

1.021, 3.021, 10.333, 22.00 : Introduction to Modeling and Simulation : Spring 2012

Part II – Quantum Mechanical Methods : Lecture 1

It's A Quantum World: The Theory of Quantum Mechanics

Jeffrey C. Grossman



Department of Materials Science and Engineering
Massachusetts Institute of Technology

3.021 Content Overview

I. Particle and continuum methods

1. Atoms, molecules, chemistry
2. Continuum modeling approaches and solution approaches
3. Statistical mechanics
4. Molecular dynamics, Monte Carlo
5. Visualization and data analysis
6. Mechanical properties – application: how things fail (and how to prevent it)
7. Multi-scale modeling paradigm
8. Biological systems (simulation in biophysics) – how proteins work and how to model them

II. Quantum mechanical methods ← *we are here*

Welcome to Part 2!

The next 11 lectures will cover atomistic quantum modeling of materials.

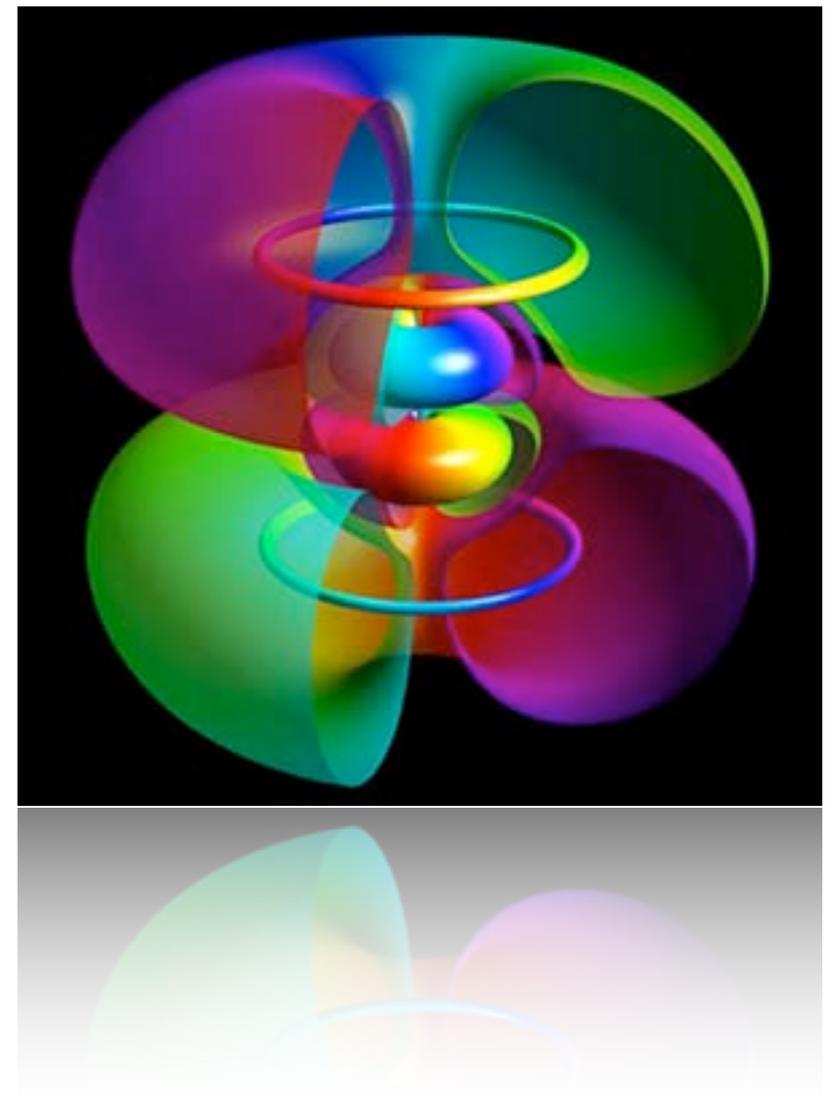
Note: there will be a substitute lecturer on Tuesday, April 10 and no class on Thursday, April 12.

Part II Topics

1. It's a Quantum World: The Theory of Quantum Mechanics
2. Quantum Mechanics: Practice Makes Perfect
3. From Many-Body to Single-Particle; Quantum Modeling of Molecules
4. Application of Quantum Modeling of Molecules: Solar Thermal Fuels
5. Application of Quantum Modeling of Molecules: Hydrogen Storage
6. From Atoms to Solids
7. Quantum Modeling of Solids: Basic Properties
8. Advanced Prop. of Materials: What else can we do?
9. Application of Quantum Modeling of Solids: Solar Cells Part I
10. Application of Quantum Modeling of Solids: Solar Cells Part II
11. Application of Quantum Modeling of Solids: Nanotechnology

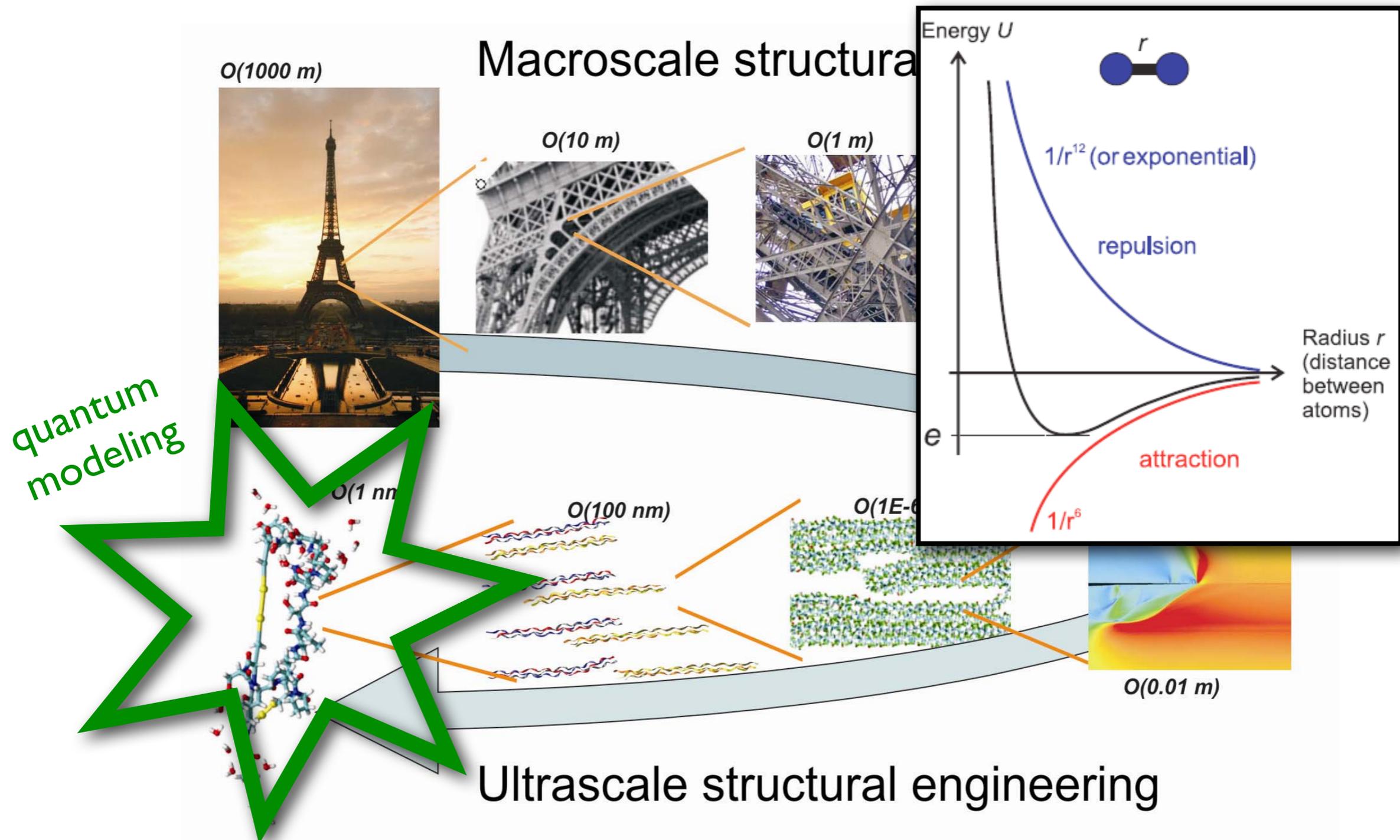
Lesson outline

- Why quantum mechanics?
- Wave aspect of matter
- Interpretation
- The Schrödinger equation
- Simple examples



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Multi-scale modeling



Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>. Used with permission.

It's a quantum world!



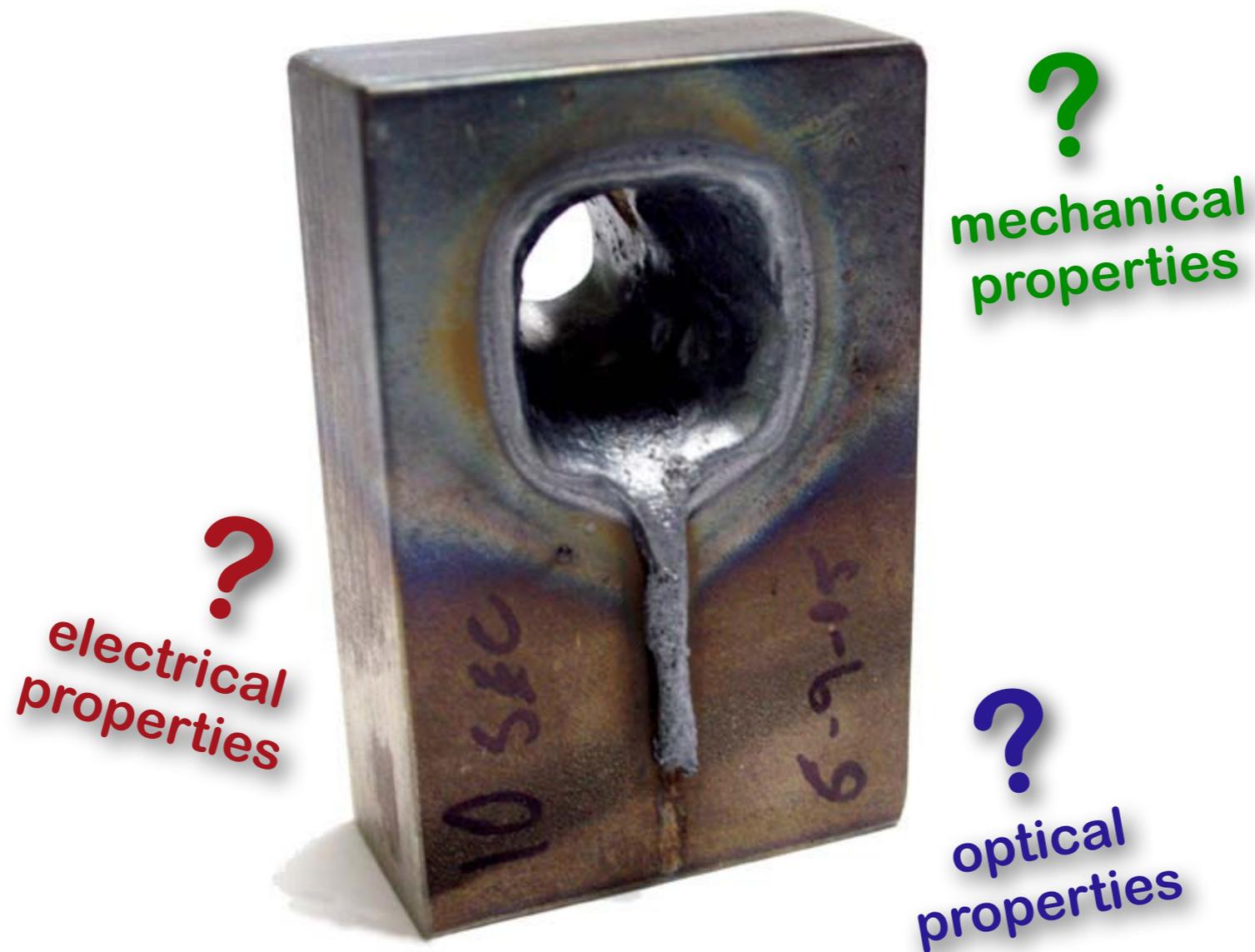
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Motivation

If we understand **electrons**,
then we understand ***everything***.

(almost) ...

Quantum modeling/ simulation



A simple iron atom ...

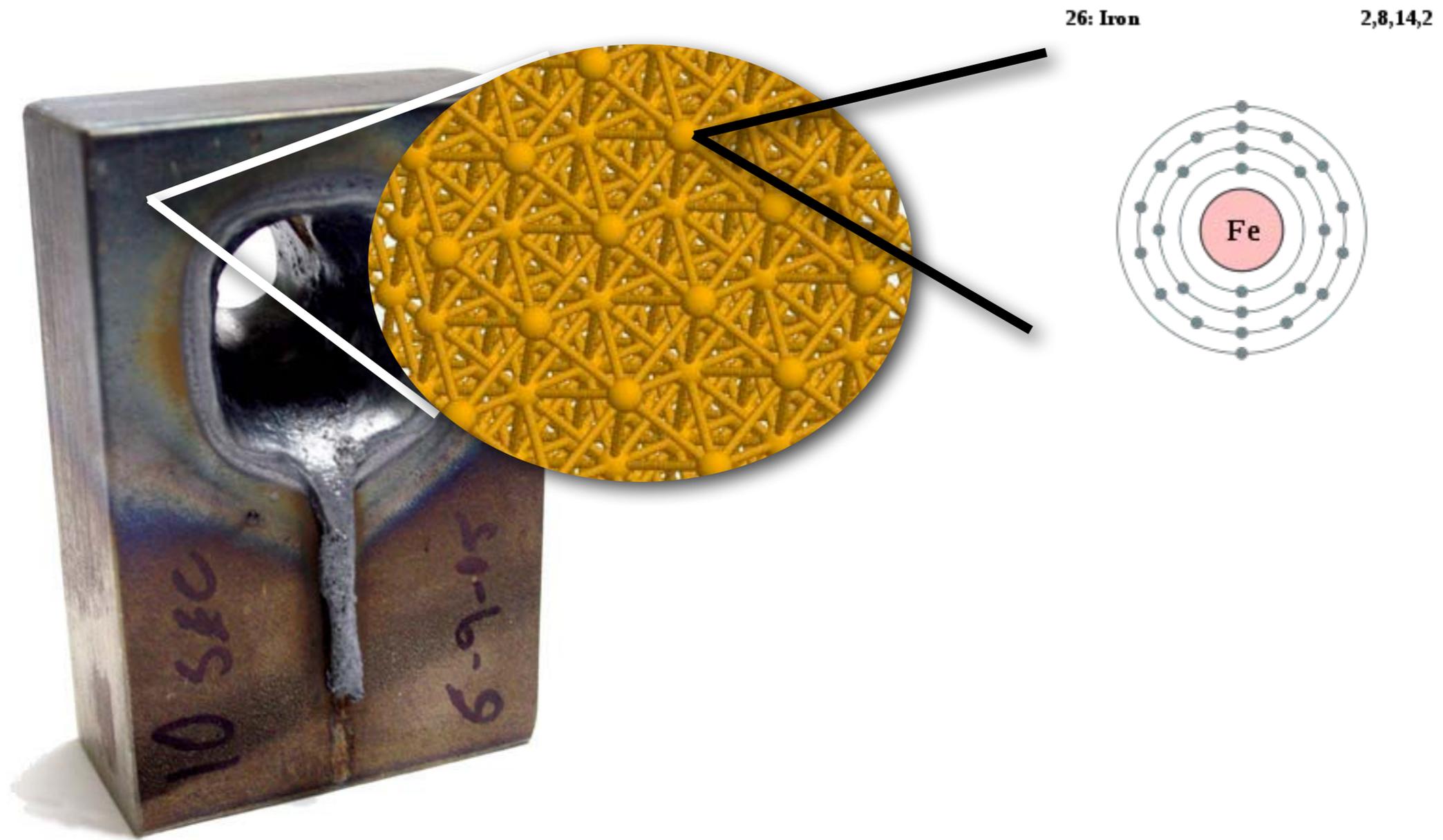
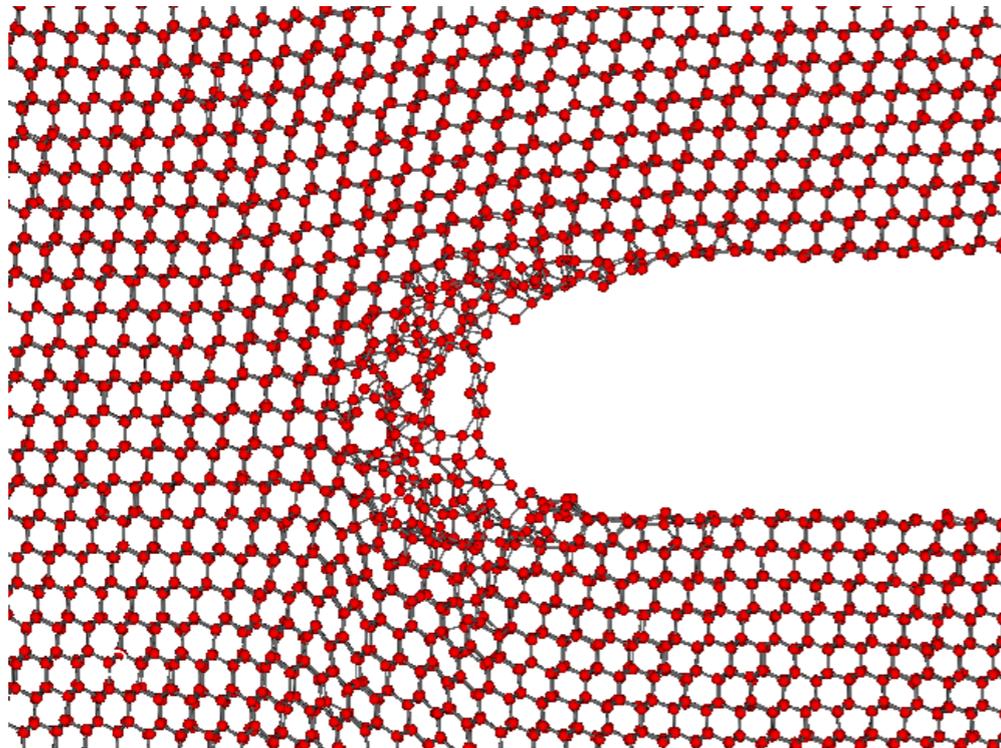


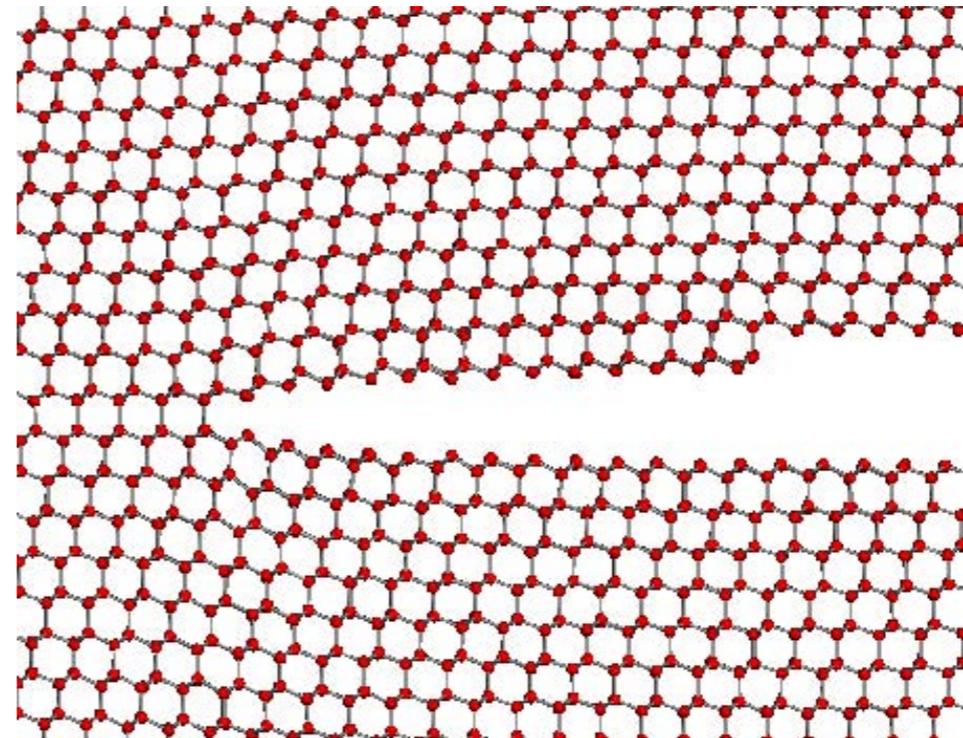
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Why Quantum Mechanics?

Accurate/predictive structural/atomistic properties, when we need to span a wide range of coordinations, and bond-breaking, bond-forming takes place.
(But beware of accurate energetics with poor statistics !)

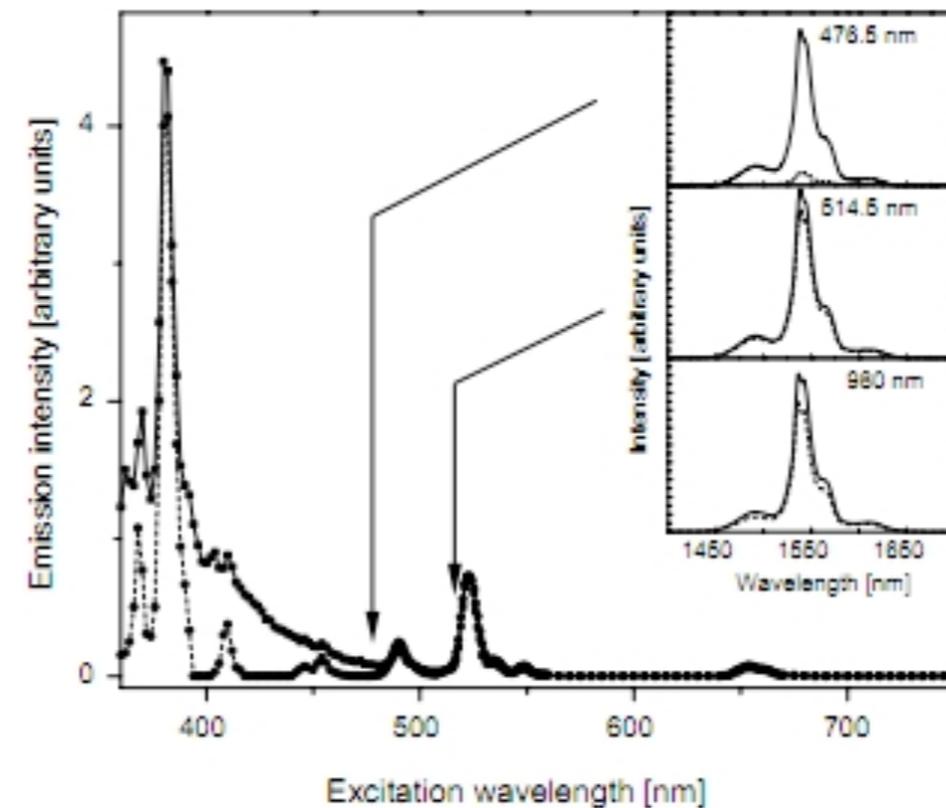


EDIP Si potential



Tight-binding

Electronic, optical, magnetic properties



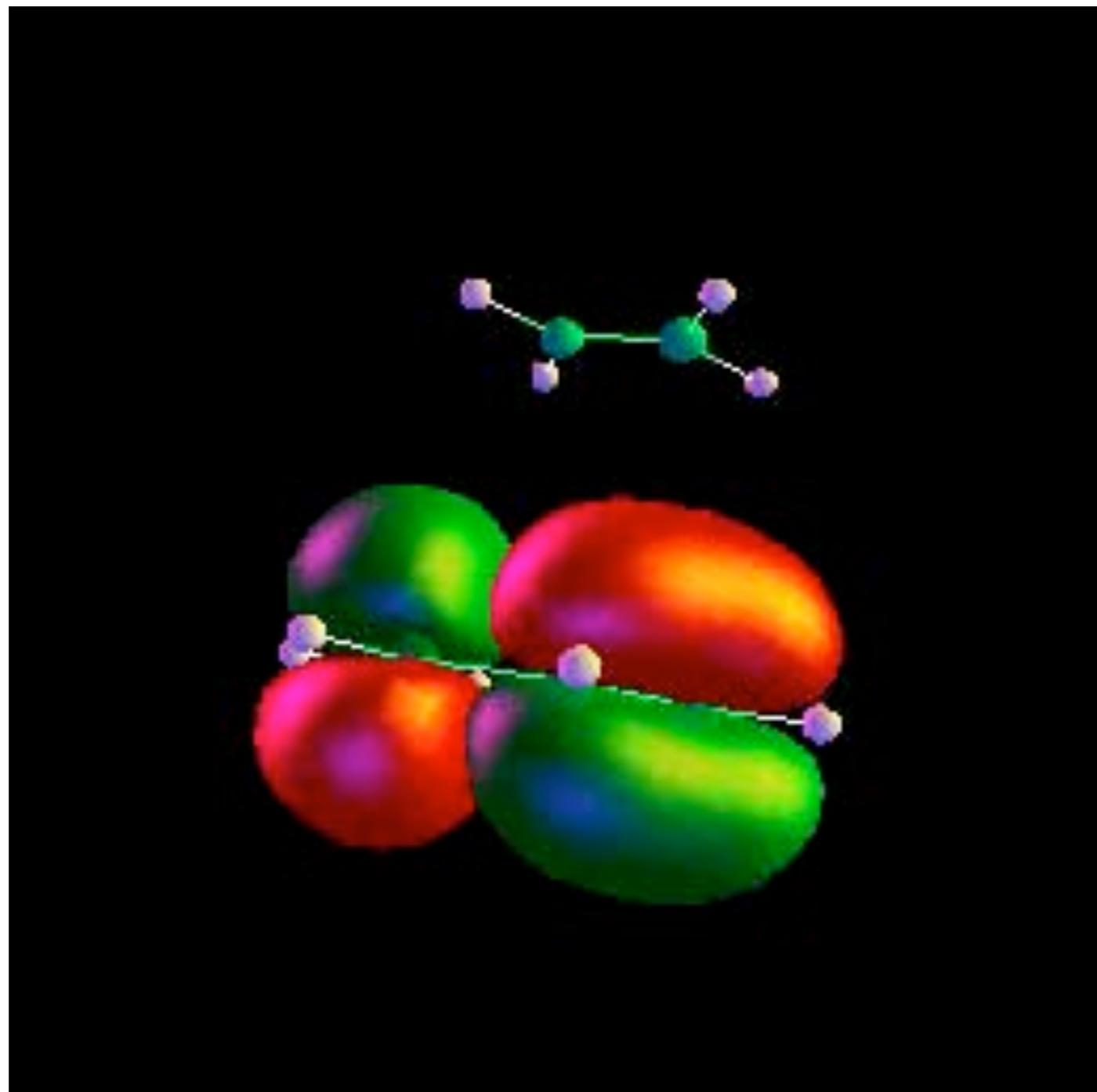
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Jahn-Teller effect in porphyrins (A. Ghosh)

Non-resonant Raman in silicates (Lazzeri and Mauri)

Reactions

1,3-butadiene +
ethylene →
cyclohexene

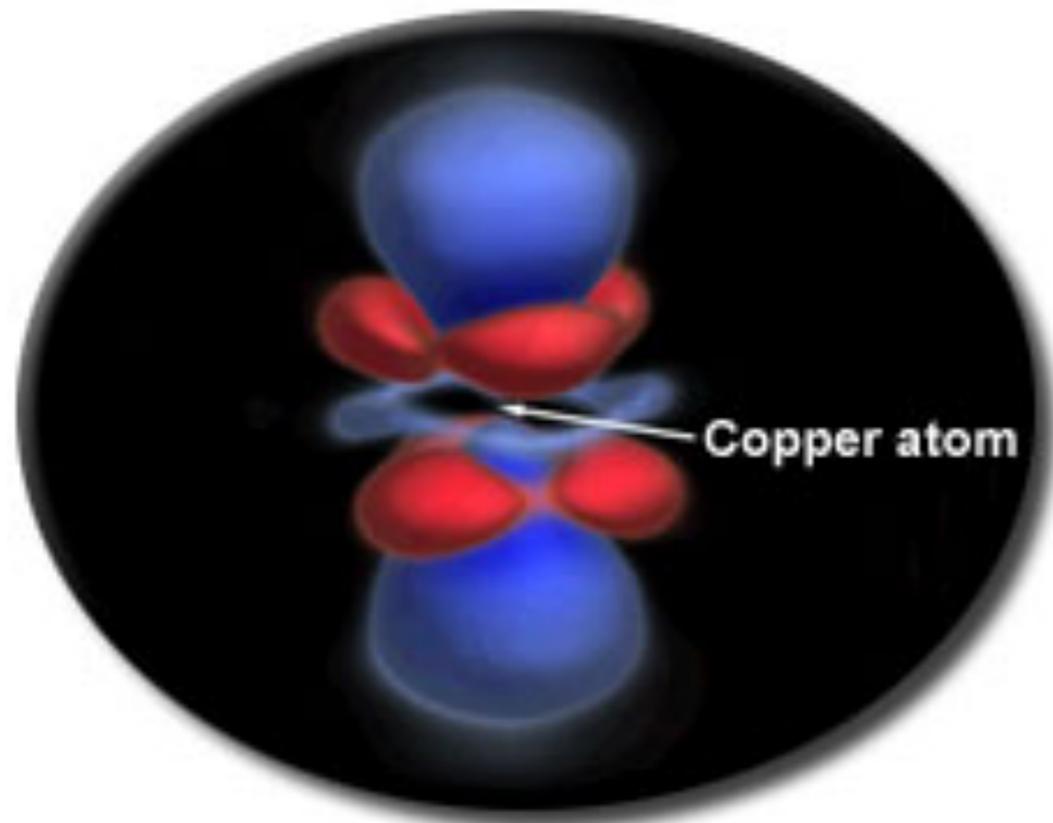


See Lecture 1 video for animation. © James E. Kendall/MSC Caltech. All rights reserved. This content is excluded from our Creative Commons license. For more information, see <http://ocw.mit.edu/help/faq-fair-use/>.

Standard Model of Matter

- Atoms are made by **MASSIVE, POINT-LIKE NUCLEI** (protons+neutrons)
- Surrounded by tightly bound, rigid shells of **CORE ELECTRONS**
- Bound together by a glue of **VALENCE ELECTRONS** (gas vs. atomic orbitals)

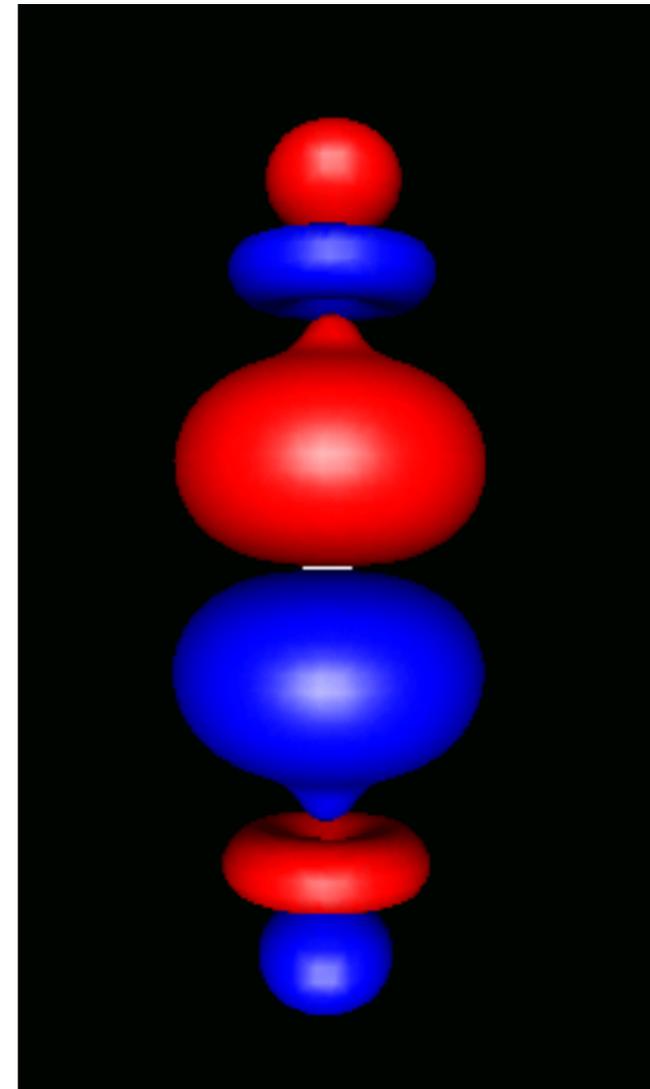
It's real!



Copper-Oxygen Bond in Cuprite

Zuo, Kim, O'Keefe and Spence
Arizona State University/NSF

**Cu-O Bond
(experiment)**



**Ti-O Bond
(theory)**

Reprinted by permission from Macmillan Publishers Ltd: Nature. Source:
Zuo, J., M. Kim, et al. "Direct Observation of d-orbital Holes and Cu-Cu
Bonding in Cu₂O." *Nature* 401, no 6748 (1999): 49-52. © 1999.

Importance of Solving for this Picture with a Computer

- It provides us microscopic understanding
- It has predictive power (it is “first-principles”)
- It allows controlled “gedanken” experiments
- Challenges:
 - ▶ Length scales
 - ▶ Time scales
 - ▶ Accuracy

Why quantum mechanics?

Classical mechanics

Newton's laws (1687)

$$\vec{F} = \frac{d(m\vec{v})}{dt}$$

Problems?

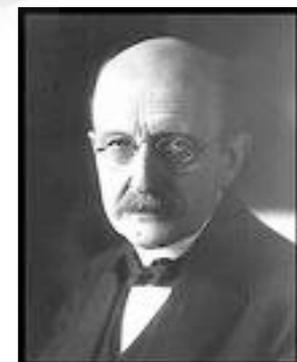
Why quantum mechanics?

Problems in **classical** physics that led to **quantum** mechanics:

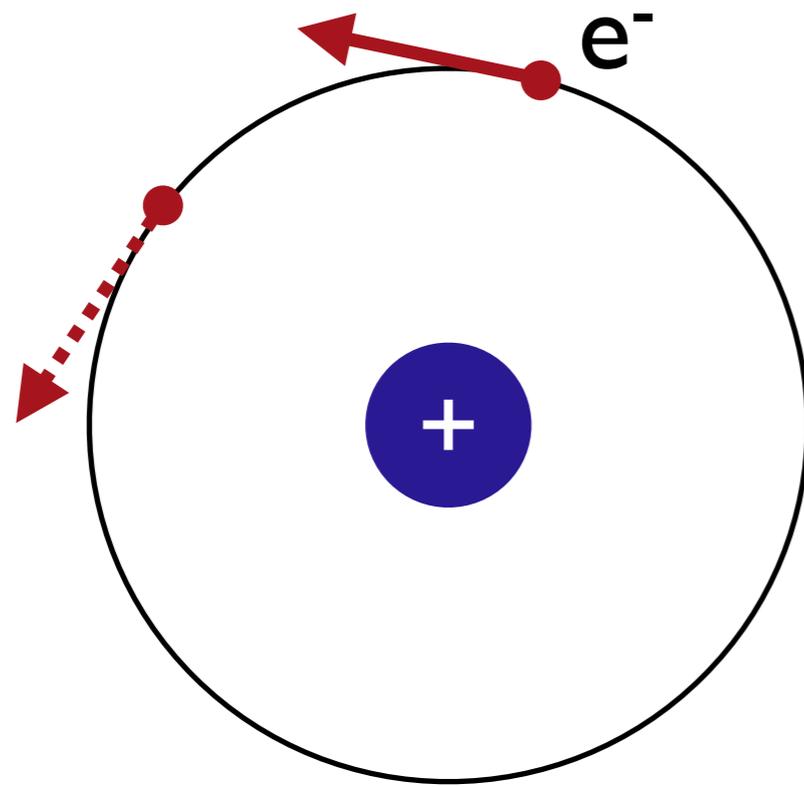
- “classical atom”
- quantization of properties
- wave aspect of matter
- (black-body radiation), ...

Quantum mechanists

Werner Heisenberg, Max Planck,
Louis de Broglie, Albert Einstein,
Niels Bohr, Erwin Schrödinger,
Max Born, John von Neumann,
Paul Dirac, Wolfgang Pauli
(1900 - 1930)



“Classical atoms”



hydrogen atom

problem:
accelerated charge causes
radiation, atom is not stable!

Quantization of properties

photoelectric effect

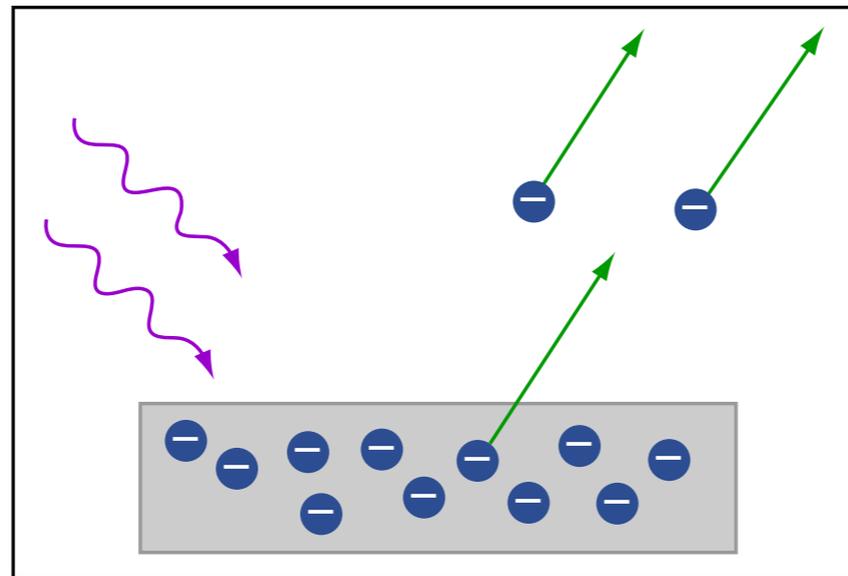
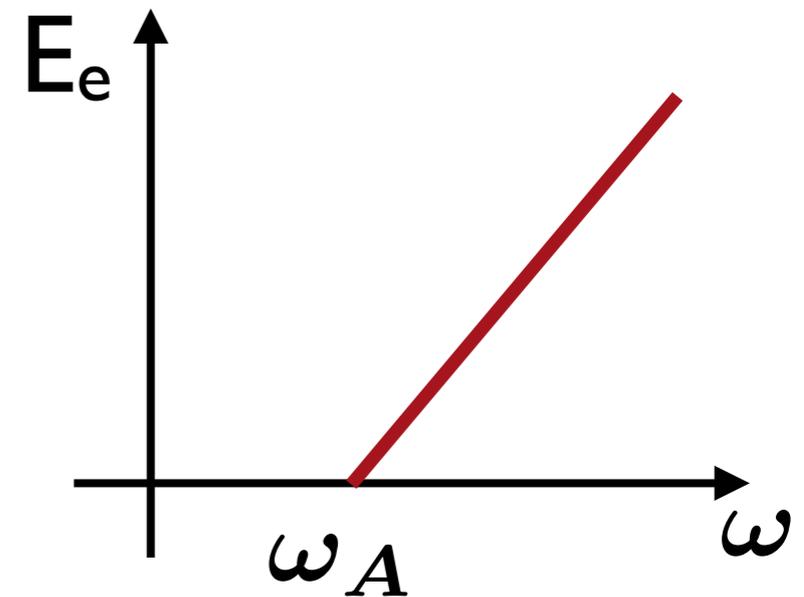


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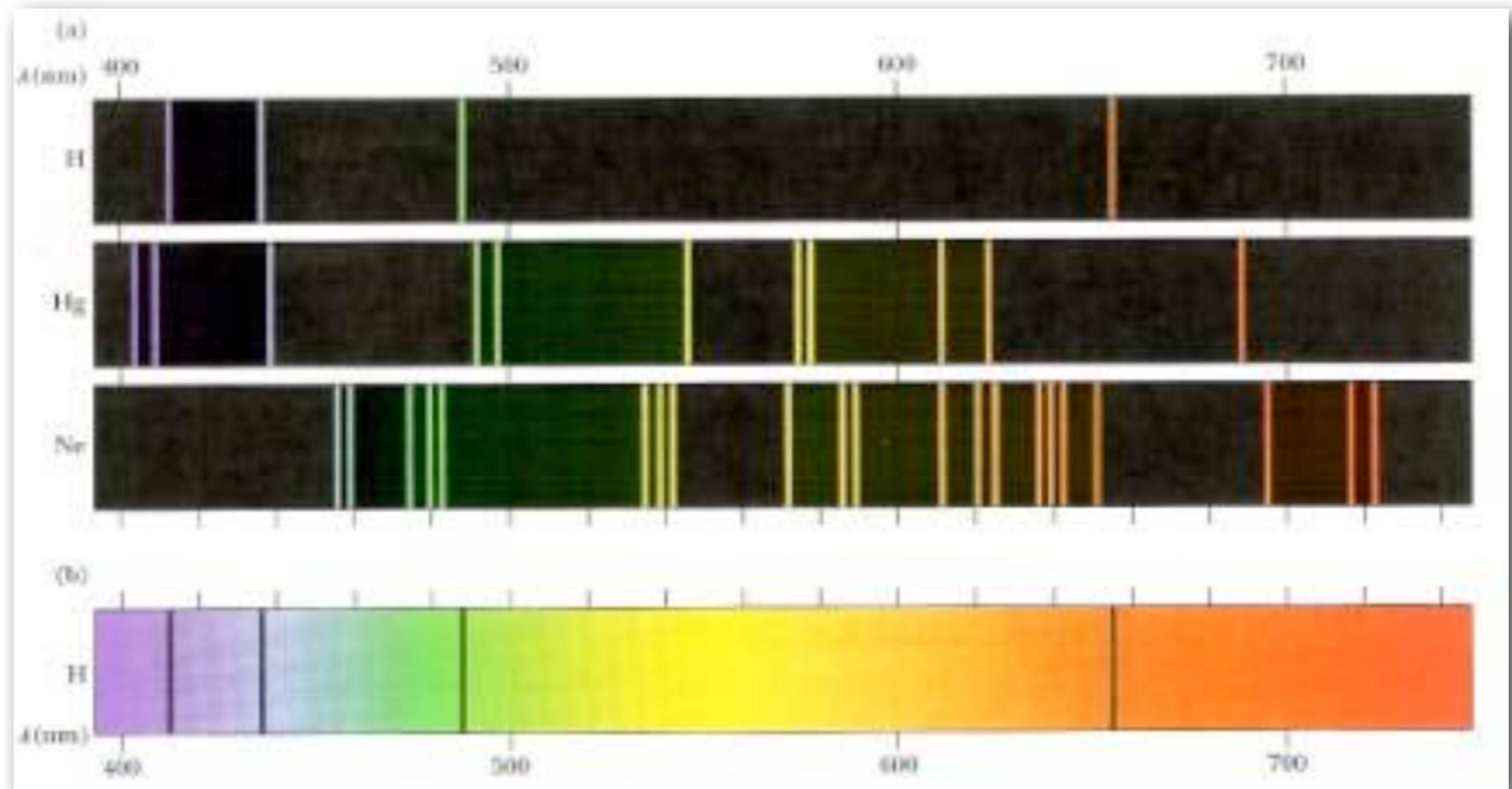
$$E = \hbar(\omega - \omega_A) = h(\nu - \nu_A)$$

$$h = 2\pi\hbar = 6.6 \cdot 10^{-34} \text{ Wattsec.}^2$$

Einstein: photon $E = \hbar\omega$

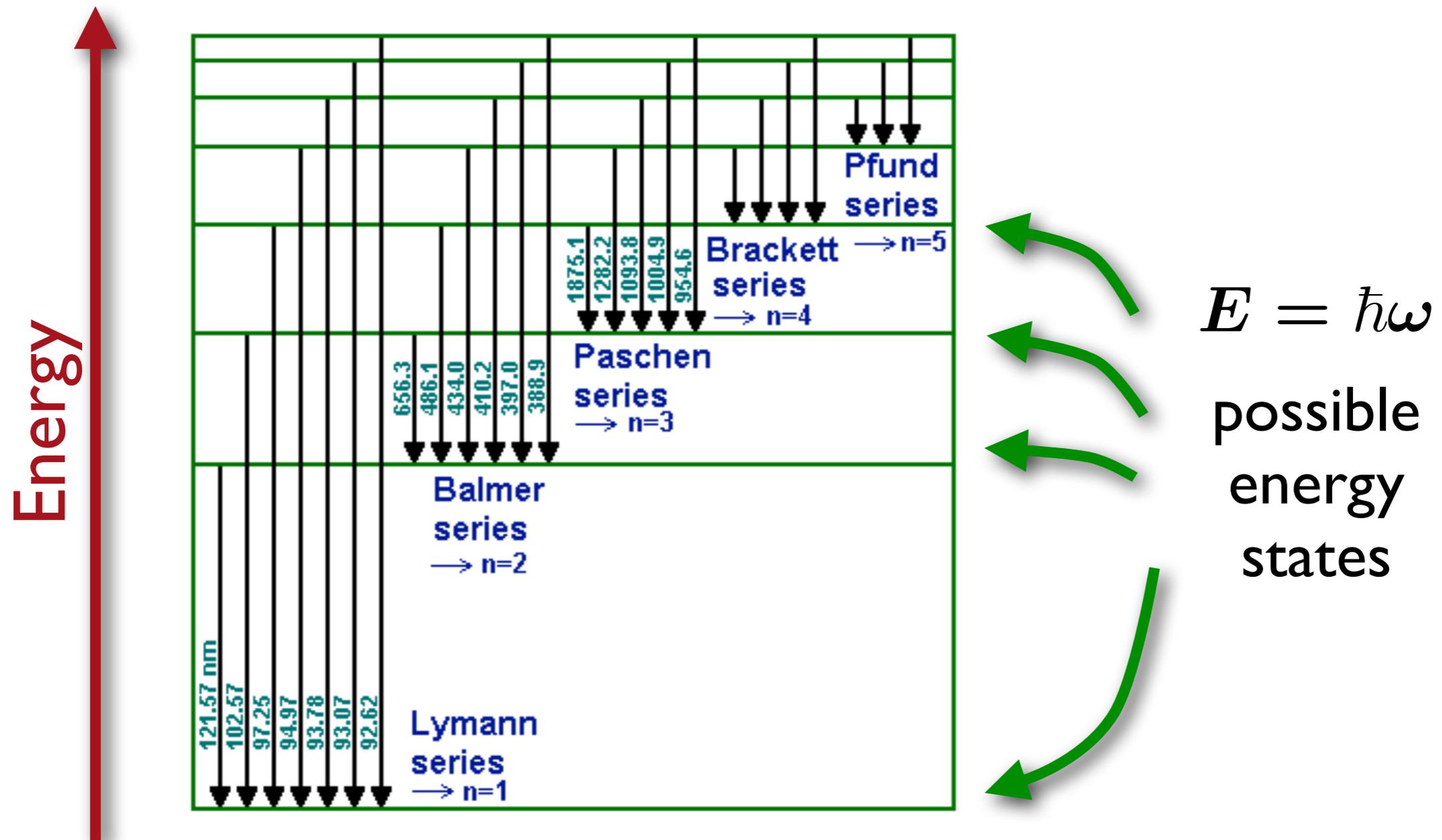
Quantization of properties

atomic
spectra



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Quantization of properties



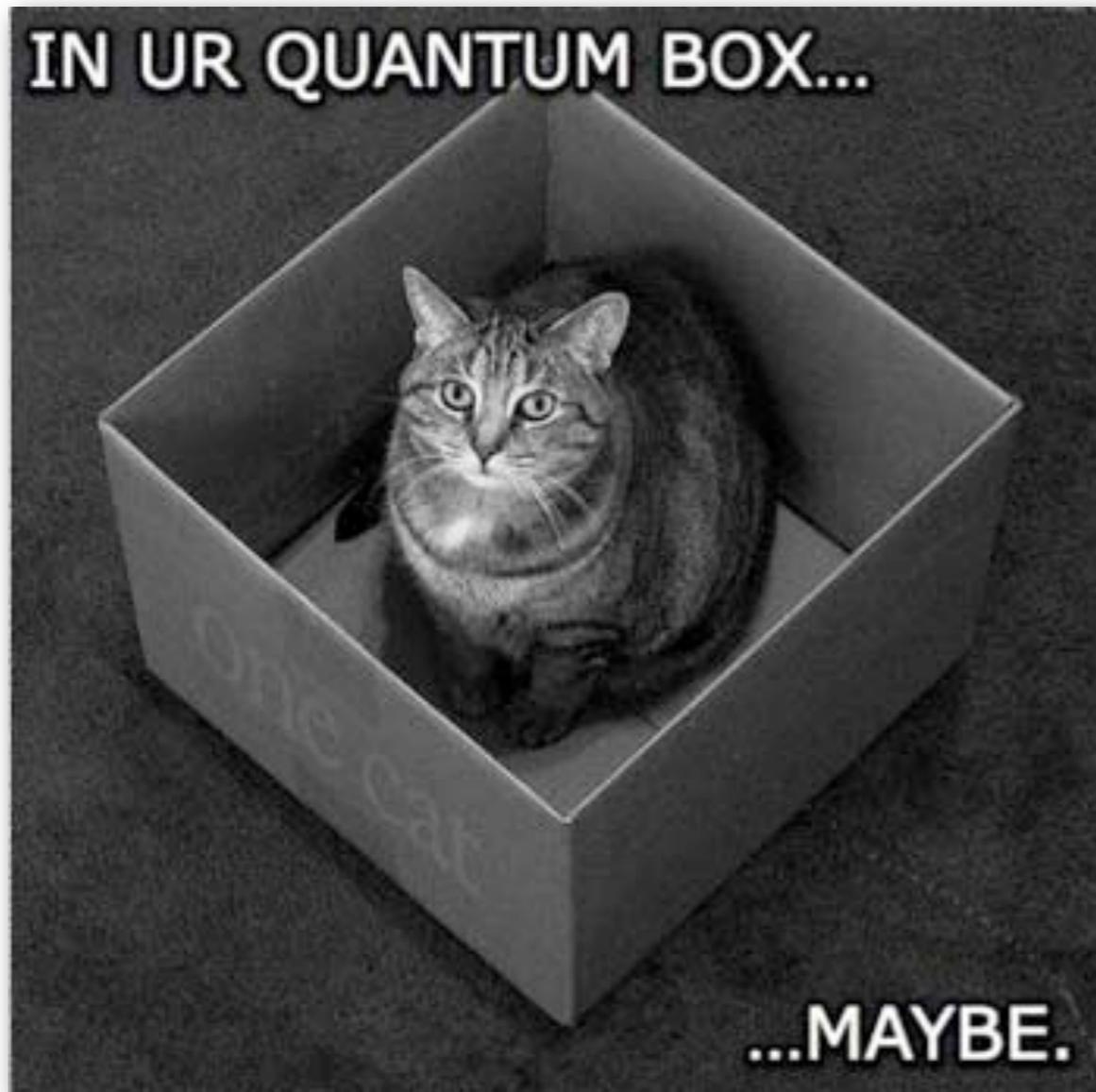
The Double-Slit Experiment

Dr Quantum explains the double-slit experiment.
From the film: *What the bleep do we know?*
See Lecture 1 video for full clip.

**"Anyone who is not shocked
by quantum theory has not
understood it"**

Niels Bohr

Schrödinger's Cat



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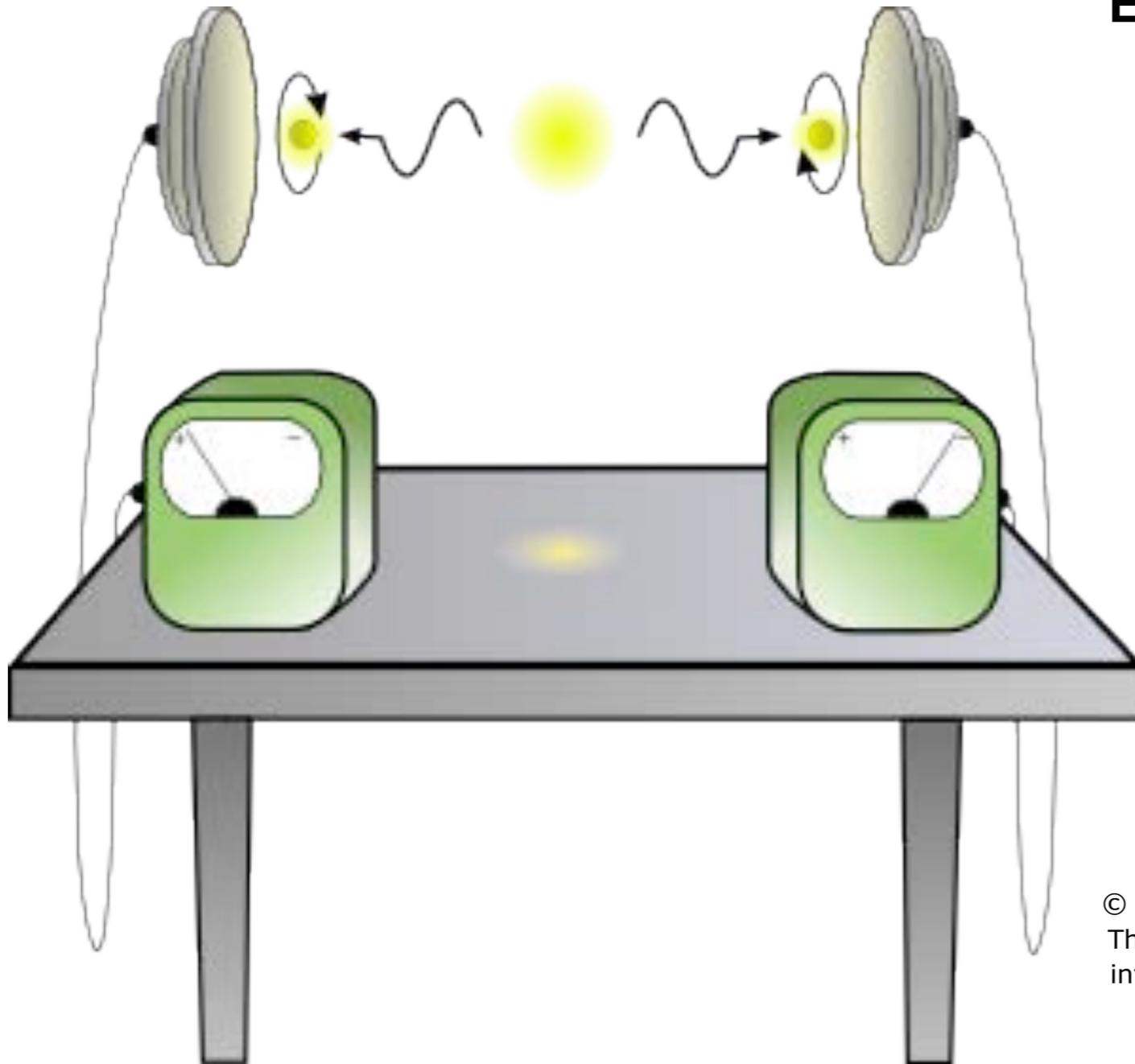


Erwin
Schrödinger
(1887 – 1961)

"I don't like it, and I'm sorry I ever had anything to do with it," Schrödinger, on the cat paradox.

EPR Paradox

Einstein–Podolsky–Rosen



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Wave-Particle Duality

- *Waves have particle-like properties:*
 - *Photoelectric effect: quanta (photons) are exchanged discretely*
 - *Energy spectrum of an incandescent body looks like a gas of very hot particles*
- **Particles have wave-like properties:**
 - **Electrons in an atom are like standing waves (harmonics) in an organ pipe**
 - **Electrons beams can be diffracted, and we can see the fringes**

Interference Patterns

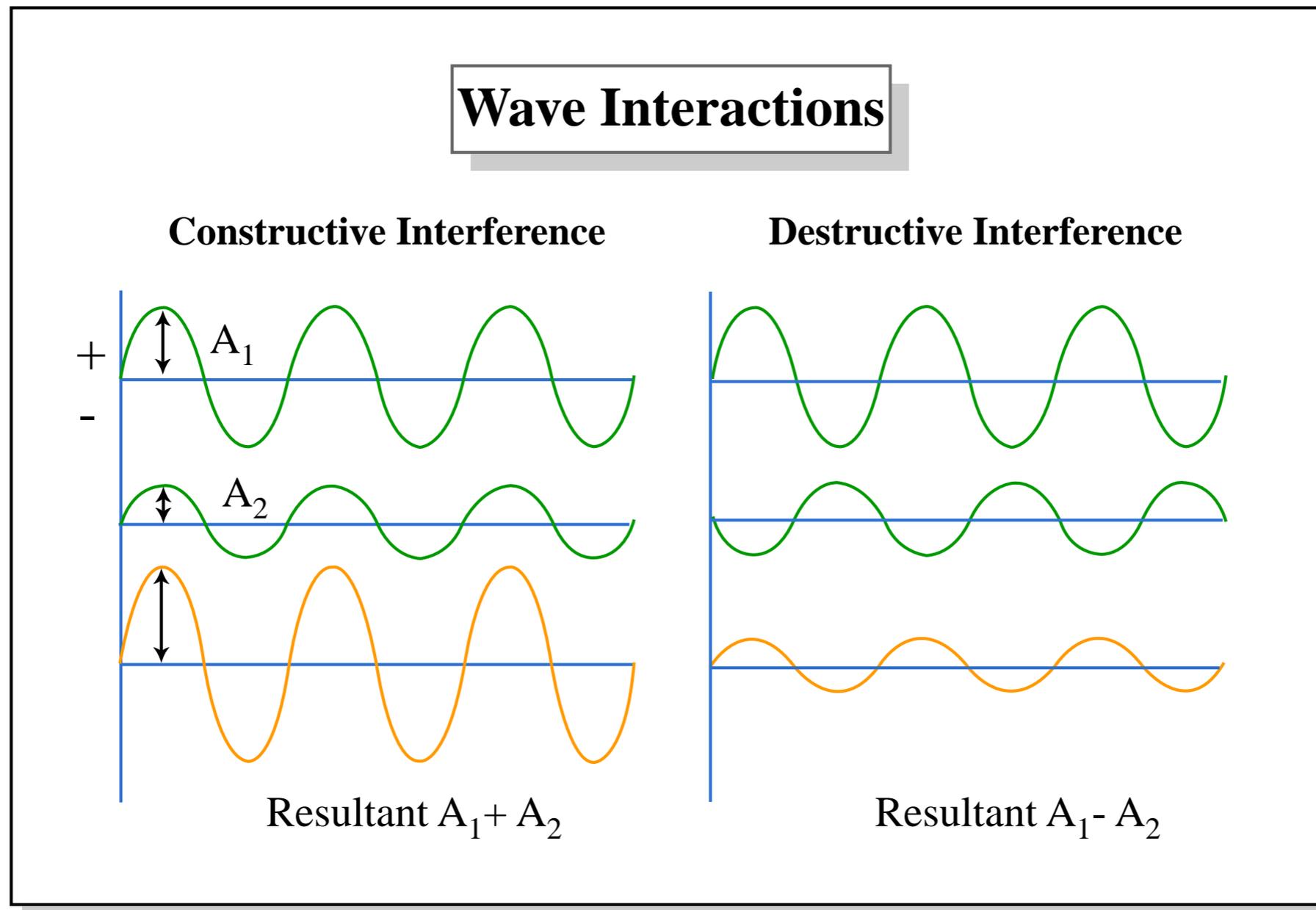
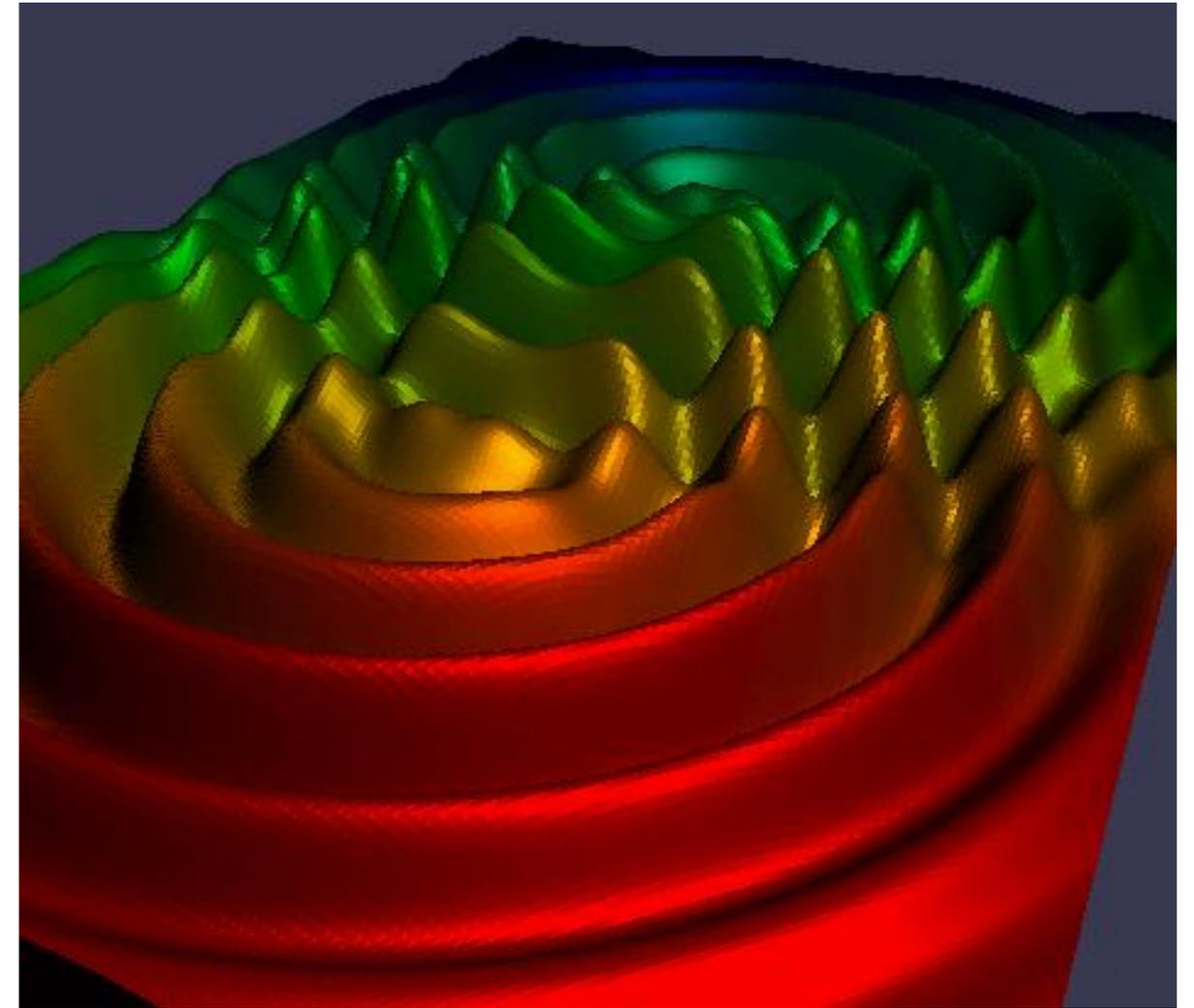
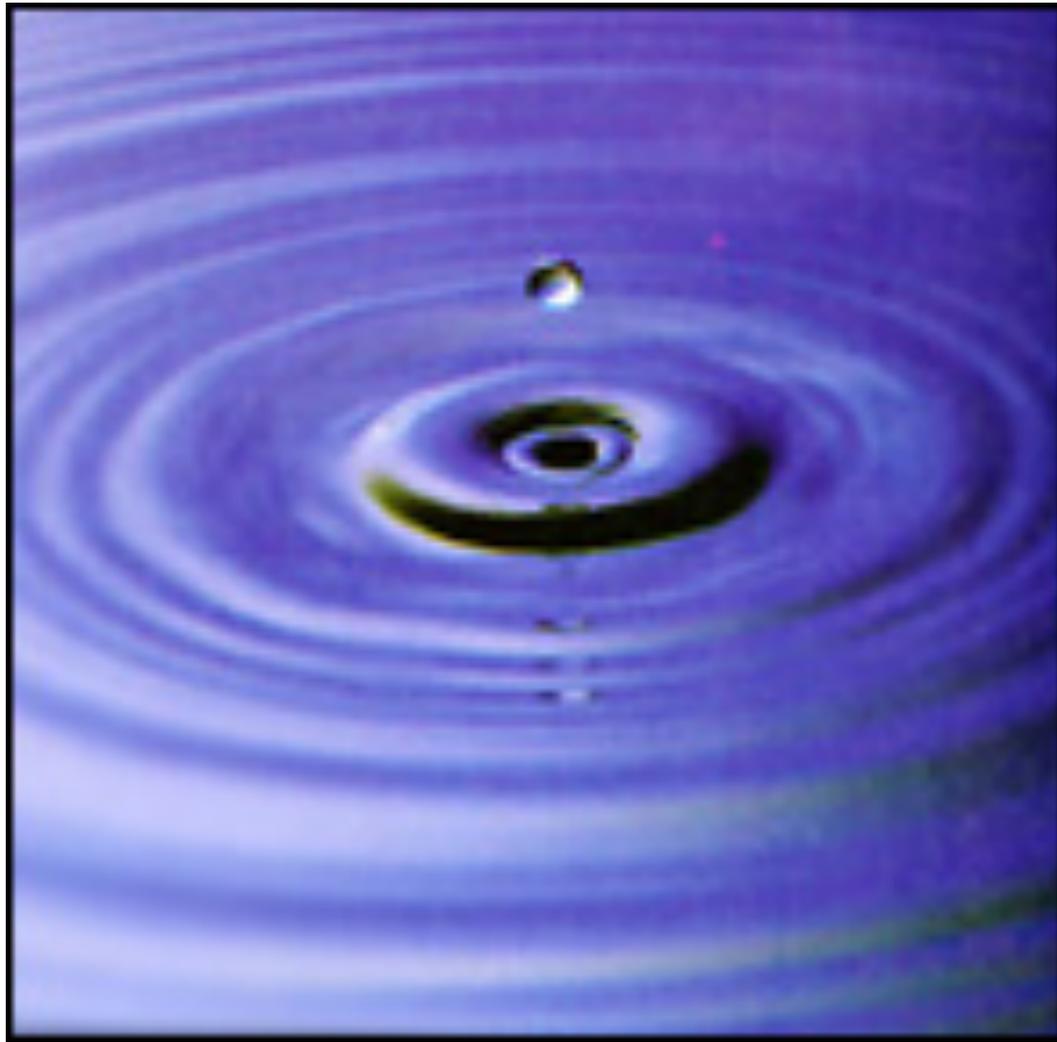


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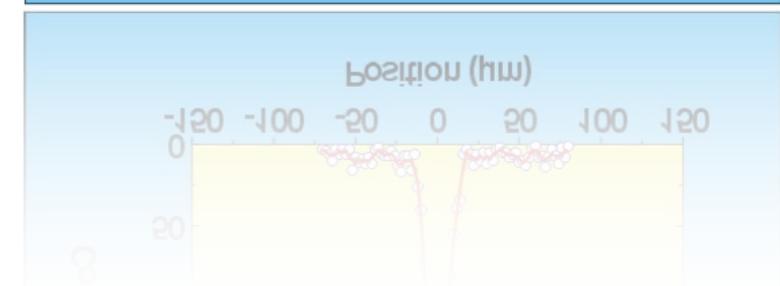
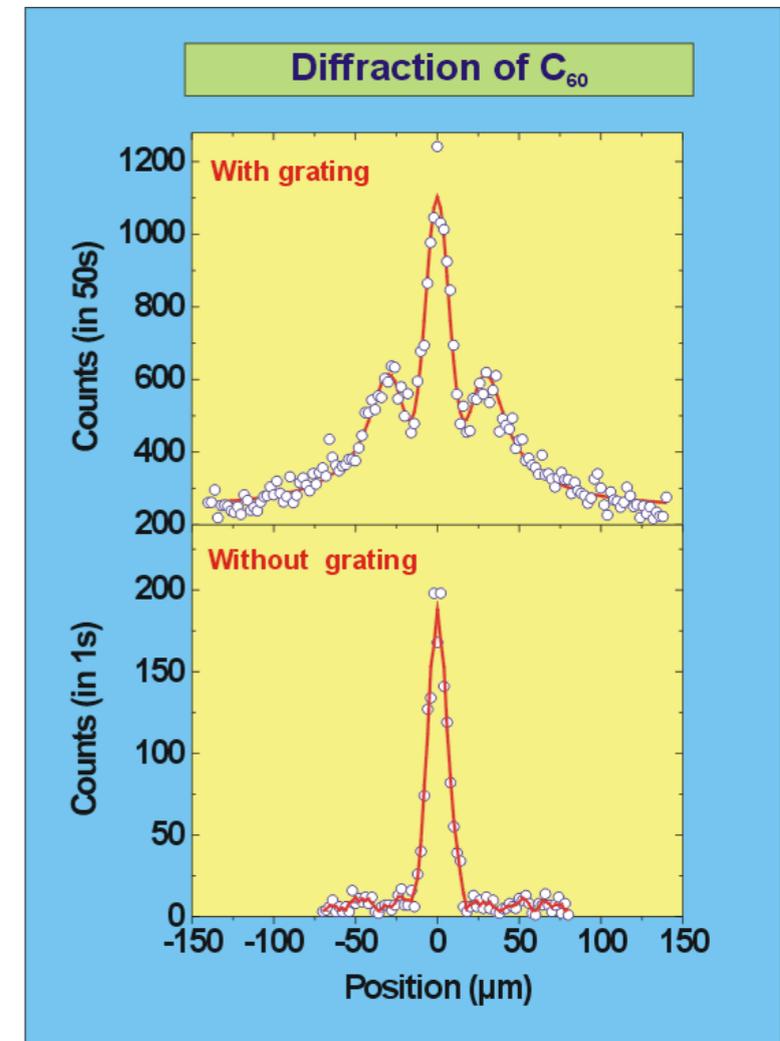
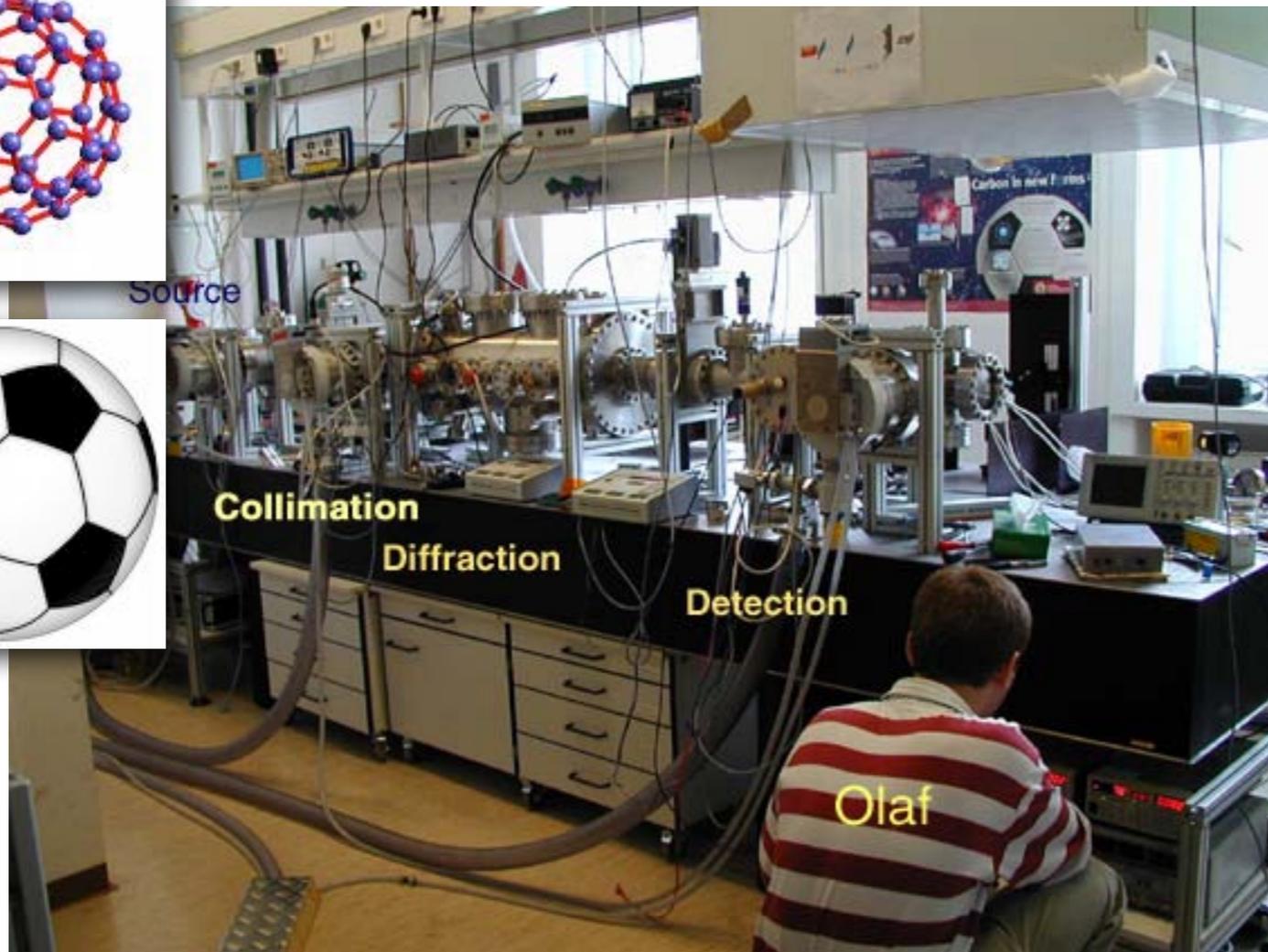
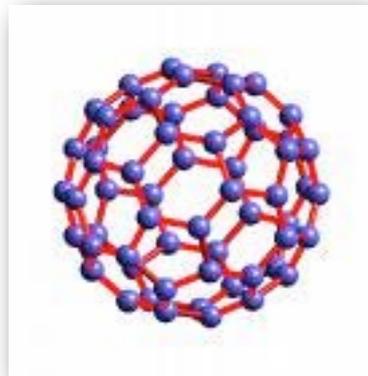
Interference Patterns



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Courtesy of Mike Bailey. Used with permission.

Bucky- and soccer balls



When is a particle like a wave?

Wavelengths:

Electron: 10^{-10} m

C60 Fullerene: 10^{-12} m

Baseball: 10^{-34} m

Human wavelength: 10^{-35} m



**20 orders of magnitude smaller than the diameter of the
nucleus of an atom!**

Classical vs. quantum

It is the **mechanics of waves** rather than **classical particles**.



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Wave aspect of matter

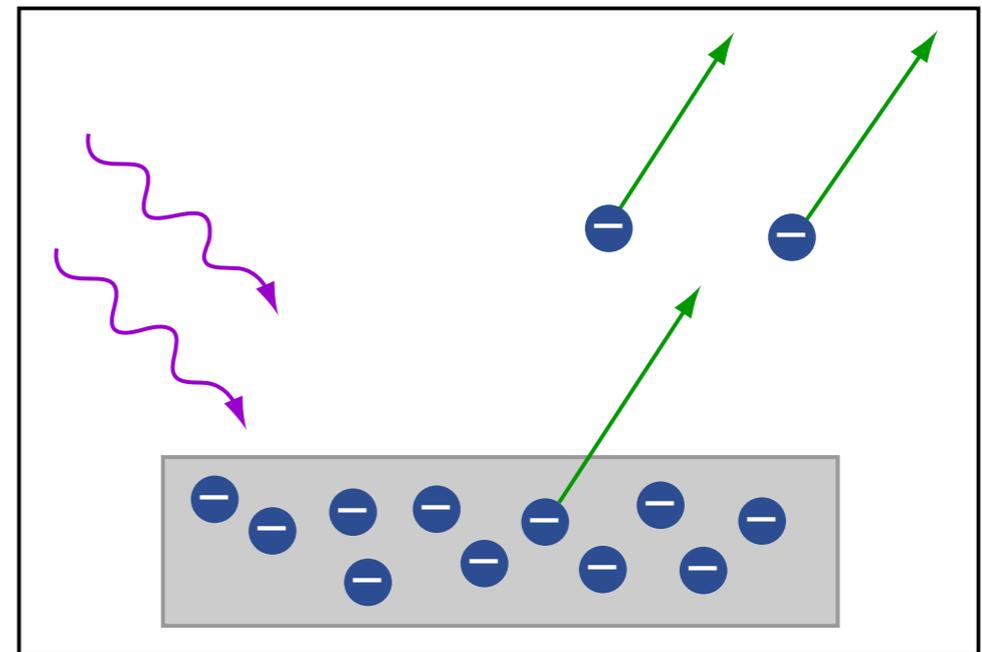
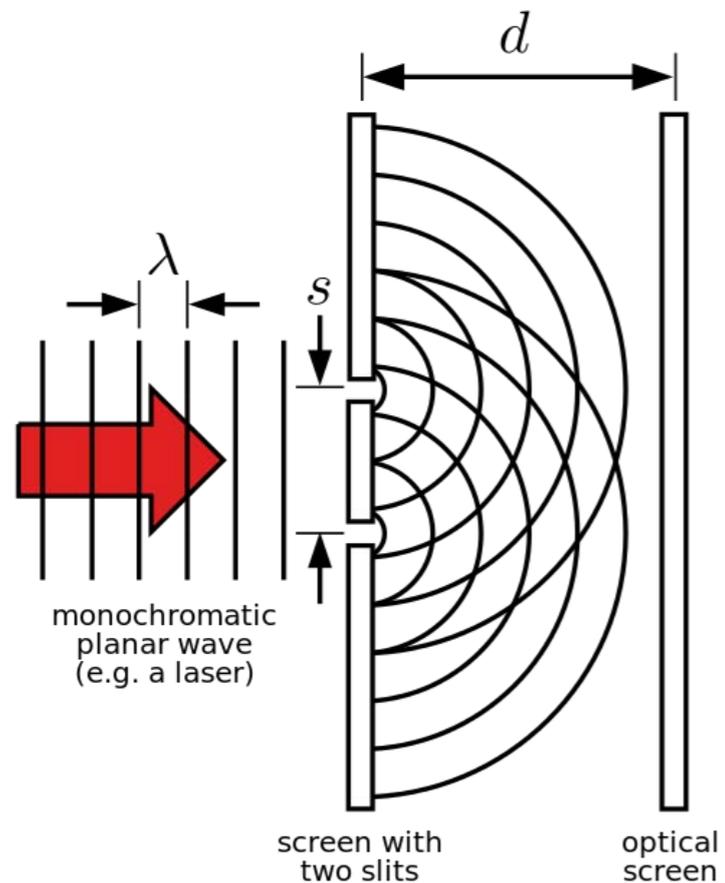
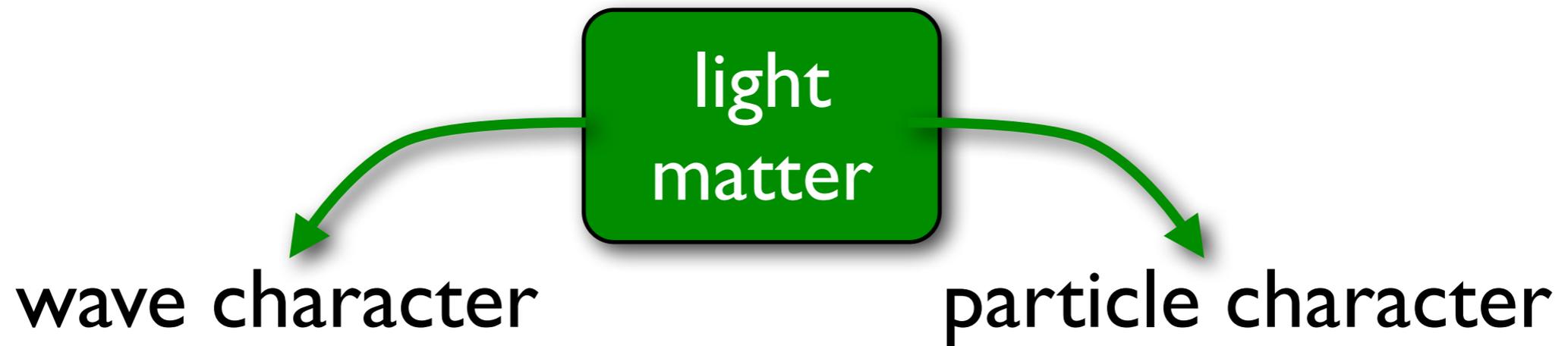


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Mechanics of a Particle

$$m \frac{d^2 \mathbf{r}}{dt^2} = F(\mathbf{r}) \quad \longrightarrow \quad \mathbf{r}(t) \quad \mathbf{v}(t)$$

The sum of the kinetic and potential energy is conserved.

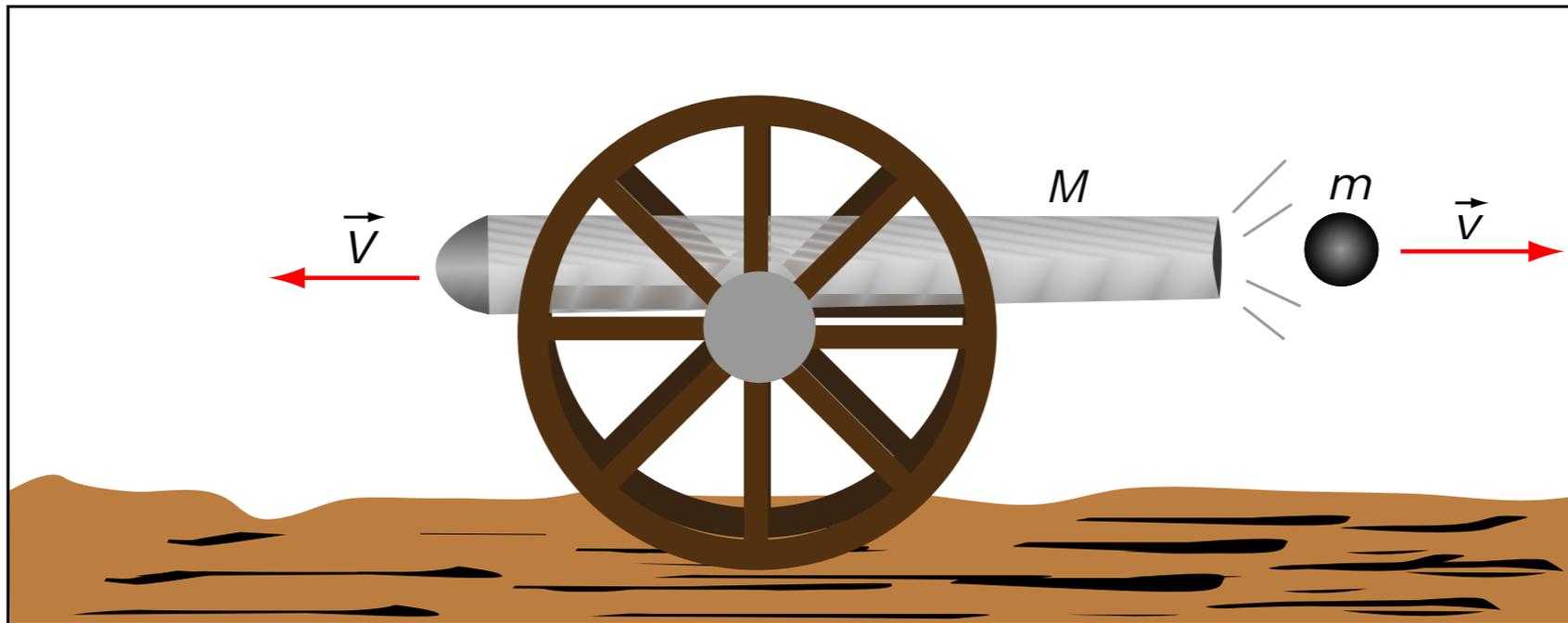
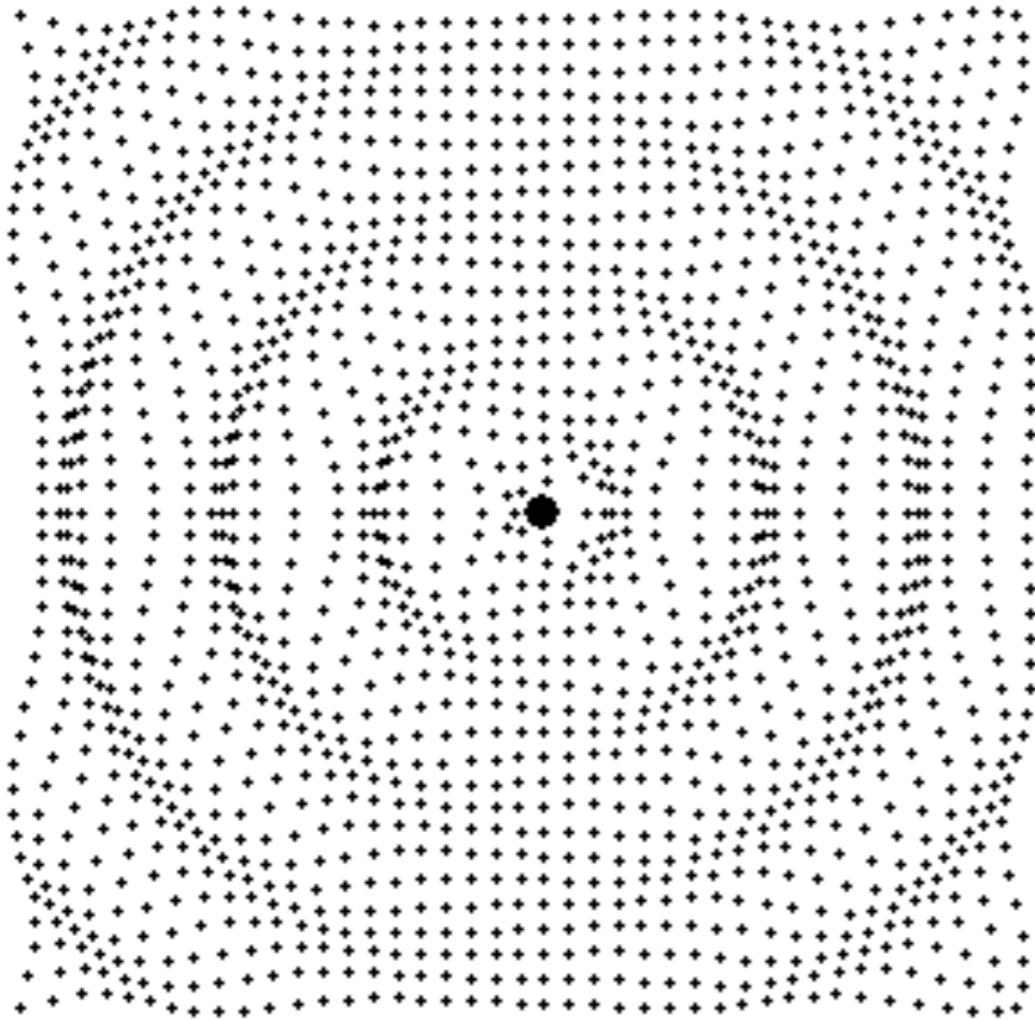


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Description of a Wave



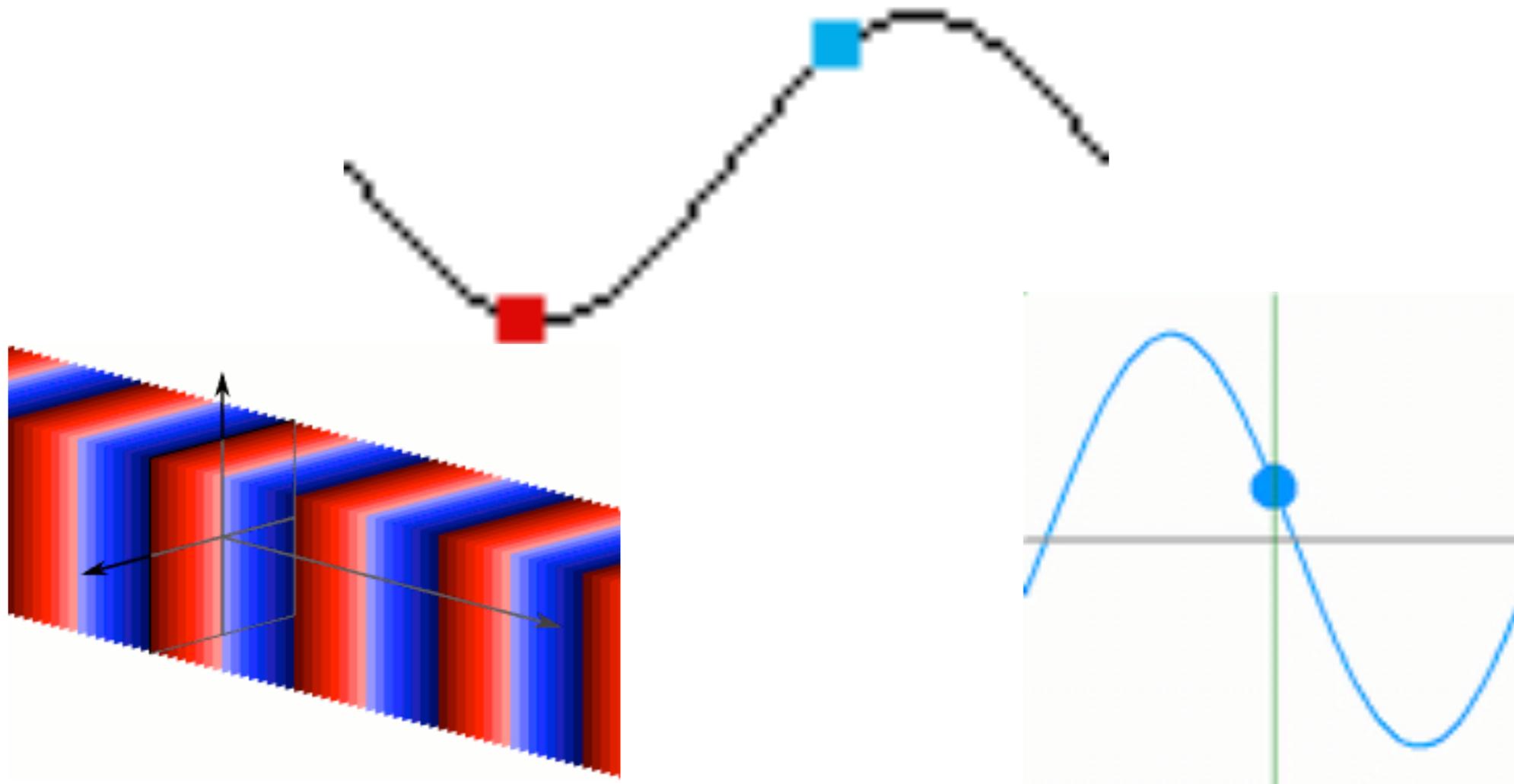
The wave is an excitation (a vibration): We need to know the amplitude of the excitation at every point and at every instant

$$\Psi = \Psi(\mathbf{r}, t)$$

Mechanics of a Wave

Free particle, with an assigned momentum:

$$\Psi(\mathbf{r}, t) = A \exp[i(\mathbf{k} \cdot \mathbf{r} - \omega t)]$$



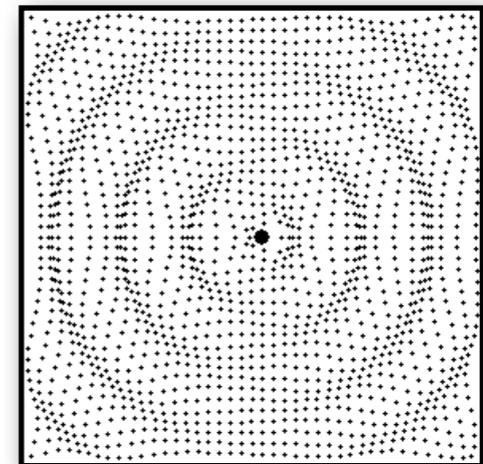
Wave aspect of matter

particle: E and momentum \vec{p}

wave: frequency ν and wavevector \vec{k}

$$E = h\nu = \hbar\omega$$

$$\vec{p} = \hbar\vec{k} = \frac{h}{\lambda} \frac{\vec{k}}{|\vec{k}|}$$



de Broglie: free particle can be described as
planewave $\psi(\vec{r}, t) = A e^{i(\vec{k}\cdot\vec{r} - \omega t)}$ with $\lambda = \frac{h}{mv}$

**How do we describe the
physical behavior of
particles as waves?**

The Schrödinger equation

a wave equation: second derivative in space
first derivative in time

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}, t) \right] \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t)$$

$$\begin{aligned} H &= -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}, t) = \\ &= \frac{p^2}{2m} + V = T + V \end{aligned}$$

$$\vec{p} = -i\hbar \nabla$$

Hamiltonian

In practice ...

H time independent: $\psi(\vec{r}, t) = \psi(\vec{r}) \cdot f(t)$

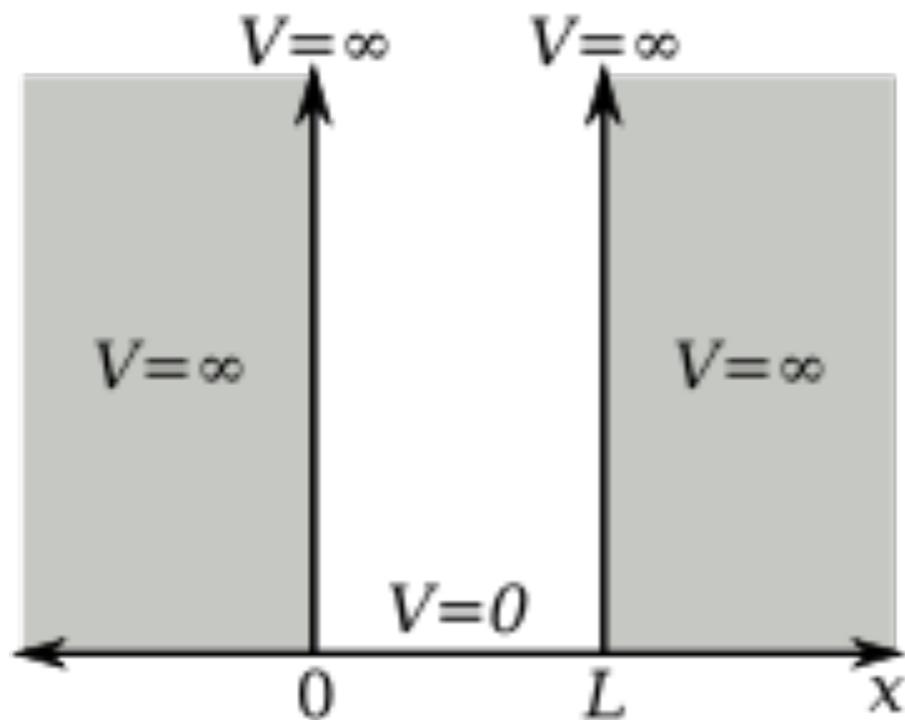
$$i\hbar \frac{\dot{f}(t)}{f(t)} = \frac{H\psi(\vec{r})}{\psi(\vec{r})} = \text{const.} = E$$

$$H\psi(\vec{r}) = E\psi(\vec{r})$$

$$\psi(\vec{r}, t) = \psi(\vec{r}) \cdot e^{-\frac{i}{\hbar}Et}$$

time independent Schrödinger equation
stationary Schrödinger equation

Particle in a box



Schrödinger equation

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x) \quad (1)$$

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} = E\psi(x) \quad (2)$$

boundary conditions

$$\psi(0) = \psi(L) = 0 \quad (4)$$

$$\psi(x) = A \sin(kx) \quad (5)$$

$$\psi(L) = A \sin(kL) = 0 \quad (6)$$

general solution

$$\psi(x) = A \sin(kx) + B \cos(kx)$$

$$E = \frac{k^2 \hbar^2}{2m} \quad (3)$$

Boundary conditions cause quantization!

Particle in a box

quantization

$$k = \frac{n\pi}{L} \quad \text{where } n \in \mathbb{Z}^+ \quad (7)$$

normalization

$$1 = \int_{-\infty}^{\infty} |\psi(x)|^2 dx = |A|^2 \int_0^L \sin^2(kx) dx = |A|^2 \frac{L}{2}$$

solution

$$\psi_n(x) = \sqrt{\frac{2}{L}} \sin\left(\frac{n\pi x}{L}\right) \quad (9)$$

$$E_n = \frac{n^2 \hbar^2 \pi^2}{2mL^2} = \frac{n^2 h^2}{8mL^2} \quad (10)$$

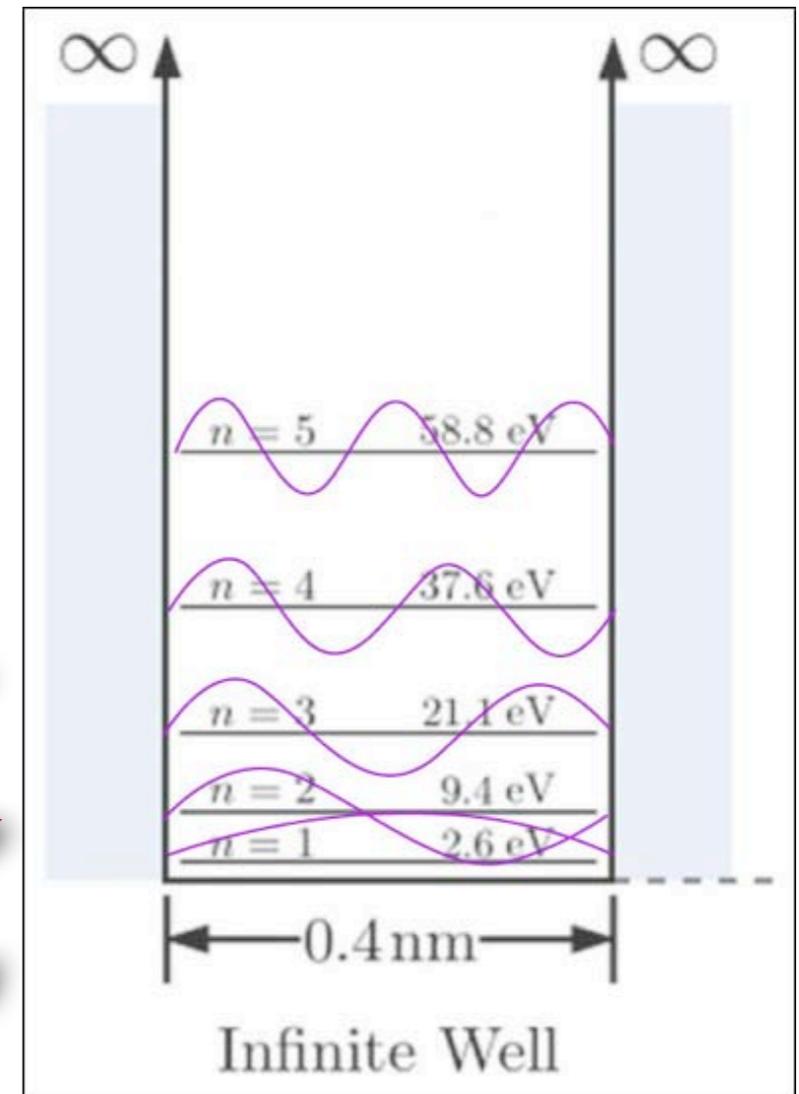
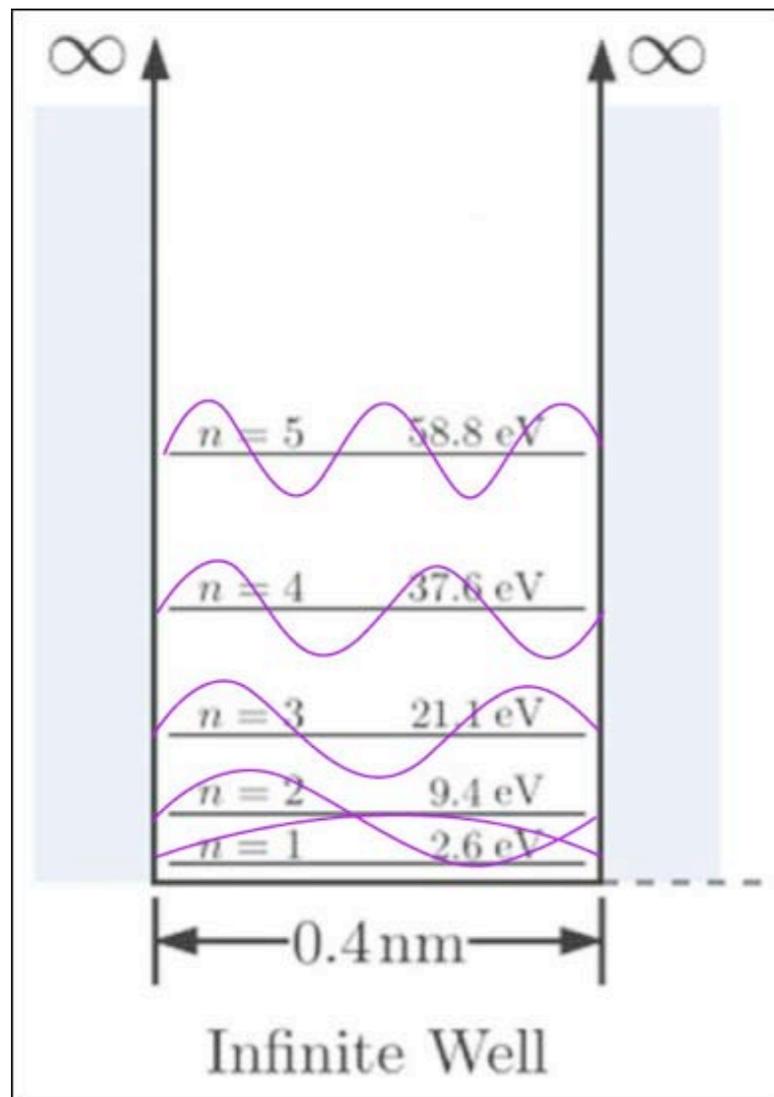


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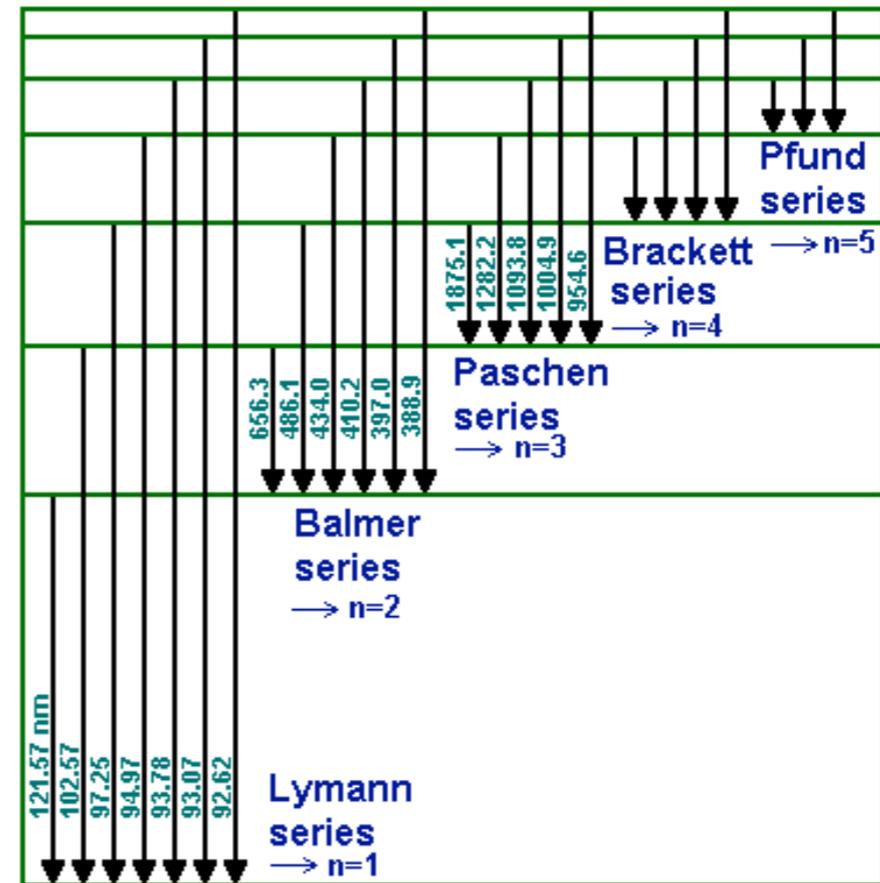
quantum number

Simple examples

electron in square well



electron in hydrogen atom



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Harmonic oscillator

$$V(x) = \frac{1}{2}m\omega^2 x^2$$

$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

solve Schrödinger equation

$$E_n = \hbar\omega \left(n + \frac{1}{2} \right)$$

$$\langle x | \psi_n \rangle = \sqrt{\frac{1}{2^n n!}} \cdot \left(\frac{m\omega}{\pi\hbar} \right)^{1/4} \cdot \exp\left(-\frac{m\omega x^2}{2\hbar} \right) \cdot H_n \left(\sqrt{\frac{m\omega}{\hbar}} x \right)$$

Harmonic oscillator

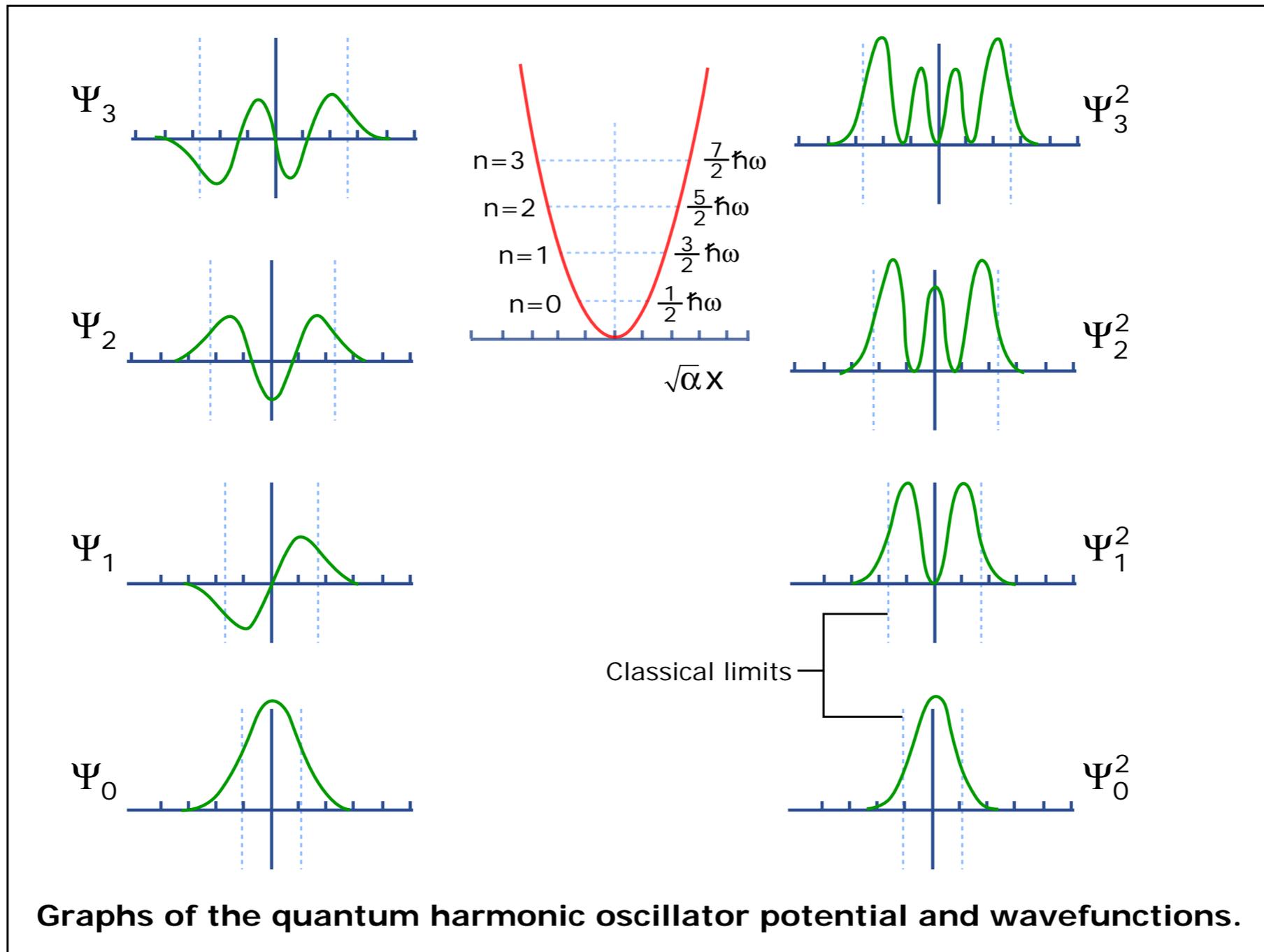
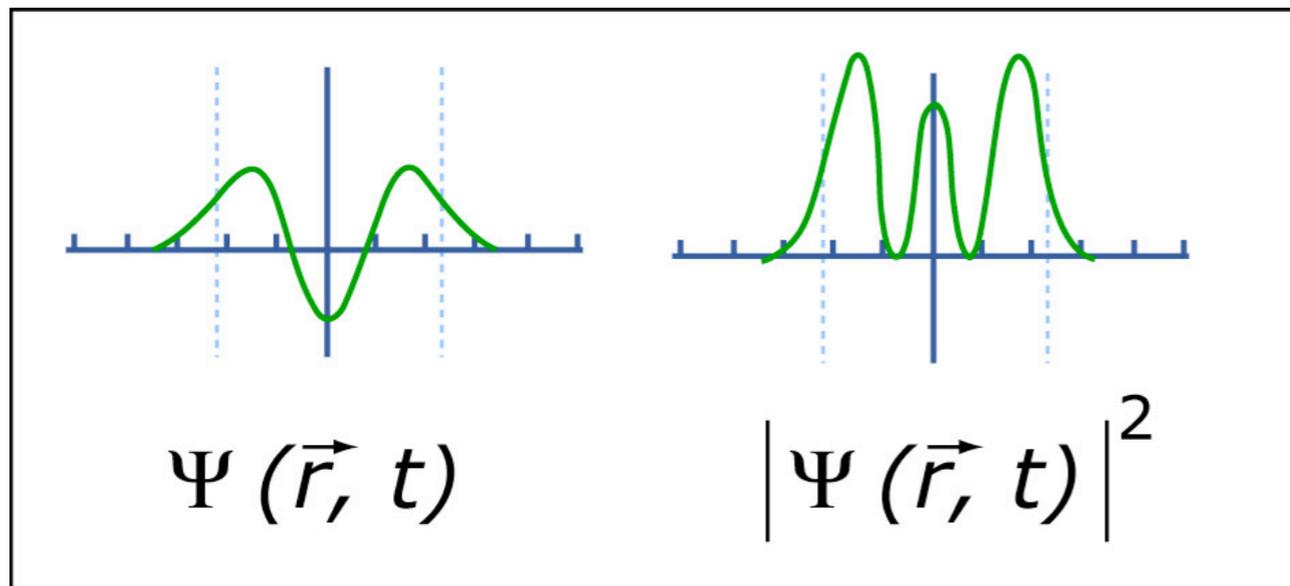


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Interpretation of a wavefunction

$\psi(\vec{r}, t)$ \longrightarrow wave function (complex)

$|\psi|^2 = \psi\psi^*$ \longrightarrow interpretation as probability to find particle (that is, if a measurement is made)

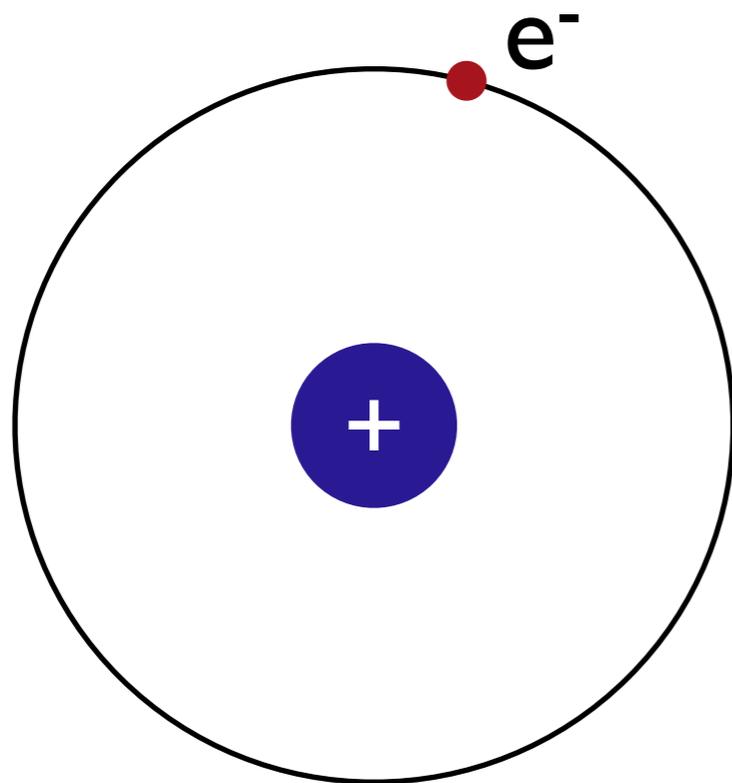


$$\int_{-\infty}^{\infty} \psi\psi^* dV = 1$$

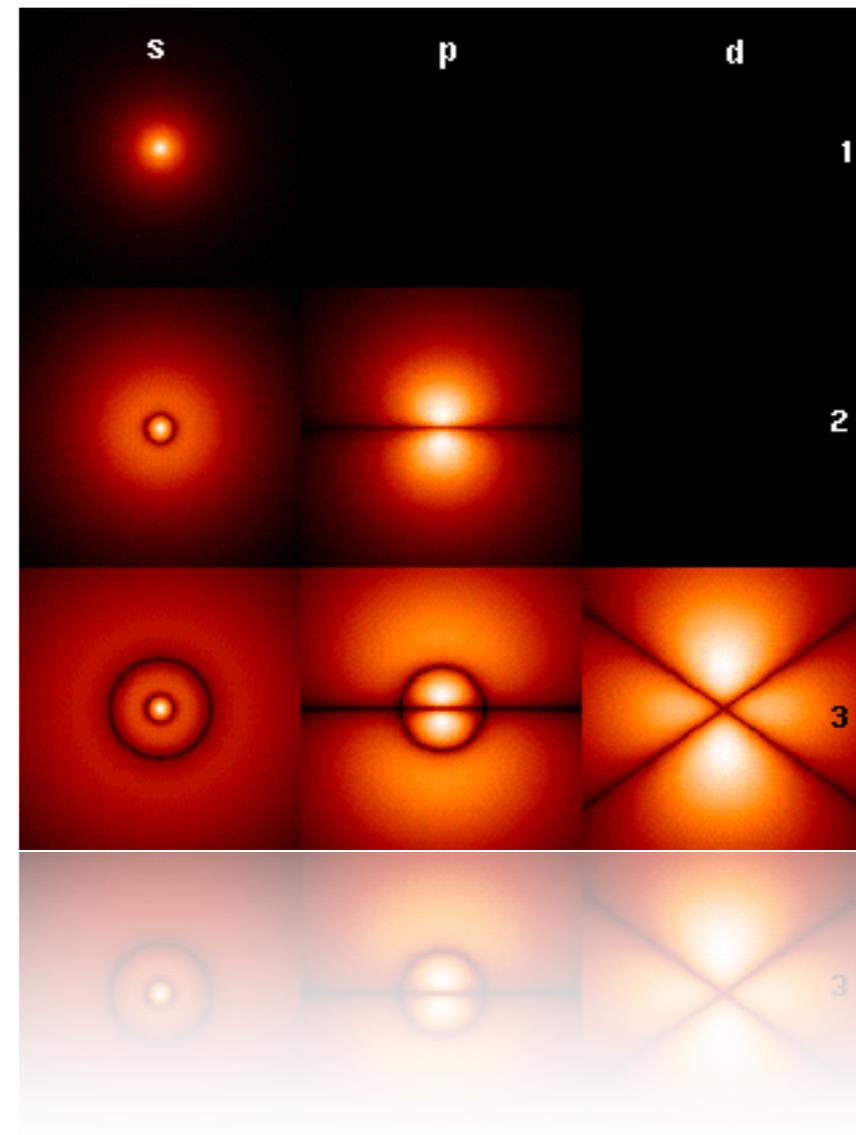
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Connection to reality?

potential: $1/r$



hydrogen atom



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Many Interpretations of Quantum Mechanics!

Interpretation	Author(s)	Deterministic?	Wavefunction real?	Unique history?	Hidden variables?	Collapsing wavefunctions?	Observer role?
Ensemble interpretation	Max Born, 1926	No	No	Yes	Agnostic	No	None
Copenhagen interpretation	Niels Bohr, Werner Heisenberg, 1927	No	No	Yes	No	NA	NA
Hydrodynamic interpretation	Erwin Madelung, 1927	Yes	Yes	Yes	No	No	None
de Broglie-Bohm theory	Louis de Broglie, 1927, David Bohm, 1952	Yes	Yes ⁵	Yes ⁶	Yes	No	None
von Neumann interpretation	John von Neumann, 1932	No	Yes	Yes	No	Yes	Causal
Quantum logic	Garrett Birkhoff, 1936	Agnostic	Agnostic	Yes ³	No	No	Interpretational ²
Many-worlds interpretation	Hugh Everett, 1957	Yes	Yes	No	No	No	None
Stochastic mechanics	Edward Nelson, 1966	No	No	Yes	No	No	None
Many-minds interpretation	H. Dieter Zeh, 1970	Yes	Yes	No	No	No	Interpretational ⁴
Consistent histories	Robert B. Griffiths, 1984	Agnostic ¹	Agnostic ¹	No	No	No	Interpretational ²
Objective collapse theories	Ghirardi-Rimini-Weber, 1986	No	Yes	Yes	No	Yes	None
Transactional interpretation	John G. Cramer, 1986	No	Yes	Yes	No	Yes ⁷	None
Relational interpretation	Carlo Rovelli, 1994	No	Yes	Agnostic ⁸	No	Yes ⁹	Intrinsic ¹⁰
Incomplete measurements	Christophe de Dinechin, 2006	No	No ¹¹	Yes	No	Yes ¹¹	Interpretational ²

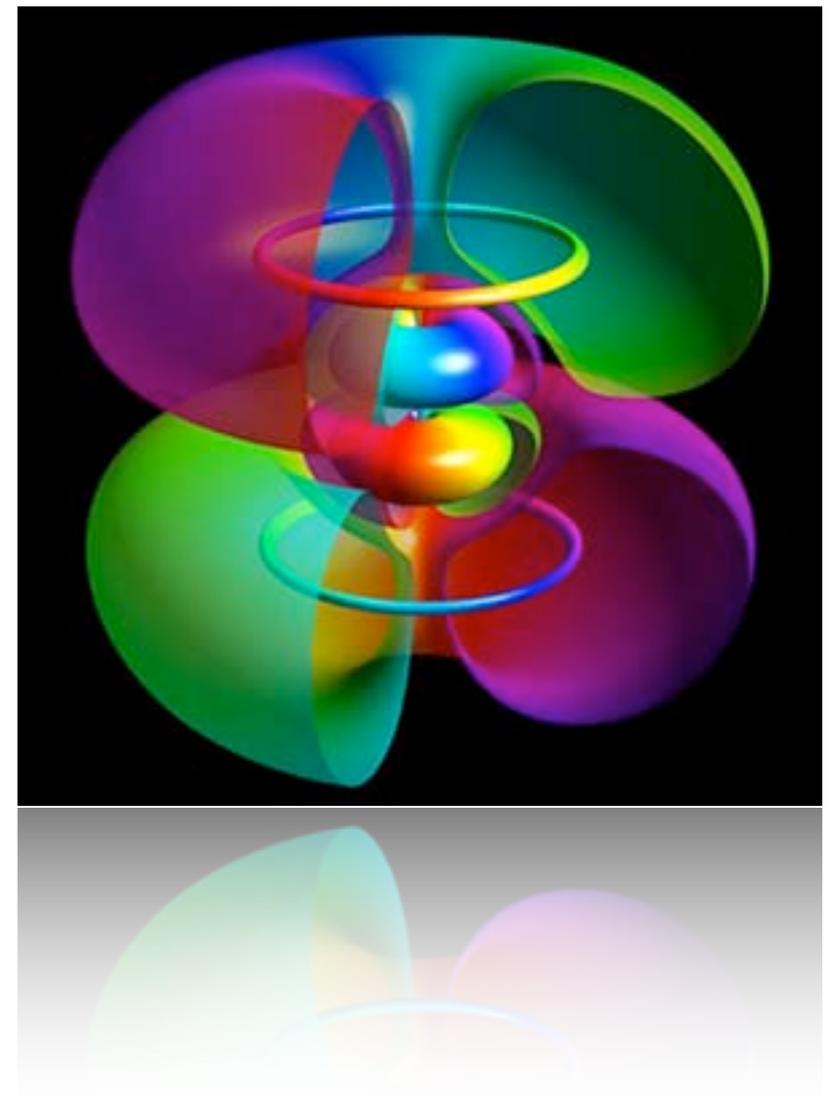
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Summary



Review

- Why quantum mechanics?
- Wave aspect of matter
- Interpretation
- The Schrödinger equation
- Simple examples



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Literature

- **Greiner**, Quantum Mechanics: An Introduction
- **Thaller**, Visual Quantum Mechanics
- **Feynman**, The Feynman Lectures on Physics
- **wikipedia**, “quantum mechanics”, “Hamiltonian operator”, “Schrödinger equation”, ...

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Spring 2012

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