

## MATTER TRANSPORT (CONTINUED)

There seem to be two ways to identify the effort variable for mass flow

gradient of the energy function with respect to mass is “matter potential”,  $\mu$

— (molar) specific Gibbs free energy

power dual of mass flow appears to be (molar) specific enthalpy,  $h$

The coupling between mass flow and entropy flow apparently reconciles these

$$h = \mu + Ts$$

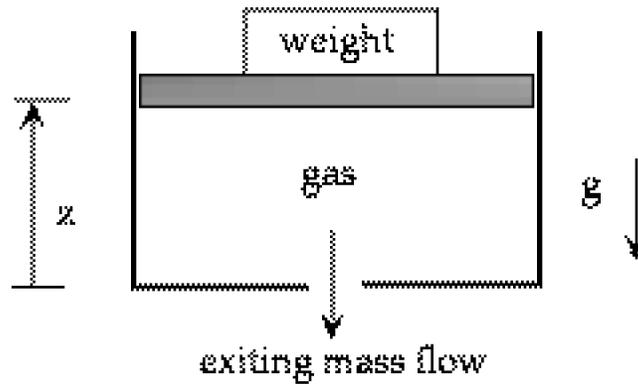
CAUTION:

Enthalpy is NOT analogous to voltage or force

it is not always an appropriate power dual for mass flow

**EXAMPLE:**

**vertically oriented piston & cylinder with exiting mass flow**



**How do you model the exit flow orifice?  
consider kinetic energy transported**

**Net power flow: consider three terms**

**flow work rate**

**internal energy transport rate**

**kinetic energy transport rate**

$$P_{\text{net}} = \frac{P}{\rho} \, dN/dt + u \, dN/dt + \frac{v^2}{2} \, dN/dt$$

$$P_{\text{net}} = \left( h + \frac{v^2}{2} \right) dN/dt$$

**Power balance:**

subscript c: chamber, t: throat of orifice

$$\left( h_c + \frac{v_c^2}{2} \right) dN_c/dt = \left( h_t + \frac{v_t^2}{2} \right) dN_t/dt$$

**Mass balance:**

$$dN_c/dt = dN_t/dt$$

**Assume that velocity at the throat,  $v_t$ , is much greater than velocity in the chamber,  $v_c$ .**

$$v_c \ll v_t$$

$$\frac{v_c^2}{2} \approx 0$$

$$h_c - h_t = \left( \frac{v_t^2}{2} \right)$$

$$v_t = \sqrt{2 (h_c - h_t)}$$

**Mass flow rate**

$$dN_t/dt = \rho_t A_t v_t$$

**Thus**

$$dN_t/dt = \rho_t A_t \sqrt{2 (h_c - h_t)}$$

**DOES THIS MAKE PHYSICAL SENSE?**

**Does enthalpy difference drive mass flow?**

**SNAG:**

**Orifice flow is a typical “throttling” process**

**Throttling is commonly assumed to occur at constant enthalpy**

**Assume an ideal gas**

$$Pv = RT$$

$$u = c_v T$$

$$h = u + Pv = (c_v + R)T = c_p T$$

**Thus enthalpy is proportional to temperature**

**The model above implies that mass flow is initiated by temperature difference**

**i.e., it predicts that mass flow must be zero at thermal equilibrium**

**— NOT TRUE!**

## WHERE DID WE GO WRONG?

Enthalpy,  $h$ , is not an effort in the sense of a gradient that initiates a flow

$P_{\text{net}} = h \, dN/dt$  is a *composite* of distinct power flows

SOLUTION:

model the coupling between components of power flow

## **ENERGY-BASED APPROACH**

**IDENTIFY (EQUILIBRIUM) ENERGY STORAGE FUNCTION**

**variable arguments identify ports**

**gradients identify efforts**

**hence  $P, T, \mu$  for  $-V, S, N$  respectively**

**no dynamics yet**

**IDENTIFY COUPLING BETWEEN ELEMENTS**

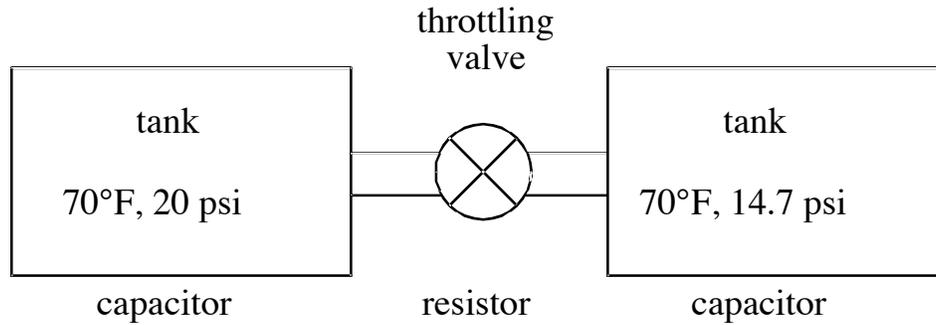
**(junction structure)**

**some coupling may be “embedded” in “dissipation”  
phenomena**

**IDENTIFY (STEADY STATE) DISSIPATION FUNCTION**

**EXAMPLE:**

**two chambers connected by a throttling valve**



**Chambers:**

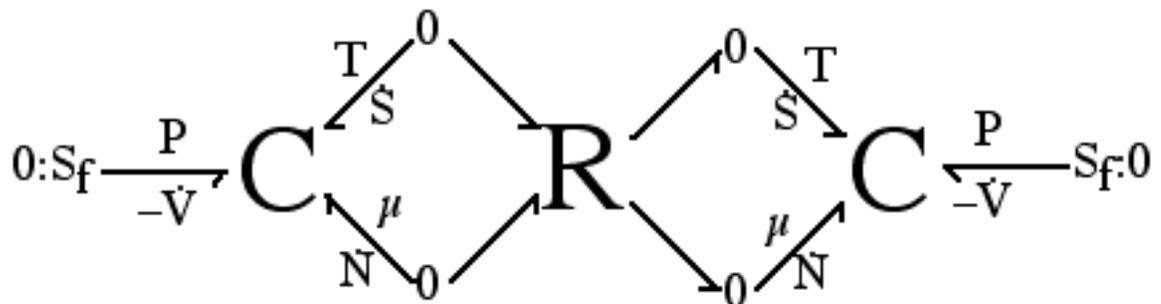
**ideal gas**

$$U_i = m_i c_v T_i = M c_v N_i T_i$$

$$U_i = (M c_v) N_i T_i$$

**Throttling valve:**

**a four-port resistor R**



**AN ISSUE:**

**mass flow is driven by pressure difference**

**but the pressure-volume port is “closed”**

**i.e., has no power flow**

**the capacitors' energy variables (displacements) are**

$N_1, S_1$

$N_2, S_2$

**using energy variables as state variables,**

**the corresponding inputs to the resistor are efforts**

$\mu_1, T_1$

$\mu_2, T_2$

**can these resistor inputs properly determine its outputs?**

**YES:**

**Gibbs free energy:**

$$G = U + PV - TS$$

**per unit mass:**

$$\mu = u + Pv - Ts$$

$$P = \frac{\mu - u + Ts}{v}$$

$$u = c_v T$$

$$v = V/N$$

$$s = S/N$$

**POINT:**

**Given  $\mu$  and  $T$  and state variables  $S$  and  $N$ ,  $P$  can be computed. This formulation would be computationally consistent.**

**However, it is clumsy and unnecessary.**

## STEPS IN (NETWORK) MODELING:

**formulate the model**

**choose state variables**

**formulate equations**

**choose states:**

**we care about P and T**

both are (invertible) functions of S and N, so could be used as state variables

**capacitor pressures**

$$P_i = \rho_i R T_i$$

$$\rho_i = N_i / V_i$$

**a convenient choice of state variables:**

$$N_1, T_1$$

$$N_2, T_2$$

## THROTTLING PROCESS:

**One crude (but simple) model of a throttling process:**  
(the model structure is the same for more sophisticated models)

**assume flow work is converted into kinetic energy**

**power balance**

$$P_{\text{net}} = \left( \frac{P_u}{\rho_u} + \frac{v_u^2}{2} \right) dm_u / dt = \left( \frac{P_t}{\rho_t} + \frac{v_t^2}{2} \right) dm_t / dt$$

subscripts: u, upstream, t, throat

**assume no leakage**

$$dN_u / dt = dN_t / dt$$

**assume negligible upstream velocity**

$$v_u \ll v_t$$

$$\frac{v_t^2}{2} = \left( \frac{P_u}{\rho_u} - \frac{P_t}{\rho_t} \right)$$

$$v_t = \sqrt{2 \left( \frac{P_u}{\rho_u} - \frac{P_t}{\rho_t} \right)}$$

**volumetric flow rate**

$$Q = A_t v_t = A_t \sqrt{2 \left( \frac{P_u}{\rho_u} - \frac{P_t}{\rho_t} \right)}$$

**mass flow rate**

$$dN/dt = \rho_t A_t v_t = \rho_t A_t \sqrt{2 \left( \frac{P_u}{\rho_u} - \frac{P_t}{\rho_t} \right)}$$

**assume all the flow work goes into speeding up the flow**

**none into compressing the gas  
i.e., assume constant density**

$$\rho_u = \rho_t$$

$$dN/dt = \rho_u A_t \sqrt{2 \left( \frac{P_u}{\rho_u} - \frac{P_t}{\rho_u} \right)}$$

$$dN/dt = A_t \sqrt{2\rho_u (P_u - P_t)}$$

**as a result, temperature, specific internal energy and specific enthalpy change**

$$\frac{P_u}{P_t} = \frac{T_u}{T_t}$$

if  $P_u > P_t$  then  $T_u > T_t$

hence  $u_u > u_t$

hence  $h_u > h_t$

**PROBLEM:**

**throttling is frequently assumed to be isenthalpic  
—NO net enthalpy change**

**SOLUTION:**

**Assume a mixing and thermal equilibration process to reach a downstream state**

**Assume that mixing occurs at constant pressure and proceeds until the net enthalpy change from upstream to downstream is zero.**

$$P_d = P_t$$

$$h_d = h_u$$

therefore

$$T_d = T_u$$

therefore

$$u_d = u_u$$

subscript: d, downstream

**The mixing and equilibration process MUST produce entropy**

**that entropy becomes part of the downstream power flow**

**—“carried with” the downstream mass flow**

**There are two distinct components of the net power flow**

**mass flow**

**entropy flow**

**These two flows are coupled**

**mass flow in and out:**

$$dN_u/dt = dN_d/dt = dN/dt = A_t \sqrt{2\rho_u (P_u - P_d)}$$

**entropy flow in:**

$$dS_u/dt = s_u dN/dt$$

**entropy flow out:**

$$dS_d/dt = s_d dN/dt$$

**net entropy production rate**

$$dS_{net}/dt = dS_d/dt - dS_u/dt = (s_d - s_u) dN/dt$$

**Is the second law satisfied?**

**Check the sign of net entropy production:**

if  $P_u > P_d$

then  $dN/dt > 0$

$$s_u - s_o = R \ln \frac{v_u}{v_o} + c_v \ln \frac{T_u}{T_o}$$

subscript o: thermal reference

$$s_u - s_o = R \ln \frac{\rho_o}{\rho_u} + c_v \ln \frac{T_u}{T_o}$$

$$s_d - s_u = R \ln \frac{\rho_u}{\rho_d} + c_v \ln \frac{T_d}{T_u}$$

**if the upstream and downstream chambers are at thermal equilibrium**

$$T_u = T_d$$

therefore

$$\rho_u > \rho_d$$

therefore

$$s_d > s_u$$

**—as required by the second law.**

## **ASIDE:**

**Note that, for an ideal gas, isenthalpic throttling is only possible if the upstream and downstream temperatures are identical.**

**In general, upstream and downstream temperatures may differ. The throttling process is no longer isenthalpic, but the model remains valid and consistent with the second law.**

### COLLECT RESISTOR EQUATIONS:

$$dN_u/dt = A_t \sqrt{2\rho_u (P_u - P_d)}$$

$$dN_d/dt = A_t \sqrt{2\rho_u (P_u - P_d)}$$

$$dS_u/dt = s_u dN_u/dt$$

$$dS_d/dt = s_d dN_d/dt$$

### JUNCTION EQUATIONS:

connect four-port resistor to two ports of each capacitor

#### upstream:

$$dS_1/dt = -dS_u/dt$$

$$dN_1/dt = -dN_u/dt$$

$$s_u = s_1 = S_1/N_1$$

$$\rho_u = \rho_1 = N_1/V_1$$

$$T_u = T_1$$

$$P_u = P_1 = \rho_u RT_u$$

#### downstream:

$$dS_2/dt = dS_d/dt$$

$$dN_2/dt = dN_d/dt$$

$$s_d = s_2 = S_2/N_2$$

$$\rho_d = \rho_2 = N_2/V_2$$

$$T_d = T_2$$

$$P_d = P_2 = \rho_d RT_d$$

## THE FOUR-PORT RESISTOR FUNDAMENTALLY COUPLES MASS FLOWS AND ENTROPY FLOWS

**can we display that coupling?**

(i.e., where's the modulated transformer we saw before?)

**LINEARIZED MODEL:**

**Consider a formulation in which we compute the pressures from  $\mu$  and T**

$$P = \frac{\mu - u + Ts}{v}$$

**based on Gibbs free energy**

$$G = U - TS + PV$$

**per unit mass:**

$$\mu = u - Ts + Pv$$

**differentiate**

$$d\mu = du - Tds - sdT + Pdv + vdP$$

**but per unit mass,  $u = u(s,v)$  thus**

$$du = Tds - Pdv$$

**hence**

$$d\mu = vdP - sdT$$

**THIS IS A FORM OF THE GIBBS-DUHEM EQUATION**

**Now approximate the differentials with differences**

$$\Delta\mu = \mu_u - \mu_d \approx d\mu$$

$$\Delta P = P_u - P_d \approx dP$$

$$\Delta T = T_u - T_d \approx dT$$

$$\Delta P \approx \frac{\Delta\mu + s\Delta T}{v}$$

or

$$\Delta P \approx \rho(\Delta\mu + s\Delta T)$$

**This is a “discretized” version of the Gibbs-Duhem equation**



**NOTE:**

$$\Delta P \approx \rho_u(\mu_u - \mu_d + s_u(T_u - T_d))$$

$$\Delta P \approx \rho_u(\mu_u + s_u T_u) - \rho_u(\mu_d + s_u T_d)$$

**thus**

$$\mu_u + s_u T_u = h_u$$

**but**

$$\mu_d + s_u T_d \neq h_d$$

**Pressure is NOT computed as a difference of enthalpies**

**(nor should it be)**

**Check power flows:**

$$P_{\text{net},u} = \mu_u dN/dt + T_u s_u dN/dt = h_u dN/dt$$

$$P_{\text{net},d} = \mu_d dN/dt + T_d s_u dN/dt \neq h_d dN/dt$$

**in this model, downstream power flow is NOT enthalpy times mass flow**

**CAUTION:**

**this junction structure is only valid for small  $\Delta P$ ,  $\Delta T$  and  $\Delta\mu$**

**i.e., near equilibrium**

## COMMENTS:

**Despite appearances, enthalpy is not an appropriate effort for mass flow**

**—it only appears to be because of the coupling between mass and entropy flows**

**As with all our models, the system is partitioned into equilibrium energy storage and steady-state dissipation**

### Capacitor

- **satisfies the first law — conserves energy**
- **constitutive equation defined at equilibrium**
- **port displacements are total extensive variables**

### Resistor

- **satisfies the second law — generates entropy**
- **constitutive equation defined in steady state**
- **constitutive equations may involve specific variables**

## CAUSAL PREFERENCE

**This model of throttling is crude**

**Better models may be developed within the same structure**

**For modest ratios of upstream to downstream pressures**

**flow velocity exceeds speed of sound**

**flow becomes “choked”**

**further decrease of downstream pressure ratio does not increase flow rate**

**Models of throttling have a strong causal preference for effort inputs and flow rate outputs**

## BETTER MODELS OF THROTTLING.

**SUBSONIC:**

$$dN/dt = C_d A_t \sqrt{\frac{2\gamma}{\gamma-1} \rho_u P_u \left( \left( \frac{P_u}{\rho_u} \right)^{2/\gamma} - \left( \frac{P_d}{\rho_d} \right)^{(\gamma+1)/\gamma} \right)}$$

$C_d$ : discharge coefficient, typically  $\approx 0.5$

**SUPERSONIC:**

isentropic flow chokes if  $\frac{P_u}{P_d} \geq 0.528$

real gas with flow friction or heat addition chokes sooner

choked flow depends only on upstream pressure

**SUPERSONIC CHOKED FLOW:**

$$dN/dt = C_d A_t \left( \frac{2}{\gamma+1} \right)^{1/(\gamma-1)} \sqrt{\frac{2\gamma}{\gamma+1} \rho_u P_u}$$

**source:**

Handbook of Hydraulic Resistance, 3rd Edition, I.E. Idelchik, 1994.