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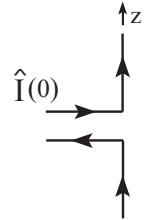
## Lecture 21 - Receiving Antennas

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## I. Review of Transmitting Antennas (Short Dipoles)

A. Far fields ( $r \gg \lambda$ )



$$\hat{I}(0) = \frac{1}{\hat{I}(0)} \int_{-dl/2}^{+dl/2} \hat{I}(z) dz$$

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$$\hat{E}_\theta = \eta \hat{H}_\phi = \frac{\hat{E}_0}{jkr} \sin(\theta) e^{-jkr}, \hat{E}_0 = -\frac{\hat{I} dl_{\text{eff}} k^2 \eta}{4\pi}, \eta = \sqrt{\frac{\mu}{\epsilon}}$$

B. Intensity  $\langle S_r \rangle$ 

$$\begin{aligned} \langle S_r \rangle &= \frac{1}{2} \operatorname{Re} [\hat{E} \times \hat{H}^*] = \frac{1}{2\eta} |\hat{E}|^2 \\ &= \frac{1}{2\eta} \frac{|\hat{E}_0|^2}{k^2 r^2} \sin^2(\theta) \\ &= \frac{1}{2\eta} \frac{|\hat{I} dl_{\text{eff}}|^2 k^4 \eta^2}{16\pi^2 \lambda^2 r^2} \sin^2(\theta) \\ &= \frac{|\hat{I} dl_{\text{eff}}|^2 k^2 \eta}{32\pi^2 r^2} \sin^2(\theta) \end{aligned}$$

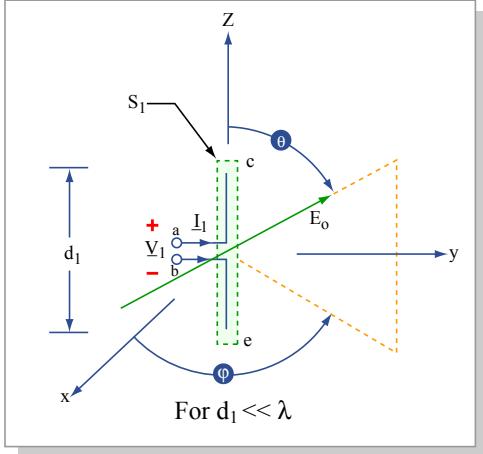
C. Total time average power  $\langle P \rangle$ 

$$\begin{aligned} \langle P \rangle &= \int_0^\pi d\theta \int_0^{2\pi} d\phi \langle S_r \rangle r^2 \sin(\theta) \\ &= \frac{|\hat{I} dl_{\text{eff}}|^2 \eta k^2}{12\pi} \\ &= \frac{1}{2} |\hat{I}|^2 R \Rightarrow R = \frac{2\pi\eta}{3} \left( \frac{dl_{\text{eff}}}{\lambda} \right)^2 \quad \text{radiation resistance} \end{aligned}$$

## D. Gain

$$\begin{aligned} G(\theta, \phi) &= \frac{\langle S_r \rangle}{\langle P \rangle / (4\pi r^2)} \\ &= \frac{|\hat{I} dl_{\text{eff}}|^2 k^2 \eta \sin^2(\theta) \cdot 12\pi (4\pi r^2)}{8\pi^2 \lambda^2 |\hat{I} dl_{\text{eff}}|^2 \eta k^2} \\ &= \frac{3}{2} \sin^2(\theta) \end{aligned}$$

## II. Receiving Antennas



In absence of receiving antenna:  $\bar{E}_{\text{inc}} = \bar{E}_0, \bar{H}_{\text{inc}} = \bar{H}_0$ . With  $d_1 \ll \lambda$ , over size scale of antenna,  $\bar{E}_0$  and  $\bar{H}_0$  are approximately spatially uniform. In presence of receiving antenna, electric and magnetic fields are perturbed so that tangential  $\bar{E}$  and normal  $\bar{H}$  are zero along the perfectly conducting length of the antenna.

Image by MIT OpenCourseWare.

$$\bar{E} = \bar{E}_0 + \bar{E}_1 \quad (1)$$

$$\bar{H} = \bar{H}_0 + \bar{H}_1 \quad (2)$$

Surface  $S_1$  above intimately hugs the antenna so that

$$\oint_{S_1} \bar{E} \times d\bar{a} = \oint_{S_1} da (\bar{E}_0 + \bar{E}_1) \times \bar{n} = 0 \quad (\text{tangential } \bar{E} = 0) \quad (3)$$

$$\oint_{S_1} da \bar{n} \times (\bar{H}_0 + \bar{H}_1) = \oint_{S_1} da \bar{K} = \int_{-d_1/2}^{+d_1/2} dz \bar{I}_1(z) = I_1 d_{\text{eff}} \bar{i}_z \quad (4)$$

Another useful relationship:

$$\oint_{S_1} da (\bar{E}_0 \times \bar{H}_0) \cdot \bar{n} = (\bar{E}_0 \times \bar{H}_0) \cdot \oint_{S_1} da \bar{n} = 0 \quad (5)$$

Integral of normal over closed surface is zero:

$$\int_V dV \nabla f = \oint_S da f \bar{n}, \quad \text{Take } f = 1, \nabla f = 0 = \oint_S da \bar{n} = 0 \quad (6)$$

Scalar Triple Product Identity:

$$(\bar{a} \times \bar{b}) \cdot \bar{c} = \bar{a} \cdot (\bar{b} \times \bar{c}) \quad (7)$$

(Interchange of cross and dot)

Complex power supplied by receiving antenna

$$P = \oint_{S_1} da \hat{\bar{S}} \cdot \bar{n} \quad (8)$$

$$\begin{aligned} \hat{\bar{S}} &= \frac{1}{2} (\hat{\bar{E}} \times \hat{\bar{H}}^*) = \frac{1}{2} [(\hat{\bar{E}}_0 + \hat{\bar{E}}_1) \times (\hat{\bar{H}}_0^* + \hat{\bar{H}}_1^*)] \\ &= \frac{1}{2} [\hat{\bar{E}}_0 \times (\hat{\bar{H}}_0^* + \hat{\bar{H}}_1^*) + \hat{\bar{E}}_1 \times (\hat{\bar{H}}_0^* + \hat{\bar{H}}_1^*)] \end{aligned} \quad (9)$$

$$P = \oint_{S_1} da \hat{\bar{S}} \cdot \bar{n} = \frac{1}{2} \left\{ \oint_{S_1} da [\hat{\bar{E}}_0 \times (\hat{\bar{H}}_0^* + \hat{\bar{H}}_1^*) \cdot \bar{n}] + \oint_{S_1} da [\hat{\bar{E}}_1 \times (\hat{\bar{H}}_0^* + \hat{\bar{H}}_1^*) \cdot \bar{n}] \right\} \quad (10)$$

$$\begin{aligned}
\oint_{S_1} da \left[ \hat{\vec{E}}_0 \times (\hat{\vec{H}}_0^* + \hat{\vec{H}}_1^*) \right] \cdot \bar{n} &= \oint_{S_1} da \hat{\vec{E}}_0 \cdot \left[ (\hat{\vec{H}}_0^* + \hat{\vec{H}}_1^*) \times \bar{n} \right] \\
&= \hat{\vec{E}}_0 \cdot \oint_{S_1} da \left[ (\hat{\vec{H}}_0^* + \hat{\vec{H}}_1^*) \times \bar{n} \right] \\
&= -\hat{\vec{E}}_0 \cdot \hat{I}_1 d_{\text{eff}} \bar{i}_z \quad (\text{from (4)}) \tag{11}
\end{aligned}$$

$$\oint_{S_1} da \left[ \hat{\vec{E}}_1 \times (\hat{\vec{H}}_0^* + \hat{\vec{H}}_1^*) \right] \cdot \bar{n} = \oint_{S_1} da \left[ \hat{\vec{E}}_1 \times \hat{\vec{H}}_0^* \right] \cdot \bar{n} + \underbrace{\oint_{S_1} da \left[ \hat{\vec{E}}_1 \times \hat{\vec{H}}_1^* \right] \cdot \bar{n}}_{|\hat{I}_1|^2(R+jX)} \tag{12}$$

where  $R$  is the radiation resistance  
and  $X$  is the antenna reactance

$$\begin{aligned}
\oint_{S_1} da \left[ \hat{\vec{E}}_1 \times \hat{\vec{H}}_0^* \right] \cdot \bar{n} &= - \oint_{S_1} da \left[ \hat{\vec{H}}_0^* \times \hat{\vec{E}}_1 \right] \cdot \bar{n} \\
&= - \oint_{S_1} da \left[ \hat{\vec{H}}_0^* \times (\hat{\vec{E}}_0 + \hat{\vec{E}}_1) \right] \cdot \bar{n} \\
&= - \oint_{S_1} da \hat{\vec{H}}_0^* \cdot \left[ (\hat{\vec{E}}_0 + \hat{\vec{E}}_1) \times \bar{n} \right] = -\hat{\vec{H}}_0^* \cdot \oint_{S_1} da \left( \hat{\vec{E}}_0 + \hat{\vec{E}}_1 \right) \times \bar{n} \\
&= 0 \quad (\text{from (3)}) \tag{13}
\end{aligned}$$

$$P = \frac{1}{2} \left[ -\hat{\vec{E}}_0 \cdot \hat{I}_1 d_{\text{eff}} \bar{i}_z + |\hat{I}_1|^2(R + jX) \right] = \frac{1}{2} \hat{V}_1 \hat{I}_1^*$$

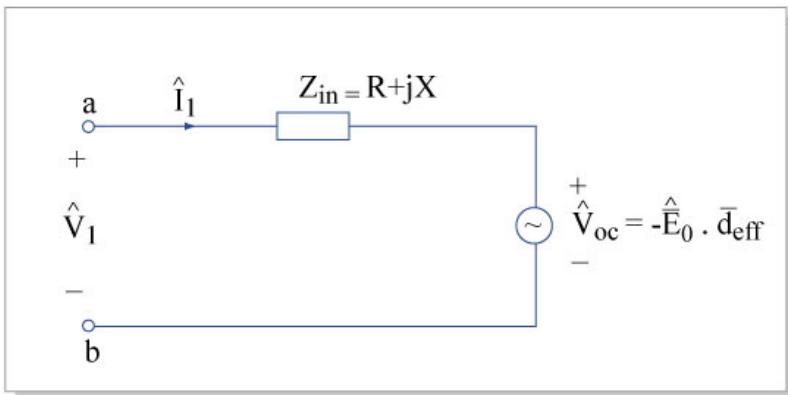


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$$\hat{V}_{TH} = \hat{V}_{oc} = -\hat{\vec{E}}_0 \cdot \bar{d}_{\text{eff}} \quad (\bar{d}_{\text{eff}} = d_{\text{eff}} \bar{i}_z)$$

### III. Transmitting and Receiving Antennas

#### A. Circuit Description

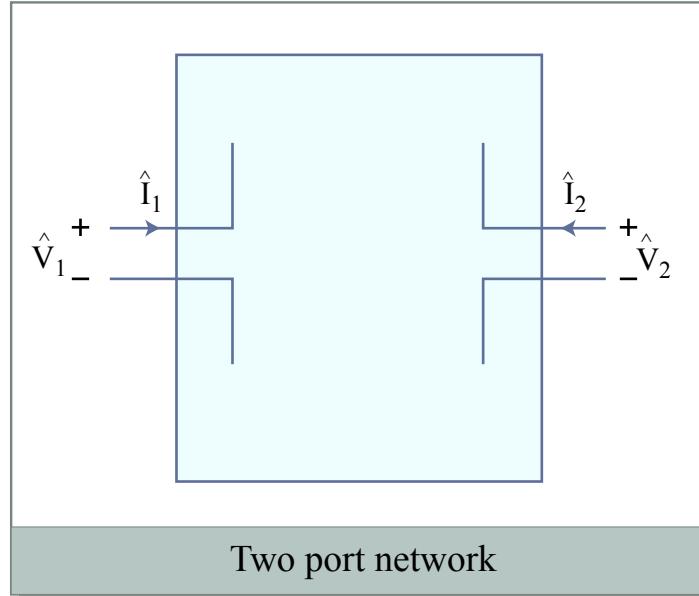


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$$\hat{V}_1 = \hat{I}_1 Z_{11} + \hat{I}_2 Z_{12}$$

$$\hat{V}_2 = \hat{I}_1 Z_{21} + \hat{I}_2 Z_{22}$$

$$Z_{12} = \frac{\hat{V}_1}{\hat{I}_2} \Big|_{\hat{I}_1=0} \quad Z_{21} = \frac{\hat{V}_2}{\hat{I}_1} \Big|_{\hat{I}_2=0}$$

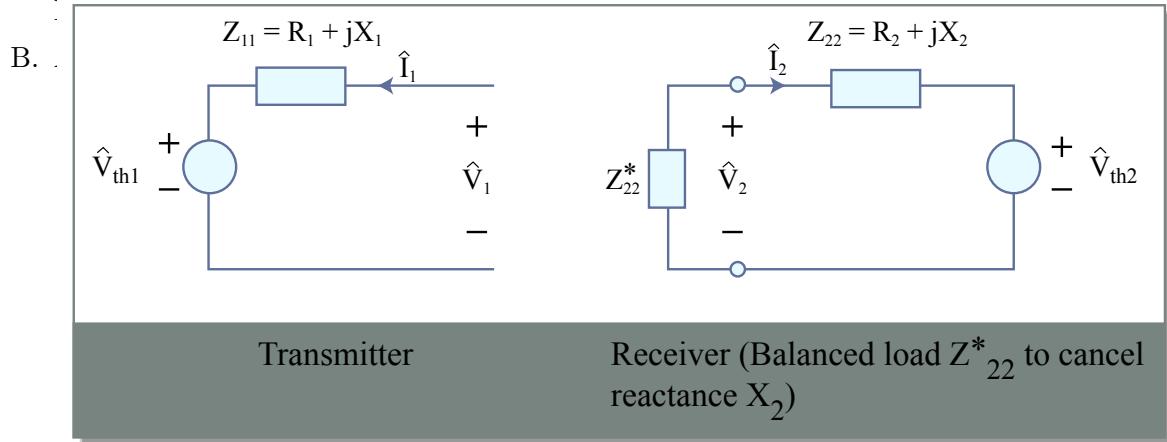


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$$\hat{V}_{th1} = \hat{I}_2 Z_{12} = - \int \hat{E}_1 \cdot \overline{dl} = - \hat{E}_1 \cdot \overline{dl}_{eff}$$

$$\hat{V}_{th2} = \hat{I}_1 Z_{21} = - \hat{E}_2 \cdot \overline{dl}_{eff}$$

$$\begin{aligned}\langle P_2 \rangle &= \frac{1}{2} \frac{|\hat{V}_{\text{th}2}/2|^2}{R_2} = \frac{1}{8} \frac{|\hat{V}_{\text{th}2}|^2}{R_2} = \frac{1}{8} \frac{|\hat{E}_2 d l_{\text{eff}} \sin(\theta)|^2}{\frac{2\pi\eta}{3} \left( \frac{d l_{\text{eff}}}{\lambda} \right)^2} \\ \langle P_2 \rangle &= A_{\text{rec}}(\theta, \phi) \langle S_r \rangle = A_{\text{rec}}(\theta, \phi) \cdot \frac{1}{2} \frac{|\hat{E}_2|^2}{\eta} = \frac{1}{8} \frac{|\hat{E}_2|^2 \sin^2(\theta) \cdot 3\lambda^2}{2\pi\eta} \\ A_{\text{rec}}(\theta, \phi) &= \frac{3}{2} \sin^2(\theta) \frac{\lambda^2}{4\pi} = G_{\text{rec}}(\theta, \phi) \frac{\lambda^2}{4\pi}\end{aligned}$$

### C. Representative Parameters

1. Minimum received power  $\approx 10^{-20}$  watts

For total transmitted power of 1 watt, how far away can the receiver be at  $f = 1$  GHz?

$$\begin{aligned}\langle P_{\text{rec}} \rangle &= \underbrace{\frac{\langle P_{\text{trans}} \rangle}{4\pi r^2}}_{\langle S_r \rangle} G_{\text{trans}} G_{\text{rec}} \underbrace{\frac{\lambda^2}{4\pi}}_{A_{\text{rec}}(\theta, \phi)} \\ f\lambda = c \Rightarrow \lambda &= \frac{c}{f} = \frac{3 \times 10^8}{10^9} = .3 \text{ m}\end{aligned}$$

$$G_{\text{trans}} = G_{\text{rec}} = \frac{3}{2} \sin^2(\theta) \text{ (for short dipoles) (identical transmitting and receiving antennas)}$$

$$\begin{aligned}\text{Take } \theta = \frac{\pi}{2} \Rightarrow G_{\text{trans}} = G_{\text{rec}} &= \frac{3}{2} \\ r^2 &= \frac{P_{\text{trans}}}{P_{\text{rec}}} G_{\text{trans}} G_{\text{rec}} \left( \frac{\lambda}{4\pi} \right)^2 \\ &= \frac{1}{10^{-20}} \left( \frac{9}{4} \right) \left( \frac{.3}{4\pi} \right)^2 \\ &= 1.28 \times 10^{17} \text{ m}^2\end{aligned}$$

$$r = 3.58 \times 10^8 \text{ m} = 3.58 \times 10^5 \text{ km} \approx 200,000 \text{ miles}$$

2. For data transmission, receivers need  $E_b > 4 \times 10^{-20}$  Joules/bit

Power received =  $ME_b$  where  $M$  is the data rate, bits/s

$$10^{-9} \text{ watts received power allows } M = \frac{10^{-9}}{E_b} = \frac{10^{-9}}{4 \times 10^{-20}} = .25 \times 10^{11} \text{ bits/s}$$

$$1 \text{ CD} = 700 \times 10^6 \text{ bytes} = 5600 \times 10^6 \text{ bits (1 byte = 8 bits)}$$

$$M = .25 \times 10^{11} \text{ bits/sec} \approx 4.5 \text{ CD/sec}$$

3. Distance is not a barrier to wireless communications

$$r = 1 \text{ lightyear} = 3 \times 10^8 \text{ m/s} \cdot 3 \times 10^7 \text{ s/yr} = 9 \times 10^{15} \text{ m/yr}$$

$$P_{\text{trans}} = ?$$

$$f = 3 \text{ GHz} \Rightarrow \lambda = \frac{c}{f} = .1 \text{ m}$$

$$M = 1 \text{ bit/s}, E_b = 4 \times 10^{-20} \text{ Joules/bit}$$

$$P_{\text{rec}} = ME_b = 4 \times 10^{-20} \text{ Watts}$$

$$G_{\text{trans}} = G_{\text{rec}} = 10^7$$

$$\begin{aligned}
P_{\text{trans}} &= \frac{P_{\text{rec}} \left( \frac{4\pi r}{\lambda} \right)^2}{G_{\text{trans}} G_{\text{rec}}} \\
&= \frac{4 \times 10^{-20} \left( \frac{4\pi(9 \times 10^{15})}{.1} \right)^2}{10^{14}} \\
&= 512 \text{ Watts}
\end{aligned}$$

For  $M = 2.4 \text{ kb/s} \Rightarrow P_{\text{trans}} \approx 1.2 \text{ MW}$  (with a 1 year delay each way)

4. Optical Communications:  $E = hf, h = 6.625 \times 10^{-34} \text{ Joule-sec}$  (Planck's Constant)
  - a. Radio Photons

$$\begin{aligned}
f = 1 \text{ GHz} &\Rightarrow E = 6.625 \times 10^{-25} \text{ Joules/Photon} \\
EN = E_b &\Rightarrow N = \frac{E_b}{E} \text{ photons/bit} \\
&= \frac{4 \times 10^{-20}}{6.625 \times 10^{-25}} \approx 6000 \text{ photons/bit}
\end{aligned}$$

- b. Optical Photons

$$\begin{aligned}
\lambda = 0.5 \mu\text{m} &\Rightarrow f = \frac{c}{.5 \times 10^{-6}} = \frac{3 \times 10^8}{.5 \times 10^{-6}} \approx 6 \times 10^{14} \text{ Hz} \\
N &= \frac{E_b}{hf} = \frac{4 \times 10^{-20}}{6.625 \times 10^{-34} \cdot 6 \times 10^{14}} \approx .1 \text{ photon/bit}
\end{aligned}$$