

**18.966 – Homework 1 – Solutions.**

**1.** Let  $E$  be a Lagrangian subspace of a symplectic vector space  $(V, \Omega)$ , and let  $e_1, \dots, e_n$  be a basis of  $E$ . We proceed by induction, assuming we have constructed  $f_1, \dots, f_{k-1} \in V$  such that the family  $(e_1, \dots, e_n, f_1, \dots, f_{k-1})$  is free and  $\Omega(e_i, f_i) = 1$ ,  $\Omega(e_i, f_j) = 0$  for  $i \neq j$ , and  $\Omega(f_i, f_j) = 0$ .

Because  $(e_1, \dots, e_n, f_1, \dots, f_{k-1})$  is free, there exists a (non-unique) linear form  $\tau \in V^*$  such that  $\tau(e_i) = 0$  for  $i \neq k$ ,  $\tau(f_i) = 0$  for  $i < k$ , and  $\tau(e_k) = 1$ . Using the fact that  $\Omega$  is non-degenerate (induces an isomorphism between  $V$  and  $V^*$ ), there exists  $f_k \in V$  such that  $\Omega(\cdot, f_k) = \tau$ .

Let us check that the family  $(e_1, \dots, e_n, f_1, \dots, f_k)$  is free. Indeed, if  $v = \sum_{i=1}^n \lambda_i e_i + \sum_{i=1}^k \mu_i f_i = 0$ , then  $\Omega(e_i, v) = \mu_i = 0$  for all  $1 \leq i \leq k$ , and  $v = \sum \lambda_i e_i = 0$ ; since the  $(e_i)$  form a basis of  $E$ , we also have  $\lambda_i = 0$  for all  $i$ . Moreover,  $\Omega(e_i, f_k)$  and  $\Omega(f_i, f_k)$  are as prescribed.

Therefore, by induction we can construct  $f_1, \dots, f_n$  such that  $(e_1, \dots, e_n, f_1, \dots, f_n)$  is a basis of  $V$  (it's a free family and  $\dim V = 2n$ ) and the expression of  $\Omega$  in this basis is the standard one.

**2.**  $S^2$  is an orientable surface and hence carries a symplectic structure (its standard area form, for example); however, for  $n \geq 2$ , the compact manifold  $S^{2n}$  has  $H^2(S^{2n}, \mathbb{R}) = 0$ , so it cannot be symplectic (for any closed 2-form,  $\int_{S^{2n}} \omega^n = [\omega]^n \cdot [S^{2n}] = 0$ ).

The torus  $T^{2n}$  always carries a symplectic structure, induced from the standard symplectic structure of  $\mathbb{R}^{2n}$  (which is preserved by translations). (On  $T^{2n}$  there are coordinates  $x_1, \dots, x_n, y_1, \dots, y_n \in \mathbb{R}/\mathbb{Z} = S^1$ , the symplectic form can be written as  $\omega = \sum dx_i \wedge dy_i$ .) Alternatively,  $T^{2n}$  is the product of  $n$  copies of  $T^2$  which is an orientable surface. (Recall a product of symplectic manifolds is symplectic.)

**3. a)**

$$\begin{aligned} \iint_{[0,1] \times S^1} \Gamma^* \omega &= \int_0^1 \int_{S^1} \omega_{\gamma_t(s)} \left( \frac{\partial}{\partial t} \gamma_t(s), \frac{\partial}{\partial s} \gamma_t(s) \right) ds dt \\ &= \int_0^1 \int_{S^1} \omega_{\gamma_t(s)} (X_t(\gamma_t(s)), \dot{\gamma}_t(s)) ds dt \\ &= \int_0^1 \left( \int_{\gamma_t} i_{X_t} \omega \right) dt = \int_0^1 \langle [i_{X_t} \omega], [\gamma_t] \rangle dt. \end{aligned}$$

Observing that  $\gamma_t$  and  $\gamma$  are mutually homologous (the restriction of  $\Gamma$  to  $[0, t] \times S^1$  provides a bounding 2-chain), the r.h.s. is equal to  $\int_0^1 \langle [i_{X_t} \omega], [\gamma] \rangle dt = \langle \text{Flux}(\rho_t), [\gamma] \rangle$ .

b) Assume  $\phi : (x, \xi) \mapsto (x, \xi + 1)$  is generated by a time-dependent Hamiltonian vector field  $X_t$  (i.e.,  $\phi = \rho_1$ , and  $i_{X_t} \omega = dH_t$  for some Hamiltonian  $H_t : M \rightarrow \mathbb{R}$ ). Then  $\text{Flux}(\rho_t) = 0$  by definition ( $[i_{X_t} \omega] = 0$  for all  $t$ ).

Recall that  $\omega = d\alpha$ , where  $\alpha = \xi dx$ , and consider the loop  $\gamma : S^1 \rightarrow T^*S^1$  defined by  $\gamma(x) = (x, 0)$ , and its image  $\gamma_1 = \phi(\gamma)$  given by  $\gamma_1(x) = (x, 1)$ . recall that by (1) and Stokes' theorem we have

$$\langle \text{Flux}(\rho_t), [\gamma] \rangle = \iint_{[0,1] \times S^1} \Gamma^*(d\alpha) = \int_{S^1} \gamma_1^* \alpha - \int_{S^1} \gamma_0^* \alpha,$$

which implies that  $\int_{\gamma_1} \alpha = \int_{\gamma_0} \alpha$ , in contradiction with the direct calculation ( $\int_{\gamma_0} \xi dx = 0$  and  $\int_{\gamma_1} \xi dx = 2\pi$ ). Therefore  $\phi$  is not Hamiltonian.

4. a)  $\omega_t = \phi_t^* \omega$  is a symplectic form, and  $\frac{d}{dt} \omega_t$  is an exact 1-form since it equals  $\phi_t^*(L_{Y_t} \omega) = d(\phi_t^*(i_{Y_t} \omega))$  where  $Y_t$  is the vector field generating  $\phi_t$ . Hence following Moser's argument we can find a 1-form  $\alpha_t$  such that  $d\alpha_t = -\frac{d}{dt} \omega_t$  (in this case we can e.g. take  $\alpha_t = -\phi_t^*(i_{Y_t} \omega)$ ) and a vector field  $X_t$  such that  $\alpha_t = i_{X_t} \omega_t$  (for example  $X_t = -(\phi_t^{-1})_*(Y_t)$ ).

Let  $\psi_t = \phi_t \circ \rho_t$ , where  $\rho_t$  is the isotopy generated by the vector fields  $X_t$ . Then  $\psi_t^* \omega = \rho_t^*(\phi_t^* \omega) = \rho_t^* \omega_t$ , and

$$\frac{d(\psi_t^* \omega)}{dt} = \frac{d}{dt}(\rho_t^* \omega_t) = \rho_t^*(L_{X_t} \omega_t + \frac{d\omega_t}{dt}) = 0,$$

so  $\psi_t$  is a family of symplectomorphisms. Moreover, if we assume that the vector field  $X_t$  is tangent to  $\Sigma_0$  for all  $t$ , then by integration of the differential equation  $\rho_0(p) = p$ ,  $\frac{d}{dt} \rho_t(p) = X_t(\rho_t(p))$  we obtain that  $\rho_t$  maps  $\Sigma_0$  onto itself. Therefore,  $\psi_t(\Sigma_0) = \phi_t(\Sigma_0) = \Sigma_t$ . (Note that the flow is well-defined because  $M$  and  $\Sigma_0$  are compact.)

b) Consider a point  $p \in \Sigma_0$ : because the symplectic orthogonal to  $N_p^\omega \Sigma_0$  is exactly  $T_p \Sigma_0$ , the vector field  $X$  is tangent to  $\Sigma_0$  at  $p$  (i.e.  $X_p \in T_p \Sigma_0$ ) if and only if  $\omega_p(X_p, v) = 0 \forall v \in N_p^\omega \Sigma_0$ , i.e. if and only if  $i_X \omega$  vanishes on  $N_p^\omega \Sigma_0$ .

c) Let  $X$  be a neighborhood of the zero section in  $N^\omega \Sigma_0 = \{(p, v), p \in \Sigma_0, v \in N_p^\omega \Sigma_0\}$ . Using e.g. the exponential map for an arbitrary metric we can construct a smooth map  $\theta : X \rightarrow M$  such that  $\forall p \in \Sigma_0, \theta(p, 0) = p$ , and  $\forall v \in N_p^\omega \Sigma_0, d\theta_{(p,0)}(0, v) = v$ . Consider a point  $(p, 0)$  of the zero section in  $X$ : we have  $T_{(p,0)} X = T_p \Sigma_0 \oplus N_p^\omega \Sigma_0$ , and by construction  $d_{(p,0)} \theta(u, v) = u + v$  for all  $u \in T_p \Sigma_0$  and  $v \in N_p^\omega \Sigma_0$ . However,  $T_p \Sigma_0$  is a symplectic subspace of the vector space  $(T_p M, \omega)$ , so  $T_p M = T_p \Sigma_0 \oplus N_p^\omega \Sigma_0$ , and the differential of  $\theta$  at  $p$  is an isomorphism. Therefore  $\theta$  is a local diffeomorphism, i.e. it induces a diffeomorphism over a neighborhood  $U$  of the zero section.

At any point  $p \in \Sigma_0$ , the restriction to  $N_p^\omega \Sigma_0$  of the 1-form  $\alpha \in \Omega^1(M)$  defines a linear form  $\alpha_p : N_p^\omega \Sigma_0 \rightarrow \mathbb{R}$ . Let  $h : N^\omega \Sigma_0 \rightarrow \mathbb{R}$  be the function defined by  $h(p, v) = \alpha_p(v)$ . Finally, let  $\chi : N^\omega \Sigma_0 \rightarrow [0, 1]$  be a smooth cut-off function equal to 1 over a neighborhood of the zero section and with support contained in  $U$ , and let  $\tilde{h}(p, v) = \chi(p, v)h(p, v)$ . By construction,  $d_{(p,0)} \tilde{h}(0, v) = d_{(p,0)} h(0, v) = \alpha_p(v)$ .

Let  $f : M \rightarrow \mathbb{R}$  be the unique smooth function with support contained in  $\theta(U)$  and such that  $f(\theta(x)) = \tilde{h}(x)$  for all  $x \in U$ . Then by construction, for every  $p \in \Sigma_0$  and  $v \in N_p^\omega \Sigma_0$ ,  $d_p f(v) = d_{(p,0)} \tilde{h} \circ (d_{(p,0)} \theta)^{-1}(v) = d_{(p,0)} \tilde{h}(0, v) = \alpha_p(v)$ , i.e. the restriction of  $df$  to  $N_p^\omega \Sigma_0$  is equal to that of  $\alpha$ .

d) Let  $\alpha_t$  be a smooth family of 1-forms such that  $d\alpha_t = -\frac{d}{dt} \omega_t$  (for example those constructed in (a)), and let  $f_t$  be the functions constructed in (c). Then  $\tilde{\alpha}_t = \alpha_t - df_t$  also satisfies the property that  $d\tilde{\alpha}_t = -\frac{d}{dt} \omega_t$ , and additionally the restriction of  $\tilde{\alpha}_t$  to  $N_p^{\omega_t} \Sigma_0$  (the orthogonal to  $T_p \Sigma_0$  with respect to  $\omega_t$ ) vanishes at every point of  $\Sigma_0$ . Therefore the vector field  $X_t$  such that  $i_{X_t} \omega_t = \tilde{\alpha}_t$  is tangent to  $\Sigma_0$  at every point of  $\Sigma_0$  (by the result of (b)), and  $L_{X_t} \omega_t = -\frac{d}{dt} \omega_t$ . By part (a) this completes the proof.