

HST.725 Music Perception and Cognition, Spring 2009  
Harvard-MIT Division of Health Sciences and Technology  
Course Director: Dr. Peter Cariani

# Music Perception & Cognition

## HST 725

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[www.cariani.com](http://www.cariani.com)



Wednesday, February 4, 2009

# Outline

- **Course mechanics**
- **Class survey**
- **Music, mind, and brain**
  - **FORM & QUALITY**
  - **PATTERNS OF EVENTS IN TIME**
  - **NEURAL MECHANISMS**
  - **MEMORY/GROUPING**
  - **EMOTION/MEANING**
  - **ORIGINS**
- **Overview of topics**
- **Music introduction**

Johann Sebastian Bach

Organ Triosonata in e minor

Third movement

Un poco Allegro

# Texts

## **Texts: (Available at the MIT Coop and/or MIT Press Bookstore)**

- Deutsch, D. ed. 1999. The Psychology of Music. San Diego: Academic Press. Required. (MIT Coop)
- Snyder, Bob. 2000. Music and Memory. MIT Press. (Currently required, may be optional, MIT Coop & MIT Press Bookstore)
- Handel, S. 1989. Listening: an Introduction to the perception of Auditory Events. MIT Press. Recommended. (MIT Press Bookstore)
- Levitin, D. 2006. This is Your Brain on Music. Required. (MIT Coop, optional)
- McAdams & Bigand. 1993. Thinking in Sound: The Cognitive Psychology of Human Audition. Oxford. Recommended. Not at the Coop.
- Aello, R. ed. 1994. Musical Perceptions. OUP. Recommended, not at the Coop.
- Moore BCJ. 2003. An Introduction to the Psychology of Hearing, Fifth Ed.. San Diego: Academic Press. Recommended. At the Coop.

# Format

## Format:

Lecture format + demonstrations, discussions & presentations.

Begin promptly at 7 PM.

We will always have a 5-10' break at 8 PM.

Lecture

Music presentations, of one sort or another

Student presentation followed by discussion (when we do this)

For each aspect of music, we'll try to cover topics in this order:

Music & sound (stimuli)

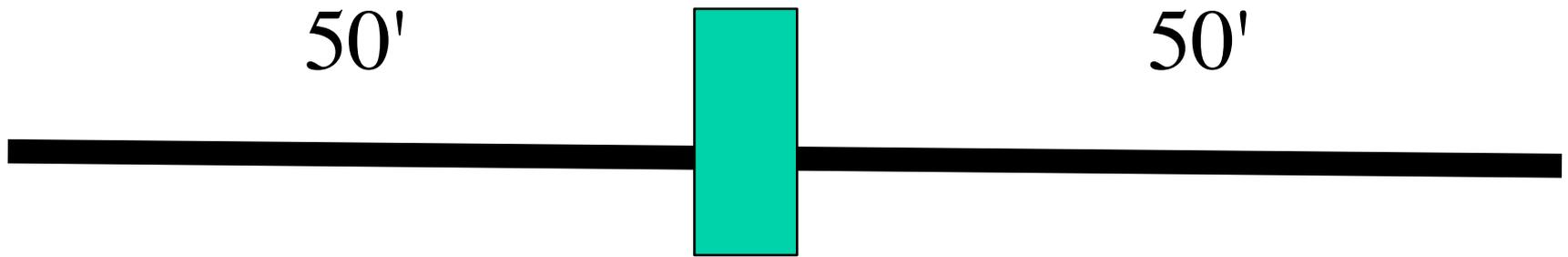
Psychoacoustics, psychology (listener's response, incl. our own)

Neurocomputational models (information processing theories)

Possible neurobiological substrates (neurophysiology)

We will also go back and forth between bottom-up approaches to particular aspects and their relevance to music as a whole.

# Class meeting timeline



5-10' Break  
Stretch  
Music

# My trajectory

**Organismic biology (undergrad @ MIT mid 1970s)**

**Biological cybernetics & epistemology (1980s)**

**Biological alternatives to symbolic AI**

**Howard Pattee, Systems Science, SUNY-Binghamton**

**Temporal coding of pitch & timbre (1990s-present)**

**Auditory neurophysiology, neurocomputation**

**How is information represented in brains?**

**Commonalities of coding across modality & phyla**

**Neural timing nets for temporal processing**

**Auditory scene analysis**

**Possibilities inherent in time codes**

**Temporal alternatives to connectionism**

**signal multiplexing; adaptive signal creation broadcast**

# **My trajectory vis-a-vis music**

**Avid listener, but a mediocre musician**

**V. interested in music growing up , played violin (badly)**

**Attempted to teach myself music theory in HS**

**Heavily into baroque music & progressive rock**

**Electronic & dissonant "experimental" music**

**Took "sound sculpting" as an MIT undergrad**

**Worked w. Bertrand Delgutte on neural coding of pitch  
& Mark Tramo on consonance (1990's)**

**Developed timing nets for music (2000's)**

**Proposed course in music perception for Harvard-MIT**

**HST graduate speech & hearing program (2003)**

**Taught this course at Tufts in fall 2003**

**Taught graduate course @ MIT in 2004 & 2007**

**Teaching @ Tufts & MIT this term**

## Big questions (Whys and Hows)

- To be explained: the "unreasonable effectiveness" of music"

(to paraphrase Wigner on the unreasonable effectiveness of mathematics in explaining the physical world)

- Why does music have its profound effects on us?
- How does the auditory system and the brain work such that music can have the effects that it does?
  - (to paraphrase Warren McCulloch, "What is a number that a man may know it, and a man that he may know a number?")

## **Organizing themes: Music, mind, and brain**

- **FORM & QUALITY OF SOUNDS (tones)**
- **PATTERNS OF EVENTS IN TIME (events)**
- **NEURAL MECHANISMS**
- **MEMORY & ORGANIZATION (grouping)**
- **EMOTION & MEANING, tension & relaxation**
- **ORIGINS: Why music?**

## Course rationale(s)

- Music is an important aspect of the auditory sense that rivals speech and language in complexity
- Many of us come to auditory research through a native interest in music
- Music affords an alternative perspective on hearing and neuroscience, spanning acoustics, sensory physiology, auditory perception & auditory cognition
- We strive to be systematic and integrative in our treatment (lecture format, common grounding)
- A primary goal is to facilitate intellectual synthesis; to organize disparate facts into coherent wholes
- We want students to choose & formulate their own problems, articulate their own perspectives, and delve deeply into an area of personal interest (fundamental problems, term projects)

# General Plan

- **Initial overview**
  - Music, What we hear, How we hear
- **Elements of music**
  - Pitch, timbre, consonance, chords; neural representations
- **Organizations of tone and event patterns**
  - Melodies, Rhythmic patterns, expectancies; neuro-computations
- **Effects --**
  - anticipations and emotional-cognitive effects;
  - towards a functional neurology of music
- **Origins & special topics (why music)**

## **Tuesday Feb. 3**

Course mechanics, introductions, and course design

Survey of topics to be covered

### **Overview of the structure of music**

Horizontal and vertical dimensions. Pitch, tone quality/color, consonance, melody, harmony, tonality, organization of voices, rhythm, dynamics, expressive timing, tonal and rhythmic hierarchies

### **Overview of music's psychological and social functions**

Emotion & meaning, psychological and social functions of music.

## **Thursday, Feb. 5**

### **Overview of auditory perception and the time sense**

Pitch, timbre, consonance/roughness, loudness, rhythm, auditory grouping, event structure

## **Thursday, Feb 12 Pitch**

Musical acoustics I - periodic sounds

Sound & vibration, production of sounds, representations of sound, waveforms & power spectra,

Psychophysics of pitch

Neurocomputational models for pitch – spectral pattern and temporal models

Licklider, Terhardt, Grossberg & Cohen, Bharucha

Representation of pitch in the auditory system, time & place

Neural evidence pros & cons

Pitch in object formation and separation

**Tuesday, Feb. 17 NO CLASS -- PRESIDENTS' DAY (Monday class schedule)**

## **Thursday, Feb. 19 Timbre**

Musical acoustics II - complex tones and time-varying sounds

Sound & vibration, production of sounds, representations of sound, waveforms & power spectra, characteristics of musical instruments and human voices, similarities and differences between speech and musical sounds (“lexical music”)

Timbre - Acoustic correlates - spectrum, time-frequency trajectory, amplitude dynamics

Dimensional analysis of timbre perceptual spaces (multidimensional scaling)

Role of timbre in defining & distinguishing separate voices, musical coloration

Neural correlates, coding of spectrum, attack, decay, modulation

Timbral space and phonetic contrasts; Tonal languages and music

## **Tuesday, Feb. 24 Consonance**

Harmony I: Consonance, dissonance, and roughness

Theories: Helmholtz, Stumpf, Plomp, Terhardt

Sensory and hedonic aspects

Neural correlates (auditory nerve, midbrain, cortex)

Scales and tuning systems

History, basic psychophysics, scales and tuning systems, role in music theory

Relations between auditory and cultural factors

## **Thursday, Feb. 26**

Harmony II: chords and keys

Perception of chords, pitch multiplicity (Parncutt, Terhardt), higher order structure of pitch space (Shepard, Krumhansl), fundamental bass, keys, major-minor and resolved/unresolved chords, tonality induction, tonal schemas/key relations, computational models (Leman), neural correlates of tonal relations and expectations (fMRI, ERP)

## **Tuesday, March 3**

Melody

Perception of note sequences, existence region, melodic expectation, melodic recognition, melodic memory, melodic grouping processes (phrases), neural representation of melody, problem of melodic invariance under transposition

## **Thursday, March 5**

Rhythm I: Rhythm perception and production

Basic psychophysics of rhythm perception and production

Role of rhythm in melodic recognition & recall

Rhythm II: Computational models

Oscillator models, clock models, rhythmic hierarchies, timing nets

Time perception, event structure, and temporal expectations

Auditory spectral and temporal integration; chunking of segments

Time perception (Fraisse, Jones)

## **Tuesday, March 10**

Gestalts: Auditory scene analysis: grouping/chunking/

Grouping of sounds – onset, harmonicity, rhythm

Sound streams (Bregman, Deutsch), polyphony, polyrhythms

Grouping of tones and events

Grouping processes and musical structure

Common mechanisms; analogies in vision

State of automatic music recognition systems

## **Thursday, March 12 Music of the Hemispheres**

Music and the cerebral cortex. Overview of functional role of cortex in music perception & cognition. Results of imaging and lesion studies. Hemispheric asymmetries. Neurological theory of psychological functions.

## **Tuesday, March 17**

Anticipation and expectancy

## **Thursday, March 19**

Emotion and meaning in music: what it means to us (internal semantics, memory, fashion/identity, pleasure)

Music and psychological functions – activation of different circuits related to different uses/effects of music

Music and long-term memory: how can we remember melodies years later?

Innate vs. cultural determinants of musical expectation and preference

## **Week of March 23**

No class – MIT Spring Break

**Thursday, April 2 Music therapy** (K. Howland, music therapist)

- "Clinical applications of the neuropsychology of music." Guest speaker Kathleen M. Howland Ph.D., MT-BC, CCC-SLP. Clinical problems, current therapies, and prospects for new therapies.

**Tuesday, April 7 Music and Cortical Function**

Neurology of music, Effects of cortical lesions on music perception and cognition  
Activation of circuits responsible for different musical functionalities

**Thursday, April 9 Music, Speech & Language**

- Music cognition and psycholinguistics, speech and language:  
Parallels and contrasts between music, speech, and language (Bernstein, Jackendoff, Lerdahl)  
Is there a grammar of music? Rule-following vs. rule-obeying systems. Symbols and categorical perception. What are the neurocomputational substrates for recognition of musical structure?

**Tuesday, April 14 Developmental psychology of music**

Developmental psychology of music – perception & cognition  
Rhythmic expectation, melody perception, early preferences  
Innate faculties vs. associative learning

**Thursday, April 16 Evolutionary origins**

The debate about the evolutionary psychology of music.

**Tuesday, April 21 NO CLASS Patriot's Day Holiday**

**Thursday, April 23 Clinical issues**

- Clinical issues. Music exposure and hearing loss. Music perception and hearing impairment. Music and hearing aids. Music perception by cochlear implant users. Possible technological remedies.

**Tuesday, April 28 Creativity & performance**

- Music performance & creativity. fMRI studies. Organization and timing of movement.

**Thursday, April 30 Student Final Project Presentations**

**Tuesday, May 5 Student Final Project Presentations**

**Thursday, May 7 Special topics**

- Special topics: absolute pitch & pitch memory, synesthesia, audio-visual parallels, etc.

**Tuesday, May 12 Special topics**

- Some possible topics: music performance (motor timing & sequencing), music & dance, spatial hearing, architectural acoustics

**Thursday, May 14 Wrap-up and Recapitulation**

- Overview and recap of major themes; other special topics

# Coursework

## Coursework:

### • Problem sets (20%)

One problem set will be on harmonic structure and tuning systems. The other will cover topics in music perception and cognition.

### • Musical examples (10%)

Find 5 musical examples from any genre that illustrate or illuminate different aspects of music perception & cognition related to melody, harmony, rhythm, your own musical preference, and some aspect of your choosing. We will listen to them as a class and discuss them.

### • Reading assignment & presentation (10%)

A relevant paper will be chosen, presented (10-15'), and discussed by the class. This can be one of the papers on the reading list or any paper that you feel is important or insightful.

### • Fundamental unsolved questions in music psychology – 3-4 page outline/discussion (20%)

I have compiled a list of unsolved questions in music psychology. Please choose from the list or suggest your own problem. Write up an account of the nature of the problem (1-2 paragraphs), its theoretical significance (1 paragraph), current theories (if any, 1-3 paragraphs), two plausible hypothetical explanations (2-4 paragraphs), ideas concerning how the question might be solved or hypotheses tested (1 paragraph), and some assessment of how soon the problem will likely be solved (1 paragraph). Each student will present a problem and outline their thinking about it, which will form the basis for a class discussion.

### • Term project (50% of final grade)

A research paper, review paper, or research project (e.g. psychological or physiological experiment, computer model/simulation) related to the psychology of music. Topics will be presented orally and discussed in class in mid-March. Project results will be presented and discussed in class in the last two weeks of class. Target length of paper will depend on nature of project. Final papers will be due on the last day of class. I will be happy to read and give comment on outlines and drafts at any stage of preparation.



Source: IMSLP.org

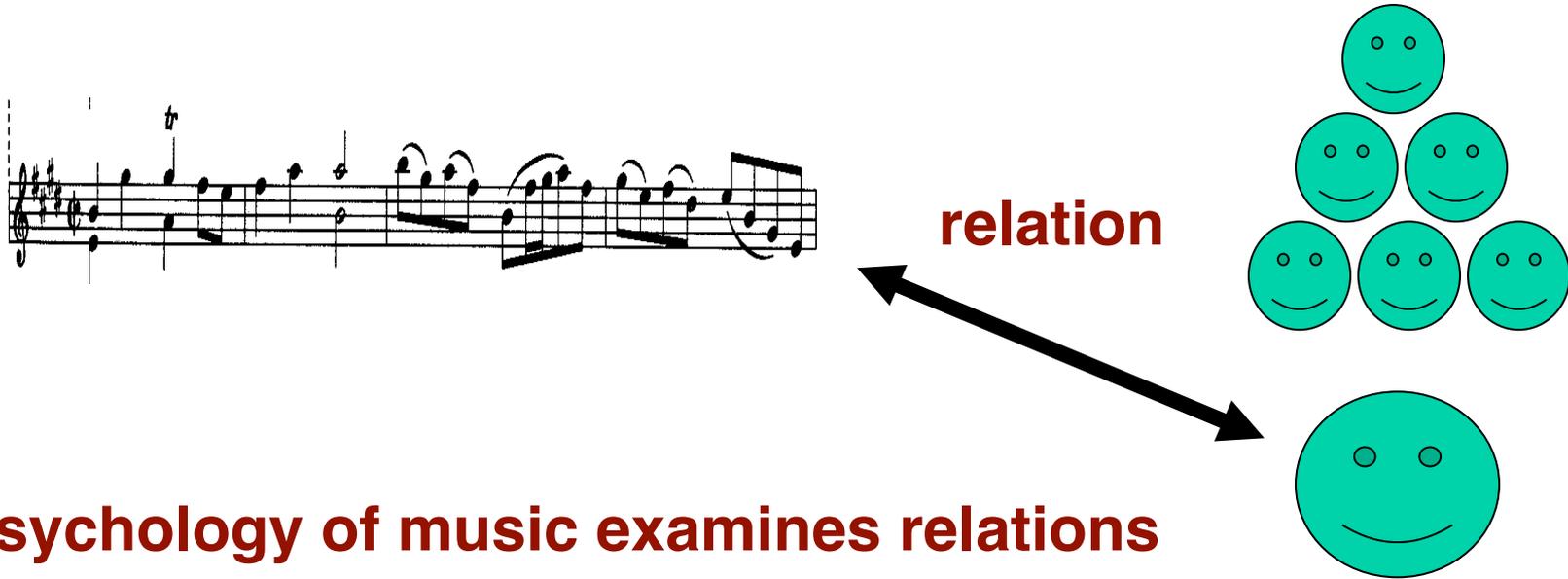
# An Introduction to Music: Sound unfolding in time

[www.cariani.com](http://www.cariani.com)

# Sound unfolding in time: an introduction to music

- **Music: a bird's eye view; provisional definition**
- **Ubiquity of music: Nature and nurture**
- **Sound unfolding in time**
  - **Horizontal dimension (time, sequential sounds)**
    - **Melody (Temporal patterns/sequences of pitches)**
    - **Chord progressions, key modulations (Temporal patterns/sequences of pitch relations)**
    - **Rhythm (Temporal patterns/sequences of events)**
  - **Vertical dimension (sound quality, concurrent sounds)**
    - **Pitch (Dominant periodicities) & Timbre (spectrum, frequency microdynamics)**
    - **Harmony (Constellations of concurrent pitches)**
  - **Number of independent trajectories: voices, streams**
- **Relations to perceptual dimensions**
- **Psychological questions**

# Music as stimulus, idea, action, and private experience



**Psychology of music examines relations between music and mind.**

**Music is half of this relation.**

**Mind has different facets:**

**1st person experience**

**3rd person overt behavior**

**Underlying neural activity**

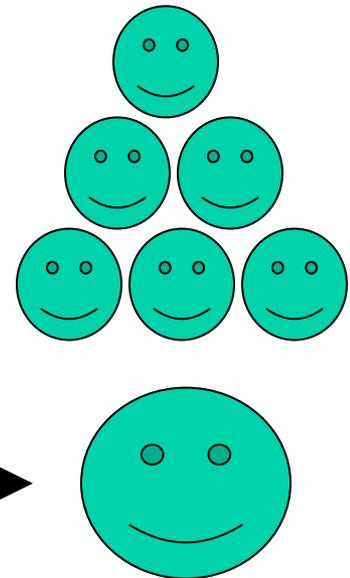
**Functional organization of informational processes**

## Music: a provisional definition

**Deliberate organization of patterns of sound for interest or pleasure.  
Deliberate organization of auditory experience for interest or pleasure.**

**"Organization" can involve composition or  
performance or selection of sounds  
or even selective attention to sounds (Cage)**

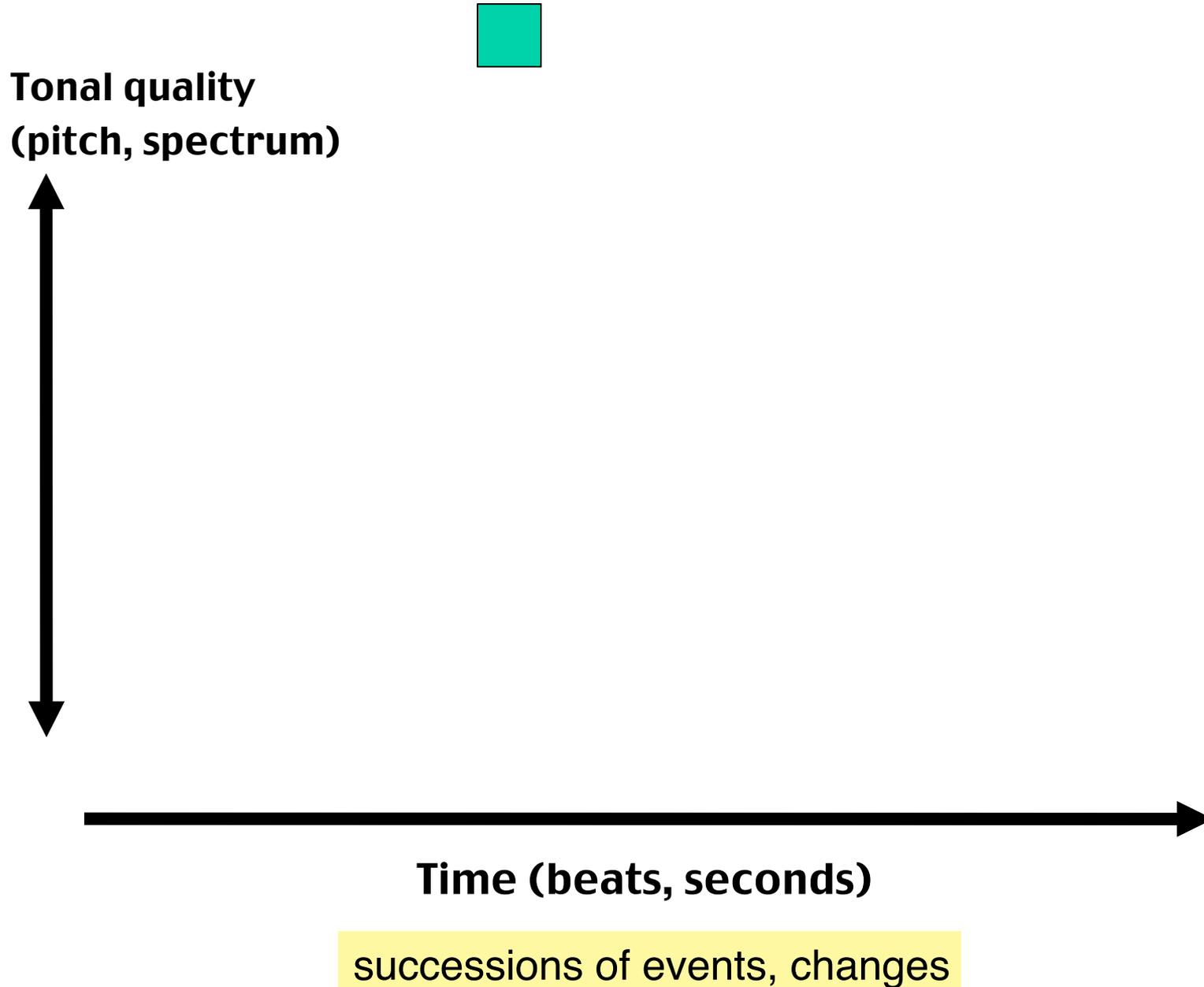
**"Interest" and "pleasure" are similarly very broadly construed.**



# Ubiquity of music: Nature and nurture

- Music has been part of human culture for > 40,000 years
- Every known extant culture has some form of music
- Many cultures equate musical with social harmony (Greeks)
- Relative contributions of nature (biology) & nurture (culture) to the experience of music.
- A great deal of diversity exists across cultures in the forms music takes (ethnomusicology)
- There are universals related to how we hear that are given by biology (auditory science).
- But there are also the effects of culture-based training of how we hear (what aspects we attend to).
- There are also culturally-specific interpretations and meanings associated with what is heard.
- In these lectures we will focus mainly on the universals -- basic aspects of music that are shared across cultures.
- We want a general framework for talking about music that can encompass both the Western tonal music (classical, jazz, popular) as well

# Horizontal and vertical dimensions



# Horizontal and vertical dimensions



**Tonal quality  
(pitch, spectrum)**



**Time (beats, seconds)**

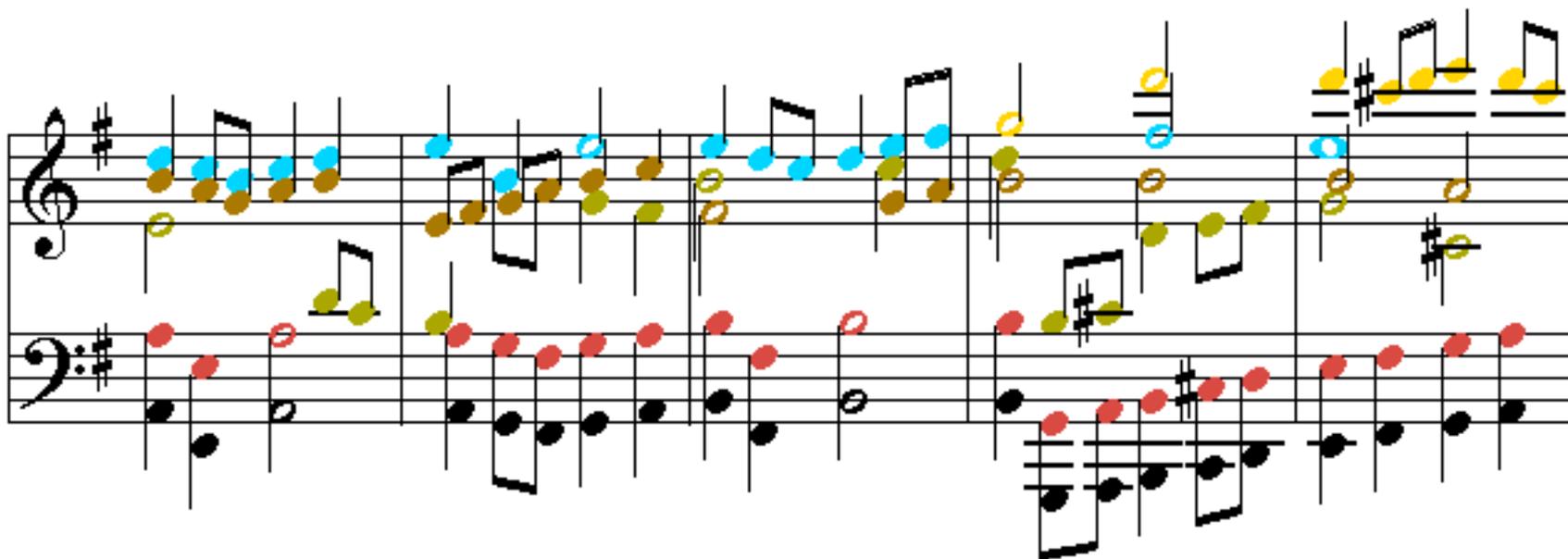
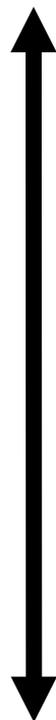


successions of events, changes

# Horizontal and vertical dimensions



**Tonal quality**  
(pitch, spectrum)



<http://www.well.com/user/smalin/compare.htm>

Courtesy of Stephen Malinowski. Used with permission.



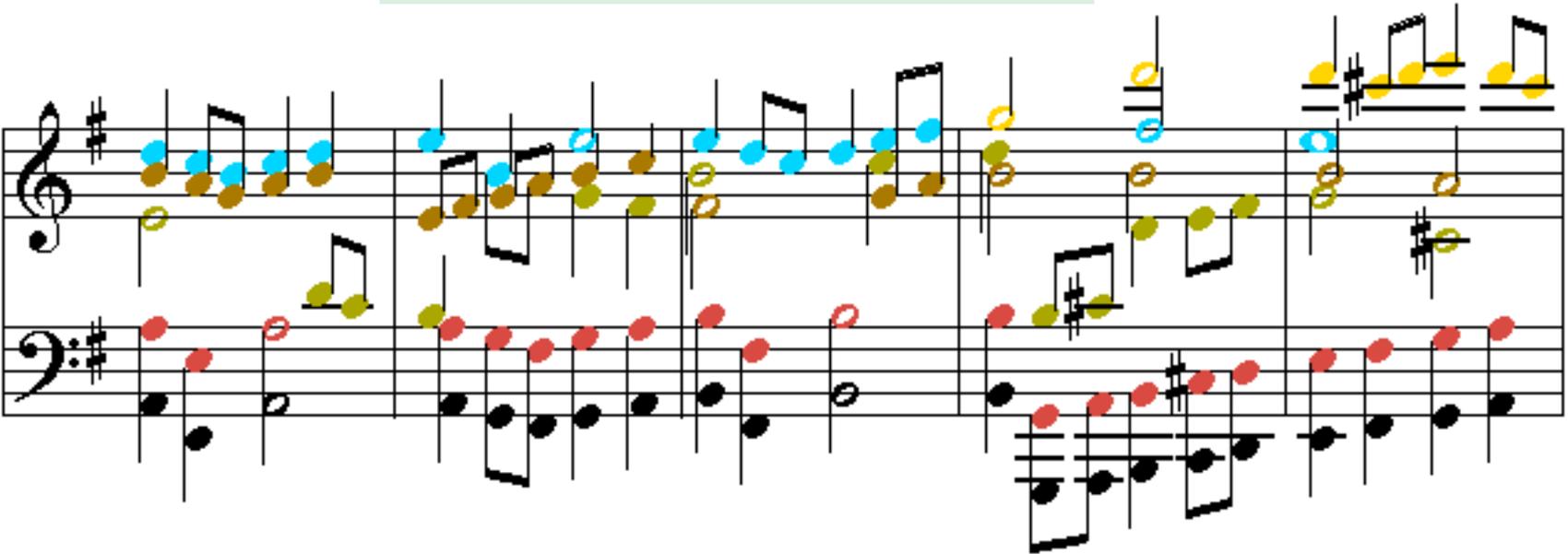
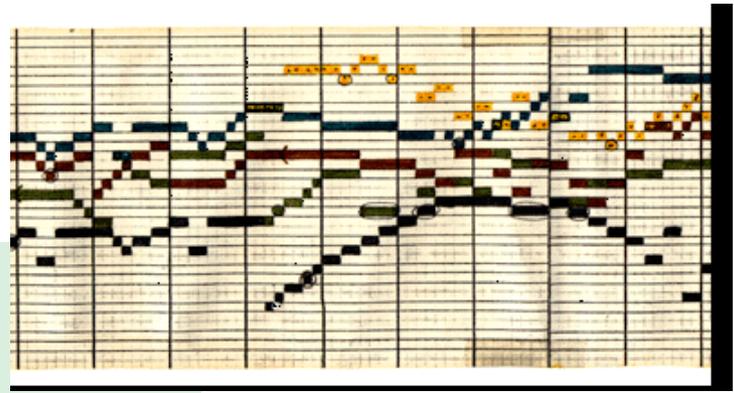
**Time (beats, seconds)**

successions of events, changes

# Horizontal and vertical dimensions

Tonal quality  
(pitch, spectrum)

Density  
Complexity  
# independent trajectories



Courtesy of Stephen Malinowski. Used with permission.

<http://www.well.com/user/smalin/compare.htm>

Time (beats, seconds)

## Horizontal dimension (time)

**Temporal patterns and sequences of sound-changes**

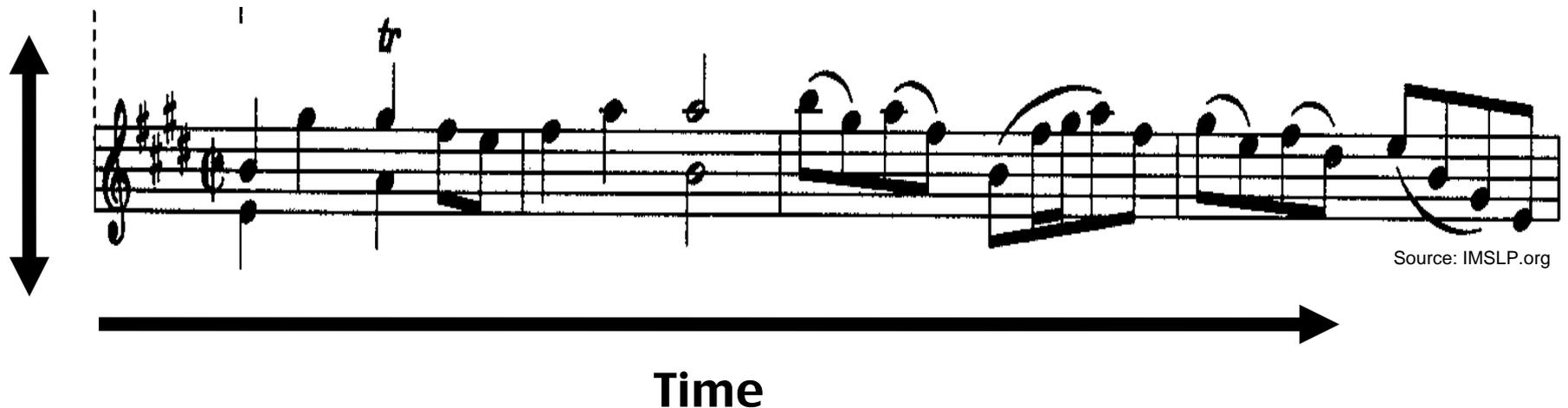
**Melody: temporal patterns of pitches**

**Cadences, key modulations:**

**temporal patterns of pitch constellations**

**Rhythm: temporal patterns of events**

**Bernstein on musical intervals and dimensions**



The image shows a single staff of musical notation in treble clef with a key signature of two sharps (F# and C#). The notation includes a variety of notes, rests, and ornaments. A vertical double-headed arrow is positioned to the left of the staff, indicating the vertical dimension of pitch. A horizontal arrow is positioned below the staff, pointing to the right and labeled "Time", indicating the horizontal dimension of time. The notation includes a trill (tr) over a note, and several slurs and ties connecting notes across measures. The source "Source: IMSLP.org" is noted at the bottom right of the staff.

## Horizontal dimension (time)

**Different musical cultures utilize different aspects of musical possibility. Ethnomusicologists, anthropologists, and historians have theories as to why cultures adopt particular musical styles.**

**Examples of music that are focused on melody.**

**(Traditional fiddle-playing in France -- video)**

**(Gasparyan, Armenian flute music)**

**Indian ragas**

**Examples of musics focused on chord progressions**

**Western symphonic "classical" music, Rock**

**Examples of music focused on rhythm**

**African drumming (many examples)**

**Mbira music, Senegal -- video**

## **Vertical dimension (Harmony)**

**Patterns of concurrent sounds**

**Constellations of pitches (intervals, chords)**

**Sound texture (timbre)**

**Number of independent voices**

**Example of horizontal and vertical organization:**

**Satie Music Animation Machine**

-----  
**Horizontal dimension involves temporal context & memory**

**Build-up of representations and expectancies**

**Vertical dimension involves tonal interactions**

**Masking, fusions of sounds**

## Rethinking the role of time

- Time as coding auditory quality (pitch, timbre, rhythm)
- Time as metrical structure of events  
Repetition and change in music  
Buildup of temporal pattern expectations
- Time as ordinal sequence of events

**Perception  
cognition  
&  
motor  
domains**

# Auditory qualities in music perception & cognition

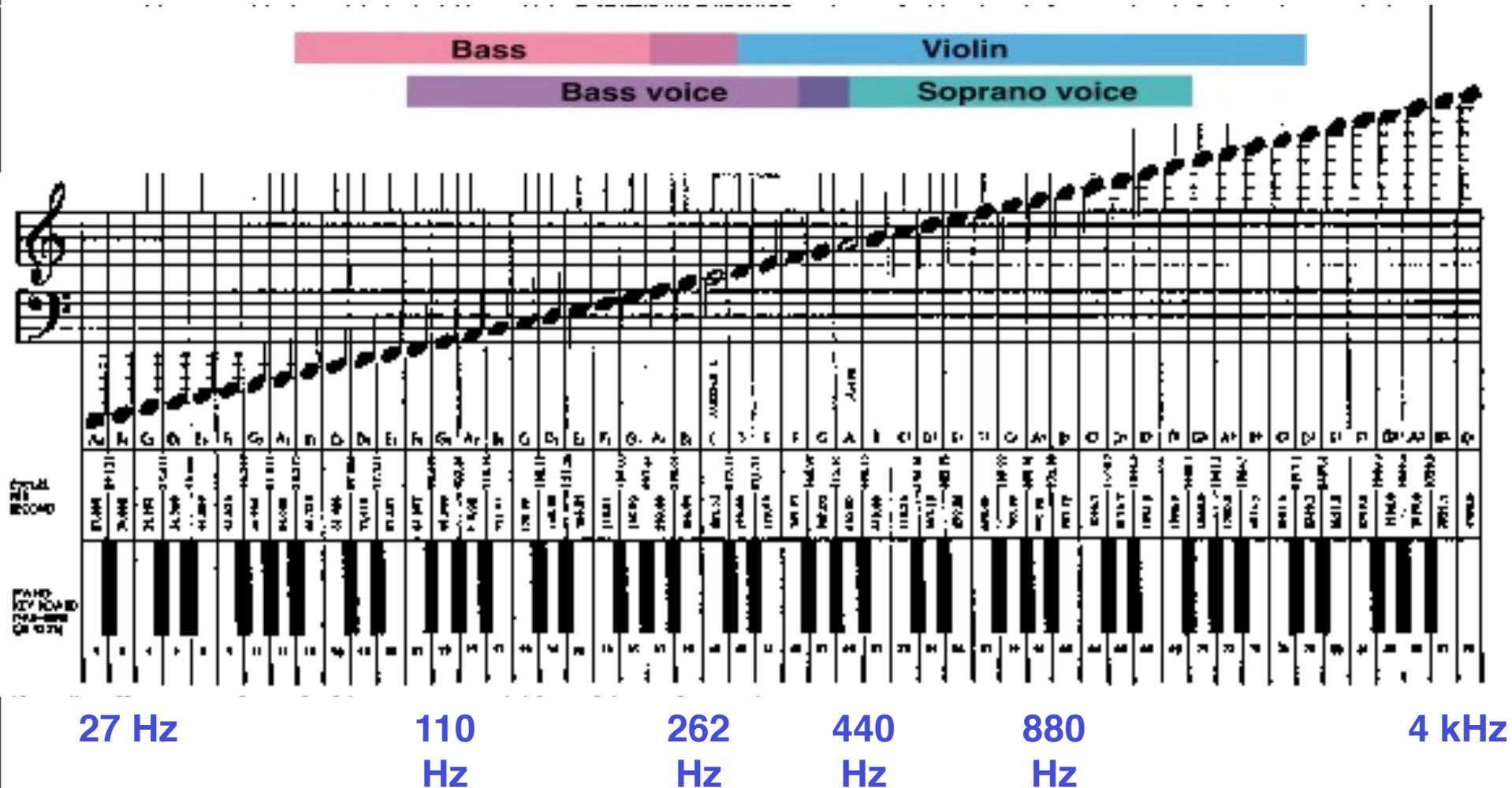
- **Pitch** Melody, harmony, consonance
- **Timbre** Instrument voices
- **Loudness** Dynamics
- **Organization** Fusions, objects. How many voices?
- **Rhythm** Temporal organization of events
- **Longer pattern** Repetition, sequence
- **Mnemonics** Familiarity
- **Hedonics** Pleasant/unpleasant
- **Semantics** Cognitive & emotional associations

# Frequency ranges of (tonal) musical instruments

8k  
6  
5  
4  
3  
2

> 6 kHz

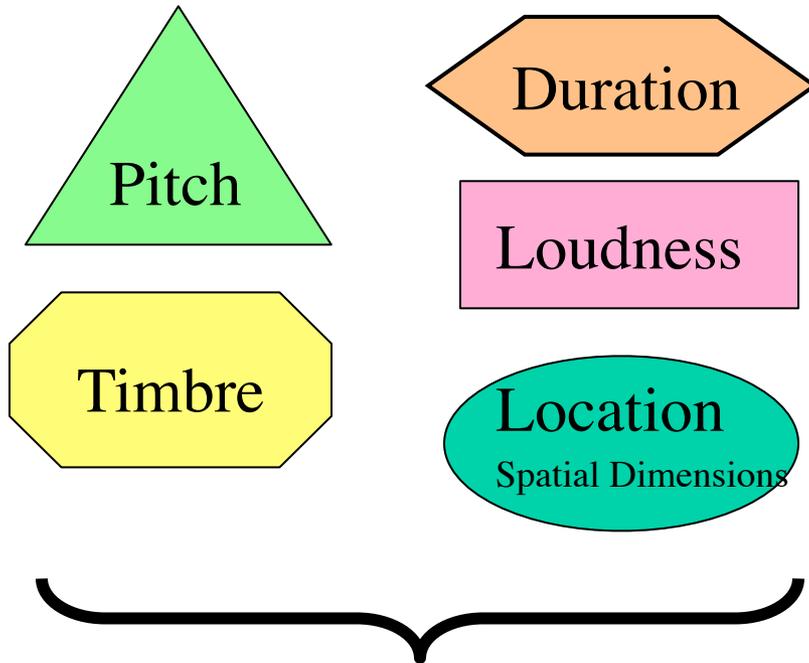
2.5-4 kHz



## Dimensions of auditory objects

### Auditory qualities and their organization

Objects: Quasi-stationary assemblages of qualities



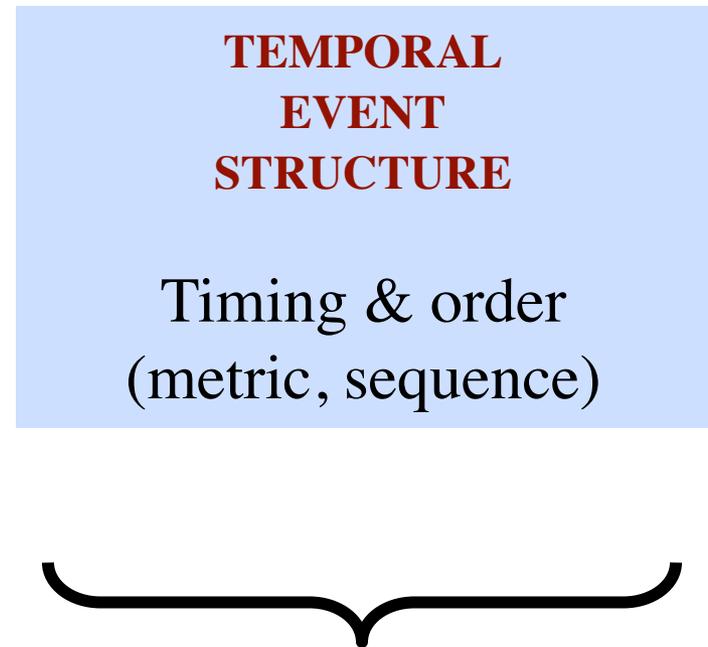
### FUSION/SEPARATION

Common onset & harmonic structure => fusion  
Different F0s, locations, onset => separation  
POLYPHONY

## Dimensions of event perception

### Unitary events & their organization

Events: abrupt perceptual discontinuities



### FUSION/SEPARATION

Common onset, offset => fusion  
Diff. meters, pitch, timbre => separation  
STREAMS, POLYRHYTHMS

# **Music: patterns of events in time organized relations between events**

**Music: patterns of events in time  
organized relations between events**

**Johannes Brahms**

**Capriccio**

**Opus 76 no. 2**

# From cochlea to cortex

## Afferent Auditory Pathways

- 10,000k **Primary auditory cortex (Auditory forebrain)**
- Auditory thalamus**
- 500k **Inferior colliculus (Auditory midbrain)**
- Lateral lemniscus**
- Auditory brainstem**
- 30k **Auditory nerve (VIII)**
- 3k **Cochlea**

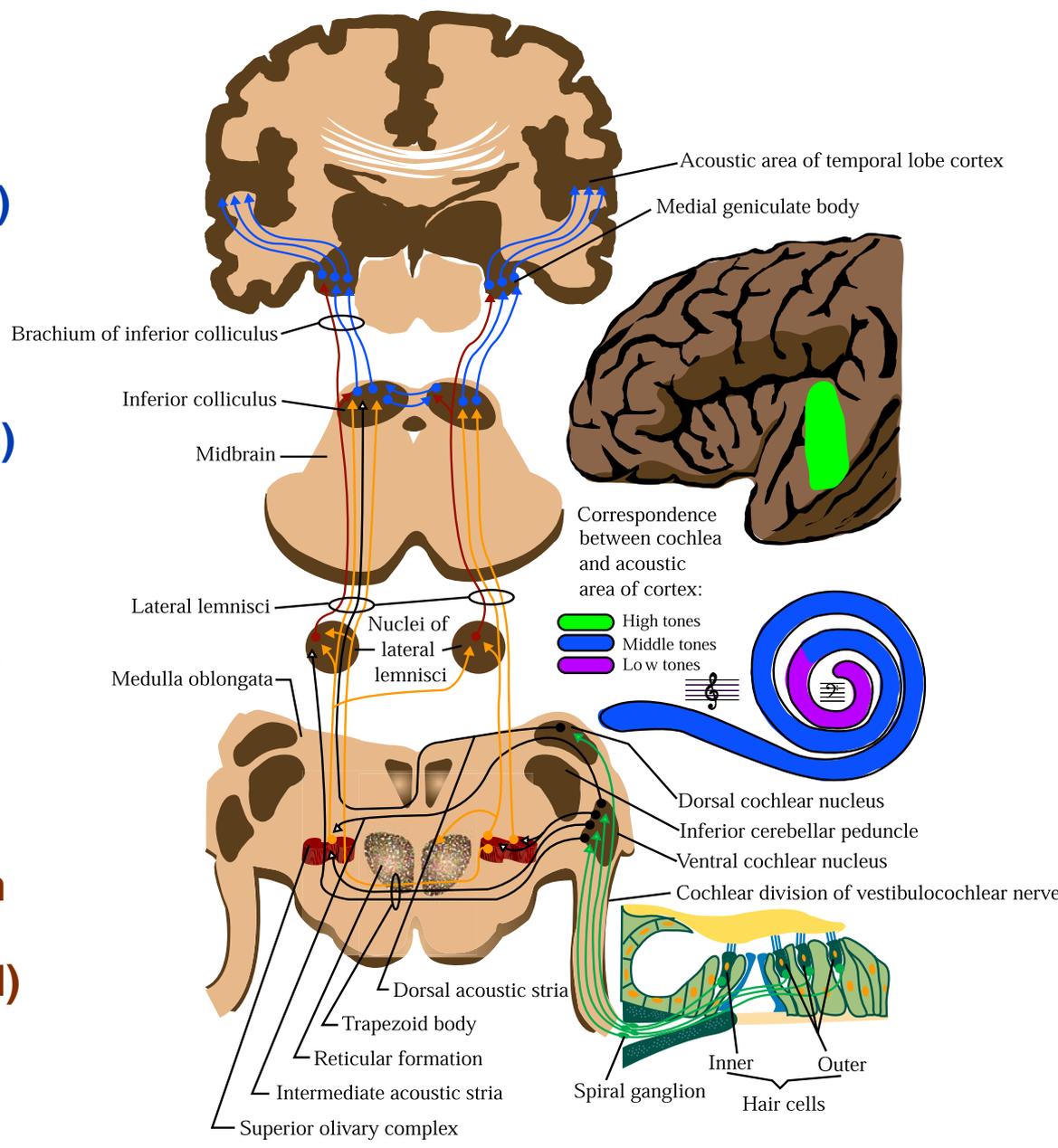
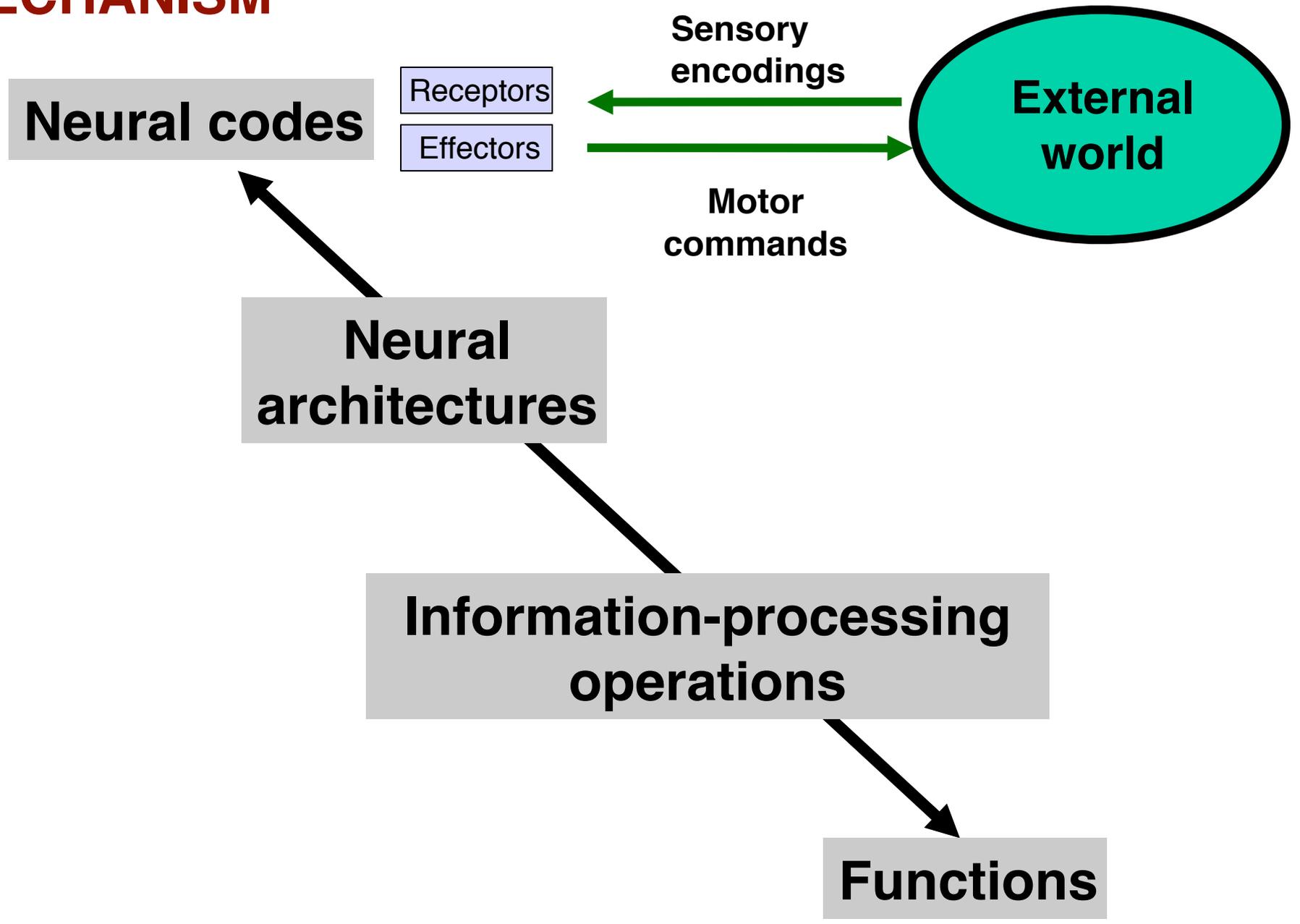


Figure by MIT OpenCourseWare.

# MECHANISM



# MECHANISM

**Neurophysiology**  
**Neurocomputation**

**Music cognition**  
**Music theory**



**Neurophysiology**  
**Neurocomputation**

**Music cognition**  
**Music theory**

**Neural responses**

**Schemas, grammars**

**Neural codes**

**Event structures**

**Neuroanatomy**

**Tonal hierarchies**

**Psychoacoustics**

**Memory**

**Reverse-engineering**

**Aesthetics, hedonics**

**Explaining pitch**

**Pitch as a primitive**

# Visual grouping

Dember & Bagwell, 1985, A history of perception, Topics in the History of Psychology, Kimble & Schlesinger, eds.

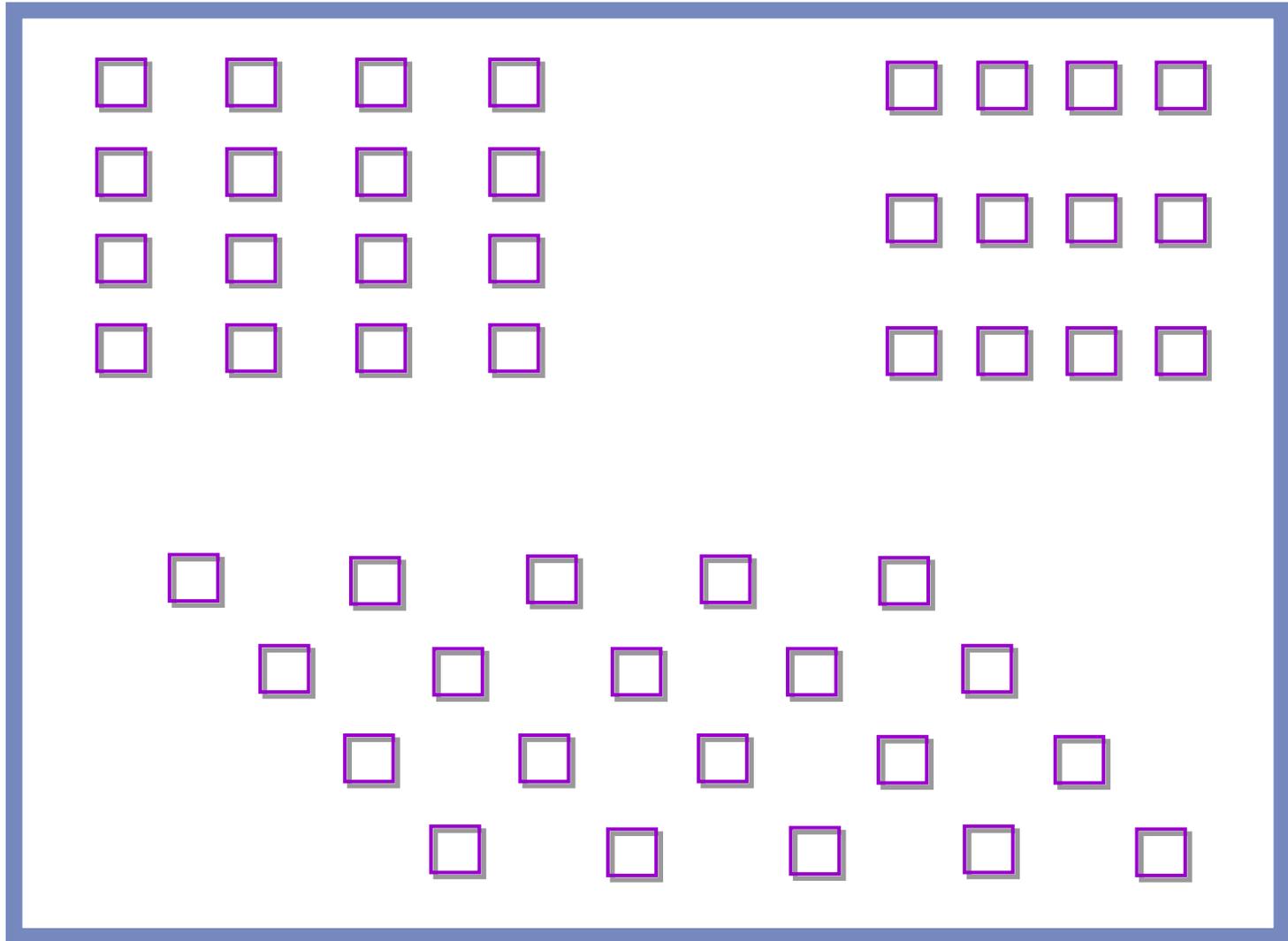


Figure by MIT OpenCourseWare.

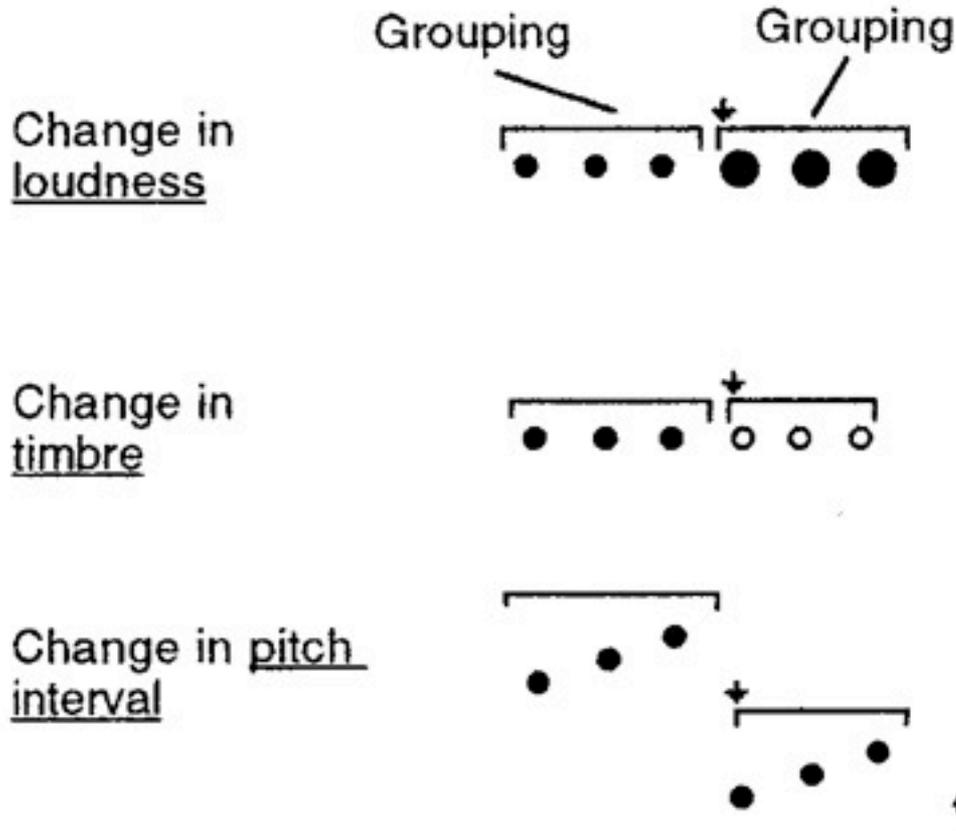
# Acoustical grouping

(Snyder, Music & Memory)

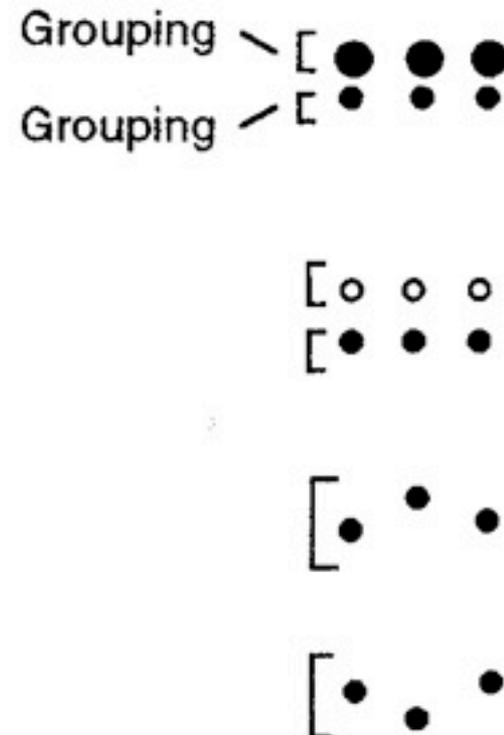
## SIMILARITY

### Sequential Grouping

(Arrows indicate point of realization of change.)

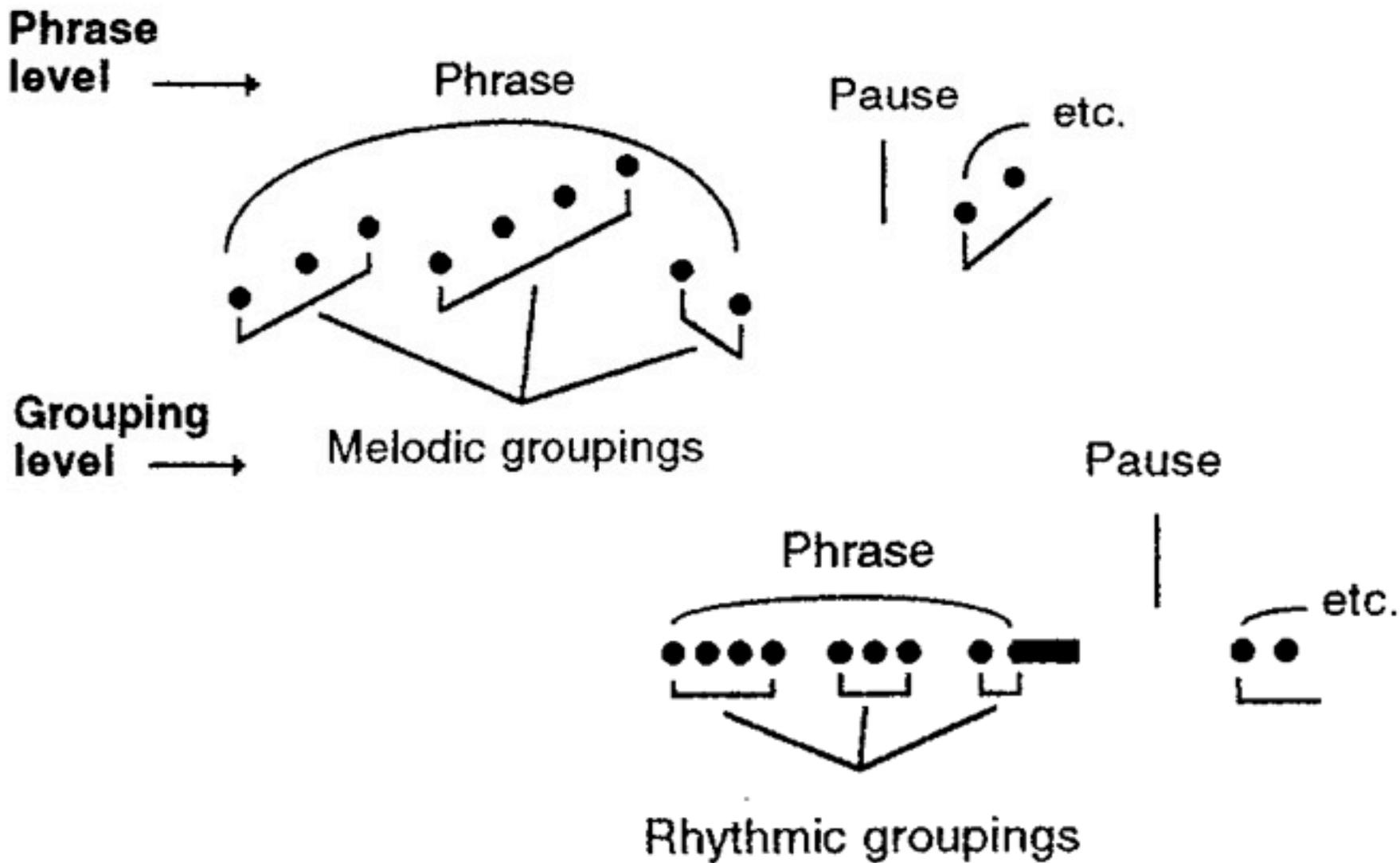


### Simultaneous Grouping



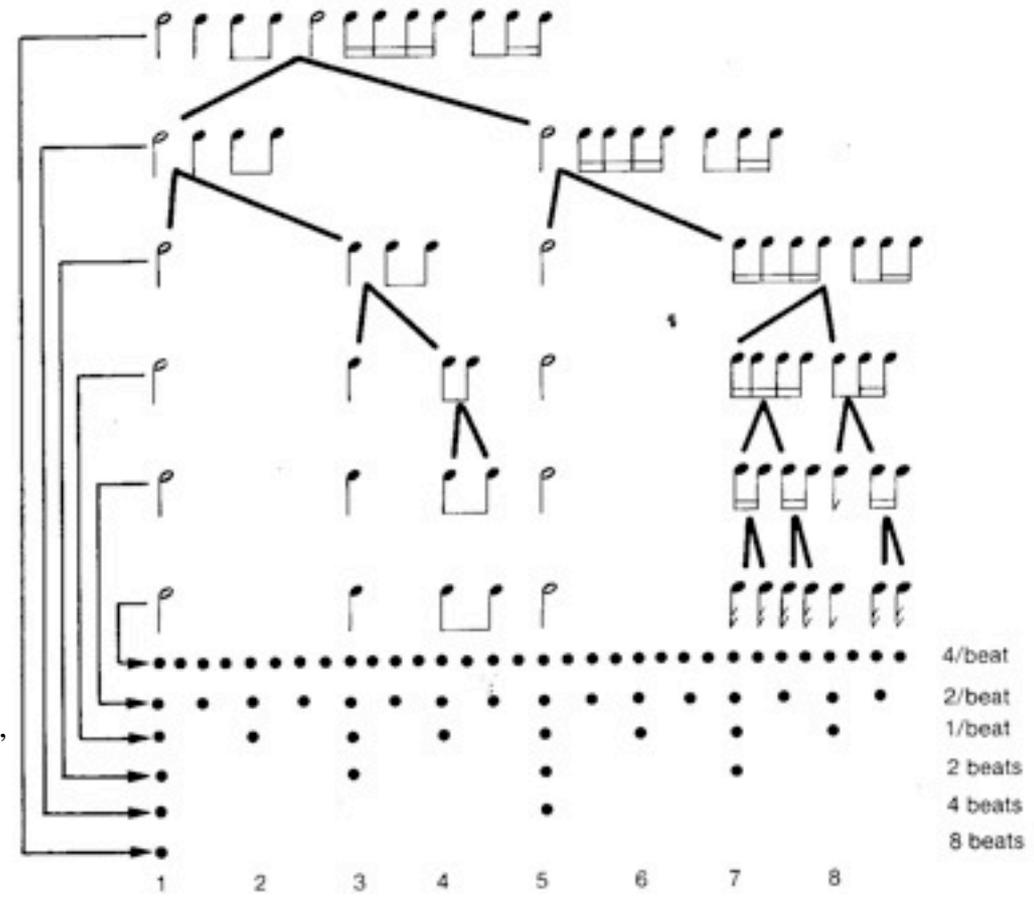
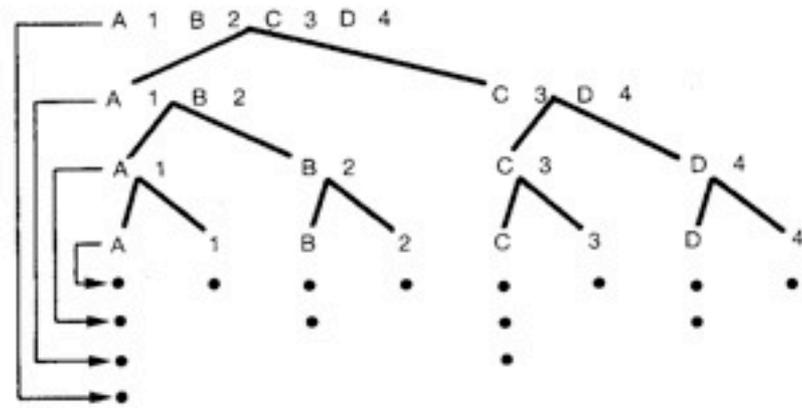
Source: Snyder, Bob. *Music and Memory*. Cambridge, MA: MIT Press, 2000. Courtesy of MIT Press. Used with permission.

# Melodic grouping & rhythmic grouping



Source: Snyder, Bob. *Music and Memory*. Cambridge, MA: MIT Press, 2000. Courtesy of MIT Press. Used with permission.

# Rhythmic Hierarchy



Source: Handel, S. *Listening: an Introduction to the Perception of Auditory Events*. Cambridge, MA: MIT Press, 1989. Courtesy of MIT Press. Used with permission.

Handel

Wednesday, February 4, 2009

# Repeated patterns, groupings, expectancies, and their violations

Ludwig van Beethoven

Bagatelle

Opus 33, no. 5

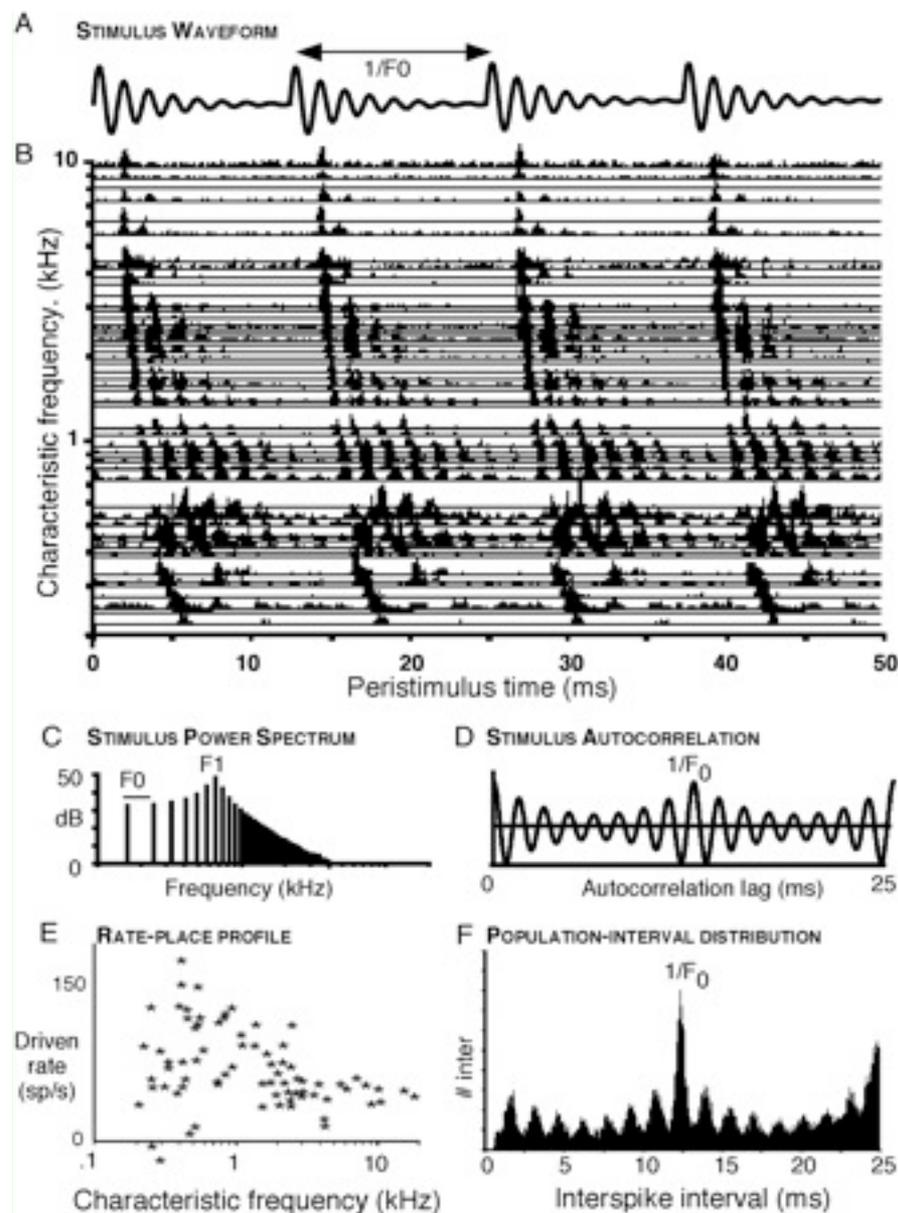
- **All-order interspike intervals**
- **Population-wide distribution:**
  - All auditory nerve fibers
  - (all CFs, all SRs)

## Predictions

**Pitch (frequency)** =  
the predominant interval  
or interval pattern

**Pitch strength (salience)** =  
the relative fraction of  
pitch-related intervals in  
the whole distribution

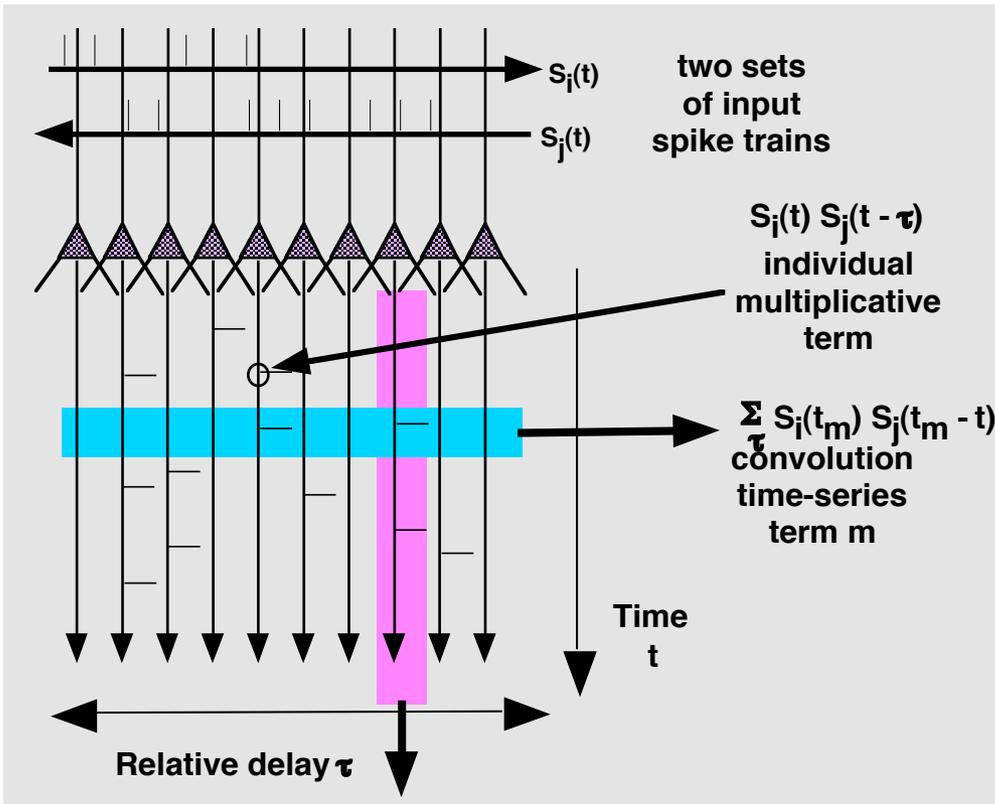
**Detectability:** A pitch can be  
heard iff its salience



# Neural timing nets

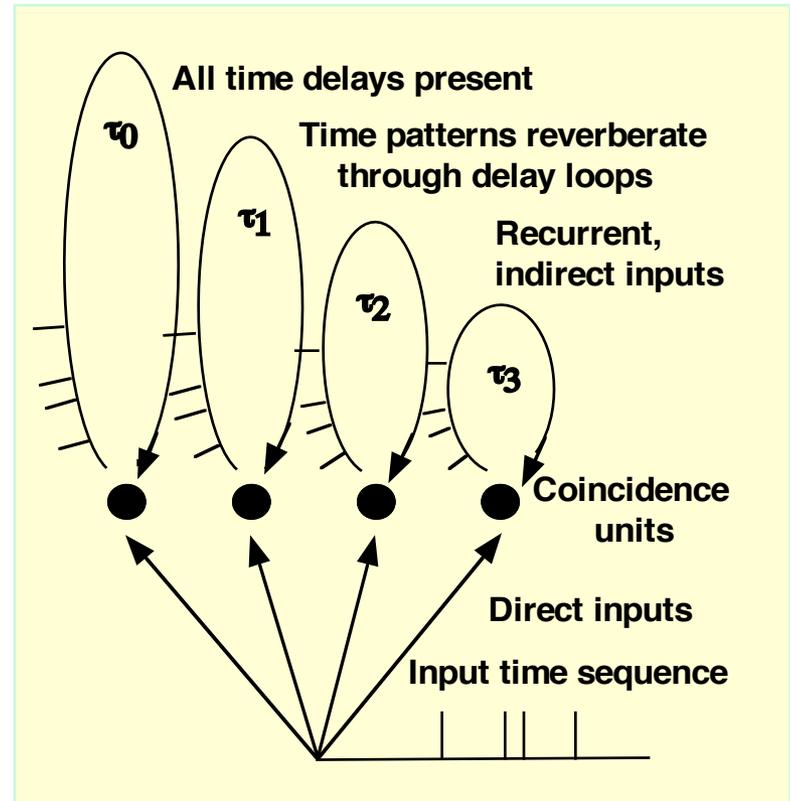
## FEED-FORWARD TIMING NETS

- Temporal sieves
- Extract (embedded) similarities
- Multiply autocorrelations
- Pitch & timbre matching



## RECURRENT TIMING NETS

- Build up pattern invariances
- Detect periodic patterns
- Separate auditory objects by F0
- Metric induction
- Time domain comb filters



# Emotion & meaning in music

## **Psychological functions of music: why we do it**

- Perceptual-cognitive interest (formalism, surprise)
- Mood control & emotional expression (expressionism, nostalgia)
- Social functions (religious, athletic, & civic ritual; courtship; dance; group cohesion; shared symbols; group identity)

## **Sources of meaning: reference and/or construction**

The meaning of meaning: semiotics

- External env. associations: linkages w. memories
- Lyrics and their semantics
- Internal associations: body rhythms, patterns
- External musical associations, expectations (e.g. dirge)
- Intrinsic music expectations (harmonic & rhythmic org.)

## **What cues convey emotional meaning in music?**

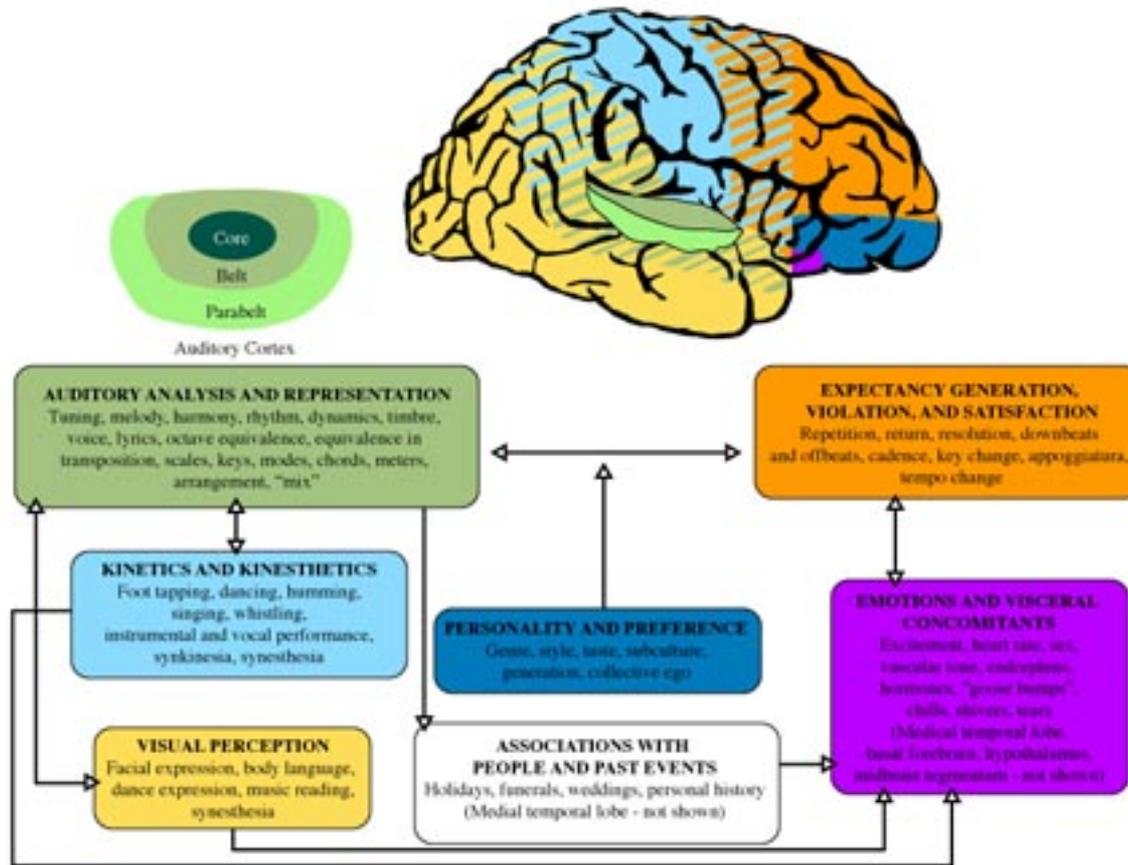


Figure by MIT OpenCourseWare. After Tramo, M. Science 291, no. 5501 (2001): 54-56.

**Reading for Thursday, Feb. 8**

What we hear:  
Deutsch Chapter 4  
(Rasch & Plomp)

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HST.725 Music Perception and Cognition  
Spring 2009

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