

L13: Synchronization

Today

- Making a datatype threadsafe
- Locks
- Monitor pattern
- Deadlock
- Locking disciplines

Required reading (from the Java Tutorial)

- [Synchronization](#)

Optional reading

The material in this lecture is inspired by an excellent book:

- Brian Goetz et al. *Java Concurrency in Practice*. Addison-Wesley, 2006.

Review

Recall the four ways to make data access safe in shared-memory concurrency:

- **Confinement:** don't share the data between threads. Ensure that only one thread has access to it.
- **Immutability:** make the shared data immutable.
- **Threadsafe datatype:** use a datatype that does the coordination for you, like a `BlockingQueue` or a synchronized collection wrapper.
- **Synchronization:** when the data has to be shared between threads, keep two threads from accessing it at the same time.

We talked about the first three in the last lecture. Synchronization is our topic for today. We'll look at it in the context of designing a threadsafe abstract datatype.

Developing a Datatype for a Multiuser Editor

Suppose we're building a multi-user editor, like Google Docs, that allows multiple people to connect to it and edit it at the same time. We'll need a mutable datatype to represent the text in the document. Here's the interface; basically it represents a string with insert and delete operations.

```
/** An EditBuffer represents a threadsafe mutable string of characters in a
text editor. */
public interface EditBuffer {
    /**
     * Modifies this by inserting a string.
     * @param i position to insert (requires 0 <= pos <= current buffer length)
     * @param s string to insert
     */
    public void insert(int pos, String s);
}
```

```

    * Modifies this by deleting a substring
    * @param pos start of substring to delete
    *           (requires 0 <= pos <= current buffer length)
    * @param len length of substring to delete
    *           (requires 0 <= len <= current buffer length - pos)
    */
    public void delete(int pos, int len);

    /**
     * @return length of text sequence in this edit buffer
     */
    public int length();

    /**
     * @return content of this edit buffer
     */
    public String toString();
}

```

A very simple rep for this datatype would just be a string:

```

public class SimpleBuffer implements EditBuffer {
    private String text;
    // Rep invariant:
    //   text != null
    // Abstraction function:
    //   represents the sequence text[0],...,text[text.length()-1]
}

```

The downside of this rep is that every time we do an insert or delete, we have to copy the entire string into a new string. That gets expensive. Another rep we could use would be a char array, with space at the end. That's fine if the user is just typing new text at the end of the document (we don't have to copy anything), but if the user is typing at the beginning of the document, then we're copying the entire document with every keystroke.

A more interesting rep, which is used by many text editors in practice, is called a **gap buffer**. It's basically a char array with extra space in it, but instead of having all the extra space at the end, the extra space is a **gap** that can appear anywhere in the buffer. Whenever an insert or delete operation needs to be done, the datatype first moves the gap to the location of the operation, and then does the insert or delete. If the gap is already there, then nothing needs to be copied – an insert just consumes part of the gap, and a delete just enlarges the gap! Gap buffers are particularly well-suited to representing a string that is being edited by a user with a cursor, since inserts and deletes tend to be focused around the cursor, so the gap rarely moves.

```

/** GapBuffer is a nonthreadsafe EditBuffer that is optimized for editing with
 * a cursor, which tends to make a sequence of inserts and deletes at the same
 * place in the buffer. */
public class GapBuffer implements EditBuffer {
    private char[] a;
    private int gapStart;
    private int gapLength;
    // Rep invariant:
    //   a != null
    //   0 <= gapStart <= a.length
    //   0 <= gapLength <= a.length - gapStart
    // Abstraction function:
    //   represents the sequence a[0],...,a[gapStart-1],
    //                               a[gapStart+gapLength],...,a[length-1]
}

```

In a multiuser scenario, we'd want multiple gaps, one for each user's cursor, but we'll use a single gap for now.

Steps to Developing the Datatype

Recall our recipe for designing and implementing an ADT:

1. **Specify.** Define the operations (method signatures and specs). We did that in the `EditBuffer` interface.
2. **Test.** Develop test cases for the operations. See `EditBufferTest` in the provided code. The test suite includes a testing strategy based on partitioning the parameter space of the operations.
3. **Rep.** Choose a rep. We chose two of them for `EditBuffer`, and this is often a good idea:
 - a. **Implement a simple, brute-force rep first.** It's easier to write, you're more likely to get it right, and it will validate your test cases and your specification so you can fix problems in them before you move on to the harder implementation. This is why we implemented `SimpleBuffer` before moving on to `GapBuffer`. Don't throw away your simple version, either – keep it around so that you have something to test and compare against in case things go wrong with the more complex one.
 - b. **Write down the rep invariant and abstraction function, and implement `checkRep()`.** `checkRep()` asserts the rep invariant at the end of every constructor, producer, and mutator method. (It's typically not necessary to call it at the end of an observer, since the rep hasn't changed.) In fact, assertions can be very useful for testing complex implementations, so it's not a bad idea to also assert the postcondition at the end of a complex method. You'll see an example of this in `GapBuffer.moveGap()` in the code with this lecture.

In all these steps, we're working entirely single-threaded at first. Multithreaded clients should be in the back of our minds at all times while we're writing specs and choosing reps (we'll see later that careful choice of operations may be necessary to avoid race conditions in the *clients* of your datatype). But get it working, and thoroughly tested, in a sequential, single-threaded environment first.

Now we're ready for the next step:

4. **Synchronize.** Make an argument that your rep is threadsafe. Write it down explicitly as a comment in your class, right by the rep invariant, so that a maintainer knows how you designed thread safety into the class.

This lecture is about how to do step 4.

Examples of Thread Safety Arguments

Let's see some examples of how to make thread safety arguments for a datatype. Remember our four approaches to thread safety: confinement, immutability, threadsafe datatypes, and synchronization.

Confinement is not usually an option when we're making an argument just about a datatype, because you have to know what threads exist in the system and what objects they've been given access to. If the datatype creates its own set of threads (like the `Crawler` datatype we used last lecture), then you can talk about confinement with respect to those threads. Otherwise, the threads are coming in from the outside, carrying client calls, and the datatype may have no guarantees about which threads have references to what. So confinement isn't a useful argument in that case. Usually we use confinement at a higher level, talking about the system as a whole and arguing why we don't *need* thread safety for some of our modules or datatypes, because they won't be shared across threads by design.

Immutability is often a useful argument:

```
public class String {
```

```

private final char[] a;
// thread safety argument:
//   This class is threadsafe because it's immutable:
//   - a is final
//   - a points to a mutable char array, but that array is encapsulated
//   in this object, not shared with any other object or exposed
//   to a client.

```

Here's another rep for String that requires a little more care in the argument:

```

public class String {
  private final char[] a;
  private final int start;
  private final int len;
  // rep invariant:
  //   a != null, 0<=start<=a.length, 0<=len<=a.length-start
  // abstraction function:
  //   represents the string of characters
  //       a[start],...,a[start+length-1]
  // thread safety argument:
  //   This class is threadsafe because it's immutable:
  //   - a, start, and len are final
  //   - a points to a mutable char array, which may be shared with
  //   other String objects, but they never mutate it.
  //   The array is never exposed to a client.

```

Note that since this String rep was designed for sharing the array between multiple String objects, we have to ensure that the sharing doesn't threaten its thread safety. As long as it doesn't threaten the String's immutability, however, we can be confident that it won't threaten the thread safety.

We also have to avoid rep exposure. Rep exposure is bad for any datatype, since it threatens the datatype's rep invariant. It's also fatal to thread safety.

Bad Arguments

Here are some incorrect arguments for thread safety:

```

/** SimpleBuffer is a threadsafe EditBuffer with a simple rep. */
public class SimpleBuffer implements EditBuffer {
  private String text;
  // Rep invariant:
  //   text != null
  // Abstraction function:
  //   represents the sequence text[0],...,text[text.length()-1]
  // Thread safety argument:
  //   text is an immutable (and hence threadsafe) String,
  //   so this object is also threadsafe

```

Why doesn't this argument work? String is indeed immutable and threadsafe; but the rep pointing to that string, specifically the text variable, is **not** immutable. Text is not a final variable, and in fact it can't be in this datatype, because we need the datatype to support insertion and deletion operations. So reads and writes of the text variable itself are not threadsafe. This argument is false.

Here's another broken argument:

```

public class Graph {
  private final Set<Node> nodes =
      Collections.synchronizedSet(new HashSet<Node>());
  private final Map<Node,Node> edges =
      Collections.synchronizedMap(new HashMap<Node,Node>());
  // rep invariant:
  //   nodes, edges != null
  //   if edges maps x -> y, then nodes contains x and y

```

```

// abstraction function:
//   represents a directed graph whose nodes are the set of nodes
//                                     and edges are the x->y pairs in edges
// thread safety argument:
//   - nodes and edges are final, so those variables are immutable
//     and threadsafe.
//   - nodes and edges point to threadsafe set and map datatypes

```

This is a graph datatype, which stores its nodes in a set and its edges in a map. (Quick quiz: is Graph a mutable or immutable datatype? What do the final keywords have to do with its mutability?)

Graph relies on other threadsafe datatypes to help it implement its rep – specifically the threadsafe Set and Map wrappers that we talked about last lecture. That helps a lot to prevent race conditions, but it doesn't provide a sufficient guarantee, because the graph's rep invariant includes a relationship *between* the node set and the edge map: all nodes that appear in the edge map also have to appear in the node set. So there may be code like this:

```

public void addEdge(Node from, Node to) {
    edges.put(from, to);
    nodes.add(from);
    nodes.add(to);
}

```

which would produce a race condition – a moment when the rep invariant is violated. Even though the threadsafe set and map datatypes guarantee that their own add() and put() methods are atomic and noninterfering, they can't extend that guarantee to interactions *between* the two data structures. So the rep invariant of the Graph is not safe from race conditions. Just using immutable and threadsafe-mutable datatypes is not sufficient when the rep invariant depends on relationships between objects in the rep.

Locking

So we need a way for a datatype to protect itself from interfering access by multiple threads. A popular synchronization technique, so common that Java provides it as a built-in language feature, is **locking**.

A lock is an abstraction that allows at most one thread to own it at a time. Locks have two operations: **acquire** (to own the lock for a while) and **release** (to allow another thread to own it). If a thread tries to acquire a lock currently owned by another thread, then it blocks until the other thread releases the lock and the thread successfully acquires it.

In Java, every object has a lock implicitly associated with it – a String, an array, an ArrayList, and every class you create, all their object instances have a lock. Even a humble Object has a lock, so bare Objects are often used for explicit locking:

```
Object lock = new Object();
```

You can't call acquire and release on that lock directly, however. Instead you use the **synchronized** statement to acquire a lock for the duration of a statement block.

```

synchronized (lock) { // thread blocks here until lock is free
    // now this thread has the lock
    balance = balance + 1;
    // exiting the block releases the lock
}

```

Synchronized regions like this provide **mutual exclusion**: only one thread at a time can be in a synchronized region guarded by a given object's lock. In other words, you are back in sequential programming world, with only one thread running at a time, at least with respect to other synchronized regions that refer to the same object.

Locks are used to **guard** a shared data variable, like the account balance shown here. If all accesses to a data variable are guarded (surrounded by a synchronized block) by the same lock object, then those accesses will be guaranteed to be atomic – uninterrupted by other threads.

Monitor Pattern

When you are writing methods of a class, the most convenient lock is the object instance itself, i.e. **this**. As a simple approach, we can guard the entire rep of a class by wrapping all accesses to the rep inside synchronized(this).

```
/** SimpleBuffer is a threadsafe EditBuffer with a simple rep. */
public class SimpleBuffer implements EditBuffer {
    private String text;
    ...
    public SimpleBuffer() {
        synchronized (this) {
            text = "";
            checkRep();
        }
    }

    public void insert(int pos, String s) {
        synchronized (this) {
            text = text.substring(0, pos) + s + text.substring(pos);
            checkRep();
        }
    }

    public void delete(int pos, int len) {
        synchronized (this) {
            text = text.substring(0, pos) + text.substring(pos+len);
            checkRep();
        }
    }

    public int length() {
        synchronized (this) {
            return text.length();
        }
    }

    public String toString() {
        synchronized (this) {
            return text;
        }
    }
}
```

Note the very careful discipline here. *Every* method that touches the rep must be guarded with the lock – even apparently small and trivial ones like length() and toString(). This is because reads must be guarded as well as writes – if reads are left unguarded, then they may be able to see the rep in a partially-modified state.

This approach is called the **monitor pattern**. A monitor is a class whose methods are mutually exclusive, so that only one thread can be inside the class at a time.

The monitor pattern is so useful in Java that Java provides some syntactic sugar for it. If you add the keyword synchronized to the method signature, then Java will act as if you wrote synchronized(this) around its method body. So the code below is an equivalent way to implement the synchronized SimpleBuffer:

```

/** SimpleBuffer is a threadsafe EditBuffer with a simple rep. */
public class SimpleBuffer implements EditBuffer {
    private String text;
    ...
    public SimpleBuffer() {
        text = "";
        checkRep();
    }

    public synchronized void insert(int pos, String s) {
        text = text.substring(0, pos) + s + text.substring(pos);
        checkRep();
    }

    public synchronized void delete(int pos, int len) {
        text = text.substring(0, pos) + text.substring(pos+len);
        checkRep();
    }

    public synchronized int length() {
        return text.length();
    }

    /** @see EditBuffer#toString */
    public synchronized String toString() {
        return text;
    }
}

```

Notice that the SimpleBuffer constructor doesn't have a synchronized keyword. Java actually forbids it, syntactically, because an object under construction should be confined to a single thread until it has returned from its constructor. So synchronizing constructors should be unnecessary.

```

/** SimpleBuffer is a threadsafe EditBuffer with a simple rep. */
public class SimpleBuffer implements EditBuffer {

```

Thread Safety Argument with Synchronization

Now that we're protecting SimpleBuffer's rep with a lock, we can write a better thread safety argument:

```

/** SimpleBuffer is a threadsafe EditBuffer with a simple rep. */
public class SimpleBuffer implements EditBuffer {
    private String text;
    // Rep invariant:
    //   text != null
    // Abstraction function:
    //   represents the sequence text[0],...,text[text.length()-1]
    // Thread safety argument:
    //   all accesses to text happen within SimpleBuffer methods,
    //   which are all guarded by SimpleBuffer's lock

```

The same argument works for GapBuffer, if we use the monitor pattern to synchronize all its methods.

Note that the encapsulation of the class, the absence of rep exposure, is very important for making this argument. If text were public:

```

    public String text;

```

then clients outside SimpleBuffer would be able to read and write it *without* knowing that they should first acquire the lock, and SimpleBuffer would no longer be threadsafe.

Locking Discipline

1. Every shared mutable variable must be guarded by some lock. The data may not be read or written except inside a synchronized block that acquires that lock.
2. If an invariant involves multiple shared mutable variables (which might be in different objects), then all the variables involved must be guarded by the **same** lock. The invariant must be satisfied before releasing the lock.

The monitor pattern satisfies both rules. All the shared mutable data in the rep – which the rep invariant depends on -- is guarded by the same lock.

Giving Clients Access to a Lock

It's sometimes useful to make your datatype's lock available to clients, so that they can use it to implement higher-level atomic operations using your datatype. For example, consider a find and replace operation on the EditBuffer datatype:

```
/* Modifies buf by replacing the first occurrence of s with t.
 * If s not found in buf, then has no effect.
 * @returns true if and only if a replacement was made
 */
public static boolean findReplace(EditBuffer buf, String s, String t) {
    int i = buf.toString().indexOf(s);
    if (i == -1) {
        return false;
    }
    buf.delete(i, s.length());
    buf.insert(i, t);
    return true;
}
```

This method makes three different calls to buf – to convert it to a string in order to search for s, to delete it, and then to insert t in its place. Even though each of these calls individually is atomic, the findReplace method as a whole is not threadsafe, because other threads might mutate the buffer while findReplace() is working, causing it to delete the wrong region or put the replacement back in the wrong place.

To prevent this, findReplace() needs to synchronize with all other clients of buf. One approach is to simply document that clients can use the EditBuffer's lock to synchronize with each other:

```
/** An EditBuffer represents a threadsafe mutable string of characters
    in a text editor. Clients may synchronize with each other using
    the EditBuffer object itself. */
public interface EditBuffer {
    ...
}
```

And then findReplace() can synchronize on buf:

```
public static boolean findReplace(EditBuffer buf, String s, String t) {
    synchronized (buf) {
        int i = buf.toString().indexOf(s);
        if (i == -1) {
            return false;
        }
        buf.delete(i, s.length());
        buf.insert(i, t);
        return true;
    }
}
```

The effect of this is to enlarge the synchronization region that the monitor pattern already put around the individual `toString()`, `delete()`, and `insert()` methods, into a single atomic region that ensures that all three methods are executed without interference from other threads.

Sprinkling Synchronized Everywhere?

So is thread safety simply a matter of putting the `synchronized` keyword on every method in your program? Unfortunately not.

First, you actually don't want to synchronize methods willy-nilly. Synchronization imposes a large cost on your program. Making a synchronized method call may take significantly longer, because of the need to acquire a lock (and flush caches and communicate with other processors). Java leaves many of its mutable datatypes unsynchronized by default exactly for these performance reasons. When you don't need synchronization, don't use it.

Second, it's not sufficient. Dropping *synchronized* onto a method without thinking means that you're acquiring a lock without thinking about which lock it is, or about whether it's the *right* lock for guarding the shared data access you're about to do. Suppose we had tried to solve `findReplace()`'s synchronization problem simply by dropping `synchronized` onto its method:

```
public static synchronized boolean findReplace(EditBuffer buf, ...) {
```

This wouldn't do what we want. It would indeed acquire a lock -- because `findReplace` is a static method, it would acquire a static lock for the whole class that `findReplace` happens to be in, rather than an instance object lock. As a result, only one thread could call `findReplace()` at a time -- even if other threads want to operate on *different* buffers, which should be safe, they'd still be blocked until the single lock was free. So we'd suffer a significant loss in performance, because only one user of our massive multiuser editor would be allowed to do a find-and-replace at a time, even if they're all editing different documents. Worse, however, it wouldn't provide much protection, because other code that touches the document probably wouldn't be acquiring the same lock.

The `synchronized` keyword is not a panacea. Thread safety requires a discipline -- using confinement, immutability, or locks to protect shared data. That discipline needs to be written down, or maintainers won't know what it is.

Designing a Datatype For Concurrency

`findReplace()`'s problem can be interpreted another way: that the `EditBuffer` interface really isn't that friendly to multiple simultaneous clients. It relies on integer indexes to specify insert and delete locations, which are extremely brittle to other mutations. If somebody else inserts or deletes before the index position, then the index becomes invalid.

So if we're designing a datatype specifically for use in a concurrent system, we need to think about providing operations that have better-defined semantics when they are interleaved. For example, it might be better to pair `EditBuffer` with a `Position` datatype representing a cursor position in the buffer, or even a `Selection` datatype representing a selected range. Once obtained, a `Position` could hold its location in the text against the wash of insertions and deletions around it, until the client was ready to use that `Position`. If some other thread deleted all the text around the `Position`, then the `Position` would be able to inform a subsequent client about what had happened (perhaps with an exception), and allow the client to decide what to do. These kinds of considerations come into play when designing a datatype for concurrency.

As another example, consider the `ConcurrentMap` interface in Java. This interface extends the existing `Map` interface, adding a few key methods that are commonly needed as atomic operations on a shared mutable map, e.g.:

```
map.putIfAbsent(key,value) is an atomic version of
    if (!map.containsKey(key)) map.put(key, value);
map.replace(key, value) is an atomic version of
    if (map.containsKey(key)) map.put(key, value);
```

Deadlock Rears its Ugly Head

The locking approach to thread safety is powerful, but (unlike confinement and immutability) it introduces blocking into the program. Threads must sometimes wait for other threads to get out of synchronized regions before they can proceed. And blocking raises the possibility of deadlock – a very real risk, and frankly far more common in this setting than in message passing with blocking I/O (where we first saw it last week).

With locking, deadlock happens when threads acquire multiple locks at the same time, and two threads end up blocked while holding locks that they are each waiting for the other to release. The monitor pattern unfortunately makes this fairly easy to do. Here's an example. Suppose we're modeling the social network of a series of books:

```
public class Wizard {
    private final String name;
    private final Set<Wizard> friends;
    // rep invariant:
    //   name, friends != null
    //   friend links are bidirectional:
    //       for all f in friends, f.friends contains this
    // concurrency argument:
    //   threadsafe by monitor pattern: all accesses to rep
    //   are guarded by this object's lock

    public Wizard(String name) {
        this.name = name;
        this.friends = new HashSet<Wizard>();
    }

    public synchronized boolean isFriendsWith(Wizard that) {
        return this.friends.contains(that);
    }

    public synchronized void friend(Wizard that) {
        if (friends.add(that)) {
            that.friend(this);
        }
    }

    public synchronized void defriend(Wizard that) {
        if (friends.remove(that)) {
            that.defriend(this);
        }
    }
}
```

Like Facebook, this social network is bidirectional: if x is friends with y, then y is friends with x. The friend() and defriend() methods enforce that invariant by modifying the reps of both objects, which because they use the monitor pattern means acquiring the locks to both objects as well.

Let's create a couple of wizards:

```
Wizard harry = new Wizard("Harry Potter");
Wizard snape = new Wizard("Severus Snape");
```

And then think about what happens when two independent threads are repeatedly running:

```
// thread A
harry.friend(snape);
harry.defriend(snape);

// thread B
snape.friend(harry);
snape.defriend(harry);
```

We will deadlock very rapidly. Here's why. Suppose thread A is about to execute `harry.friend(snape)`, and thread B is about to execute `snape.friend(harry)`. Thread A acquires the lock on `harry` (because the `friend` method is synchronized). Then thread B acquires the lock on `snape` (for the same reason). They both update their individual reps independently, and then try to call `friend()` on the other object – which requires them to acquire the lock on the other object. So A is holding Harry and waiting for Snape, and B is holding Snape and waiting for Harry. Both threads are stuck in `friend()`, so neither one will ever manage to exit the synchronized region and release the lock to the other. This is a classic deadly embrace. The program simply stops.

The essence of the problem is acquiring multiple locks, and holding some of the locks while waiting for another lock to become free.

One Solution to Deadlock: Lock Ordering

One way to prevent deadlock is to put an ordering on the locks that need to be acquired simultaneously, and ensuring that all code acquires the locks in that order. This is a

In our social network example, we might always acquire the locks on the Wizard objects in alphabetical order by the wizard's name. Since thread A and thread B are both going to need the locks for Harry and Snape, they would both acquire them in that order: Harry's lock first, then Snape's. If thread A gets Harry's lock before B does, it will also get Snape's lock before B does, because B can't proceed until A releases Harry's lock again. The ordering on the locks forces an ordering on the threads acquiring them, so there's no way to produce a cycle in the waiting-for graph.

Here's what the code might look like.

```
public void friend(Wizard that) {
    Wizard first, second;
    if (this.name.compareTo(that.name) < 0) {
        first = this; second = that;
    } else {
        first = that; second = this;
    }

    synchronized (first) {
        synchronized (second) {
            if (friends.add(that)) {
                that.friend(this);
            }
        }
    }
}
```

(Note that the decision to order the locks alphabetically by the person's name would work fine for this book, but it wouldn't work in a real life social network. Why not? What would be better to use for lock ordering than the name?)

Although lock ordering is useful (particularly in code like operating system kernels), it has a number of drawbacks in practice. First, it's not modular – the code has to know about all the locks in the system, or at least in its subsystem. Second, it may be difficult or impossible for the code to know exactly which of those locks it will need before it even acquires the first one. It may need to do some computation to figure it out. Think about doing a depth-first search on the social network graph, for

example – how would you know which nodes need to be locked, before you’ve even started looking for them?

Another Approach: Coarse-Grained Locking

A more common approach than lock ordering, particularly for application programming (as opposed to operating system or device driver programming), is to use coarser locking – use a single lock to guard many object instances, or even a whole subsystem of a program.

For example, we might have a single lock for an entire social network, and have all the operations on any of its constituent parts synchronize on that lock. In the code below, all Wizards belong to a Castle, and we just use that Castle object’s lock to synchronize:

```
public class Wizard {
    private final Castle castle;
    private final String name;
    private final Set<Wizard> friends;
    ...
    public void friend(Wizard that) {
        synchronized (castle) {
            if (this.friends.add(that)) {
                that.friend(this);
            }
        }
    }
}
```

Coarse-grained locks can have a significant performance penalty. If you guard a large pile of mutable data with a single lock, then you’re giving up the ability to access any of that data concurrently. In the worst case, having a single lock protecting everything, your program might be essentially sequential – only one thread is allowed to make progress at a time.

Concurrency in Practice

What strategies are typically followed in real programs?

- **Library data structures** either use no synchronization (to offer performance to single-threaded clients, while leaving it to multithreaded clients to add locking on top) or the monitor pattern.
- **Mutable data structures with many parts** typically use either coarse-grained locking or thread confinement. Most graphical user interface toolkits follow one of these approaches, because a graphical user interface is basically a big mutable tree of mutable objects. Java Swing, the toolkit we’ll be looking at in the next lecture, uses thread confinement. Only a single dedicated thread is allowed to access Swing’s tree. Other threads have to pass messages to that dedicated thread in order to access the tree.
- **Search** often uses immutable datatypes. Our Sudoku SAT solver would be easy to make multithreaded, because all the datatypes involved were immutable. There would be no risk of either races or deadlocks.
- **Operating systems** often use fine-grained locks in order to get high performance, and use lock ordering to deal with deadlock problems.

We’ve omitted one important approach to mutable shared data because it’s outside the scope of this course, but it’s worth mentioning: **a database**. Database systems are widely used for distributed client/server systems like web applications. Databases avoid race conditions using *transactions*, which are similar to synchronized regions in that their effects are atomic, but they don’t have to acquire locks, though a transaction may fail and be rolled back if it turns out that a race occurred. Databases

can also manage locks, and handle locking order automatically. For more about how to use databases in system design, 6.170 Software Studio is strongly recommended; for more about how databases work on the inside, take 6.814 Database Systems.

Summary

- Make thread safety arguments about your datatypes, and document them in the code
- Acquiring a lock allows a thread to have exclusive access to the data guarded by that lock, forcing other threads to block
- The monitor pattern guards the rep of a datatype with a single lock that is acquired by every method
- Blocking caused by acquiring multiple locks creates the possibility of deadlock, which can be solved by lock ordering or coarse-grained locking

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