

## Problem Set 9

Due: Wednesday, November 9, 2005.

**Problem 1.** In class we used a “rescaling” argument to show that if one had an absolute approximation algorithm for maximum independent set (MIS), one could transform it into a better absolute approximation algorithm for the problem (and in fact, solve the problem exactly). In this problem, we will show that a similar result holds true for relative approximation: that any constant-factor relative approximation algorithm can be improved to a better constant factor relative approximation.

Suppose one has an  $\alpha$ -(relative) approximation algorithm for MIS. Consider the following “graph product” operation for a graph  $G$ . Create a distinct copy  $G_v$  of  $G$  for each vertex  $v$  of  $G$ . Then connect up the copies as follows: if  $(u, v)$  is an edge of  $G$ , then connect every vertex in  $G_u$  to every vertex in  $G_v$ .

- (a) Prove that if there is an independent set of size  $k$  in  $G$ , then there is an independent set of size  $k^2$  in the product graph.
- (b) Prove that given an independent set of size  $s$  in the product graph, one can find an independent set of size  $\sqrt{s}$  in  $G$ .
- (c) Prove that if there is an  $\alpha$ -approximation for MIS for *some* fixed  $\alpha$ , then there is a polynomial approximation scheme for MIS.

Since MIS was shown to be MAX-SNP-hard, meaning there is some constant to within which it *cannot* be approximated (unless  $P = NP$ ) this proves that MIS has *no* constant-factor relative approximation.

**NONCOLLABORATIVE Problem 2.** The *Steiner Tree Problem* presents an undirected graph  $G$  with edge costs  $c_e$ , and a subset  $T$  of the vertices called *terminals*. The goal is to construct a minimum cost tree spanning all the terminals (it may also include any desired subset of the non-terminals; these included vertices are called *Steiner points*).

- (a) Suppose you compute all-pairs shortest paths in  $G$ , and create a complete graph  $G'$  on the terminals  $T$  where each edge cost is equal to the shortest path between its (terminal) endpoints in  $G$ . Relate the cost of the minimum spanning tree in this graph to the cost of the optimum steiner tree in  $G$ .
- (b) Give a 2-approximation algorithm for the Steiner tree problem.

**Problem 3.** The following is the NP-hard problem of **bin packing**: Given  $n$  items with sizes  $a_1, \dots, a_n \in (0, 1]$ , find a packing of the items into unit-sized bins that minimizes the number of bins used. Let  $B^*$  denote the optimum number of bins for the given instance. Bin packing is a lot like  $P||C_{\max}$ , but somewhat more difficult because you have no flexibility to increase the bin sizes.

- (a) Suppose that there are only  $k$  distinct item sizes for some constant  $k$ . Argue that you can solve bin-packing in polynomial time. **Hint:** no new algorithm needed here!
- (b) Suppose that you have packed all items of size greater than  $\epsilon$  into  $B$  bins. Argue that in linear time you can add the remaining small items to achieve a packing using at most  $\max(B, 1 + (1 + \epsilon)B^*)$  bins.
- (c) For  $P||C_{\max}$ , we reduced to the previous case by rounding each job size up to the next power of  $(1 + \epsilon)$ . Why doesn't that work for bin packing?
- (d) Consider instead the following *grouping* procedure. Fix some constant  $k$ . Order the items by size. Let  $S_1$  denote the largest  $n/k$  items,  $S_2$  the next largest  $n/k$ , and so on. Suppose that you increase the size of each item to equal the largest size in its group, so that there are only  $k$  distinct sizes. Argue that this increases the optimal number of bins by at most  $n/k$ . **Hint:** imagine setting aside the jobs in  $S_1$ . Argue that the remaining items, with their increased sizes, can still fit into the bins used by the original packing.
- (e) By applying the grouping procedure to items of size greater than  $\epsilon/2$ , solving the result optimally, and then adding the small items, devise a polynomial time scheme that uses at most  $(1 + \epsilon)B^* + 1$  bins.

Observe that the algorithm above just misses the definition of polynomial approximation scheme, because of the additive error of 1 bin. In practice, of course, this is unlikely to matter. The above scheme is known as an *asymptotic PAS* since its approximation ratio is  $(1 + \epsilon)$  in the limit as the optimum value grows.

The techniques above have been augmented to give an algorithm that finds a packing using  $B^* + O(\log^2 B^*)$  bins—giving asymptotic approximation ratio 1. Indeed, at present it remains conceivable that we might achieve  $B^* + O(1)$  bins in polynomial time!

**Problem 4.** You are given a collection of jobs, each with a *processing time*  $p_j$ . There are also *precedence constraints*: job  $j$  cannot be started until after all jobs in its *precedence set*  $A(j)$  have been completed. Each job gets a *weight*  $w_j$ . Our goal is to minimize the *weighted average completion time*  $\sum w_j C_j$  (where  $C_j$  is the time job  $j$  completes). In other words, we are looking at the problem  $1 \mid \text{prec} \mid \sum w_j C_j$ . Assuming that the  $p_j$  are polynomially-bounded integers, we will give a constant-factor approximation for this problem via a linear programming relaxation. Define variables  $x_{jt}$  for each (integer) time step  $t$ , denoting the “indicator” that job  $j$  completed at time  $t$ .

- (a) Write down constraints forcing the ILP to solve the problem. In particular, enforce that only every job completes, that a job is not processed before its predecessors, and (most subtly) that the total processing time of jobs completed before time  $t$  is at most  $t$ .
- (b) The LP relaxation of this ILP is a kind of “timesharing” schedule for jobs. Define the *fractional completion time* of job  $j$  to be  $\bar{C}_j = \sum_t t x_{jt}$ . To turn it into an actual order, consider the *halfway point*  $h_j$  of each job: this is the time at which half the job is completed. Prove that  $\bar{C}_j \geq h_j/2$ .
- (c) Consider the schedule that processes jobs in order of their halfway points. Prove that no job runs before its predecessors.
- (d) Prove that for the given order, the actual completion time for job  $j$  is at most  $2\bar{C}_j$ .
- (e) Conclude that you have a constant-factor approximation for  $1 \mid prec \mid \sum C_j$ .

**OPTIONAL (f)** Suppose that each job comes with a *release date*  $r_j$  before which it cannot be processed. Generalize your algorithm to handle this case (with a slightly worse constant).