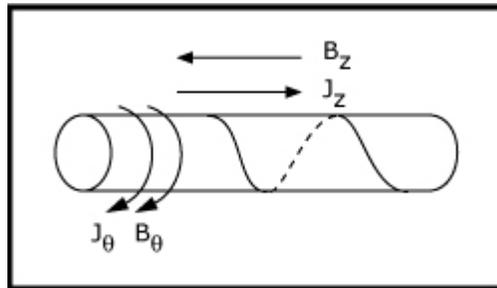


**Lecture 5: The Screw Pinch and the Grad-Shafranov Equation**

**Screw Pinch Equilibria**

1. A hybrid combination of Z pinch and  $\theta$  pinch



2. This combination of fields allows the flexibility to optimize configurations with respect to toroidal force balance and stability.
3. Field components:  $B = B_\theta(r)e_\theta + B_z(r)e_z$ ,  $p = p(r)$

a.  $\nabla \cdot B = 0$

$$\frac{1}{r} \frac{\partial B_\theta}{\partial \theta} + \frac{\partial B_z}{\partial z} = 0 \quad \text{automatically satisfied}$$

b.  $\mu_0 J = \nabla \times B = -B'_z e_\theta + \left[ (rB_\theta)' / r \right] e_z$

c.  $J \times B = \nabla p$

$$\nabla p = p' e_r$$

$$J \times B = (J_\theta B_z - J_z B_\theta) e_r$$

$$= -\frac{1}{\mu_0} \left[ \frac{B_\theta}{r} (rB_\theta)' + B_z B'_z \right]$$

Even though the equations are nonlinear, the forces superpose because of symmetry

$$\frac{d}{dr} \left( p + \frac{B_z^2 + B_\theta^2}{2\mu_0} \right) + \frac{B_\theta^2}{\mu_0 r} = 0 \quad \text{screw pinch pressure balance relation}$$

4. The screw pinch has many properties of more realistic, multidimensional toroidal configurations

- There are two free functions, say  $B_\theta(r), B_z(r)$ . (The  $\theta$  pinch, Z pinch are special degenerate cases)
- The constant pressure contours  $p(r) = \text{constant}$  are circles  $r = \text{constant}$ . The flux surfaces are closed, nested, concentric circles.
- $\beta$  can be varied over a wide range if  $B_z(0) \neq 0$
- The magnetic lines wrap around the plasma giving a nonzero rotational transform

### General Equilibrium Relation for 1-D Configurations

- This is a useful relation for defining  $\beta$

$$\frac{d}{dr} \left( p + \frac{B_\theta^2 + B_z^2}{2\mu_0} \right) + \frac{B_\theta^2}{\mu_0 r} = 0 > \int 2\pi r^2 dr$$

$$\begin{aligned} 2. \quad T_1 &= 2\pi \int dr \, r^2 \left( p + \frac{B_z^2}{2\mu_0} \right)' = -4\pi \int r dr \left( p + \frac{B_z^2}{2\mu_0} \right) + 2\pi r^2 \left( p + \frac{B_z^2}{2\mu_0} \right) \Big|_0^a \\ &= 2\pi a^2 \left( \frac{B_0^2}{2\mu_0} \right) = -4\pi \int r dr \left( p + \frac{B_z^2}{2\mu_0} \right) = -4\pi \int r dr p + 4\pi \int r dr \frac{(B_0^2 - B_z^2)}{2\mu_0} \end{aligned}$$

$$\begin{aligned} 3. \quad T_2 &= \frac{2\pi}{\mu_0} \int dr \, r^2 \frac{B_\theta}{r} (rB_\theta)' = \frac{2\pi}{\mu_0} \int dr \left[ \frac{(r^2 B_\theta^2)}{2} \right]' = \frac{\pi r^2 B_\theta^2}{\mu_0} \Big|_0^a \\ &= \frac{\pi a^2}{\mu_0} \left( \frac{\mu_0 I}{2\pi a} \right)^2 = \frac{\mu_0 I^2}{4\pi} \end{aligned}$$

- The general equilibrium relation is given by

$$2\pi \int p r dr = \frac{\mu_0 I^2}{8\pi} + 2\pi \int \frac{B_0^2 - B_z^2}{2\mu_0} r \, dr$$

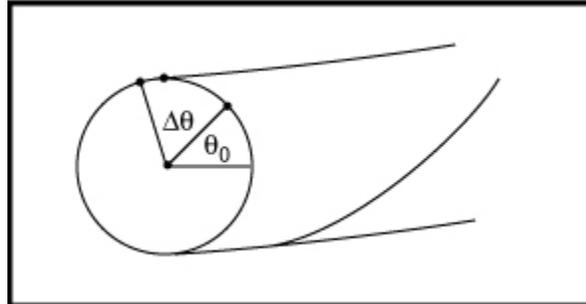
plasma energy line density    poloidal tension    toroidal diamagnetism

- This suggests the following cylindrical definitions

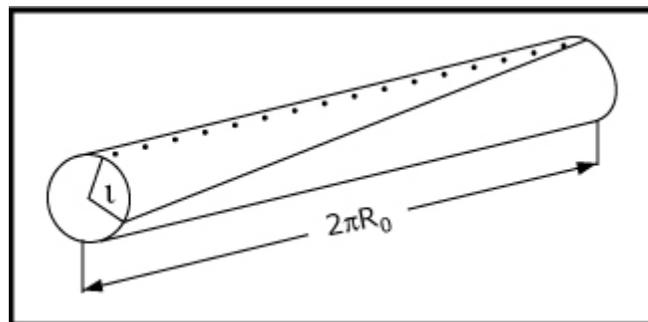
$$\beta_p = \frac{16\pi^2 \int p r \, dr}{\mu_0 I^2} \quad \text{poloidal } \beta$$

$$\beta_T = \frac{4\mu_0 \int p r dr}{a^2 B_0^2} \quad \text{toroidal } \beta$$

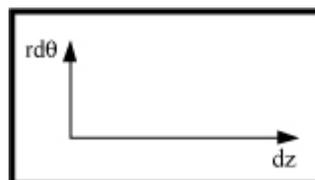
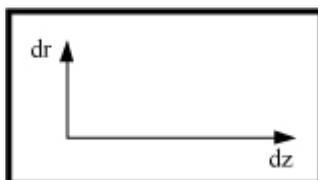
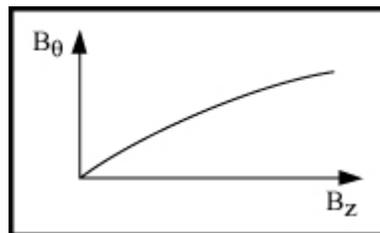
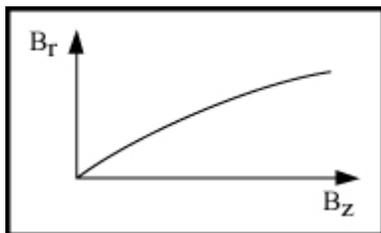
### Calculate the Rotational Transform



1. Note that  $\Delta\theta$  is independent of  $\theta_0$  because of cylindrical symmetry.
2. The average value of  $\Delta\theta$  is just the change  $\theta$  as the magnetic line moves one length along the torus



3. Calculate the field line trajectories



$$\frac{dr}{dz} = \frac{B_r}{B_z} = 0 \quad (1)$$

$$\frac{d\theta}{dz} = \frac{B_\theta}{rB_z} \quad (2)$$

4. Equation (1) implies that  $r(z) = \text{const}$ . The magnetic lines lie on circles. This is not surprising since the  $p(r) = \text{const}$ . surfaces are circles.

5. Solve Eq. (2)

$$\frac{d\theta}{dz} = \frac{B_\theta(r)}{rB_z(r)}$$



$$d\theta = \frac{B_\theta}{rB_z} dz$$

$$\int_0^{\Delta\theta} d\theta = \int_0^{2\pi R_0} \frac{B_\theta}{rB_z} dz$$

6. The angle  $\Delta\theta$  is by definition just equal to  $\iota$

$$\iota(r) = \frac{2\pi R_0 B_\theta}{rB_z}$$

7. The safety factor is defined as

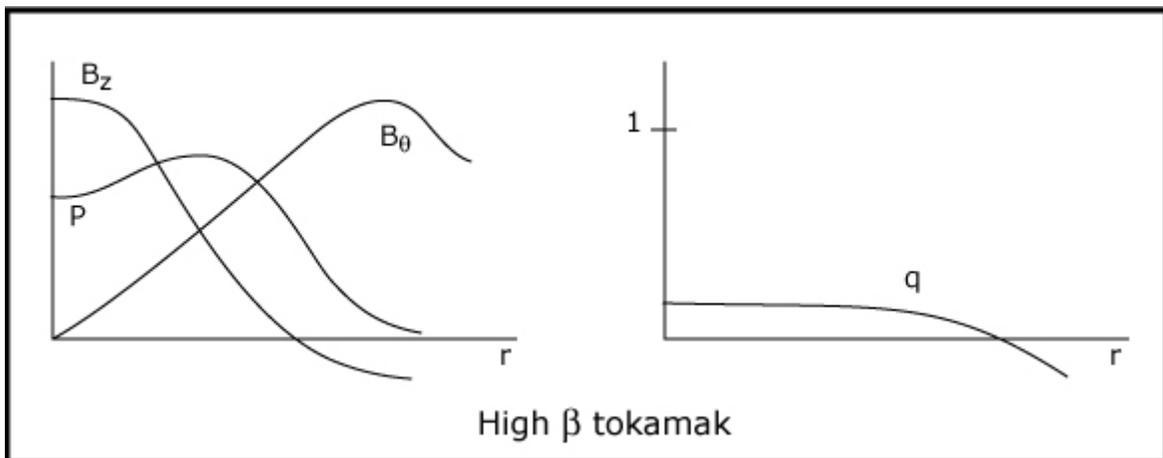
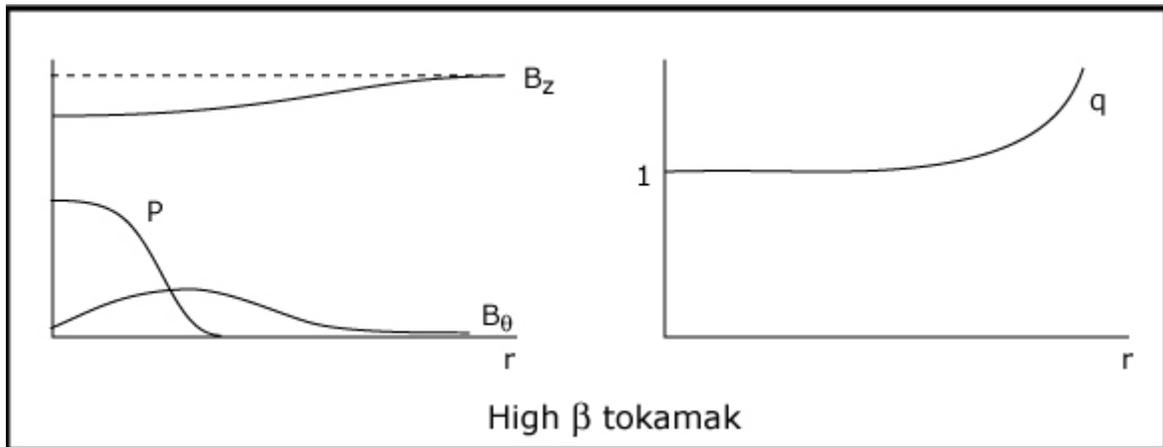
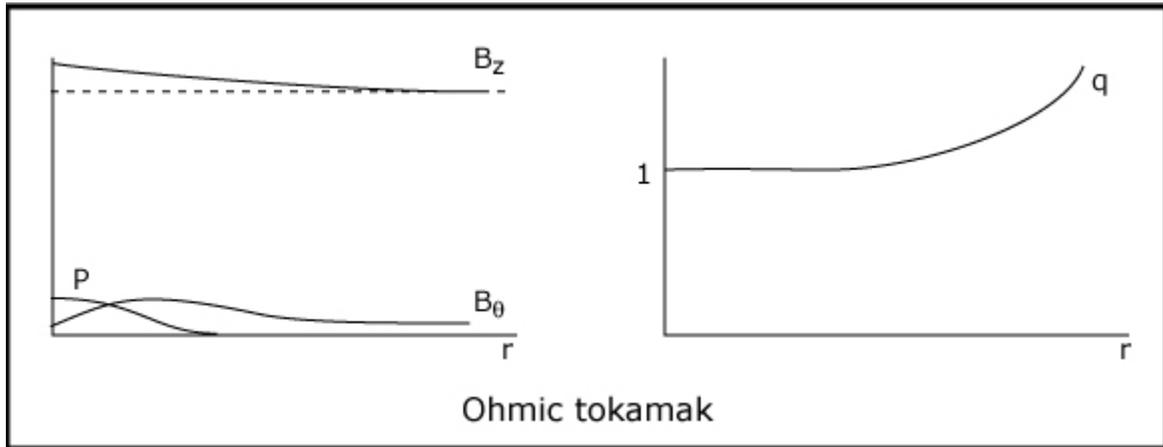
$$q(r) = \frac{2\pi}{\iota(r)}$$

$$q(r) = \frac{rB_z}{R_0 B_\theta}$$

8. Note  $\iota(r) = 0$  for a  $\theta$  pinch and  $\iota(r) = \infty$  for a Z pinch

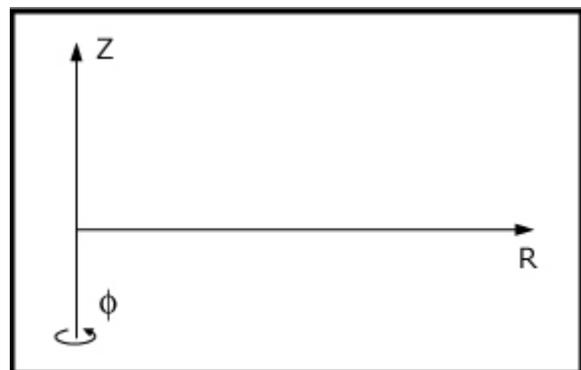
9. The 1-D radial pressure balance relation for a screw pinch accurately describes radial pressure balance in all fusion configurations of interest

## Examples



## Toroidal Force Balance

1. Consider the axisymmetric torus, the simplest, multi-dimensional configuration
2. We shall derive the Grad–Shafranov equation for axisymmetric equilibria
3. This provides a complete description of toroidal equilibrium
  - a. radial pressure balance
  - b. toroidal force balance
  - c.  $\beta$  limits
  - d.  $q$  profiles
  - e. magnetic well, etc....
4. It applies to the following configurations
  - a. RFP
  - b. ohmic tokamak (circular and noncircular)
  - c. high  $\beta$  tokamak (circular and noncircular)
  - d. flux conserving tokamak (circular and noncircular)
  - e. spherical tokamak (circular and noncircular)
  - f. spheromak (circular and noncircular)
  - g. toroidal multipole (circular and noncircular)
5. Grad–Shafranov equation
  - a. exact (no expansion)
  - b. axisymmetric  $\partial/\partial\phi=0$
  - c. 2-D
  - d. nonlinear
  - e. partial differential equation
  - f. elliptic characteristics
6. Plan of action
  - a. Derive the exact Grad–Shafranov equation



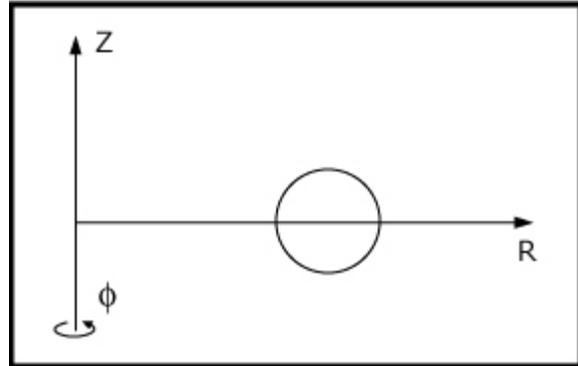
- b. Solve by means of an asymptotic expansion in  $a/R$
- c. Zero order:  $(a/R)^0 \rightarrow$  1-D screw pinch radial pressure balance
- d. First order:  $(a/R)^1 \rightarrow$  toroidal force balance

**Derivation**

- 1. Geometry
- 2. Axisymmetry

$$\frac{\partial}{\partial \phi} = 0$$

$$Q(R, \phi, Z) \rightarrow Q(R, Z)$$



- 3. We solve in this order

$$\nabla \cdot \mathbf{B} = 0$$

$$\mu_0 \mathbf{J} = \nabla \times \mathbf{B}$$

$$\mathbf{J} \times \mathbf{B} = \nabla p$$

- 4.  $\nabla \cdot \mathbf{B} = 0$

$$a. \frac{1}{R} \frac{\partial}{\partial R} R B_R + \frac{1}{R} \frac{\partial B_\phi}{\partial \phi} + \frac{\partial B_Z}{\partial Z} = 0$$

└── = 0

- b.  $B_\phi$  is arbitrary as of now

$$c. \left. \begin{aligned} B_Z &= \frac{1}{R} \frac{\partial \psi}{\partial R} \\ B_R &= -\frac{1}{R} \frac{\partial \psi}{\partial Z} \end{aligned} \right\} \text{introduce "flux" function } \psi$$

- d. These results can be summarized as follows

$$\mathbf{B} = B_\phi \mathbf{e}_\phi + B_p$$

$$\mathbf{B}_p = \frac{1}{R} \nabla \psi \times \mathbf{e}_\phi$$

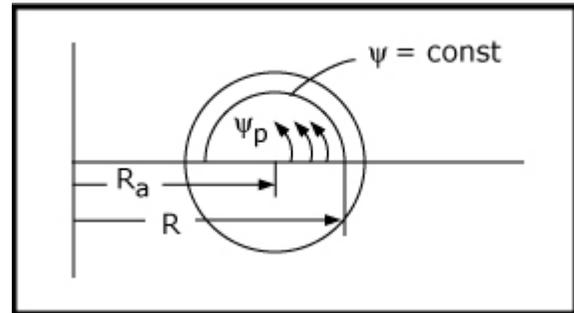
$$\psi = \psi(R, Z)$$

5. Why is  $\psi$  the "flux" function?

$$\text{a. } \mathbf{B}_p = \nabla \times \mathbf{A} = \nabla \times (A_\phi \mathbf{e}_\phi) = \frac{1}{R} \frac{\partial}{\partial R} R A_\phi \mathbf{e}_z - \frac{\partial A_\phi}{\partial Z} \mathbf{e}_R$$

$$\psi = R A_\phi$$

$$\begin{aligned} \text{b. } \psi_p &= \int \mathbf{B}_p \cdot d\mathbf{A} \\ &= \int_{R_e}^R dR \int B_z(R, Z=0) R d\phi \\ &= \int_{R_e}^R 2\pi R \frac{1}{R} \frac{\partial \psi}{\partial R} dR \end{aligned}$$



$$\text{c. } \psi_p = 2\pi [\psi(R, 0) - \psi(R_a, 0)] \quad \psi(R_a, 0) \text{ is arbitrary} \rightarrow \text{set to zero}$$

$$\psi_p = 2\pi \psi$$

d. We usually label the flux surfaces with  $\psi$  values rather than  $p$  values

$$6. \quad \mu_0 \mathbf{J} = \nabla \times \mathbf{B}$$

$$\begin{aligned} \text{a. } \mu_0 \mathbf{J} &= \nabla \times \left[ R B_\phi \frac{\mathbf{e}_\phi}{R} + \frac{1}{R} \nabla \psi \times \mathbf{e}_\phi \right] \\ &= \nabla (R B_\phi) \times \frac{\mathbf{e}_\phi}{R} + R B_\phi \nabla \times \frac{\mathbf{e}_\phi}{R} + \frac{\mathbf{e}_\phi}{R} \cdot \nabla (\nabla \psi) - \nabla \psi \cdot \nabla \frac{\mathbf{e}_\phi}{R} - \frac{\mathbf{e}_\phi}{R} \nabla \cdot \nabla \psi + \nabla \psi \nabla \cdot \frac{\mathbf{e}_\phi}{R} \\ &= \nabla (R B_\phi) \times \frac{\mathbf{e}_\phi}{R} - \frac{\mathbf{e}_\phi}{R} \nabla^2 \psi + \frac{1}{R^2} \frac{\partial}{\partial \phi} \left( \frac{\partial \psi}{\partial R} \mathbf{e}_R + \frac{\partial \psi}{\partial Z} \mathbf{e}_z \right) \rightarrow \frac{1}{R^2} \frac{\partial \psi}{\partial R} \mathbf{e}_\phi \\ &\quad - \left( \frac{\partial \psi}{\partial R} \frac{\partial}{\partial R} + \frac{\partial \psi}{\partial Z} \frac{\partial}{\partial Z} \right) \frac{\mathbf{e}_\phi}{R} \rightarrow \frac{1}{R^2} \frac{\partial \psi}{\partial R} \mathbf{e}_\phi \\ &= \nabla R B_\phi \times \frac{\mathbf{e}_\phi}{R} - \frac{\mathbf{e}_\phi}{R} \left[ \frac{\partial^2 \psi}{\partial R^2} + \frac{1}{R} \frac{\partial \psi}{\partial R} + \frac{\partial^2 \psi}{\partial Z^2} - \frac{2}{R} \frac{\partial \psi}{\partial R} \right] \end{aligned}$$

$$\mu_0 \mathbf{J} = \nabla R \mathbf{B}_\phi \times \frac{\mathbf{e}_\phi}{R} - \frac{\mathbf{e}_\phi}{R} \left[ R \frac{\partial}{\partial R} \left( \frac{1}{R} \frac{\partial \psi}{\partial R} \right) + \frac{\partial^2 \psi}{\partial Z^2} \right]$$

b. These results can be summarized as follows

$$\mu_0 \mathbf{J} = \mu_0 \mathbf{J}_\phi \mathbf{e}_\phi + \mu_0 \mathbf{J}_p$$

$$\mu_0 \mathbf{J}_p = \frac{1}{R} \nabla R \mathbf{B}_\phi \times \mathbf{e}_\phi$$

$$\mu_0 \mathbf{J}_\phi = -\frac{1}{R} \Delta^* \psi$$

$$\Delta^* \psi \equiv R \frac{\partial}{\partial R} \frac{1}{R} \frac{\partial \psi}{\partial R} + \frac{\partial^2 \psi}{\partial Z^2} = R^2 \nabla \cdot \left( \frac{\nabla \psi}{R^2} \right)$$

7. Force balance  $\mathbf{J} \times \mathbf{B} = \nabla p$

Decompose this relation into three components along  $\mathbf{B}$ ,  $\mathbf{J}$ ,  $\nabla \psi$

8. B component

a.  $\mathbf{B} \cdot \nabla p = 0$

b.  $\frac{B_\phi}{R} \frac{\partial p}{\partial \phi} + \frac{\nabla \psi \times \mathbf{e}_\phi}{R} \cdot \nabla p = 0$

c.  $\mathbf{e}_\phi \cdot \nabla \psi \times \nabla p = 0$

d.  $p = p(\psi)$   $p$  is an arbitrary free function of  $\psi$ .

e. There is no way to determine  $p(\psi)$  from ideal MHD. We need transport theory or some other simple physical model.

f. Note:  $p(\psi)$  is more of a constraint than  $p(r, \theta)$

9. J component

a.  $\mathbf{J} \cdot \nabla p = 0$

b.  $\frac{J_\phi}{R} \frac{\partial p}{\partial \phi} + \mathbf{J}_p \cdot \nabla p = 0 \rightarrow \frac{1}{R} \nabla R \mathbf{B}_\phi \cdot \times \mathbf{e}_\phi \cdot \nabla \psi \frac{dp}{d\psi} = 0$

c.  $\frac{1}{R} \frac{dp}{d\psi} [\mathbf{e}_\phi \cdot \nabla \psi \times R \mathbf{B}_\phi] = 0$



$$= \frac{1}{\mu_0 R^2} \frac{dF}{d\psi} \left[ (\nabla\psi \times \mathbf{e}_\phi) \times (\nabla\psi \times \mathbf{e}_\phi) \right] \cdot \nabla\psi = 0$$

d.  $T_b = \frac{1}{\mu_0} \left[ \left( \frac{1}{R} \nabla F \times \mathbf{e}_\phi \right) \times \mathbf{e}_\phi \right] \cdot \nabla\psi \mathbf{B}_\phi$

$$= \frac{F}{\mu_0 R^2} \frac{dF}{d\psi} (\nabla\psi \times \mathbf{e}_\phi) \times \mathbf{e}_\phi \cdot \nabla\psi$$

$$= -\frac{F}{\mu_0 R^2} \frac{dF}{d\psi} (\nabla\psi)^2$$

e.  $T_c = \mathbf{e}_\phi \times \frac{\nabla\psi \times \mathbf{e}_\phi}{R} \left( -\frac{1}{\mu_0 R} \Delta^* \psi \right) \cdot \nabla\psi$

$$= -\frac{1}{\mu_0 R^2} \Delta^* \psi (\nabla\psi)^2$$

f. Combine terms

$$(\nabla\psi)^2 \left[ -\frac{dp}{d\psi} - \frac{1}{\mu_0 R^2} \frac{d}{d\psi} \frac{F^2}{2} - \frac{1}{\mu_0 R^2} \Delta^* \psi \right] = 0$$

g. The Grad-Shafranov equation is given by

$$\Delta^* \psi = -\mu_0 R^2 \frac{dp}{d\psi} - F \frac{dF}{d\psi}$$

where

$$p = p(\psi)$$

free functions

$$F = F(\psi)$$

$$\mathbf{B} = \frac{1}{R} \nabla\psi \times \mathbf{e}_\phi + \frac{F}{R} \mathbf{e}_\phi$$

$$\mu_0 \mathbf{J} = \frac{1}{R} \frac{dF}{d\psi} \nabla\psi \times \mathbf{e}_\phi - \frac{1}{R} \Delta^* \psi \mathbf{e}_\phi$$

and  $\psi_p = 2\pi\psi$ ,  $I_p = 2\pi F$