

6.443J / 8.371J / 18.409 / MAS.865

Quantum Information Science II

Pre-reqs: 2.111 / 18.435J

- Knowledge of -
- quantum mechanics
  - qgates / states / circuits
  - Shor, Grover, QFT algorithms
  - error correction
  - information concepts

Not discussed: Implementations (8.422)  
Complexity classes (Shor)

- Projects
- Not a survey or review
  - Define a problem, steps towards sol<sup>n</sup>
  - PR-like article & presentation

# Lecture 1: Quantum Operation Theory

- ① Density matrices
- ② System-environment
- ③ Quantum operations
- ④ Operator-sum representation

## ① Density matrices

Pure states  $|\psi\rangle = a|0\rangle + b|1\rangle$

Transformations are unitary:  $U|\psi\rangle = a'|0\rangle + b'|1\rangle$

$|\psi_{AB}\rangle = a|00\rangle + b|01\rangle + c|10\rangle + d|11\rangle$

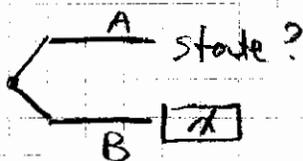
If... Want to forget a part (e.g.  $|00\rangle$  term)

Cannot know a part (due to adversary, noise, etc)

Need abstraction

Then... use a density matrix!

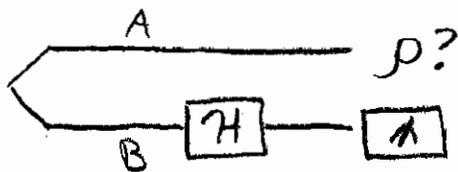
Example:  $|\psi_{AB}\rangle = \sqrt{3/4}|00\rangle + \sqrt{1/4}|11\rangle$



A's is a statistical mixture

$$\sqrt{3/4}|0\rangle \oplus \sqrt{1/4}|1\rangle = \text{Mix 1}$$

( $|0\rangle$  w/ prob  $3/4$ ,  $|1\rangle$  w/ prob  $1/4$ )



$$\frac{1}{\sqrt{2}} \left[ \sqrt{\frac{3}{4}} |0\rangle (|0\rangle + |1\rangle) + \sqrt{\frac{1}{4}} |1\rangle (|0\rangle - |1\rangle) \right]$$

$$\sqrt{\frac{3}{8}} |0\rangle + \sqrt{\frac{1}{8}} |1\rangle \oplus \sqrt{\frac{3}{8}} |0\rangle - \sqrt{\frac{1}{8}} |1\rangle = \text{Mix 2}$$

But: No experiment that Alice can perform to determine which basis Bob measured in  
 - The 2 representations' difference is disinvariant

A density matrix is a mathematical tool used to track statistical mixtures

$$|\psi_1\rangle \oplus |\psi_2\rangle \oplus \dots \oplus |\psi_n\rangle \Rightarrow \rho = \sum_k |\psi_k\rangle \langle \psi_k|$$

Recall:

$$|0\rangle := \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad |0\rangle\langle 0| = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad |0\rangle\langle 1| = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$|1\rangle := \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad |1\rangle\langle 0| = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad |1\rangle\langle 1| = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

So  $\rho_{\text{mix1}} = \frac{2}{4} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + \frac{1}{4} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{4} \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}$

$$\rho_{\text{mix2}} = \frac{1}{8} \begin{pmatrix} 3 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix} + \frac{1}{8} \begin{pmatrix} 3 & -\sqrt{3} \\ -\sqrt{3} & 1 \end{pmatrix} = \frac{1}{4} \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix}$$

Wow!  $\rho_{\text{mix1}} = \rho_{\text{mix2}}$

This  $\rho$  captures everything Alice can know

Def: A matrix  $\rho$  is a density matrix (denmat) (or density operator) iff

a)  $\text{Tr}(\rho) = 1$

b)  $\rho \geq 0$  ( $\rho$  is positive:

ie.  $\forall |\phi\rangle, \langle \phi | \rho | \phi \rangle \geq 0$

$\Leftrightarrow \rho$  Hermitian &  $\text{eig}(\rho) \geq 0$ )

Claim #1:  $\rho = \sum_k P_k |\psi_k\rangle \langle \psi_k|$

$\uparrow$  Probabilities       $\uparrow$  Normalized

Pf: •  $\text{Tr}(\rho) = \sum_k \text{Tr}(P_k |\psi_k\rangle \langle \psi_k|)$

$= \sum_k \text{Tr}(P_k \underbrace{\langle \psi_k | \psi_k \rangle}_{1 \text{ since normalized}})$

$= \sum_k \text{Tr}(P_k)$

$= 1$

•  $\sum_k P_k \langle \phi | \psi_k \rangle \langle \psi_k | \phi \rangle = \sum_k P_k |\langle \phi | \psi \rangle|^2 \geq 0$

Claim: Any density  $\rho$  can be expressed as

$$\rho = \sum_k P_k |\psi_k\rangle\langle\psi_k|$$

for some  $|\psi_k\rangle$ , prob  $P_k$

Pf:  $\rho$  is Hermitian, so  $\exists \rho = \sum_k \lambda_k |k\rangle\langle k|$   
↑ eigen-value      ↑ eigen-vector

Def:  $\rho$  is pure iff  $\exists |\psi\rangle$  s.t.  $\rho = |\psi\rangle\langle\psi|$

Otherwise,  $\rho$  is mixed

(Do not confuse mixed w/ superposition)

Q:  $\rho = \sum_k P_k \rho_k$  ( $P_k$  prob) a density?

A: Yes.

Q:  $\rho = \frac{1}{2} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$  a density?

A: No.

Lemma: Unravelings

$$\text{let } \rho = \sum P_k |\Psi_k\rangle\langle\Psi_k|$$

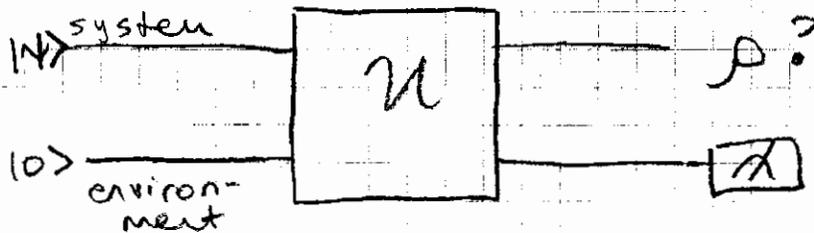
$$\text{Then } \rho = \rho' = \sum q_k |\Phi_k\rangle\langle\Phi_k|$$

$$\text{if } \sqrt{P_k} |\Psi_k\rangle = \sum_j U_{kj} \sqrt{q_j} |\Phi_j\rangle$$

with  $U_{kj}$  unitary

Why: Because it don't matter what basis B uses.

② System-Environment



$$|e\rangle |\Psi\rangle \xrightarrow{U} U |e\rangle |\Psi\rangle$$

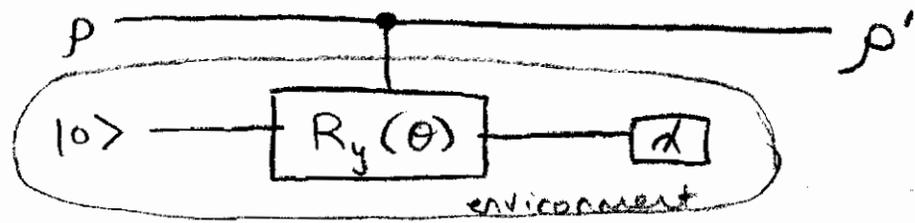
Orthogonal basis  
 $|e_0\rangle, |e_1\rangle, \dots$

Final state is mixture:

$$\langle e_0 | U | e \rangle |\Psi\rangle \oplus \langle e_1 | U | e \rangle |\Psi\rangle \oplus \dots$$

$$\text{let } E_k := \langle e_k | U | e \rangle$$

$$\text{So mixture is } E_0 |\Psi\rangle \oplus E_1 |\Psi\rangle \oplus \dots \Rightarrow \rho = \sum_k E_k \rho_k E_k^\dagger$$

Example:

$$U^{\text{env sys}} |00\rangle = |00\rangle$$

$$U |01\rangle = (\cos(\theta/2) |0\rangle + \sin(\theta/2) |1\rangle) |1\rangle$$

$$E_0 = \langle 0 | U^{\text{env}} |0\rangle = |0\rangle\langle 0| + \cos(\theta/2) |1\rangle\langle 1|$$

$$E_1 = \langle 1 | U^{\text{env}} |0\rangle = \sin(\theta/2) |1\rangle\langle 1|$$

(Notation: let  $\sqrt{p} = \cos \theta/2$ )

$$E_0 = \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{p} \end{pmatrix}$$

$$E_1 = \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{1-p} \end{pmatrix}$$

$$\text{let } \rho = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$\text{So } \rho' = \sum_k E_k \rho E_k^\dagger$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{p} \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \sqrt{p} \end{pmatrix} + \dots$$

$$\Rightarrow \rho = \begin{pmatrix} a & \sqrt{p} b \\ \sqrt{p} c & d \end{pmatrix}$$

Note  $\sqrt{p} \rightarrow 0$  as  $\cos \theta/2 \rightarrow 0$

This is an example of phase damping

### ③ Quantum operations

In general, what are the legal transformations  $\rho \rightarrow \mathcal{E}(\rho)$  ?

Def: Operation  $\mathcal{E}$  is a valid quantum op iff

①  $\text{Tr}(\mathcal{E}(\rho)) = 1$

②  $\mathcal{E}$  convex and linear

$$\mathcal{E}\left(\sum_k p_k \rho_k\right) = \sum_k p_k \mathcal{E}(\rho_k)$$

③  $\mathcal{E}$  is "completely positive"

a) if  $\rho \geq 0$  then  $\mathcal{E}(\rho) \geq 0$

b)  $(\mathbb{I}_R \otimes \mathcal{E}_Q)(\rho_{RQ}) \geq 0 \quad \forall \rho_{AB} \geq 0$   
          ↑                  ↑  
          ref              quantum

Why ③b) ?

Consider  $\mathcal{E}: \begin{pmatrix} a & b \\ c & d \end{pmatrix} \rightarrow \begin{pmatrix} a & c \\ b & d \end{pmatrix}$

$$\sum_{jk} c_{jk} |j\rangle\langle k| \xrightarrow{\mathcal{E}} \sum_{jk} c_{jk} |k\rangle\langle j|$$

Is  $\mathcal{E}$  a legal quantum op ?

- (cont) This is positive:  $\mathcal{E}(\rho) \geq 0 \quad \forall \rho \geq 0$

Consider  $(I \otimes \mathcal{E}) \frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$

$$\frac{1}{2} \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}$$

Take transpose of each of the 4 little matrices

$$= \begin{bmatrix} 1 & & & \\ & 0 & 1 & \\ & 1 & 0 & \\ 0 & & & 1 \end{bmatrix}$$

← Not a legal density matrix!  
(Saw earlier)

- Q: Single qubit  $\rho \xrightarrow{\mathcal{E}} \rho'$

How many DOFs describe  $\mathcal{E}$ ? (Guesses: 4, 24, 16?)

A: 12

Q: For 2 qubits?

A: 240

### ④ Operator Sum Representation

Thm: Let  $\rho \in \mathcal{H}_1$  and  $\mathcal{E}(\rho) \in \mathcal{H}_2$

Then  $\mathcal{E}$  satisfies (A1), (A2), (A3) iff

$$\mathcal{E}(\rho) = \sum_k E_k \rho E_k^\dagger$$

where  $\sum_k E_k^\dagger E_k = I$

$E_k$  are op elements  
(Krauss ops)  
that map  $\mathcal{H}_1 \rightarrow \mathcal{H}_2$

Pf ( $\rightarrow$  dir):

(A2)  $\mathcal{E}(\rho)$  obviously linear  $\checkmark$   
(don't worry about convexity)

$$\begin{aligned} \text{(A1)} \quad \text{Tr}(\mathcal{E}(\rho)) &= \sum_k \text{Tr}(E_k \rho E_k^\dagger) \\ &= \sum_k \text{Tr}(\rho E_k^\dagger E_k) \\ &= \text{Tr}(\rho) \\ &= 1 \end{aligned}$$

(A3) Let  $|\Psi_{RQ}\rangle \in \mathcal{H}_{RQ}$  s.t.

$$\sum_k \underbrace{\langle \Psi | (I \otimes E_k)}_{\langle \phi_{RQ} |} P_{RQ} \underbrace{(I \otimes E_k) | \Psi_{RQ} \rangle}_{|\phi_{RQ}\rangle}$$

$$\text{Then, } = \sum_k \langle \phi_{RQ} | P_{RQ} | \phi_{RQ} \rangle \geq 0$$

Important Fact:

$$\text{Let } E_0 = \begin{bmatrix} 1 & 0 \\ 0 & \sqrt{p} \end{bmatrix}, \quad E_1 = \begin{bmatrix} 0 & 0 \\ 0 & \sqrt{1-p} \end{bmatrix} \quad (\text{Phase damping})$$

$$\tilde{E}_0 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \sqrt{\alpha}, \quad \tilde{E}_1 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \sqrt{1-\alpha}$$

... behave exactly the same!

Important for stability, correcting phase errors.