

# More Symple Types Progress And Preservation

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September 28, 2015

# Formalizing a Type System Recap

# Static Semantics

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- Typing rules
  - Typing rules tell us how to derive typing judgments
  - Very similar to derivation rules in Big Step OS

$$\frac{\textit{premises}}{\textit{Judgment}}$$

- Ex. Language of Expressions

$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{}{\Gamma \vdash N : int}$$

$$\frac{\Gamma \vdash e1 : int \quad \Gamma \vdash e2 : int}{\Gamma \vdash e1 + e2 : int}$$

# Ex. Language of Expressions

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$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{}{\Gamma \vdash N : int}$$

$$\frac{\Gamma \vdash e1 : int \quad \Gamma \vdash e2 : int}{\Gamma \vdash e1 + e2 : int}$$

- Show that the following Judgment is valid

$$x:int, y:int \vdash x + (y + 5) : int$$

$$\frac{x:int, y:int \vdash x:int \quad x:int, y:int \vdash (y + 5) : int}{x:int, y:int \vdash x + (y + 5) : int}$$

$$\frac{\frac{x:int \in x:int, y:int}{x:int, y:int \vdash x:int} \quad \frac{x:int, y:int \vdash y:int \quad x:int, y:int \vdash 5 : int}{x:int, y:int \vdash (y + 5) : int}}{x:int, y:int \vdash x + (y + 5) : int}$$

# Simply Typed $\lambda$ Calculus ( $F_1$ )

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- Basic Typing Rules

$$\frac{x:\tau \in \Gamma}{\Gamma \vdash x:\tau}$$

$$\frac{\Gamma, x:\tau_1 \vdash e:\tau_2}{\Gamma \vdash (\lambda x:\tau_1 e):\tau_1 \rightarrow \tau_2}$$

$$\frac{\Gamma \vdash e_1:\tau' \rightarrow \tau \quad \Gamma \vdash e_2:\tau'}{\Gamma \vdash e_1 e_2:\tau}$$

- Extensions

$$\frac{}{\Gamma \vdash N : int}$$

$$\frac{\Gamma \vdash e_1 : int \quad \Gamma \vdash e_2 : int}{\Gamma \vdash e_1 + e_2 : int}$$

$$\frac{\Gamma \vdash e_1 : int \quad \Gamma \vdash e_2 : int}{\Gamma \vdash e_1 = e_2 : bool}$$

$$\frac{\Gamma \vdash e : bool \quad \Gamma \vdash e_t : \tau \quad \Gamma \vdash e_f : \tau}{\Gamma \vdash \text{if } e \text{ then } e_t \text{ else } e_f : \tau}$$

# Example

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- Is this a valid typing judgment?

$\vdash (\lambda x: \text{bool } \lambda y: \text{int } \text{if } x \text{ then } y \text{ else } y + 1): \text{bool} \rightarrow \text{int} \rightarrow \text{int}$

- How about this one?

$\vdash (\lambda x: \text{int } \lambda y: \text{bool } x + y): \text{int} \rightarrow \text{bool} \rightarrow \text{int}$

# Example

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- What's the type of this function?

$(\lambda f. \lambda x. \text{if } x = 1 \text{ then } x \text{ else } (f \ f \ (x-1)) \ * \ x)$

$$\frac{x:\tau \in \Gamma}{\Gamma \vdash x:\tau}$$

$$\frac{\Gamma, x:\tau_1 \vdash e:\tau_2}{\Gamma \vdash (\lambda x:\tau_1 e):\tau_1 \rightarrow \tau_2}$$

$$\frac{\Gamma \vdash e_1:\tau' \rightarrow \tau \quad \Gamma \vdash e_2:\tau'}{\Gamma \vdash e_1 e_2:\tau}$$

$$\frac{}{\Gamma \vdash N:int}$$

$$\frac{\Gamma \vdash e_1:int \quad \Gamma \vdash e_2:int}{\Gamma \vdash e_1 + e_2:int}$$

$$\frac{\Gamma \vdash e_1:int \quad \Gamma \vdash e_2:int}{\Gamma \vdash e_1 = e_2:bool}$$

$$\frac{\Gamma \vdash e:bool \quad \Gamma \vdash e_t:\tau \quad \Gamma \vdash e_f:\tau}{\Gamma \vdash \text{if } e \text{ then } e_t \text{ else } e_f:\tau}$$

- Hint: This IS a trick question

# Simply Typed $\lambda$ Calculus ( $F_1$ )

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- We have defined a really strong type system on  $\lambda$ -calculus
  - It's so strong, it won't even let us write non-terminating computation
  - We can actually prove this!

# Progress and Preservation

# What makes a type system “correct”

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- “Well typed programs never go wrong”
- Inductive argument
  - **Preservation:** If a program is well typed it will stay well typed in the next step of evaluation
  - **Progress:** If a program is well typed now, it won't go wrong in the next step of evaluation
- What do we mean by “step of evaluation”?

# Preservation

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- Using Big-Step semantics we can argue global preservation

$$\Gamma \vdash e_1:\tau \wedge e_1 \rightarrow e_2 \Rightarrow \Gamma \vdash e_2:\tau$$

- Prove by induction on the structure of derivation of  $e_1 \rightarrow e_2$

# Proof by induction on Structure of Evaluation

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- Base cases: trivial

$$\overline{x \rightarrow x}$$

$$\overline{\lambda x. e \rightarrow \lambda x. e}$$

- Inductive case is a little trickier

$$\frac{e_1 \rightarrow \lambda x. e'_1 \quad e'_1[e_2/x] \rightarrow e_3}{e_1 e_2 \rightarrow e_3}$$

# Induction on the Structure of the Derivation

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- Inductive case 
$$\frac{e_1 \rightarrow \lambda x. e'_1 \quad e'_1[e_2/x] \rightarrow e_3}{e_1 e_2 \rightarrow e_3}$$

- Given  $\Gamma \vdash e_1 e_2 : \tau_{e12}$  we want to show that  $\Gamma \vdash e_3 : \tau_{e12}$
- By our typing rule, we have

$$\frac{\Gamma \vdash e_1 : \tau' \rightarrow \tau_{e12} \quad \Gamma \vdash e_2 : \tau'}{\Gamma \vdash e_1 e_2 : \tau_{e12}}$$

- And by the IH, we have that  $\lambda x. e'_1 : \tau' \rightarrow \tau_{e12}$

- Which again by the typing rule 
$$\frac{\Gamma, x : \tau' \vdash e'_1 : \tau_{e12}}{\Gamma \vdash (\lambda x : \tau' . e'_1) : \tau \rightarrow \tau_{e12}}$$

- Now, we need to show that

$$\Gamma, x : \tau' \vdash e'_1 : \tau_{e12} \wedge \Gamma \vdash e_2 : \tau' \Rightarrow \Gamma \vdash e'_1[e_2/x] : \tau_{e12}$$

- And from our IH

$$\Gamma \vdash e'_1[e_2/x] : \tau_{e12} \Rightarrow \Gamma \vdash e_3 : \tau_{e12}$$

# Small Step Semantics

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- Big step goes directly from initial program to result
- Small Step evaluates one step at a time

# Small Step Example

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- Contexts

$$H ::= o \mid H e_1 \mid H + e \mid n + H \mid$$
$$\text{if } H \text{ then } e_1 \text{ else } e_2 \mid$$
$$H == e_1 \mid n == H$$

- Local Reduction Rules

- $n_1 + n_2 \rightarrow n$  (where  $n = \text{plus } n_1 \ n_2$ )
- $n_1 == n_2 \rightarrow b$  (where  $b = (\text{equals } n_1 \ n_2)$ )
- $\text{if true then } e_1 \text{ else } e_2 \rightarrow e_1$
- $\text{if false then } e_1 \text{ else } e_2 \rightarrow e_2$
- $(\lambda x:\tau.e_1) v_2 \rightarrow [v_2/x] e_1$

- Global Reduction Rules

- $H[r] \rightarrow H[e]$  iff  $r \rightarrow e$

# The proof strategy

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- Progress Theorem

If  $\vdash e:\tau$  and  $e$  is not a value, then there is an  $e'$  s.t.  
 $e \rightarrow e'$

- We can prove this through a decomposition lemma

- If  $\vdash e:\tau$  and  $e$  is not a value, then there are  $H$  and  $r$  s.t.  $e = H[r]$
- This guarantees one step of progress

# Proving the Progress Theorem

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If  $\vdash e:\tau$  and  $e$  is not a value, then there is an  $e'$  s.t.  
 $e \rightarrow e'$

or equivalently,  $e = H[r]$

- Proved by induction on the derivation of  $\vdash e:\tau$

- Base case:
  - Irreducible values

$$\frac{}{\Gamma \vdash \text{false} : \text{bool}} \quad \frac{}{\Gamma \vdash N : \text{int}} \quad \frac{x:\tau \in \Gamma}{\Gamma \vdash x : \tau} \quad \frac{}{\Gamma \vdash \text{true} : \text{bool}} \quad \frac{\Gamma, x:\tau_1 \vdash e:\tau_2}{\Gamma \vdash (\lambda x:\tau_1 e):\tau_1 \rightarrow \tau_2}$$

# Proving the Progress Theorem

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- Inductive case

$$\frac{\Gamma \vdash e : \text{bool} \quad \Gamma \vdash e_t : \tau \quad \Gamma \vdash e_f : \tau}{\Gamma \vdash \text{if } e \text{ then } e_t \text{ else } e_f : \tau}$$

- by the IH,  $e$  can be irreducible,
  - in which case it must be true or false and the whole thing is a redex
- Or, it can be decomposed into  $H[r]$ 
  - in which case if  $H$  then  $e_1$  else  $e_2$  is a valid context.

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6.820 Fundamentals of Program Analysis  
Fall 2015

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