

SYMPLECTIC GEOMETRY, LECTURE 12

Prof. Denis Auroux

1. EXISTENCE OF ALMOST-COMPLEX STRUCTURES

Let (M, ω) be a symplectic manifold. If J is a compatible almost-complex structure, we obtain invariants $c_j(TM, J) \in H^{2j}(M, \mathbb{Z})$ of the deformation equivalence class of (M, ω) .

Remark. There exist 4-manifolds $(M^4, \omega_1), (M^4, \omega_2)$ s.t. $c_1(TM, \omega_1) \neq c_1(TM, \omega_2)$.

We can use this to obtain an obstruction to the existence of an almost-complex structure on a 4-manifold: note that we have two Chern classes $c_1(TM, J) \in H^2(M, \mathbb{Z})$ and $c_2(TM, J) = e(TM) \in H^4(M, \mathbb{Z}) \cong \mathbb{Z}$ if M^4 is closed, compact. Then the class

$$(1) \quad (1 + c_1 + c_2)(1 - c_1 + c_2) - 1 = -c_1^2 + 2c_2 = c_2(TM \oplus \overline{TM}, J \oplus \overline{J}) = c_2(TM \otimes_{\mathbb{R}} \mathbb{C}, i)$$

is independent of J .

More generally, for E a real vector space with complex structure J , we have an equivalence $(E \otimes_{\mathbb{R}} \mathbb{C}, i) \cong E \oplus \overline{E} = (E, J) \oplus (E, -J)$. Indeed, J extends \mathbb{C} -linearly to an almost complex structure $J_{\mathbb{C}}$ which is diagonalizable with eigenvalues $\pm i$. Applying this to vector bundles, we obtain the *Pontrjagin classes*

$$(2) \quad p_1(TM) = -c_2(TM \otimes_{\mathbb{R}} \mathbb{C}) \in H^4(M, \mathbb{Z}) \cong \mathbb{Z}$$

for a 4-manifold M .

Theorem 1. $p_1(TM) \cdot [M] = 3\sigma(M)$, where $\sigma(M)$ is the signature of M (the difference between the number of positive and negative eigenvalues of the intersection product $Q : H_2(M) \otimes H_2(M) \rightarrow \mathbb{Z}, [A] \otimes [B] \mapsto [A \cap B]$ dual to the cup product on H^2).

Corollary 1. $c_1^2 \cdot [M] = 2\chi(M) + 3\sigma(M)$.

Remark. Under the map $H^2(M, \mathbb{Z}) \rightarrow H^2(M, \mathbb{Z}/2\mathbb{Z})$, the Chern class $c_1(TM, J)$ gets sent to the *Stiefel-Whitney class* $w_2(TM)$. This means that

$$(3) \quad c_1(TM) \cdot [A] \equiv Q([A], [A]) \pmod{2} \quad \forall [A] \in H_2(M, \mathbb{Z})$$

Theorem 2. \exists an almost complex structure J on M^k s.t. $\alpha = c_1(TM, J) \in H^2(M, \mathbb{Z})$ iff α satisfies

$$(4) \quad \alpha^2 \cdot [M] = 2\chi + 3\sigma \text{ and } \alpha \cdot [A] \equiv Q([A], [A]) \pmod{2} \quad \forall [A] \in H_2(M, \mathbb{Z})$$

Examples:

- On S^4 , if J were an almost complex structure, then $c_1(TS^4, J) \in H^2(S^4) = 0$. However, $\chi(S^4) = 2$ and $\sigma(S^4) = 0$, so $2 \cdot 2 + 3 \cdot 0$ cannot be c_1^2 , and thus there is no almost complex structure.
- On $\mathbb{C}\mathbb{P}^2$, we have $H_2(\mathbb{C}\mathbb{P}^2, \mathbb{Z}) = \mathbb{Z}$ generated by $[\mathbb{C}\mathbb{P}^1]$ with intersection product $Q([\mathbb{C}\mathbb{P}^1], [\mathbb{C}\mathbb{P}^1]) = 1$ (the number of lines in the intersection of two planes in \mathbb{C}^3). By Mayer-Vietoris, $H_2(\mathbb{C}\mathbb{P}^2 \# \mathbb{C}\mathbb{P}^2, \mathbb{Z}) \cong \mathbb{Z}^2$ has intersection product $Q = I_{2 \times 2} \implies \sigma = 2$ and Euler characteristic $\chi = 4$. Now, assume $c_1(TM, J) = (a, b) \in H_2(M, \mathbb{Z})$: if there were an almost complex structure,

$$(5) \quad a^2 + b^2 = c_1^2 = 2\chi + 3\sigma = 14$$

which is impossible.

2. TYPES AND SPLITTINGS

Let (M, J) be an almost complex structure, J extended \mathbb{C} -linearly to $TM \otimes \mathbb{C} = TM^{1,0} \oplus TM^{0,1}$ (with the decomposition being into $+i$ and $-i$ eigenspaces). Here, $TM^{1,0} = \{v - iJv \mid v \in TM\}$ is the set of *holomorphic* tangent vectors and $TM^{0,1} = \{v + iJv, v \in TM\}$ is the set of *anti-holomorphic* tangent vectors. For instance, on \mathbb{C}^n , this gives

$$(6) \quad \frac{1}{2} \left(\frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right) = \frac{\partial}{\partial z_j}, \quad \frac{1}{2} \left(\frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right) = \frac{\partial}{\partial \bar{z}_j}$$

respectively. More generally, we have induced real isomorphisms

$$(7) \quad \pi^{1,0} : TM \rightarrow TM^{1,0}, v \mapsto v^{1,0} = \frac{1}{2}(v - iJv), \pi^{0,1} : TM \rightarrow TM^{0,1}, v \mapsto v^{0,1} = \frac{1}{2}(v + iJv)$$

Then $(Jv)^{1,0} = i(v^{1,0})$, $(Jv)^{0,1} = -i(v^{0,1})$, so $(TM, J) \cong TM^{1,0} \cong \overline{TM^{0,1}}$ as almost-complex bundles.

Similarly, the complexified cotangent bundle decomposes as $T^*M^{1,0} = \{\eta \in T^*M \otimes \mathbb{C} \mid \eta(Jv) = i\eta(v)\}$, $T^*M^{0,1} = \{\eta \in T^*M \otimes \mathbb{C} \mid \eta(Jv) = -i\eta(v)\}$, with maps from the original cotangent bundle given by

$$(8) \quad \eta \mapsto \eta^{1,0} = \frac{1}{2}(\eta - i(\eta \circ J)) = \frac{1}{2}(\eta + iJ^*\eta), \eta \mapsto \eta^{0,1} = \frac{1}{2}(\eta + i(\eta \circ J)) = \frac{1}{2}(\eta - iJ^*\eta)$$

For \mathbb{C}^n , we find that

$$(9) \quad J^*dx_i = dy_i, J^*dy_i = -dx_i \implies dx_j + idy_j = dz_j \in (T^*\mathbb{C}^n)^{1,0}, dx_j - idy_j = d\bar{z}_j \in (T^*\mathbb{C}^n)^{0,1}$$

More generally, on a complex manifold, in holomorphic local coordinates, we have $T^*M^{1,0} = \text{Span}(dz_j)$. Note also that $T^*M^{1,0}$ pairs with $TM^{0,1}$ trivially.

2.1. Differential forms. Ω^k splits into forms of type (p, q) , $p + q = k$, with

$$(10) \quad \wedge^{p,q} T^*M = (\wedge^p T^*M^{1,0}) \otimes (\wedge^q T^*M^{0,1}) = \bigoplus_{p+q=k} \wedge^{p,q} T^*M$$

Definition 1. For $\alpha \in \Omega^{p,q}(M)$, $\partial\alpha = (d\alpha)^{p+1,q} \in \Omega^{p+1,q}$ and $\bar{\partial}\alpha = (d\alpha)^{p,q+1} \in \Omega^{p,q+1}$.

In general,

$$(11) \quad d\alpha = (d\alpha)^{p+q+1,0} + (d\alpha)^{p+q,1} + \dots + (d\alpha)^{0,p+q+1}$$

For a function, we have $df = \partial f + \bar{\partial} f$. Now, say $f : M \rightarrow \mathbb{C}$ is J -holomorphic if $\bar{\partial} f = 0 \Leftrightarrow df \in \Omega^{1,0} \Leftrightarrow df(Jv) = idf(v)$.

2.2. Dolbeault cohomology. Assume d maps $\Omega^{p,q} \rightarrow \Omega^{p+1,q} \oplus \Omega^{p,q+1}$, i.e. $d = \partial + \bar{\partial}$. On \mathbb{C}^n , for instance, we have

$$(12) \quad \begin{aligned} \partial(\alpha_{I,J} dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q}) &= \sum_k \frac{\partial \alpha_{IJ}}{\partial z_k} dz_k \wedge dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q} \\ \bar{\partial}(\alpha_{I,J} dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q}) &= \sum_k \frac{\partial \alpha_{IJ}}{\partial \bar{z}_k} d\bar{z}_k \wedge dz_{i_1} \wedge \dots \wedge dz_{i_p} \wedge d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q} \end{aligned}$$

Then, $\forall \beta \in \Omega^{p,q}, 0 = d^2\beta = \partial\bar{\partial}\beta + \bar{\partial}\partial\beta + \bar{\partial}\bar{\partial}\beta + \partial\partial\beta \implies \partial^2 = 0, \bar{\partial}^2 = 0, \partial\bar{\partial} + \bar{\partial}\partial = 0$. Since $\bar{\partial}^2 = 0$, we obtain a complex $0 \rightarrow \Omega^{p,0} \xrightarrow{\bar{\partial}} \Omega^{p,1} \dots$.

Definition 2. The Dolbeault cohomology of M is

$$(13) \quad H^{p,q}(M) = \frac{\text{Ker}(\bar{\partial} : \Omega^{p,q} \rightarrow \Omega^{p,q+1})}{\text{Im}(\bar{\partial} : \Omega^{p,q-1} \rightarrow \Omega^{p,q})}$$

In general, this is not finite-dimensional. We'll see that on a compact Kähler manifold, i.e. a manifold with compatible symplectic and complex structures, $H^k(M, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(M)$.

2.3. Integrability. Let (M, J) be a manifold with almost-complex structure.

Definition 3. *The Nijenhuis tensor is the map $N(u, v) = [Ju, Jv] - J[u, Jv] - J[Jv, u] - [u, v]$ for u, v vector fields on M .*

In fact, $N(u, v) = -8\text{Re}([u^{1,0}, v^{1,0}])^{0,1}$.