

## Problem Set 3

**Due: Wednesday, September 28, 2005.**

Notice that one problem is marked **noncollaborative**. As you might expect, this problem should be done without any collaboration.

**Problem 1.** Augment the van Emde Boas priority queue to support the following operations on integers in the range  $\{0, 1, 2, \dots, u - 1\}$  in  $O(\log \log u)$  worst-case time each and  $O(u)$  space total:

**Find**  $(x, Q)$ : Report whether the element  $x$  is stored in the structure.

**Predecessor**  $(x, Q)$ : Return  $x$ 's predecessor, the element of largest value less than  $x$ , or null if  $x$  is the minimum element.

**Successor**  $(x, Q)$ : Return  $x$ 's successor, the element of smallest value greater than  $x$ , or null if  $x$  is the maximum element.

**NONCOLLABORATIVE Problem 2.** In class we saw how to use a van Emde Boas priority queue to get  $O(\log \log u)$  time per queue operation (insert, delete-min, decrease-key) when the range of values is  $\{1, 2, \dots, u\}$ . Show that for the single-source shortest paths problem on a graph with  $n$  nodes and range of edge lengths  $\{1, 2, \dots, C\}$ , we can obtain  $O(\log \log C)$  time per queue operation, even though the range of values in the queue is  $\{1, 2, \dots, nC\}$ ,

**Problem 3.** In class we considered building a depth- $k$ , base- $\Delta$  (implicit) trie over integers in the range from 1 to  $C$  (where  $\Delta = C^{1/k}$ ) that supported insert in time  $O(k)$  and delete-min in time  $O(\Delta)$ . By choosing  $k$  and  $\Delta$  appropriately we found shortest paths in  $O(m + n \log C)$  time. We now improve this bound. Consider modifying the delete-min operation, where we scan forward through a trie node and reach a new a bucket of items. If that bucket has more than  $t$  items in it, we expand it to multiple buckets in a node at the next trie level down as before. But if there are fewer than  $t$  items, we simply store them in a heap. During inserts or decrease-keys, new items may be added to the heap, and if the heap size grows beyond  $t$ , we expand it to a trie node of buckets as before.

- (a) Let  $I(t)$ ,  $D(t)$ ,  $X(t)$  denote the times to insert, decrease key, and extract min in a heap of size at most  $t$ . Prove that the amortized times for operations in the new data structure can be bounded by

- $O(k\Delta/t + I(t))$  for insert
- $O(D(t) + I(t))$  for decrease-key
- $O(X(t))$  for extract-min

**Hint:** When an item is inserted, give it  $k\Delta/t$  units of potential energy. Each time the item gets pushed down into a new trie node, have it donate  $\Delta/t$  of its potential energy to that node. Argue that this is a valid analysis, and that the potential energy at nodes is sufficient to pay for scanning trie nodes during an extract-min.

- (b) Argue that using Fibonacci heaps and setting  $k = \sqrt{\log C}$  and  $t = 2^k$  gives a running time of  $O(m + n\sqrt{\log C})$  for shortest paths.

**Problem 4.** Perfect hashing is nice, but does have the drawback that the perfect hash function has a lengthy description (since you have to describe the second-level hash function for each bucket). Consider the following alternative approach to producing a perfect hash function with a small description. Define *bi-bucket hashing*, or *bashing*, as follows. Given  $n$  items, allocate *two* arrays of size  $n^{1.5}$ . When inserting an item, map it to one bucket in *each* array, and place it in the emptier of the two buckets.

- (a) Suppose a random function is used to map each item to buckets. Give a good upper bound on the expected number of collisions. **Hint:** What is the probability that the  $k^{\text{th}}$  inserted item collides with some previously inserted item?
- (b) Argue that bashing can be implemented efficiently, with the same expected outcome, using the ideas from 2-universal hashing.
- (c) Conclude an algorithm with linear expected time (ignoring array initialization) for identifying a perfect bash function for a set of  $n$  items. How large is the description of the resulting function?

**OPTIONAL (d)** Generalize the above approach to use less space by exploiting tri-bucket hashing (trashing), quad-bucket hashing (quashing), and so on.

**OPTIONAL Problem 5.** Our bucketing data structures (and in particular ven Emde Boas queues) use arrays, and we never worried about the time taken to initialize them. Devise a way to avoid initializing large arrays. More specifically, develop a data structure that holds  $n$  items according to an index  $i \in \{1, \dots, n\}$  and supports the following operations in  $O(1)$  time (worst case) per operation:

**init** Initializes the data structure to empty.

**set**( $i, x$ ) places item  $x$  at index  $i$  in the data structure.

**get**( $i$ ) returns the item stored in index  $i$ , or “empty” if nothing is there.

Your data structure should use  $O(n)$  space and should work **regardless** of what garbage values are stored in that space at the beginning of the execution. **Hint:** use extra space to remember which entries of the array have been initialized.

**OPTIONAL Problem 6.** Can a van Emde Boas type data structure be combined with some ideas from Fibonacci heaps to support insert/decrease-key in  $O(1)$  time and delete-min in  $O(\log \log u)$  time?