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5.60 Thermodynamics & Kinetics  
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## Criteria for Spontaneous Change

The 2<sup>nd</sup> Law gave the Clausius inequality for spontaneous change

$$dS > \delta q / T_{\text{surr.}}$$

The 1<sup>st</sup> law gave us  $dU = \delta q + \delta w$

Putting the two together, assuming only pV work, gives us the following general criterion for spontaneous change:

$$** \boxed{dU + p_{\text{ext}}dV - T_{\text{surr}}dS < 0} **$$

Equilibrium is when there is no possible change of state that would satisfy this inequality.

We can now use the general criterion above under specific conditions

- Consider first an isolated system ( $q=w=0, \Delta V=0, \Delta U=0$ )

Since  $dU=0$  and  $dV=0$ , from the general criterion above, then

$$\boxed{(dS)_{U,V} > 0}$$

is the criterion for spontaneity for an isolated system

And equilibrium for an isolated system is then achieved when entropy is maximized. At maximum entropy, no spontaneous changes can occur.

- Consider now S and V constant

$$\Rightarrow \boxed{(dU)_{S,V} < 0}$$

is the criterion for spontaneity under constant V and S

At constant S and V, equilibrium is achieved when energy is minimized

- Consider now S constant and  $p=p_{\text{ext}}$  constant

$$\Rightarrow dU + pdV < 0 \Rightarrow d(U + pV) < 0$$

$\downarrow$   
 $=H$

So  $\Rightarrow \boxed{(dH)_{S,p_{\text{ext}}} < 0}$

is the criterion for spontaneity under constant S and constant  $p=p_{\text{ext}}$ .

- Consider now H constant and  $p=p_{\text{ext}}$  constant

$$dU + pdV - T_{\text{surr}}dS < 0$$

but  $dU + pdV = dH$ , which is 0 (H is constant)

So  $\boxed{(dS)_{H,p=p_{\text{ext}}} > 0}$

is the criterion for spontaneity under constant H and constant  $p=p_{\text{ext}}$ .

Now let's begin considering cases that are experimentally more controllable.

- Consider now constant  $T=T_{\text{surr}}$  and constant  $V$

$$\Rightarrow dU - TdS < 0 \Rightarrow d(U - TS) < 0$$

Define  $A = U - TS$ , the Helmholtz Free Energy

$$\text{Then } (dA)_{V, T=T_{\text{surr}}} < 0$$

is the criterion for spontaneity under constant  $T=T_{\text{surr}}$  and constant  $V$ .

For constant  $V$  and constant  $T=T_{\text{surr}}$ , equilibrium is achieved when the Helmholtz free energy is minimized.

We now come to the most important and applicable constraint:

- Consider now constant  $T=T_{\text{surr}}$  and constant  $p=p_{\text{ext}}$ .

$$(dU + pdV - TdS) < 0 \Rightarrow d(U + pV - TS) < 0$$

Define  $G = U + pV - TS$ , the Gibbs Free Energy

(can also be written as  $G = A + pV$  and  $G = H - TS$  )

$$\text{Then } (dG)_{p=p_{\text{ext}}, T=T_{\text{surr}}} < 0$$

is the criterion for spontaneity under constant  $T=T_{\text{surr}}$  and constant  $p=p_{\text{ext}}$ .

At constant  $p=p_{\text{ext}}$  and constant  $T=T_{\text{surr}}$ , equilibrium is achieved when the Gibbs free energy is minimized.

Consider the process:



Under constant  $p=p_{\text{ext}}$  and  $T=T_{\text{surr}}$ ,

$\Delta G < 0$       $A \rightarrow B$  is spontaneous

$\Delta G = 0$       $A$  and  $B$  are in equilibrium

$\Delta G > 0$      then  $B \rightarrow A$  is spontaneous