

1.818J/2.65J/10.391J/11.371J/22.811J/ESD166J

#### SUSTAINABLE ENERGY

2.650J/10.291J/22.081J

#### INTRODUCTION TO SUSTAINABLE ENERGY

Prof. Michael W. Golay Nuclear Engineering Dept.



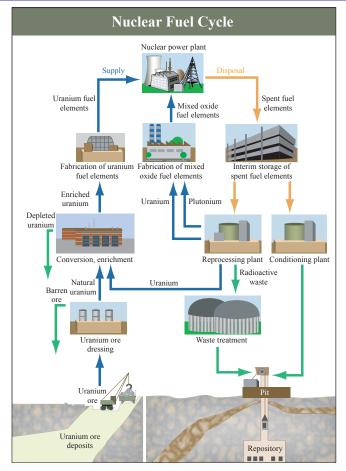


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# EXPANSION OF CIVILIAN NUCLEAR POWER AND PROLIFERATION



#### POTENTIAL PRODUCTS FROM FISSION ENERGY

Electricity (current product)

Surry

- Hydrogen
  - High temperature (700C) electrolysis
  - Very high temperature (700-900C) chemical reaction cycle
- Industrial Process Heat (<900C)</li>
- Fertilizer
- Desalinated Water
  - Distillation

Desal

Reverse osmosis



# TYPES OF STEAM-ELECTRIC GENERATING PLANTS

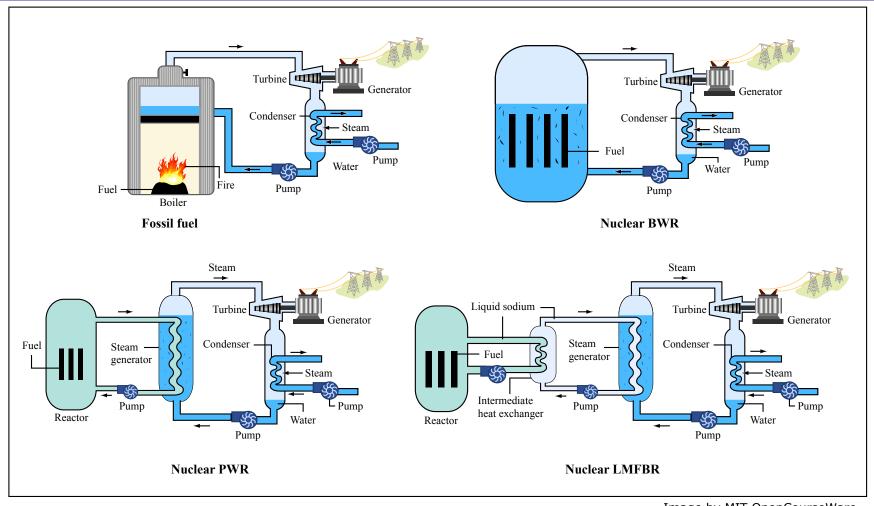
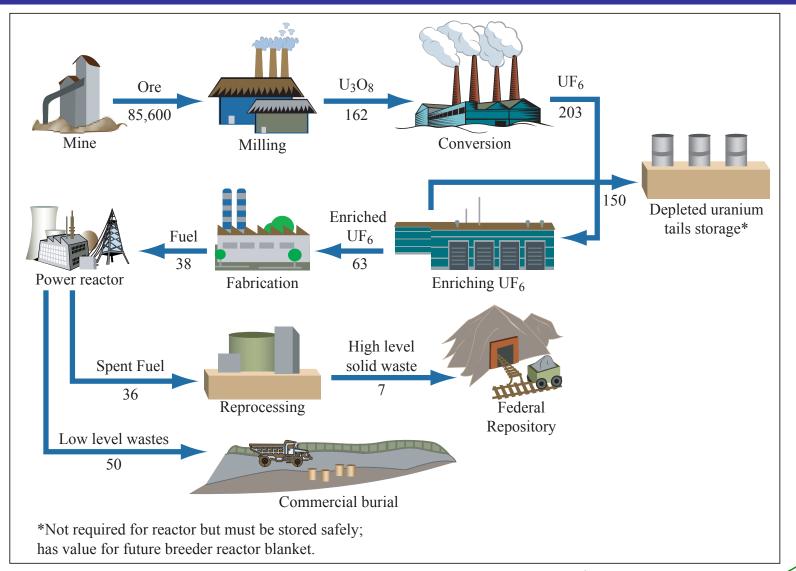


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# ANNUAL QUANTITIES OF FUEL MATERIALS REQUIRED FOR ROUTINE (EQUILIBRIUM) OPERATIONS OF 1,000 MWe LWR





#### **URANIUM**

- Abundance/Supply Duration [see IAEA Red Book]
  - Centuries at current usage rates
  - Decades at heavy usage rates
- Composition

Where

Overview

_	<sup>235</sup> U	<sup>238</sup> U
Natural Uranium	0.007	0.993
LWR Gas-Cooled Reactor Uranium	0.03 - 0.05	0.97 - 0.95
New Research Reactor Uranium	<sup>2</sup> 0.20	<sup>3</sup> 0.80
Old Research Reactor Uranium	<sup>2</sup> 0.93	<sup>3</sup> 0.07
Breeder Reactor Uranium	0.15 - 0.35	0.65 - 0.85



#### **PLUTONIUM**

- Abundance Potentially Unlimited
- Source: Neutron Absorption Reactions in Reactors

Isotope	Source Reaction	Bare Critical Mass (kg)	Neutron Source Density (n/g·s)	Specific Power (W/kg)
<sup>238</sup> Pu	$^{237}$ Np + n $\rightarrow$ $^{238}$ Pu + $\beta$ $^{242}$ Cm $\rightarrow$ $^{238}$ Pu + $\alpha$	10	2600	570
<sup>239</sup> Pu	$^{238}$ U + n $\rightarrow$ $^{239}$ Pu + 2 $\beta$	10		1.9
<sup>240</sup> Pu	$^{239}$ Pu + n $\rightarrow$ $^{240}$ Pu	40	910	7.1
<sup>241</sup> Pu	$^{240}$ Pu + n $\rightarrow$ $^{241}$ Pu	12		3.2
<sup>242</sup> Pu	$^{241}$ Pu + n $\rightarrow$ $^{242}$ Pu	100	1700	0.7



#### SALIENT PHYSICAL PARAMETERS OF POTENTIAL EXPLOSIVE FISSIONABLE MATERIALS

Isotope	Pa <sup>231</sup>	Th <sup>232</sup>	$U^{233}$	$-U^{235}$	$U^{238}*$	Np <sup>237</sup>
Halflife (y)	32.8k	14.1B	159k	700M	4.5B	2.1M
Neutrons /sec-kg	nil	nil	1.23	0.364	0.11	0.139
Watts/kg	1.3	nil	0.281	0.00006	8E-06	0.021
Critical mass** (kg)	162	infinite*	16.4	47.9	infinite*	59

<sup>\*</sup> Not explosive fissionable material

<sup>\*\*</sup>Bare sphere

# SALIENT PHYSICAL PARAMETERS OF POTENTIAL EXPLOSIVE FISSIONABLE MATERIALS (continued)

<u>Isotope</u>	Pu <sup>238</sup>	Pu <sup>239</sup>	Pu <sup>240</sup>	Pu <sup>241</sup>	<u>Pu<sup>242</sup></u>	<u>Am<sup>241</sup></u>
Halflife (y)	88	24k	6.54k	14.7	376k	433
Neutrons /sec-kg	2.67M	21.8	1.03M	49.3	1.73M	1540
Watts/kg	560	2.0	7.0	6.4	0.12	115
Critical mass** (kg)	10	10.2	36.8	12.9	89	57

\*\*Bare sphere

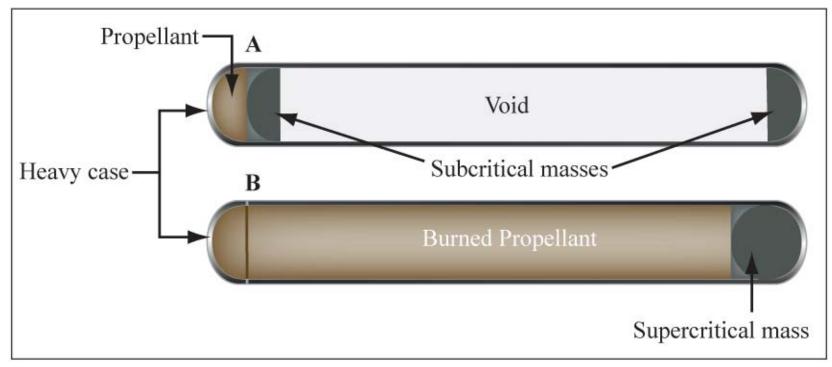
# SALIENT PHYSICAL PARAMETERS OF POTENTIAL EXPLOSIVE FISSIONABLE MATERIALS (continued)

<u>Isotope</u>	$Am^{243}$	<u>Cm<sup>244</sup></u>	<u>Cm<sup>245</sup></u>	<u>Cm<sup>246</sup></u>	$\underline{Bk^{247}}$	$Cf^{251}$
Halflife (y)	7.38k	18.1	8.5k	4.7k	1.4k	898
Neutrons /sec-kg	900	11B	147k	9B	nil	nil
Watts/kg	6.4	2.8k	5.7	10	36	56
Critical mass** (kg)	155	28	13	84	10	9

\*\*Bare sphere



#### SIMPLE GUN-ASSEMBLED NUCLEAR WEAPON



 $Image\ by\ MIT\ Open Course Ware.$ 

Fissile Material is Uncompressed :. Large amounts of HEU needed

Assembly is Slow :. Pu explodes prematurely due to spontaneous fission and other neutrons



#### SIMPLE IMPLOSION NUCLEAR WEAPON

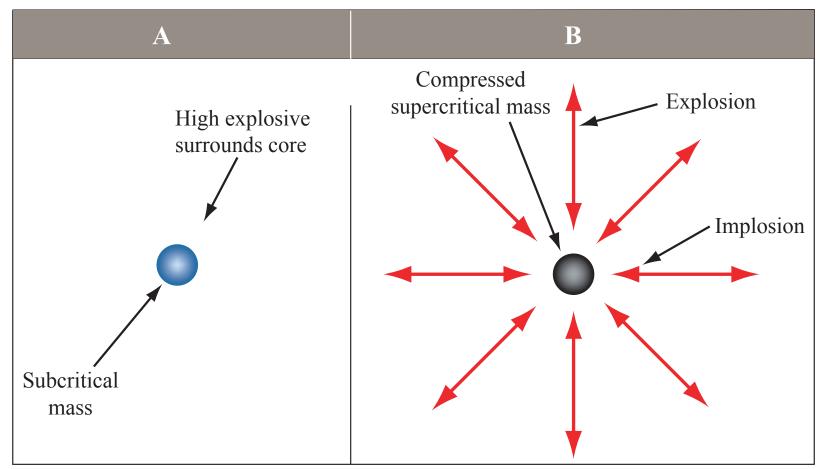


Image by MIT OpenCourseWare.

- Implosion Velocity >> Gun Velocity ∴ No premature explosion
- Explosive compresses Fissile Core ∴ Less than a M<sub>c</sub> required



#### NEUTRONIC PROPERTIES OF NUCLEAR FUELS

	NEUTRON ENERGIES					
	Τ	THERMA?	L		MeV	
Parameter	$\overline{U^{233}}$	$U^{235}$	Pu <sup>239</sup>	$U^{233}$	$U^{235}$	Pu <sup>239</sup>
α	0.123	0.2509	0.38	0.1	0.15	0.1
η	2.226	1.943	2.085	2.45	2.3	2.7
ν	2.50	2.43	2.91	2.7	2.65	3.0

$$\eta = \frac{v}{1+\alpha}, \frac{n's \text{ produced}}{absorption}; \quad \alpha = \frac{n's \text{ captured}}{fission}; \quad v = \frac{n's \text{ produced}}{fission}$$

#### **Conversion Reactions:**

$$U^{238} + n \rightarrow U^{239} + \gamma \rightarrow Np^{239} + \beta^{-} \rightarrow Pu^{239} + \beta^{-}$$
 $Th^{232} + n \rightarrow Th^{233} + \gamma \rightarrow Pa^{233} + \beta^{-} \rightarrow U^{233} + \beta^{-}$ 



### SELF-SUSTAINED CHAIN REACTION

$$1 \text{ neutron} + U^{235} \rightarrow \eta \text{ neutrons} \Rightarrow \begin{cases} 1 \text{ neutron for subsequent fission, and} \\ (\eta - 1) \text{ neutrons for leakage,} \\ \text{parasitic absorption, and} \\ \text{conversion} \end{cases}$$

Necessary Condition for Breeding: for each fissile nucleus consumed another is produced via conversion of fertile material, e.g., a U<sup>235</sup> nuclear is consumed and replaced by production of a new Pu<sup>239</sup> nucleus, via the reaction –

Conversion Ratio ≡ Number of new fissile nuclei produced as a result of fission of a single nucleus

Conversion Ratio: 
$$\begin{cases} \geq 1 \text{ for breeding} \\ < \text{ for burning} \end{cases}$$



#### ROUTES TO WEAPONHOOD

ROUTE	PROSPECTS	
Dedicated fuel cycle (U, Pu)	Preferred method to-date	
Reactor fuel diversion (U, Pu)	India, N. Korea using research reactor Attractive	or fuel:
Enrichment-related misuse or diversion (U)	Unattractive	Facility
Fuel-fabrication related misuse or diversion (U, Pu)	Unattractive	Canisters
Reprocessing-related misuse or diversion (Pu)	Unattractive	
Breakout or abrogation (U, Pu) Enrichment with U feedstock Reprocessing reactor fresh*/spent fuel	Iran, N. Korea, Israel, Pakistan: Very Attractive	

\*HEU or MOX



#### **NUCLEAR SAFEGUARDS**

- Non-Proliferation Treaty (NPT)
  - Promise to use facilities in prescribed fashion
  - Subject to seals, surveillance monitoring, inspections
  - Subject to security for weapons-usable materials
- Guard Force



#### PROLIFERATION RESISTANCE

- Use of Materials Unattractive in Weapons
  - High fizzle probability
  - Radioactive
  - Massive
  - Self-heating
- Long Diversion Time Durations
- Easy Detection
- Cumbersome Access



## FISSILE MATERIAL CONTROLS

- Discouraging Diversion
  - Safeguards (active means)
    - Remote monitoring (cameras, detectors, portal monitors, data transmission in real time)
    - Seals and containers
    - Guards, gates and locks
    - Inspections
    - Material "inventories"
  - Proliferation resistance features (passive means), addition to fissile materials other materials for
    - Degradation of fission properties (i.e., reactor grade vs. weapons grade Pu)
    - Neutron production
    - Heating
    - Increase of handling difficulty
      - \* Mass increase via shielding or extra material
      - \* Radiation sources



# FISSILE MATERIAL CONTROLS, cont'

- Incentives
  - Threats
  - Protection
  - Support and cooperation
- Securing Reactor Fuel Supply and Takeback
  - International fuel market competition and diversity within NPT
  - Controlled international fuel supply and takeback (including wastes?)
  - Dispersed network of nationally controlled fuel cycle facilities



# ENRICHMENT-BASED FISSILE MATERIAL (U) ACQUISITION

- Wish Enrichment > 20% <sup>235</sup>U
- Technologies (all use UF<sub>6</sub>)

Image of yellowcake uranium removed due to copyright restrictions.

<u>.</u>	Footprint	Energy Use	Emissions
Gaseous diffusion (past)	Large	High	Largest
Centrifuge (current)	Smaller	Lower	Small
Laser (MLIS) (future?)	Smallest	Lowest	Small

Enrichment

Plants

Centrifuge

Molecular Laser Isotope Separation



# ENRICHMENT-RELATED U ACQUISITION SCENARIOS

- Diversion
  - Removal and dummy replacement of enriched-U canister, with
  - Evasion of safeguards
- Misuse
  - Evasion of safeguards, falsification of operational records
  - Increased mass throughput
  - Increased operational duration
  - Plant reconfiguration (quickly following inspection)
- Breakout/Abrogation of NPT
  - Previously accumulated inventory of natural or low-enriched Uranium is feedstock
  - Enrich feedstock to high concentration (93-97% <sup>235</sup>U)
    - Use previously declared facility, or
    - Use previously constructed undeclared and unoperated facility (Qom)



#### FUEL FABRICATION FACILITY-BASED FISSILE MATERIAL (U, Pu) ACOUISITION

- Inputs: LEU ( $UO_2$ ), Pu ( $PuO_2$ )
- Outputs: Reactor Fuel Bundles

• Other Potential Fuel Forms: Metal, Carbide, Nitrate, Molten Salt

#### **SCENARIOS**

- Diversion
  - Removal and dummy replacement of fuel material or rod bundles, with
  - Evasion of safeguards
- Breakout/Abrogation of NPT
  - Capture of fuel material or rod bundles

UO<sub>2</sub>

Fuel



#### SPENT FUEL REPROCESSING-BASED FISSILE MATERIAL (Pu) ACQUISITION

- Facility Separates Spent Fuel into Streams of
  - Plutonium

LaHague

- Uranium
- Fission products and actinides
- Metallic wastes
- Technologies
  - Aqueous (UO<sub>2</sub>, MOX and HNO<sub>3</sub> and TBP-based)
    - PUREX (provides pure Pu, U streams)
    - UREX, etc. (provides mixed fission product and Pu, U streams)\*
  - Pyrochemical
    - Metalic fuel, eletrolytic salt or metal, anode and cathodebased (provides stream of mixed\* Pu and fission products)

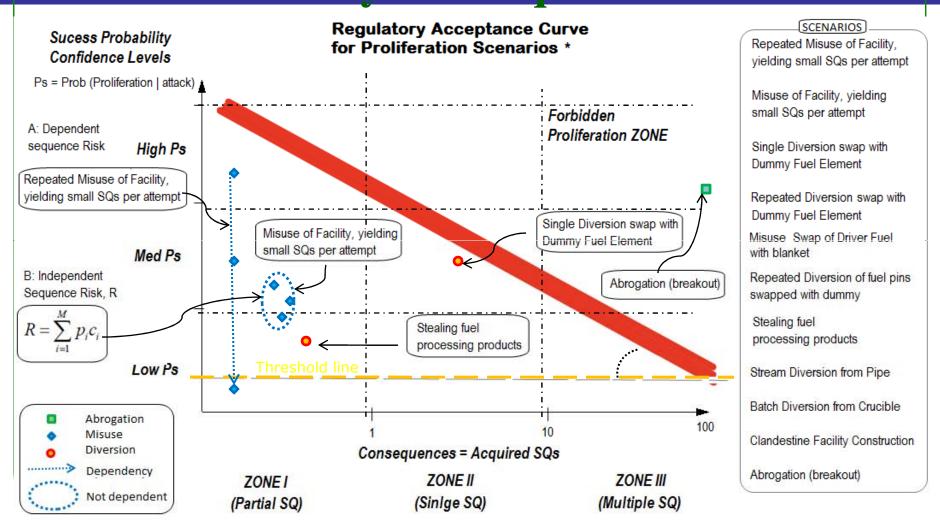
\*Note: streams of mixed species can be separated chemically



# REPROCESSING FACILITY Pu ACQUISITION SCENARIOS

- Diversion
  - Removal and dummy replacement of Pu product, and
  - Evasion of safeguards
- Misuse
  - Alteration of separation processes, and
  - Evasion of safeguards, falsification of operational records
  - Concentrated Pu removed via process streams
  - Concentrated Pu left in process vessels for subsequent harvesting
- Breakout/Abrogation of NPT
  - Uses previously accumulated feedstock inventory of spent reactor fuel
  - Remove Pu
    - Using previously declared facility
    - Using previously constructed, undeclared facility

#### REGULATORY ACCEPTANCE CRITERIA Probability-Consequence Curve



<sup>\*</sup> P-C curve proposed is parallel to Level 2 PRA Risk Assessment



# PROSPECTS FOR GETTING WEAPON

- Dedicated Fuel Cycle
- Reactor Fuel Diversion
- Enrichment-Related Misuse or Diversion of U
- Fuel-Related Misuse or Diversion of U, Pu
- Reprocessing-Related Misuse or Diversion of Pu
- Abrogation
  - Reactor spent fuel
  - Enrichment
  - Reprocessing



#### **SUMMARY**

- Large Scale Use of Nuclear Power is Inevitable Should Global Warming Prove to be as Serious as it Appears
- Risks of Nuclear Weapons Proliferation Will Grow with the Scale of the Nuclear Enterprise
- Proliferation Risks are Not Strongly Sensitive to Technological Choices
- Proliferation (i.e., Diversion and Misuse) Controlled Relying Heavily upon Safeguards
- Current International Safeguards Arrangements Could be Improved Substantially via Greater Funding
- Breakout (NPT Abrogation) Scenarios Dominate Proliferation Risks, are Not Currently Well Protected Against
- Management of Breakout Risks Demands New International Arrangements for
  - Regulation of proliferation risks
  - Reactor fuel supply and take-back



#### NUCLEAR POWER ENVIRONMENT COLLAGE

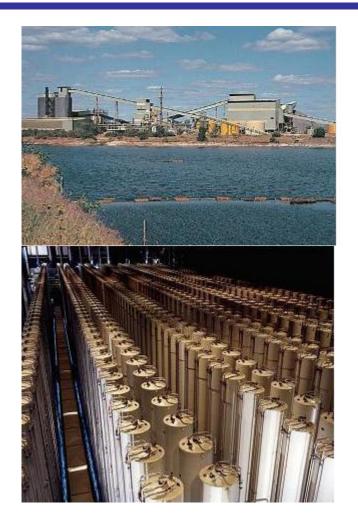




Photo of a tour group entering the north portal of Yucca Mountain removed due to copyright restrictions.

Photos by Stephen Codrington on Wikimedia Commons, U.S. Department of Energy Digital Photo Archive, and Charles Tilford on Flickr.

#### Pressurized Water Reactor (PWR)

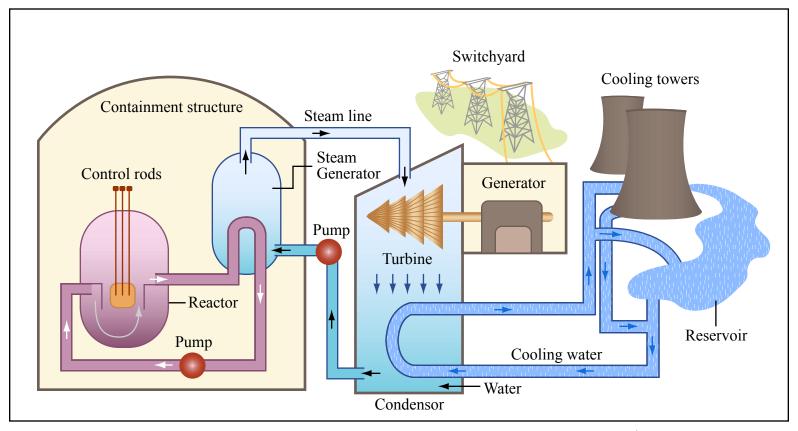


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Photo of Surry nuclear power plant has been removed due to copyright restrictions.

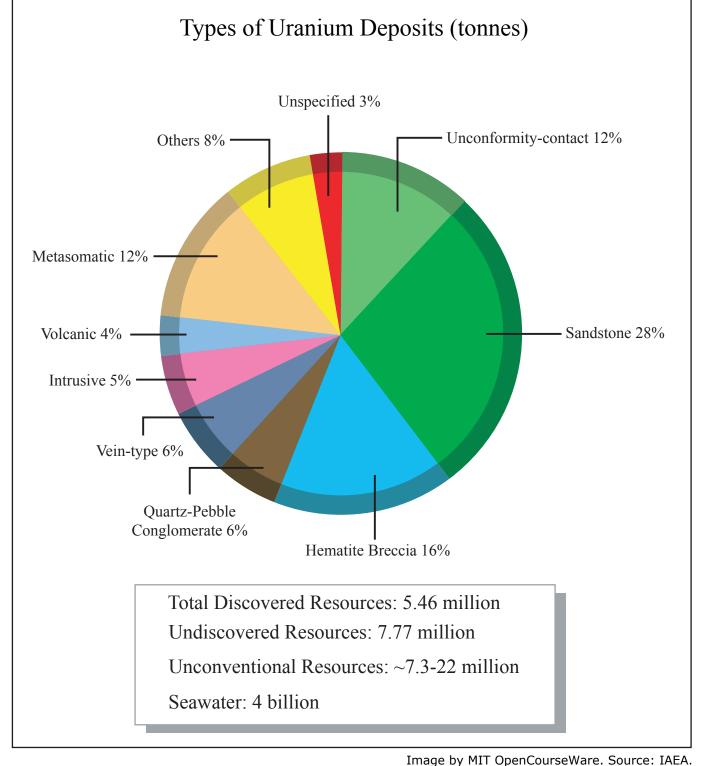
#### Adelaide Desalination Plant

Photo of Adelaide desalination plant removed due to copyright restrictions.



Jubail Desalination Plant

Photo by jonrawlinson on Flickr.



#### **World Distribution of Uranium Resources**

Total	5,469,000 tU	100%
Others	941,000	17%
Niger	274,000	5.0%
Namibia	275,000	5.0%
Brazil	278,000	5.1%
United States	342,000	6.3%
Canada	423,000	7.7%
South Africa	435,000	8.0%
Russia	546,000	10.0%
Kazakhstan	817,000	14.9%
Australia	1,243,000 tU	22.7%

Undiscovered resources: 7,771,100 tU

Image by MIT OpenCourseWare. Source: NEA/IAEA Group on Uranium, *Uranium 2007: Resources, Production and Demand*. See http://infcis.iaea.org/ for data on 1176 uranium deposits from 71 countries, total 19,193,456 tU.

#### **Uranium Reserves vs. Grade**

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Original Reserves							
	<500	500 - 1,000	1,000 - 10,000	10,000 - 100,000	>100,000	Total	
< 0.03	3	9	65	32	6	115	
0.03 - 0.10	13	26	96	31	6	172	
0.10 - 1.00	33	106	282	89	7	517	
1.00 - 5.00	5	3	13	10	0	31	
> 500	0	0	1	0	2	3	
<b>Grand Total</b>	54	144	457	162	21	838	

Image by MIT OpenCourseWare. Source: UDEPO.

#### MARCOULE FRANCE ENRICHMENT FACILITY

Photos of the nuclear energy production and research site in Marcoule, France removed due to copyright restrictions.

## SPENT FUEL STORAGE CANISTERS

Photos of various methods of spent fuel storage removed due to copyright restrictions. Please see, for example:

http://www.nucleartourist.com/systems/spfuel1.htm

http://environmentalheadlines.com/ct/2010/08/29/ct-paying-price-in-fight-over-nuclear-waste-storage/



K-25 Uranium enrichment plant, 1986, Knoxville, TN

Photo by Frank Hoffman, U.S. Department of Energy.

#### **ENRICHMENT PLANTS**

Photo of K-27 uranium enrichment plant in Oak Ridge, TN removed due to copyright restrictions.

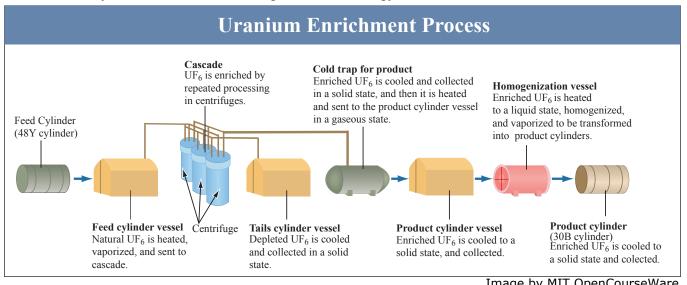


Image by MIT OpenCourseWare.

# GASEOUS DIFFUSION CASCADES

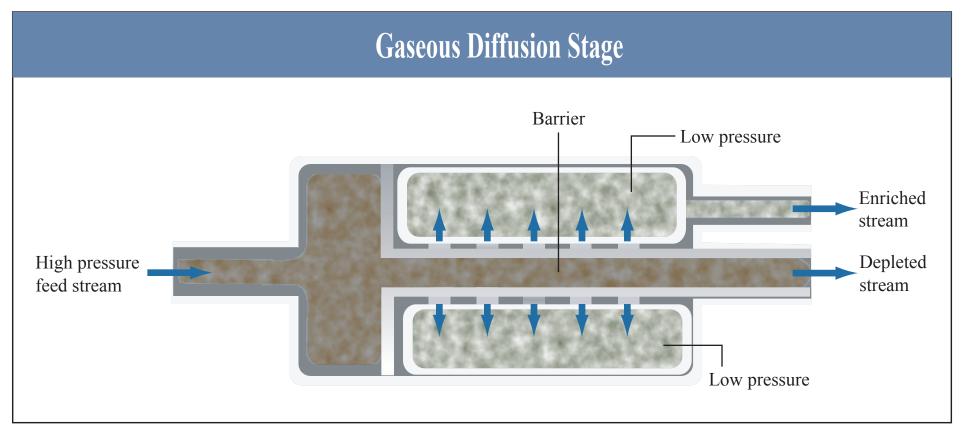


Image by MIT OpenCourseWare.



#### URENCO'S ALMELO CENTRIFUGE ENRICHMENT PLANT

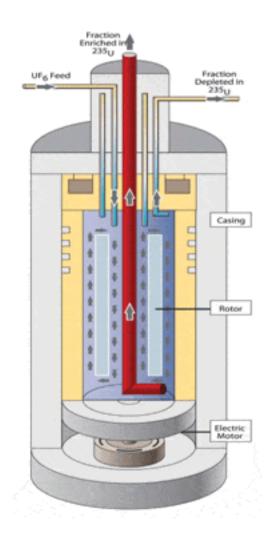
Photo of the centrifuge uranium enrichment plant in Almelo, the Netherlands removed due to copyright restrictions.



# COMMERCIAL-SCALE FACILITY FOR CARBON ISOTOPE SEPARATION IN KALININGRAD

Photo of the Molecular Laser Isotope Separation project at IMP-KIAE removed due to copyright restrictions.

#### **CENTRIFUGE CASCADES**





#### LWR Rod Bundle



Photo by U.S. Nuclear Regulatory Commission.

Thousands of uranium dioxide pellets fill these nearly 15-foot-long zirconium alloy fuel-rod tubes. Several of these massive bundles sit in the core of a commercial nuclear reactor providing intense heat from fission reactions.

#### Breeder Reactor Rod Bundle

Image removed due to copyright restrictions. Please see Sagoff, Jared. "Computer simulations help design new nuclear reactors." *Argonne Now* 3 (Spring 2008): 16-20.



#### UO<sub>2</sub> POWDER

Photo of uranium dioxide powder removed due to copyright restrictions.



#### Lahague reprocessing Plant

Photos of the nuclear fuel reprocessing plant in La Hague, France removed due to copyright restrictions.

The French keep all of the nuclear waste from the last thirty years of energy production in one room, the storage vault at La Hague. MIT OpenCourseWare http://ocw.mit.edu

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