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**DEPARTMENT OF MECHANICAL ENGINEERING**  
**CAMBRIDGE, MASSACHUSETTS 02139**  
**2.002 MECHANICS AND MATERIALS II**  
**SOLUTIONS FOR HOMEWORK NO. 3**

**Problem 1** (20 points)

(a) The equilibrium equations are

$$\frac{\partial \sigma_{11}}{\partial x_1} + \frac{\partial \sigma_{12}}{\partial x_2} + \frac{\partial \sigma_{13}}{\partial x_3} + \rho b_1 = 0 \quad (1)$$

$$\frac{\partial \sigma_{21}}{\partial x_1} + \frac{\partial \sigma_{22}}{\partial x_2} + \frac{\partial \sigma_{23}}{\partial x_3} + \rho b_2 = 0 \quad (2)$$

$$\frac{\partial \sigma_{31}}{\partial x_1} + \frac{\partial \sigma_{32}}{\partial x_2} + \frac{\partial \sigma_{33}}{\partial x_3} + \rho b_3 = 0 \quad (3)$$

All shear stresses are zero. Furthermore, the gravitational body force loading  $b$  has one non-zero component only, in the direction of  $e_3$ . Therefore, from the third equilibrium equation we get:

$$\frac{\partial \sigma_{33}}{\partial x_3} + \rho b_3 = 0 \Leftrightarrow \frac{\partial \sigma_{33}}{\partial x_3} = -\rho b_3 \quad (4)$$

We also know that  $\sigma_{33}(\mathbf{x}) = -p(\mathbf{x})$  and  $b_3 = -g$ . We can substitute these into Equation 4 and integrate both sides with respect to  $x_3$

$$-\int_0^{x_3} \frac{dp(x)}{dx_3} dx_3 = \int_0^{x_3} -\rho b_3 dx_3 \Leftrightarrow -\int_{p_0}^{p(x)} dp(x) = \rho g x_3 \Leftrightarrow -p(x_3) + p_0 = \rho g x_3 \quad (5)$$

$$p(x_3) = p_0 - \rho g x_3 \quad (6)$$

Note that  $p$  is only a function of  $x_3$ .

(b) 1. The traction vector on a surface can be found by multiplying the stress with the unit outward normal vector on that surface. In this case, the normal vector is

$$n_l = \begin{pmatrix} -\cos \theta \\ 0 \\ -\sin \theta \end{pmatrix} \quad (7)$$

The traction vector is then

$$t_l = \begin{bmatrix} -p(x) & 0 & 0 \\ 0 & -p(x) & 0 \\ 0 & 0 & -p(x) \end{bmatrix} \begin{Bmatrix} -\cos \theta \\ 0 \\ -\sin \theta \end{Bmatrix} \Leftrightarrow t_l = \begin{Bmatrix} p(x) \cos \theta \\ 0 \\ p(x) \sin \theta \end{Bmatrix} \quad (8)$$

2. Action and reaction, forces need to balance at the interface.

$$t_l = \begin{Bmatrix} -p(x) \cos \theta \\ 0 \\ -p(x) \sin \theta \end{Bmatrix} \quad (9)$$

3.

$$t_d = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix} \begin{Bmatrix} \cos \theta \\ 0 \\ \sin \theta \end{Bmatrix} \quad (10)$$

which gives 3 linear equations involving  $\sigma_{ij}$

$$-p \cos \theta = \sigma_{11} \cos \theta + \sigma_{13} \sin \theta \quad (11)$$

$$0 = \sigma_{21} \cos \theta + \sigma_{23} \sin \theta \quad (12)$$

$$-p \sin \theta = \sigma_{31} \cos \theta + \sigma_{33} \sin \theta \quad (13)$$

**Problem 2** (20 points)

$$\epsilon_{ij} = \frac{1+\nu}{E} \left( \sigma_{ij} - \frac{\nu}{1+\nu} \delta_{ij} \sum_{k=1}^3 \sigma_{kk} \right) + \alpha \Delta T \delta_{ij} \quad (14)$$

The procedure is similar to what was discussed in class for elastic constitutive relations without thermal effects. The idea is to eliminate  $\sum_{k=1}^3 \sigma_{kk}$  from the given equation and solve for  $\sigma_{ij}$ . To do this, take the sum of both sides of the given equation as follows

$$\sum_{i=1}^3 \epsilon_{ii} = \frac{1+\nu}{E} \left( \sum_{j=1}^3 \sigma_{jj} - \frac{\nu}{1+\nu} \sum_{k=1}^3 \sigma_{kk} \sum_{i=1}^3 \delta_{ii} \right) + \alpha \Delta T \sum_{j=1}^3 \delta_{jj} \quad (15)$$

The indexes can be anything in this case (i, j, or k) and don't affect the result.  $\sum_{j=1}^3 \delta_{jj}$  is simply the sum of the diagonal elements of the (3x3) identity matrix. Thus,  $\sum_{j=1}^3 \delta_{jj} = 3$ . Therefore, the equation becomes

$$\sum_{i=1}^3 \epsilon_{ii} = \frac{1+\nu}{E} \left( \sum_{j=1}^3 \sigma_{jj} - 3 \frac{\nu}{1+\nu} \sum_{k=1}^3 \sigma_{kk} \right) + 3\alpha \Delta T \quad (16)$$

Solving for  $\sum_{k=1}^3 \sigma_{kk}$  yields

$$\sum_{k=1}^3 \sigma_{kk} = \frac{E}{1-2\nu} \left( \sum_{i=1}^3 \epsilon_{ii} - 3\alpha \Delta T \right) \quad (17)$$

We can now substitute this expression into Equation 14 and solve for  $\sigma_{ij}$  to get

$$\sigma_{ij} = \frac{E}{1 + \nu} \left( \epsilon_{ij} + \frac{\nu}{1 - 2\nu} \delta_{ij} \sum_{i=1}^3 \epsilon_{ii} - \frac{1 + \nu}{1 - 2\nu} \alpha \Delta T \delta_{ij} \right) \quad (18)$$

To evaluate the stress components for the given data, use any commercially available software to carry out the matrix operations, such as MATLAB. The stress tensor is

$$\sigma = \begin{bmatrix} -0.6704 & 0.0808 & -0.0323 \\ 0.0808 & -0.2665 & 0 \\ -0.0323 & 0 & -4281 \end{bmatrix} GPa \quad (19)$$

**Problem 3** (30 points)

$$\epsilon_{ij} = \epsilon_{ij}^{(\text{thermal})} + \epsilon_{ij}^{(\text{mechanical})} = \alpha \Delta T \delta_{ij} + \epsilon_{ij}^{(\text{mechanical})} \quad (1)$$

$$\epsilon_{ij} = \alpha \Delta T \delta_{ij} + \frac{1}{E} \left[ (1 + \nu) \sigma_{ij} - \nu \delta_{ij} \left( \sum_{k=1}^3 \sigma_{kk} \right) \right] \quad (2)$$

This equation can be inverted to give

$$\sigma_{ij} = \frac{E}{(1 + \nu)} \left[ \epsilon_{ij} + \frac{\nu}{(1 - 2\nu)} \left( \sum_{k=1}^3 \epsilon_{kk} \right) \delta_{ij} - \frac{(1 + \nu)}{(1 - 2\nu)} \alpha \Delta T \delta_{ij} \right] \quad (3)$$

1. The strain components are determined by the derivatives of displacement components. Since the displacement should be continuous in the interface separating surface layer from substrate, the in-plane strain components in the thin surface layer should correspond to those in the substrate, too. Therefore,  $\epsilon_{11} = \epsilon_{22} = \epsilon_{12} = 0$ .
2. The problem stipulates that the thick substrate undergoes negligible total strain and temperature change. It means  $\Delta T \doteq 0$  and  $\epsilon_{ij(\text{substrate})} \doteq 0_{ij}$ .

The constitutive equation (3) gives the relation between stress components and strain components. In the equation,  $\sigma_{ij(\text{substrate})}$  can be calculated by substituting  $\epsilon_{ij(\text{substrate})}$  and  $\Delta T$  (which are all zeros).

$$\sigma_{ij(\text{ substrate})} = 0_{ij}$$

3. We know that the surface layer has a boundary condition at surface  $x_3 = 0$  which remains traction-free ( $\mathbf{t}^{\mathbf{n}} = \mathbf{0}$ ).

$$\mathbf{n} = n_1 \mathbf{e}_1 + n_2 \mathbf{e}_2 + n_3 \mathbf{e}_3 = \mathbf{e}_3$$

$$n_1 = n_2 = 0, \quad n_3 = 1$$

$$t_1 = \sigma_{11}n_1 + \sigma_{12}n_2 + \sigma_{13}n_3 = 0 \Rightarrow \sigma_{13} = 0 \quad (4)$$

$$t_2 = \sigma_{21}n_1 + \sigma_{22}n_2 + \sigma_{23}n_3 = 0 \Rightarrow \sigma_{23} = 0 \quad (5)$$

$$t_3 = \sigma_{31}n_1 + \sigma_{32}n_2 + \sigma_{33}n_3 = 0 \Rightarrow \sigma_{33} = 0 \quad (6)$$

The in-plane components  $\epsilon_{11}$ ,  $\epsilon_{22}$ , and  $\epsilon_{12}$  are zero.

By the equation (3),

$$\sigma_{12} = \sigma_{21} = \frac{E}{1 + \nu} [0 + 0 + 0] = 0 \quad (7)$$

Invoking the symmetry of the stress tensor,  $\sigma_{ij} = \sigma_{ji}$

$$[\sigma_{ij}] = \begin{bmatrix} \sigma_{11} & 0 & 0 \\ 0 & \sigma_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (8)$$

In order to justify the sign of the non-zero stress components,  $\sigma_{11}$  and  $\sigma_{22}$ , we can imagine that, when the temperature increases, the surface layers tends to extend while the substrate tends to prevent it from deforming by shrinking it. Therefore the non-zero stress components would be compression stresses. And similarly, when the temperature decreases, the two in-plane components are tensile stresses. The non-zero components  $\sigma_{11}$  and  $\sigma_{22}$  always have opposite sign from that of the change of temperature( $\Delta T$ ).

4. By the equation (2) and the in-plane strain continuity(  $\epsilon_{11} = \epsilon_{22} = \epsilon_{12} = 0$  ),

$$\begin{aligned} \epsilon_{11} &= \alpha \Delta T \delta_{11} + \frac{1}{E} [(1 + \nu)\sigma_{11} - \nu\delta_{11} (\sigma_{11} + \sigma_{22} + \sigma_{33})] \\ &= \alpha \Delta T + \frac{1}{E} [(1 + \nu)\sigma_{11} - \nu(\sigma_{11} + \sigma_{22} + 0)] = 0 \end{aligned}$$

$$\epsilon_{11} = \alpha \Delta T + \frac{1}{E} [\sigma_{11} - \nu\sigma_{22}] = 0 \quad (9)$$

$$\begin{aligned} \epsilon_{22} &= \alpha \Delta T \delta_{22} + \frac{1}{E} [(1 + \nu)\sigma_{22} - \nu\delta_{22} (\sigma_{11} + \sigma_{22} + \sigma_{33})] \\ &= \alpha \Delta T + \frac{1}{E} [(1 + \nu)\sigma_{22} - \nu(\sigma_{11} + \sigma_{22} + 0)] = 0 \end{aligned}$$

$$\epsilon_{22} = \alpha \Delta T + \frac{1}{E} [\sigma_{22} - \nu \sigma_{11}] = 0 \quad (10)$$

On solving equation (9) and (10),

$$\sigma_{11} = \sigma_{22} = -\frac{\alpha \Delta T E}{1 - \nu}$$

$$\epsilon_{11} = \epsilon_{12} = \epsilon_{22} = 0, \quad \sigma_{11} = \sigma_{22} = -\frac{\alpha \Delta T E}{1 - \nu}$$

Since all the components of the stress tensor in equation (8) are known, the strain tensor can be calculated by the equation (2).

$$\begin{aligned} \epsilon_{13} &= \alpha \Delta T \delta_{13} + \frac{1}{E} [(1 + \nu)\sigma_{13} - \nu\delta_{13}(\sigma_{11} + \sigma_{22} + \sigma_{33})] = 0 + \frac{1}{E} [0 - 0] = 0 \\ \epsilon_{23} &= \alpha \Delta T \delta_{23} + \frac{1}{E} [(1 + \nu)\sigma_{23} - \nu\delta_{23}(\sigma_{11} + \sigma_{22} + \sigma_{33})] = 0 + \frac{1}{E} [0 - 0] = 0 \\ \epsilon_{33} &= \alpha \Delta T \delta_{33} + \frac{1}{E} [(1 + \nu)\sigma_{33} - \nu\delta_{33}(\sigma_{11} + \sigma_{22} + \sigma_{33})] \\ &= \alpha \Delta T + \frac{1}{E} \left[ 0 + \nu \left( \frac{2\alpha \Delta T E}{1 - \nu} \right) \right] = \alpha \Delta T \frac{1 + \nu}{1 - \nu} \end{aligned}$$

$$[\epsilon_{ij}] = \alpha \Delta T \frac{1 + \nu}{1 - \nu} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (11)$$

5. The Mises equivalent stress  $\sigma$  is given by

$$\bar{\sigma} = \sqrt{\frac{1}{2} [(\sigma_{11} - \sigma_{22})^2 + (\sigma_{22} - \sigma_{33})^2 + (\sigma_{33} - \sigma_{11})^2] + 3[\sigma_{12}^2 + \sigma_{23}^2 + \sigma_{13}^2]} \quad (12)$$

$$\bar{\sigma} = \frac{E}{1 - \nu} \alpha |\Delta T| \quad (13)$$

To prevent yielding, it is required that  $\bar{\sigma} \leq \sigma_y$ .

$$|\Delta T| \leq \frac{(1 - \nu)\sigma_y}{E\alpha}$$

**Problem 4** (30 points)

In uniaxial loading, only one stress component is non-zero. Let's assume uniaxial loading in the 1-direction. The stress tensor is then

$$\sigma = \begin{bmatrix} \sigma_{11} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (20)$$

The stress deviator tensor is then

$$\sigma^{(dev)} = \begin{bmatrix} \frac{2}{3}\sigma_{11} & 0 & 0 \\ 0 & -\frac{1}{3}\sigma_{11} & 0 \\ 0 & 0 & -\frac{1}{3}\sigma_{11} \end{bmatrix} = \begin{bmatrix} \frac{2}{3}\Sigma & 0 & 0 \\ 0 & -\frac{1}{3}\Sigma & 0 \\ 0 & 0 & -\frac{1}{3}\Sigma \end{bmatrix} \quad (21)$$

The double summation in the Mises stress equation is calculated by squaring each component of the deviatoric stress tensor and adding them all up. More specifically,

$$\sum_{i=1}^3 \sum_{j=1}^3 \sigma_{ij}^{(dev)} \sigma_{ij}^{(dev)} = \left(\frac{2}{3}\sigma_{11}\right)^2 + \left(\frac{1}{3}\sigma_{11}\right)^2 + \left(\frac{1}{3}\sigma_{11}\right)^2 = \frac{2}{3}\Sigma^2 \quad (22)$$

Therefore, the Mises stress becomes

$$\bar{\sigma} = \sqrt{\frac{2}{3} \frac{3}{2} \Sigma^2} = |\Sigma| \quad (23)$$

Hydrostatic pressure only has normal components, ie it doesn't have shear components. As a result, the stress components that are affected by the addition/subtraction of a uniform hydrostatic pressure  $p$  are  $\sigma_{11}$ ,  $\sigma_{22}$  and  $\sigma_{33}$ , which become in the case of addition,  $\sigma_{11} + p$ ,  $\sigma_{22} + p$  and  $\sigma_{33} + p$  accordingly. The deviatoric stress components are then

$$\sigma_{11}^{(dev)} = \sigma_{11} + p - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33} + 3p) = \sigma_{11} - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33})$$

$$\sigma_{12}^{(dev)} = \sigma_{12}$$

$$\sigma_{13}^{(dev)} = \sigma_{13}$$

$$\sigma_{21}^{(dev)} = \sigma_{21}$$

$$\sigma_{22}^{(dev)} = \sigma_{22} + p - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33} + 3p) = \sigma_{22} - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33})$$

$$\sigma_{23}^{(dev)} = \sigma_{23}$$

$$\sigma_{31}^{(dev)} = \sigma_{31}$$

$$\sigma_{32}^{(dev)} = \sigma_{32}$$

$$\sigma_{33}^{(dev)} = \sigma_{33} + p - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33} + 3p) = \sigma_{33} - \frac{1}{3}(\sigma_{11} + \sigma_{22} + \sigma_{33}) \quad (24)$$

which is equal to the original stress deviator tensor.

In plane stress

$$\sigma = \begin{bmatrix} \sigma_{11} & \sigma_{12} & 0 \\ \sigma_{12} & \sigma_{22} & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (25)$$

Thus, the stress deviator tensor is

$$\sigma^{(dev)} = \begin{bmatrix} \frac{2}{3}\sigma_{11} - \frac{1}{3}\sigma_{22} & \sigma_{12} & 0 \\ \sigma_{12} & \frac{2}{3}\sigma_{22} - \frac{1}{3}\sigma_{11} & 0 \\ 0 & 0 & -\frac{1}{3}(\sigma_{11} + \sigma_{22}) \end{bmatrix} \quad (26)$$

Then, the Mises stress becomes

$$\bar{\sigma} = \sqrt{\frac{3}{2}3\sigma_{12}^2 + \frac{3}{2}9(4\sigma_{11}^2 + \sigma_{22}^2 - 4\sigma_{11}\sigma_{22}) + \frac{3}{2}9(4\sigma_{22}^2 + \sigma_{11}^2 - 4\sigma_{11}\sigma_{22}) + \frac{3}{2}9(\sigma_{11}^2 + \sigma_{22}^2 + 2\sigma_{11}\sigma_{22})} \quad (27)$$

After some manipulation, we get

$$\bar{\sigma}^2 = 3\sigma_{12}^2 + \sigma_{11}^2 + \sigma_{22}^2 - \sigma_{11}\sigma_{22} \quad (28)$$

Finally, in the case of pure shear, the stress tensor is

$$\sigma = \begin{bmatrix} 0 & \tau & 0 \\ \tau & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad (29)$$

The stress deviator tensor is identical to the stress tensor since pure shear does not cause volumetric changes (only shape changes). Thus,  $\sigma = \sigma^{dev}$ . The Mises stress is

$$\bar{\sigma} = \sqrt{\frac{3}{2}2\tau^2} \Leftrightarrow |\tau| = \frac{\bar{\sigma}}{\sqrt{3}} \quad (30)$$

As mentioned in the problem statement, yielding occurs when  $\bar{\sigma} = \sigma_y$ . Therefore,  $|\tau| = \frac{\sigma_y}{\sqrt{3}}$ . Furthermore, the only non-zero variable in the Tresca condition is  $\sigma_{12} = \tau$ . Thus, the first inequality yields  $|\tau| \leq \frac{\sigma_y}{2}$  and the second one  $|\tau| \leq \sigma_y$ . Thus, the Tresca condition predicts yielding when  $|\tau| = \frac{\sigma_y}{2}$ .