

## In-Class Problems Week 9, Mon.

**Problem 1.** Prove that asymptotic equality ( $\sim$ ) is an equivalence relation.

**Problem 2.** Recall that for functions  $f, g$  on the natural numbers,  $\mathbb{N}$ ,  $f = O(g)$  iff

$$\exists c \in \mathbb{N} \exists n_0 \in \mathbb{N} \forall n \geq n_0 \quad c \cdot g(n) \geq |f(n)|. \quad (1)$$

For each pair of functions below, determine whether  $f = O(g)$  and whether  $g = O(f)$ . In cases where one function is  $O()$  of the other, indicate the *smallest natural number*,  $c$ , and for that smallest  $c$ , the *smallest corresponding natural number*  $n_0$  ensuring that condition (1) applies.

**(a)**  $f(n) = n^2, g(n) = 3n.$

$f = O(g)$       YES      NO      If YES,  $c =$  \_\_\_\_\_,  $n_0 =$  \_\_\_\_\_

$g = O(f)$       YES      NO      If YES,  $c =$  \_\_\_\_\_,  $n_0 =$  \_\_\_\_\_

**(b)**  $f(n) = (3n - 7)/(n + 4), g(n) = 4$

$f = O(g)$       YES      NO      If YES,  $c =$  \_\_\_\_\_,  $n_0 =$  \_\_\_\_\_

$g = O(f)$       YES      NO      If YES,  $c =$  \_\_\_\_\_,  $n_0 =$  \_\_\_\_\_

**(c)**  $f(n) = 1 + (n \sin(n\pi/2))^2, g(n) = 3n$

$f = O(g)$       YES      NO      If yes,  $c =$  \_\_\_\_\_  $n_0 =$  \_\_\_\_\_

$g = O(f)$       YES      NO      If yes,  $c =$  \_\_\_\_\_  $n_0 =$  \_\_\_\_\_

**Problem 3.** Indicate which of the following holds for each pair of functions  $(f(n), g(n))$  in the table below. Assume  $k \geq 1$ ,  $\epsilon > 0$ , and  $c > 1$  are constants. Be prepared to justify your answers.

$f(n)$	$g(n)$	$f = O(g)$	$f = o(g)$	$g = O(f)$	$g = o(f)$	$f = \Theta(g)$	$f \sim g$
$2^n$	$2^{n/2}$						
$\sqrt{n}$	$n^{\sin n\pi/2}$						
$\log(n!)$	$\log(n^n)$						
$n^k$	$c^n$						
$\log^k n$	$n^\epsilon$						

**Problem 4.** It is a standard fallacy to think that given  $n$  quantities each of which is  $O(1)$ , their sum would have to be  $O(n)$ .

Namely, let  $f_1, f_2, \dots$  be a sequence of functions from  $\mathbb{N}$  to  $\mathbb{N}$ , and let

$$S(n) ::= \sum_{i=1}^n f_i(n).$$

Then given that  $f_i = O(1)$  for every  $f_i$  in the sequence, we can try to argue as follows:

$$S(n) = \sum_{i=1}^n f_i(n) = \sum_{i=1}^n O(1) = n \cdot O(1) = O(n).$$

This informal argument may seem plausible, but is fundamentally flawed because it treats  $O(1)$  as some kind numerical quantity. In fact, we ask you to show that there is no way to determine how fast the sum,  $S(n)$ , may grow.

Namely, let  $g$  be any function on  $\mathbb{N}$ . Explain how to define a sequence of functions  $f_1, f_2, \dots$  such that each  $f_i = O(1)$ , but  $S$  is not  $O(g)$ . *Hint:* Let  $f_i(n) ::= 1 + ig(i)$ .

## Asymptotic Notations

For functions  $f, g : \mathbb{R} \rightarrow \mathbb{R}$ , we say  $f$  is *asymptotically equal* to  $g$ , in symbols,

$$f(x) \sim g(x)$$

iff

$$\lim_{x \rightarrow \infty} f(x)/g(x) = 1.$$

For functions  $f, g : \mathbb{R} \rightarrow \mathbb{R}$ , we say  $f$  is *asymptotically smaller* than  $g$ , in symbols,

$$f(x) = o(g(x)),$$

iff

$$\lim_{x \rightarrow \infty} f(x)/g(x) = 0.$$

Given functions  $f, g : \mathbb{R} \mapsto \mathbb{R}$ , with  $g$  nonnegative, we say that<sup>1</sup>

$$f = O(g)$$

iff

$$\limsup_{x \rightarrow \infty} |f(x)|/g(x) < \infty.$$

An alternative, equivalent, definition is

$$f = O(g)$$

iff there exists a constant  $c \geq 0$  and an  $x_0$  such that for all  $x \geq x_0$ ,  $|f(x)| \leq cg(x)$ .

Finally, we say

$$f = \Theta(g) \quad \text{iff} \quad f = O(g) \wedge g = O(f).$$

---

1

$$\limsup_{x \rightarrow \infty} h(x) ::= \lim_{x \rightarrow \infty} \text{lub}_{y \geq x} h(y).$$