

# 1 Geometry

Field:

- We have been doing geometry—eg linear programming
- But in computational geometry, key difference in focus: **low dimension  $d$**
- Lots of algorithms that are great for  $d$  small, but exponential in  $d$

## 1.1 Range Trees for Orthogonal Range Queries

One key idea in CG: reducing dimension

- Do some work that reduces problem to smaller dimension
- Since few dimensions, work doesn't add up much.

What points are in this box?

- goal:  $O(n)$  space
- query time  $O(\log n)$  plus number of points
- (can't beat  $\log n$  even for 1d)
- 1d solution: binary tree.
  - Find leftmost in range
  - Walk tree till rightmost

Generalize: Solve in each coordinate “separately”

- Idea 1: solve each coord, intersecting
  - Too expensive: maybe large solution in each coord, none in intersection
- Idea:
  - we know  $x$  query will be an interval,
  - so build a  $y$ -range structure on each distinct subrange of points by  $x$
  - Use binary search to locate right  $x$  interval
  - Then solve 1d range search on  $y$
  - Problem:  $n^2$  distinct intervals
  - So  $n^3$  space and time to build

Refine idea:

- Build binary search tree on  $x$  coords

- Each internal node represents an interval containing some points
- Our query's  $x$  interval can be broken into  $O(\log n)$  tree intervals
- We want to reduce dimension: on each subinterval, range search  $y$  coords **only** amount nodes in that  $x$  interval
- Solution: each internal node has a  $y$ -coord search tree on points in its subtree
- Size:  $O(n \log n)$ , since each point in  $O(\log n)$  internal nodes
- Query time: find  $O(\log n)$  nodes, range search in each  $y$ -tree, so  $O(\log^2 n)$  (plus output size)
- more generally,  $O(\log^d n)$
- **fractional cascading** improves to  $O(\log n)$

Dynamic maintenance:

- Want to insert/delete points
- Problem to maintain tree balance
- When insert  $x$  coord, may want to rebalance
- Rotations are obvious choice, but have to rebuild auxiliary structures
- Linear cost to rotate a tree.
- Remember treaps?
  - We showed expect 1 rotation
  - Can show expected size of rotated tree is small
  - Then insert  $y$  coord in  $O(\log n)$  auxiliary structures
  - So,  $O(\log^2 n)$  update cost

## 2 Sweep Algorithms

Another key idea:

- dimension is low,
- so worth expending lots of energy to reduce dimension
- plane sweep is a general-purpose dimension reduction
- Run a plane/line across space
- Study only what happens on the frontier
- Need to keep track of “events” that occur as sweep line across
- simplest case, events occur when line hits a feature

## 2.1 Convex Hull by Sweep Line

- define
- good for: width, diameter, filtering
- assume no 3 points on straight line.
- output:
  - points and edges on hull
  - in counterclockwise order
  - can leave out edges by hacking implementation
- $\Omega(n \log n)$  lower bound via sorting

Build upper hull:

- Sort points by  $x$  coord
- Sweep line from left to right
- maintain upper hull “so far”
- as encounter next point, check if hull turns right or left to it
- if right, fine
- if left, hull is concave. Fix by deleting some previous points on hull.
- just work backwards till no left turn.
- Each point deleted only once, so  $O(n)$
- but  $O(n \log n)$  since must sort by  $x$  coord.

## 2.2 Halfspace intersection

Duality.

- $(a, b) \rightarrow ax + by + 1 = 0$ .
- line through two points becomes point at intersection of 2 lines
- point at distance  $d$  antipodal line at distance  $1/d$ .
- intersection of halfspace become convex hull.

So,  $O(n \log n)$  time.

### 2.3 Segment intersections

We saw this one using persistent data structures.

- Maintain balanced search tree of segments ordered by current height.
- Heap of upcoming “events” (line intersections/crossings)
- pull next event from heap, output, swap lines in balanced tree
- check swapped lines against neighbors for new intersection events
- lemma: next event always occurs between neighbors, so is in heap
- **note:** next event is always in future (never have to backtrack).
- so sweep approach valid
- and in fact, heap is monotone!

## 3 Voronoi Diagram

Goal: find nearest MIT server terminal to query point.

Definitions:

- point set  $p$
- $V(p_i)$  is space closer to  $p_i$  than anything else
- for two points,  $V(P)$  is bisecting line
- For 3 points, creates a new “voronoi” point
- And for many points,  $V(p_i)$  is intersection of halfplanes, so a convex polyhedron
- And nonempty of course.
- but might be infinite
- Given VD, can find nearest neighbor via planar point location:
- $O(\log n)$  using persistent trees

Space complexity:

- VD is a **planar graph**: no two voronoi edges cross (if count voronoi points)
- add one point at infinity to make it a proper graph with ends
- Euler’s formula:  $n_v - n_e + n_f = 2$

- ( $n_v$  is voronoi points, not original ones)
- But  $n_f = n$
- Also, every voronoi point has degree at least 3 while every edge has two endpoints.
- Thus,  $2n_e \geq 3(n_v + 1)$
- rewrite  $2(n + n_v - 2) \geq 3(n_v + 1)$
- So  $n - 2 \geq (n_v + 3)/2$ , ie  $n_v \leq 2n - 7$
- Gives  $n_e \leq 3n - 6$

Summary:  $V(P)$  has linear space and  $O(\log n)$  query time.

### 3.1 Construction

VD is dual of projection of lower CH of lifting of points to parabola in 3D.  
 And 3D CH can be done in  $O(n \log n)$   
 Can build each voroni cell in  $O(n \log n)$ , so  $O(n^2 \log n)$ .

### 3.2 Plane Sweep

Basic idea:

- Build portion of Vor behind sweep line.
- problem: not fully determined! may be about to hit a new site.
- What is determined? Stuff closer to a point than to line
- boundary is a parabola
- boundary of know space is pieces of parabolas: “beach line”
- as sweep line descends, parabolas descend too.
- We need to maintain beach line as “events” change it

Descent of one parabola:

- sweep line (horizontal)  $y$  coord is  $t$
- Equation  $(x - x_f)^2 + (y - y_f)^2 = (y - t)^2$ .
- Fix  $x$ , find  $dy/dt$
- $2(y - y_f)dy/dt = 2(y - t)(dy/dt - 1)$
- So  $dy/dt = -(y - t)/(y - y_f)$

- Thus, the higher  $y_f$  (farther from sweep line) the slower parabola descends.

Site event:

- Sweep line hits site
- creates new degenerate parabola (vertical line)
- widens to normal parabola
- adds arc piece to beach line.

Claim: no other create events.

- case 1: one parabola passing through one other
  - At crossover, two parabolas are tangent.
  - then “inner” parabola has higher focus than outer
  - so descends slower
  - so outer one stays ahead, no crossover.
- case 2: new parabola descends through intersection point of two previous parabolas.
  - At crossover, all 3 parabolas intersect
  - thus, all 3 foci and sweep line on boundary of circle with intersection at center.
  - called **circle event**
  - “appearing” parabola has highest focus
  - so it is slower: won’t cross over
  - In fact, this is how parabola’s **disappear** from beach line
  - outer parabolas catch up with, cross inner parabola.

Summary:

- only **site events** add to beach line
- only **circle events** remove from beach line.
- $n$  site events
- so only  $n$  circle events
- as insert/remove events, only need to check for events in newly adjacent parabolas
- so  $O(n \log n)$  time

## 4 Randomized Incremental Constructions

### BSP

- linearity of expectation. hat check problem
- Rendering an image
  - render a collection of polygons (lines)
  - painters algorithm: draw from back to front; let front overwrite
  - need to figure out order with respect to user
- define BSP.
  - BSP is a data structure that makes order determination easy
  - Build in preprocess step, then render fast.
  - Choose any hyperplane (root of tree), split lines onto correct side of hyperplane, recurse
  - If user is on side 1 of hyperplane, then nothing on side 2 blocks side 1, so paint it first. Recurse.
  - time=BSP size
- sometimes must split to build BSP
- how limit splits?
- autopartitions
- random auto
- analysis
  - $\text{index}(u, v) = k$  if  $k$  lines block  $v$  from  $u$
  - $u \dashv v$  if  $v$  cut by  $u$  auto
  - probability  $1/(1 + \text{index}(u, v))$ .
  - tree size is (by linearity of  $E$ )

$$n + \sum 1/\text{index}(u, v) \leq \sum_u 2H_n$$

- result: **exists** size  $O(n \log n)$  auto
- gives randomized construction
- equally important, gives **probabilistic existence proof** of a small BSP
- so might hope to find deterministically.

## Backwards Analysis—Convex Hulls

Define.

algorithm (RIC):

- random order  $p_i$
- insert one at a time (to get  $S_i$ )
- update  $\text{conv}(S_{i-1}) \rightarrow \text{conv}(S_i)$ 
  - new point stretches convex hull
  - remove new non-hull points
  - revise hull structure
- Data structure:
  - point  $p_0$  inside hull (how find?)
  - for each  $p$ , edge of  $\text{conv}(S_i)$  hit by  $p_0\vec{p}$
  - say  $p$  *cuts* this edge
- To update  $p_i$  in  $\text{conv}(S_{i-1})$ :
  - if  $p_i$  inside, discard
  - delete new non hull vertices and edges
  - 2 vertices  $v_1, v_2$  of  $\text{conv}(S_{i-1})$  become  $p_i$ -neighbors
  - other vertices unchanged.
- To implement:
  - detect changes by moving out from edge cut by  $p_0\vec{p}$ .
  - for each hull edge deleted, must update cut-pointers to  $p_i\vec{v}_1$  or  $p_i\vec{v}_2$

Runtime analysis

- deletion cost of edges:
  - charge to creation cost
  - 2 edges created per step
  - total work  $O(n)$
- pointer update cost
  - proportional to number of pointers crossing a deleted cut edge
  - BACKWARDS analysis
    - \* run backwards
    - \* delete random point of  $S_i$  (**not**  $\text{conv}(S_i)$ ) to get  $S_{i-1}$

- \* same number of pointers updated
- \* expected number  $O(n/i)$ 
  - what  $\Pr[\text{update } p]?$
  - $\Pr[\text{delete cut edge of } p]$
  - $\Pr[\text{delete endpoint edge of } p]$
  - $2/i$
- \* deduce  $O(n \log n)$  runtime
- 3d convex hull using same idea, time  $O(n \log n)$ ,

## 4.1 Linear Programming

- define
- assumptions:
  - nonempty, bounded polyhedron
  - minimizing  $x_1$
  - unique minimum, at a vertex
  - exactly  $d$  constraints per vertex
- definitions:
  - hyperplanes  $H$
  - **basis**  $B(H)$
  - optimum  $O(H)$
- Simplex
  - exhaustive polytope search:
  - walks on vertices
  - runs in  $O(n^{d/2})$  time in theory
  - often great in practice
- polytime algorithms exist, but bit-dependent!
- OPEN: strongly polynomial LP
- goal today: polynomial algorithms for small  $d$

Randomized incremental algorithm

$$T(n) \leq T(n-1, d) + \frac{d}{n}(O(dn) + T(n-1, d-1)) = O(d!n)$$

## Trapezoidal decomposition:

Motivation:

- manipulate/analyse a collection of  $n$  segments
- assume no degeneracy: endpoints distinct
- (simulate touch by slight crossover)
- e.g. detect segment intersections
- e.g., point location data structure
- Basic idea:
  - Draw verticals at all points and intersects
  - Divides space into slabs
  - binary search on  $x$  coordinate for slab
  - binary search on  $y$  coordinate inside slab (feasible since lines non-crossing)
  - problem:  $\Theta(n^2)$  space

Definition.

- draw altitudes from each endpoints and intersection till hit a segment.
- trapezoid graph is *planar* (no crossing edges)
- each trapezoid is a *face*
- show a face.
- one face may have many vertices (from altitudes that hit the *outside* of the face)
- but max vertex degree is 6 (assuming nondegeneracy)
- so total space  $O(n + k)$  for  $k$  intersections.
- number of faces also  $O(n+k)$  (at least one edge/face, at most 2 face/edge)
- (or use Euler's theorem:  $n_v - n_e + n_f \geq 2$ )
- standard clockwise pointer representation lets you walk around a face

Randomized incremental construction:

- to insert segment, start at left endpoint
- draw altitudes from left end (splits a trapezoid)
- traverse segment to right endpoint, adding altitudes whenever intersect

- traverse again, erasing (half of) altitudes cut by segment

Implementation

- clockwise ordering of neighbors allows traversal of a face in time proportional to number of vertices
- for each face, keep a (bidirectional) pointer to all not-yet-inserted left-endpoints in face
- to insert line, start at face containing left endpoint
- traverse face to see where leave it
- create intersection,
  - update face (new altitude splits in half)
  - update left-end pointers
- segment cuts some altitudes: destroy half
  - removing altitude merges faces
  - update left-end pointers
  - (note nonmonotonic growth of data structure)

Analysis:

- Overall, update left-end-pointers in faces neighboring new line
- time to insert  $s$  is

$$\sum_{f \in F(s)} (n(f) + \ell(f))$$

where

- $F(s)$  is faces  $s$  bounds after insertion
- $n(f)$  is number of vertices on face  $f$  boundary
- $\ell(f)$  is number of left-ends inside  $f$ .
- So if  $S_i$  is first  $i$  segments inserted, expected work of insertion  $i$  is
 
$$\frac{1}{i} \sum_{s \in S_i} \sum_{f \in F(s)} (n(f) + \ell(f))$$
- Note each  $f$  appears at most 4 times in sum since at most 4 lines define each trapezoid.
- so  $O(\frac{1}{i} \sum_f (n(f) + \ell(f)))$ .
- Bound endpoint contribution:

- note  $\sum_f \ell(f) = n - i$
- so contributes  $n/i$
- so total  $O(n \log n)$  (tight to sorting lower bound)
- Bound intersection contribution
  - $\sum n(f)$  is just number of vertices in planar graph
  - So  $O(k_i + i)$  if  $k_i$  intersections between segments so far
  - so cost is  $E[k_i]$
  - intersection present if both segments in first  $i$  insertions
  - so expected cost is  $O((i^2/n^2)k)$
  - so cost contribution  $(i/n^2)k$
  - sum over  $i$ , get  $O(k)$
  - **note:** adding to RIC, assumption that first  $i$  items are random.
- Total:  $O(n \log n + k)$

## Search structure

Starting idea:

- extend all vertical lines infinitely
- divides space into slabs
- binary search to find place in slab
- binary search in slab feasible since lines in slab have total order
- $O(\log n)$  search time

Goal: apply binary search in slabs, without  $n^2$  space

- Idea: trapezoidal decom is “important” part of vertical lines
- problem: slab search no longer well defined
- but we show ok

The structure:

- A kind of search tree
- “ $x$  nodes” test against an altitude
- “ $y$  nodes” test against a segment
- leaves are trapezoids
- each node has two children

- **But** may have many parents

Inserting an edge contained in a trapezoid

- update trapezoids
- build a 4-node subtree to replace leaf

Inserting an edge that crosses trapezoids

- sequence of traps  $\Delta_i$
- Say  $\Delta_0$  has left endpoint, replace leaf with  $x$ -node for left endpoint and  $y$ -node for new segment
- Same for last  $\Delta$
- middle  $\Delta$ :
  - each got a piece cut off
  - cut off piece got merged to adjacent trapezoid
  - Replace each leaf with a  $y$  node for new segment
  - two children point to appropriate traps
  - merged trap will have several parents—one from each premerge trap.

Search time analysis

- depth increases by one for new trapezoids
- RIC argument shows depth  $O(\log n)$ 
  - Fix search point  $q$ , build data structure
  - Length of search path increased on insertion only if trapezoid containing  $q$  changes
  - Odds of top or bottom edge vanishing (backwards analysis) are  $1/i$
  - Left side vanishes iff **unique** segment defines that side and it vanishes
  - So prob.  $1/i$
  - Total  $O(1/i)$  for  $i^{th}$  insert, so  $O(\log n)$  overall.