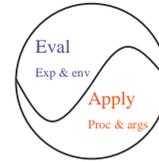


Evaluation and universal machines

- What is the role of evaluation in defining a language?
- How can we use evaluation to design a language?

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The Eval/Apply Cycle



- Eval and Apply execute a cycle that unwinds our abstractions
 - Reduces to simple applications of built in procedure to primitive data structures
- Key:
 - Evaluator determines meaning of programs (and hence our language)
- **Evaluator is just another program!!**

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Examining the role of Eval

- From perspective of a language designer
- From perspective of a theoretician

3/33

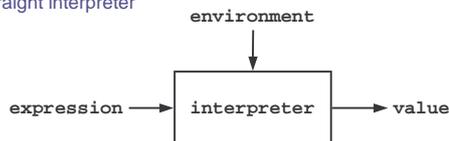
Eval from perspective of language designer

- Applicative order
- Dynamic vs. lexical scoping
- Lazy evaluation
 - Full normal order
 - By specifying arguments
 - Just for pairs
- **Decoupling analysis from evaluation**

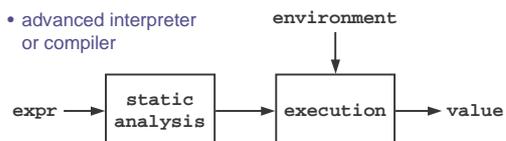
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static analysis: work done before execution

- straight interpreter



- advanced interpreter or compiler



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Reasons to do static analysis

- Improve execution performance
 - avoid repeating work if expression contains loops
 - simplify execution engine
- Catch common mistakes early
 - garbled expression
 - operand of incorrect type
 - wrong number of operands to procedure
- Prove properties of program
 - will be fast enough, won't run out of memory, etc.
 - significant current research topic

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Eval is expensive

```
(eval '(define (fact n)
      (if (= n 1) 1 (* n (fact (- n 1))))) GE)
=> undef
```

```
... (eval '(fact 4) GE) ...
... (eval '(= n 1) E1) ...
```

which executes the case statement in `eval` four times

```
... (eval '(fact 3) E1) ...
... (eval '(= n 1) E2) ...
```

which executes the case statement in `eval` four times

- The `analyze` evaluator avoids this cost

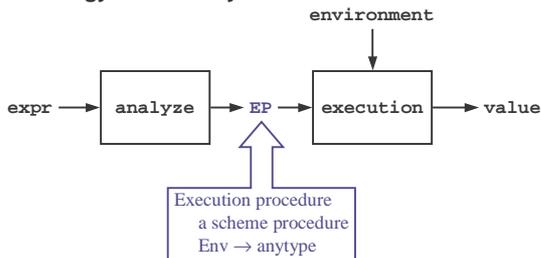
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Summary of part 1

- static analysis
 - work done before execution
 - performance
 - catch mistakes
 - prove program properties
- analyze evaluator
 - static analysis: eliminate execution cost of eval

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Strategy of the analyze evaluator

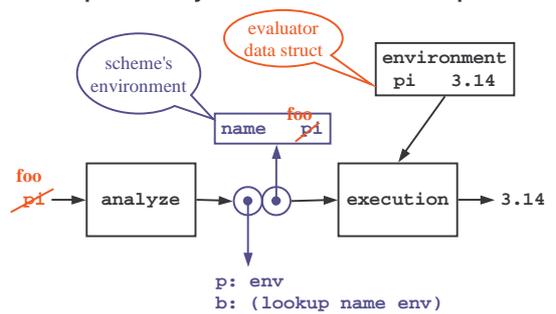


`analyze`: expression \rightarrow (Env \rightarrow anytype)

```
(define (a-eval exp env)
  ((analyze exp) env))
```

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Example of analyze: variable name lookup



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Implementing variable name lookup

```
(define (analyze exp)
  (cond
    ((number? exp) (analyze-number exp))
    ((variable? exp) (analyze-variable exp))
    ...
  ))
```

```
(define (analyze-variable exp)
  (lambda (env) (lookup-variable exp env)))
```

(black: analysis phase) (blue: execution phase)

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Implementing number analysis

- Implementing `analyze-number` is also easy

```
(define (analyze-number exp)
  (lambda (env) exp))
```

(black: analysis phase) (blue: execution phase)

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Summary of part 2

- output of analyze is an execution procedure
 - given an environment
 - produces value of expression
- within analyze
 - execution phase code appears inside `(lambda (env) ...)`
 - all other code runs during analysis phase

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Subexpressions (hardest concept today)

```
(analyze '(if (= n 1) 1 (* n (...))))
```

- analysis phase:


```
(analyze '(= n 1)) ==> pproc
(analyze 1) ==> cproc
(analyze '(* n (...))) ==> aproc
```
- execution phase


```
(pproc env) ==> #t or #f (depending on n)
if #t, (cproc env)
if #f, (aproc env)
```

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Implementation of analyze-if

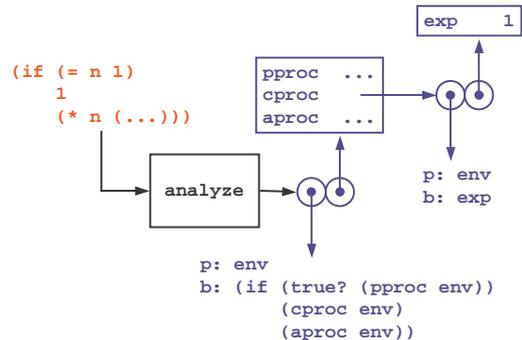
```
(define (analyze-if exp)
  (let ((pproc (analyze (if-predicate exp)))
        (cproc (analyze (if-consequent exp)))
        (aproc (analyze (if-alternative exp))))
    (lambda (env)
      (if (true? (pproc env))
          (cproc env)
          (aproc env))))))
```

black: analysis phase

blue: execution phase

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Visualization of analyze-if



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Your turn

- Assume the following procedures for definitions like `(define x (+ y 1))`

```
(definition-variable exp)      x
(definition-value exp)         (+ y 1)
(define-variable! name value env) add binding
                                to env
```

- Implement `analyze-definition`
 - The only execution-phase work is `define-variable!`
 - The definition-value might be an arbitrary expression

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Implementation of analyze-definition

```
(define (analyze-definition exp)
  (let ((var (definition-variable exp))
        (vproc (analyze (definition-value exp))))
    (lambda (env)
      (define-variable! var (vproc env) env))))
```

black: analysis phase

blue: execution phase

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Summary of part 3

- Within `analyze`
 - recursively call `analyze` on subexpressions
- create an execution procedure which stores the EPs for subexpressions as local state

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Implementing lambda

- Body stored in double bubble is an execution procedure
- old `make-procedure`
list<symbol>, expression, Env → Procedure
- new `make-procedure`
list<symbol>, (Env→anytype), Env → Procedure

```
(define (analyze-lambda exp)
  (let ((vars (lambda-parameters exp))
        (bproc (analyze (lambda-body exp))))
    (lambda (env)
      (make-procedure vars bproc env))))
```

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Implementing apply: execution phase

```
(define (execute-application proc args)
  (cond
    ((primitive-procedure? proc)
     ...)
    ((compound-procedure? proc)
     ((procedure-body proc)
      (extend-environment (parameters proc)
                        args
                        (environment proc))))
    (else ...)))
```

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Implementing apply: analysis phase

```
(define (analyze-application exp)
  (let ((fproc (analyze (operator exp)))
        (aprocs (map analyze (operands exp))))
    (lambda (env)
      (execute-application
       (fproc env)
       (map (lambda (aproc) (aproc env))
            aprocs)))))
```

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Summary of part 4

- In the `analyze` evaluator,
 - double bubble stores execution procedure, not expression

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What is Eval really?

- Suppose you were a circuit designer
 - Given a circuit diagram, you could transform it into an electric signal encoding the layout of the diagram
 - Now suppose you wanted to build a circuit that could take any such signal as input (any other circuit) and could then reconfigure itself to simulate that input circuit
 - What would this general circuit look like???
- Suppose instead you describe a circuit as a program
 - Can you build a program that takes any program as input and reconfigures itself to simulate that input program?
 - Sure – that's just EVAL!! – it's a UNIVERSAL MACHINE

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It wasn't always this obvious

- "If it should turn out that the basic logics of a machine designed for the numerical solution of differential equations coincide with the logics of a machine intended to make bills for a department store, I would regard this as the most amazing coincidence that I have ever encountered"

Howard Aiken, writing in 1956 (designer of the Mark I "Electronic Brain", developed jointly by IBM and Harvard starting in 1939)

25/33

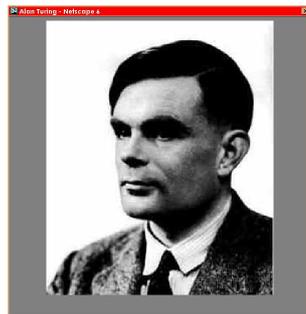
Why a Universal Machine?

- If EVAL can simulate any machine, and if EVAL is itself a description of a machine, then EVAL can simulate itself
 - This was our example of *meval*
- In fact, EVAL can simulate an evaluator for any other language
 - Just need to specify syntax, rules of evaluation
- An evaluator for any language can simulate any other language
 - Hence there is a general notion of computability – idea that a process can be computed independent of what language we are using, and that anything computable in one language is computable in any other language

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Turing's insight

- Alan Mathison Turing
- 1912-1954



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Turing's insight

- Was fascinated by Godel's incompleteness results in decidability (1933)
 - In any axiomatic mathematical system there are propositions that cannot be proved or disproved within the axioms of the system
 - In particular the consistency of the axioms cannot be proved.
- Led Turing to investigate Hilbert's Entscheidungsproblem
 - Given a mathematical proposition could one find an algorithm which would decide if the proposition was true or false?
 - For many propositions it was easy to find such an algorithm.
 - The real difficulty arose in proving that for certain propositions no such algorithm existed.
 - In general – Is there some fixed definite process which, in principle, can answer any mathematical question?
 - E.g., Suppose want to prove some theorem in geometry
 - Consider all proofs from axioms in 1 step
 - ... in 2 steps

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Turing's insight

- Turing proposed a theoretical model of a simple kind of machine (now called a Turing machine) and argued that any "effective process" can be carried out by such a machine
 - Each machine can be characterized by its program
 - Programs can be coded and used as input to a machine
 - Showed how to code a universal machine
 - **Wrote the first EVAL!**

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The halting problem

- If there is a problem that the universal machine can't solve, then no machine can solve, and hence no effective process
- Make list of all possible programs (all machines with 1 input)
- Encode all their possible inputs as integers
- List their outputs for all possible inputs (as integer, error or loops forever)
- Define $f(n)$ = output of machine n on input n , plus 1 if output is a number
- Define $f(n)$ = 0 if machine n on input n is error or loops
- **But f can't be computed by any program in the list!!**
- **Yet we just described process for computing f ??**
- **Bug is that can't tell if a machine will always halt and produce an answer**

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The Halting theorem

- Halting problem: Take as inputs the description of a machine M and a number n , and determine whether or not M will halt and produce an answer when given n as an input
- Halting theorem (Turing): There is no way to write a program (for any computer, in any language) that solves the halting problem.

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Turing's history

- Published this work as a student
 - Got exactly two requests for reprints
 - One from Alonzo Church (professor of logic at Princeton)
 - Had his own formalism for notion of an effective procedure, called the **lambda** calculus
- Completed Ph.D. with Church, proving Church-Turing Thesis:
 - Any procedure that could reasonably be considered to be an effective procedure can be carried out by a universal machine (and therefore by any universal machine)

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Turing's history

- Worked as code breaker during WWII
 - Key person in Ultra project, breaking German's Enigma coding machine
 - Designed and built the *Bombe*, machine for breaking messages from German Airforce
 - Designed statistical methods for breaking messages from German Navy
 - Spent considerable time determining counter measures for providing alternative sources of information so Germans wouldn't know Enigma broken
 - Designed general-purpose digital computer based on this work
- Turing test: argued that intelligence can be described by an effective procedure – foundation for AI
- World class marathoner – fifth in Olympic qualifying (2:46:03 – 10 minutes off Olympic pace)
- Working on computational biology – how nature "computes" biological forms.
- His death

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