{m(m-1)} \delta_{m,n+2} \\ + \frac{3}{4} \sqrt{n(n-1)} \delta_{n,m-2}$$

In even sector, including  $|0\rangle, |2\rangle, |4\rangle$ , for example:

$$H = \begin{pmatrix} 5/4 & \frac{3}{2\sqrt{2}} & 0 \\ \frac{3}{2\sqrt{2}} & 25/4 & \frac{3\pi}{2} \\ 0 & \frac{3\sqrt{3}}{2} & 45/4 \end{pmatrix}$$

Exact energy:  $E_0 = \frac{\omega}{2} = 1.$

Keeping:

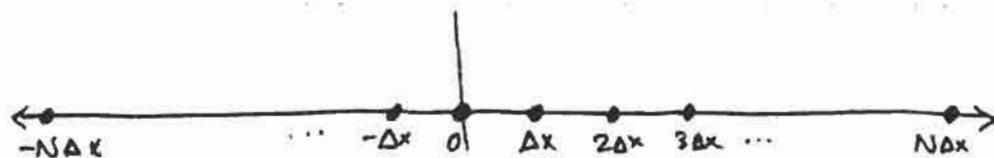
1	state:	$E_{mn} = 5/4 = 1.25$
2	states:	$E_{mn} \approx 1.0343$
3	states:	$E_{mn} \approx 1.00471$
4	"	$E_{mn} \approx 1.000615$
5	"	$E_{mn} \approx 1.0000773$
		!

Converges rapidly.



Compare with simple numerical finite difference method

Divide space into gridpoints (1D example) easy to generalize to higher D)



Sample wavefunction at gridpoints  $\psi(k\Delta x)$ ,  $-N \leq k \leq N$ .

(Assume  $\psi=0$  for  $|k| > N$ ).

$V(x)$  is diagonal matrix

~~$$\frac{\partial^2 f}{\partial x^2} \rightarrow \frac{1}{\Delta x} (f((k+1/2)\Delta x) - f((k-1/2)\Delta x))$$~~

$$\frac{\partial^2 f}{\partial x^2} \rightarrow \frac{1}{\Delta x} (f((k+1)\Delta x) - f((k-1)\Delta x))$$

$\frac{\partial^2}{\partial x^2}$  is tridiagonal matrix (in 1D)  
(pentadiagonal in 2D, etc)

$$D_{kk'} = \begin{cases} \frac{2}{\Delta x^2}, & k=k' \\ -\frac{1}{\Delta x^2}, & |k-k'|=1 \\ 0, & \text{otherwise} \end{cases}$$

Ex. 1D SHO  $H = \frac{1}{2} p^2 + \frac{1}{2} x^2$  ( $h=m=\omega=1$ )

$$H = \begin{pmatrix} 0 & & & & & & & & \\ \frac{1}{2\Delta x^2} + 2\Delta x^2 & -\frac{1}{2\Delta x^2} & 0 & . & . & . & - & & \\ -\frac{1}{2\Delta x^2} & \frac{1}{\Delta x^2} + \frac{1}{2}\Delta x^2 & -\frac{1}{2}\Delta x^2 & 0 & . & . & - & & \\ 0 & -\frac{1}{2\Delta x^2} & \frac{1}{\Delta x^2} & -\frac{1}{2\Delta x^2} & 0 & . & - & & \\ & & -\frac{1}{2\Delta x^2} & \frac{1}{\Delta x^2} + \frac{1}{2}\Delta x^2 & -\frac{1}{2\Delta x^2} & 0 & . & & \\ & & & 0 & -\frac{1}{2\Delta x^2} & \frac{1}{\Delta x^2} + 2\Delta x^2 & -\frac{1}{2\Delta x^2} & & \\ & & & & 0 & - & & & \ddots \end{pmatrix}$$

Sample results

<u><math>\Delta x</math></u>	<u><math>N \Delta x</math></u>	<u><math>2N+1</math></u>	<u><math>E_{min}</math></u>	<u><math>E_{(2)}</math></u>
0.5	1	5	0.674	2.304
0.2	2	21	0.517	1.635
0.1	5	101	0.4997	1.4984
note:				
[ 0.05	5	201	0.49992	1.4996 ]
[ 0.1	10	201	0.4997	1.4984 ]

- Useful to sample points more coarsely when  $\omega t$  is large
- Generally, variational method much more efficient.

Some other approximation methods:

- Bohr - Sommerfeld

$$\oint pdq = nh$$

- WKB (will discuss in later lecture)

- Pert. theory (later in course)

- Quantum Monte Carlo (next week)

[Good for realistic, high-dimensional systems]

Quantum Monte Carlo method

Want to solve  $H\psi = E\psi$  for  $\psi$  in high-dim space.

consider diff. equation

$$\frac{\partial \psi(\tau)}{\partial \tau} = -H\psi(\tau) \quad (\text{Im time schrödinger eqn})$$

if  $H\psi(0) = E_0 \psi(0)$

$$\psi(\tau) = e^{-E\tau} \psi(0)$$

$$\text{if } |\psi\rangle = \sum C_n | \psi_n \rangle \quad H|\psi_n\rangle = E_n |\psi_n\rangle$$

$$\psi(\tau) = \sum C_n(\tau) |\psi_n\rangle \quad C_n(\tau) = C_n(0) e^{-E_n \tau}$$

~~at first~~ after ground state dominates.  $\psi(\tau) \rightarrow C_0(0) e^{-E_0 \tau} |\psi_0\rangle$

So want to simulate

$$\frac{\partial \psi}{\partial t} = \frac{\hbar^2}{2m} \frac{\partial^2 \psi(x, t)}{\partial x^2} - V(x) \psi(x, t)$$

In high dim., can't do finite difference-type methods

~~at~~  $N^2$  large ( $10^{12}$ )  
for  $10^x \cdots \times 10^y$  in Helium

If  $V=0$ , just diffusion equation

$$\frac{\partial \psi}{\partial t} = \frac{k^2}{2m} \frac{\partial^2 \psi}{\partial x^2}.$$

Can solve by random walks.

Assume  $\psi(x, 0)$  is a prob. distribution. ( $\geq 0$  everywhere)

$$\psi(x, \Delta t) = \int K(y) \psi(x-y, 0) \quad \text{each particle moves from } x \text{ to } x+y \text{ with prob } K(y)$$

describes a step of a random walk.  $\int K(y) dy = 1$

$K(y)$  = prob. step from  $x-y$  to  $x$

$$\int K(y) = 1$$

$$\int K(y)y = 0$$

$$\int K(y)\frac{1}{2}y^2 = \alpha$$



$$\begin{aligned} \psi(x, \Delta t) &= \int K(y) \psi(x, 0) \\ &\quad - \int K(y) y \frac{\partial \psi}{\partial x}(x, 0) \\ &\quad + \frac{1}{2} \int K(y) y^2 \frac{\partial^2 \psi}{\partial x^2}(x, 0) + \dots \end{aligned}$$

$$\Rightarrow \text{diffn eqn} \quad = \psi(x, 0) + \alpha \frac{\partial^2 \psi}{\partial x^2}(x, 0)$$

~~Diffusion~~ ~~diffusion~~

scale  $\Delta T \sim \varepsilon$

$\propto \frac{h^2}{2m\varepsilon}$ , higher order terms negligible

$$\frac{\partial \psi}{\partial T} \approx \frac{h^2}{2m} \frac{\partial^2 \psi}{\partial x^2}$$

For example,  $K(y) = \frac{1}{2}(\delta(y - \sqrt{2\varepsilon}) + \delta(y + \sqrt{2\varepsilon}))$

$\downarrow \downarrow$  prob.  $\frac{1}{2} x \rightarrow x \pm \sqrt{2\varepsilon}$

$$\int K = 1 \quad \frac{1}{2} \int K y^2 = \varepsilon$$

prob. distribution

under random walk  $\Rightarrow \frac{\partial \psi}{\partial T} = \frac{\partial^2 \psi}{\partial x^2}$ .

[note error in GT:  
 $\frac{\partial \psi}{\partial T} = D \frac{\partial^2 \psi}{\partial x^2}$   
 $D = \frac{1}{2} \frac{\partial x^2}{\partial T}$ ]

so, to solve diff. eqn., start w/ distribution of "random walkers", w/ distrib given by  $\psi(x, 0)$ . perform random walks  $K_\varepsilon$  for  $T/\varepsilon$  steps, get soln  $\psi(x, T)$  w/ correct distribution.

Adding source terms

$$(K) \quad \frac{\partial \psi}{\partial t} = \frac{\hbar^2}{2m} \frac{\partial^2 \psi(x, \tau)}{\partial x^2} - V(x) \psi(x, \tau)$$

If only had  $V$ , just treat as  
 $\sim$  radioactive decay  $\rightarrow$  exponential birth/death.

At each time step:

- if  $V > 0$ , prob.  $V \Delta \tau$  particle "dies"
- if  $V < 0$ , prob.  $V \Delta \tau$  particle doubles.

Naive Algorithm to solve (K)

1. Place  $N$  walkers in space

2. randomly move according to pdist  $K(y)$

3. Kill / double walker based on  $V(x)$  at new position.

Problem: even ground state decays as

$$\psi(x, \tau) \sim e^{-E_0 \tau} \psi_0(x)$$

Solution: use reference energy.

Related problem: how to extract  $E_0$ ?

Difficult to fit  ~~$e^{-E_0 T}$~~  using prob. distribu-

$$\text{But } E_0 = \langle V \rangle = \frac{\sum_{i=1}^N V(x_i)}{N}$$

$$\text{PF. } \frac{\partial \psi(x, \tau)}{\partial \tau} = \frac{\hbar^2}{2m} \frac{\partial^2 \psi(x, \tau)}{\partial x^2} - V(x) \psi(x, \tau)$$

$$\Rightarrow \int \frac{\partial \psi}{\partial \tau} dx = - \int V(x) \psi(x, \tau) dx$$

but asymptotically  ~~$\psi$~~   $\psi \rightarrow c_0 \phi_0(x) e^{-E_0 \tau}$

$$\frac{\partial \psi}{\partial \tau} = - E_0 \psi$$

$$\Rightarrow -E_0 \int \psi dx = - \int V(x) \psi(x, \tau) dx$$

$$\Rightarrow E_0 = \frac{\int V(x) \psi(x, \tau) dx}{\int \psi(x, \tau) dx} \quad \text{as } \tau \rightarrow \infty.$$

## Improved algorithm

1 Place  $N_0$  walkers in space randomly & positions  $\mathbf{x}$ :

2 Compute  $V_{\text{ref}} = \frac{1}{N_0} \sum V(\mathbf{x}_i)$

3. Random walk with kernel  $K_C$  for each walker

4. For each walker  
 Compute  $\Delta V = [V(\mathbf{x}) - V_{\text{ref}}] \Delta \tau$ , random  $r \in [0, 1]$   
 if  $\Delta V > 0$ ,  $r < \Delta V$ , remove  
 if  $\Delta V < 0$ ,  $r < -\Delta V$ , add a new walker.

5. Change to new  $V_{\text{ref}} = \langle V \rangle - \frac{1}{N_0} (N - N_0) \Delta \tau$

repeat 3-5 many times,  
 asymptotically,  $V_{\text{ref}} \sim$  ground state energy.

Even better : use initial guess (importance sampling)

$\Rightarrow$  Fokker-Planck eqn (drift term)