

10.675 LECTURE 4

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1. TODAY

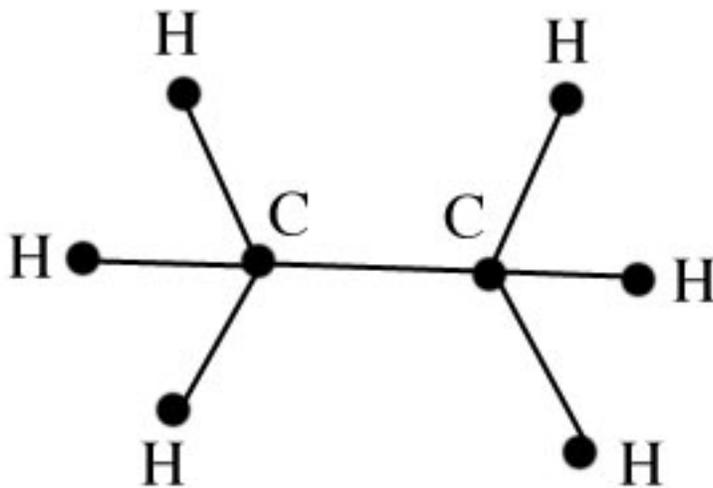
- Dirac Notation
- Exploring PES
- Algorithms for Geometry Optimization
- Zero Point Energies and Stat Mech.

2. CONCEPTS

minima and transition states.

3. PES - POTENTIAL ENERGY SURFACE

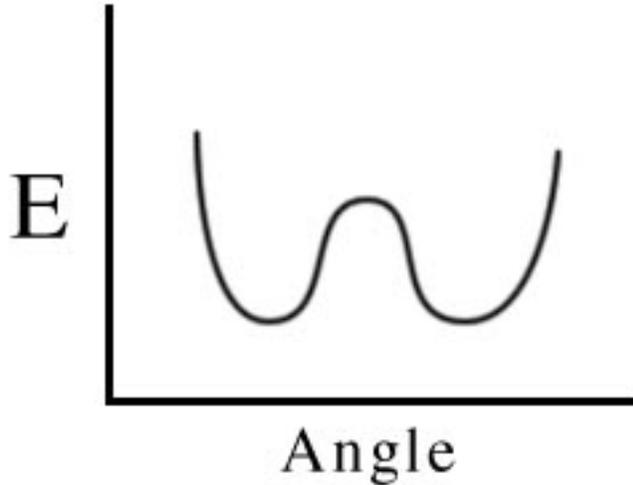
Example: Rotation of carbon-carbon bond in ethane (C_2H_6).



In general, one can look at E_o as a function of any parameter. In this example, we will use orientation.

$3N - 6$ degrees of freedom.

BO approximation (atoms are fixed, nuclei mass is infinite).



4. METHOD

$$H_i^{method} \Psi_i = \epsilon_i \Psi_i$$

$$H_i^{method} = -\frac{1}{2} \nabla^2 - \sum_{k=1}^N \frac{Z_k}{r_{1k}} + V_1^{method}$$

Where V_1 varies depending on the method we are using.

Examples of Methods: Molecular orbital vs Density functional theory.

$$V_i^{method} = \sum_{j=2}^N \int \frac{\rho(r_j)}{r_i - r_j} d\vec{r}_j + V_i^{\chi method} + V_i^{C method}$$

Where $\sum_{j=2}^N \int \frac{\rho(r_j)}{r_i - r_j} d\vec{r}_j$ is the mean field term.

$V_i^{\chi method}$ exchange term (pauli exchange)

$V_i^{C method}$ correlation term.

Use a trial basis set $\Psi_i = \sum c_{ij} g_j$

SOLVE $E_o = \sum_1^N \int \Psi_i^* H_i^{method} \Phi_i d\vec{r} + \text{Nuc - Nuc terms.}$

5. SOLVING

Need to find c_{ij} 's which minimize E_o . Here's the detailed method.

- 1) Choose molecule nuclear positions + num of electrons
- 2) Choose method
- 3) Choose basis set
- 4) Choose initial guess for c'_{ij} 's
- 5) Solve Eigenvalue equation for new c_{ij} 's
- 6) Is $E_{old} - E_{new} < ATOL$?
- 7) If no, return to step 4 with new c_{ij} 's
- 7b) If yes, calculate the forces on the nuclei
- 8) Is the $force < force^{ATOL}$? If yes, finished.
- 9) If not, update geometry, goto step 4.

6. DETAILS

$E_o^{elec} = V^{nucleous} = E(\vec{x})$ meaning the energy of the electron is dependent on the potential of the nuclei.

$$\vec{x} = 3N = (R_{1x}, R_{1y}, R_{1z}, R_{2x}, R_{2y}, \dots)$$

In the geometry optimization, we want to minimize such that

$$dE/dx = 0 \text{ or } < ATOL.$$

$$\text{expand } E_{k+1}(\vec{x}_{k+1}) = E_k + \vec{g}_k^t \cdot (\vec{x}_{k+1} - \vec{x}_k) + 1/2(\vec{x}_{k+1} - \vec{x}_k)^T \bar{\beta}_k (\vec{x}_{k+1} - \vec{x}_k)$$

Where: k is the step

\vec{g}_k^t are the gradient forces

$\bar{\beta}_k$ is the Hessian Matrix.

SCF gives us E_o, \vec{g} . Then, update $\bar{\beta}_k$ and it's inverse \bar{H}_k

$$\frac{dE_{k+1}}{dx_{k+1}} = \vec{g}_{k+1} g_k + \bar{\beta}_k (\vec{x}_{k+1} - x_k) = 0$$

→ \bar{P} step size to move atoms to next position.

$$\bar{P}_k = \vec{x}_{k+1} - \text{vec}x_k = \bar{\beta}_k^{-1} \vec{g}_k = -\bar{H}_k \vec{g}_k$$

7. UPDATING $\bar{\beta}_k$

Method → steepest descent.

$$\bar{\beta} = c \begin{pmatrix} 1 & 0 & 0 & 0 & \dots \\ 0 & 1 & 0 & 0 & \dots \\ 0 & 0 & 1 & 0 & \dots \\ 0 & 0 & 0 & 1 & \dots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

c=1 or $\neq 1$

Newton's Method

$\bar{\beta}$ → calculated explicitly (great for transition states)

$$\bar{H}_k \bar{H}_{k-1} - \frac{\bar{H}_{k-1} \Delta \vec{g}_k \Delta \vec{g}_k^T \bar{H}_{k-1}}{\Delta \vec{g}_k^T \bar{H}_{k-1} \Delta \vec{g}_k}$$