

# **7.36/7.91 recitation**

2-19-2014

CB Lecture #4

# Announcements / Reminders

## Homework:

- PS#1 due Feb. 20th at noon.
- Late policy:  $\frac{1}{2}$  credit if received within 24 hrs of due date, otherwise no credit
- Answer key will be posted 24 hrs after due date

## Project:

- Teams, Title, 1 paragraph summary due Tuesday Feb. 25
- Teams of 1-5 people unless approved by instructor

# Basic Linear Algebra Review

- way to compactly represent and operate on sets of linear equations:

$$2x_1 + 4x_2 = 10$$

$$-5x_1 + x_2 = -3$$

where

$$\vec{x} = (x_1, x_2)$$

$$A = \begin{bmatrix} 2 & -5 \\ 4 & 1 \end{bmatrix}$$

$$\vec{b} = (10, -3)$$

can be written in row form (lecture):  $\vec{x}A = \vec{b}$

or in column form:  $A^T \vec{x}^T = \vec{b}^T$

# Basic Linear Algebra Review

## Simple operations:

- Dot product of two row vectors  $\vec{x} = (x_1, x_2, x_3)$   $\vec{y} = (y_1, y_2, y_3)$

$$\vec{x} \cdot \vec{y} = (x_1, x_2, x_3) \cdot (y_1, y_2, y_3) = x_1y_1 + x_2y_2 + x_3y_3$$

- Matrix multiplication:

$$A = \begin{bmatrix} \text{---} & \vec{a}_1 & \text{---} \\ \text{---} & \vec{a}_2 & \text{---} \\ & \vdots & \\ \text{---} & \vec{a}_m & \text{---} \end{bmatrix} \quad B = \begin{bmatrix} | & | & & | \\ \vec{b}_1^T & \vec{b}_2^T & \dots & \vec{b}_p^T \\ | & | & & | \end{bmatrix} \implies A * B = \begin{bmatrix} \vec{a}_1 \cdot \vec{b}_1 & \vec{a}_1 \cdot \vec{b}_2 & \dots & \vec{a}_1 \cdot \vec{b}_p \\ \vec{a}_2 \cdot \vec{b}_1 & \vec{a}_2 \cdot \vec{b}_2 & \dots & \vec{a}_2 \cdot \vec{b}_p \\ \vdots & \vdots & \ddots & \vdots \\ \vec{a}_m \cdot \vec{b}_1 & \vec{a}_m \cdot \vec{b}_2 & \dots & \vec{a}_m \cdot \vec{b}_p \end{bmatrix}$$

- Note that inner dimensions must agree:

If  $A \in \mathbb{R}^{m \times n}$  and  $B \in \mathbb{R}^{n \times p}$  then  $A * B \in \mathbb{R}^{m \times p}$

# Markov Models (Chains)

- Defined by a set of  $n$  possible states  $s_1, \dots, s_n$  at each timepoint.
- **Markov property:** Transition from state  $i$  to  $j$  (with probability  $P_{i,j}$ ) depends *only* on the previous state, not any states before that. In other words, the future is conditionally independent of the past given the present:

$$P(S_{t+1} = k | S_1 = s_1, \dots, S_t = s_t) = P(S_{t+1} = k | S_t = s_t)$$

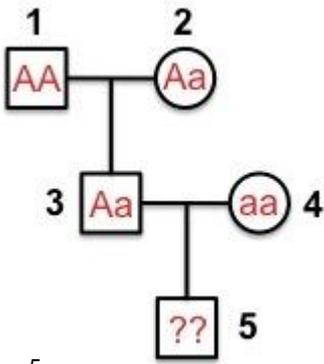
**Example:** if we know individual 3's genotype, there's no additional information that individuals 1 and 2 can give us about 5's genotype

- Probability of having a class having an exam that week

- **not Markov:** prob. of having an exam during a week influenced by events further back than just 1 week (if there was an exam 2 weeks ago, likely not an exam this week)

- Board games whose moves are entirely determined by dice

- **Markov:** prob. of future event depends only on the current board and outcome of dice roll



# Markov Chains

- Instead of realizing a set of states (one particular state with probability 1 and all others with probability 0 at each timepoint), we can model more general processes by defining a probability *distribution* over states at each timepoint:

$$\vec{q}^t = (q_1, \dots, q_n) \quad 0 \leq q_i \leq 1, \sum_{i=1}^n q_i = 1$$

- Probability distribution changes over time according to transition matrix  $P$

$$\vec{q}^{t+1} = \vec{q}^t P \qquad \vec{q}^{t+k} = \vec{q}^t P^k$$

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- Size and constraints on transition matrix  $P$  ?      Size:  $n \times n$

$$P = \begin{bmatrix} P_{1,1} & P_{1,2} & \dots & P_{1,n} \\ P_{2,1} & P_{2,2} & \dots & P_{2,n} \\ \vdots & \ddots & \ddots & \vdots \\ P_{n,1} & P_{n,2} & \dots & P_{n,n} \end{bmatrix}$$

$$\sum_{j=1}^n P_{i,j} = 1 \quad \forall i$$

Interpretation: From current state  $i$ , you must end up in *some* state  $j$  after transition

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$$P(S_{t+1} = k | S_1 = s_1, \dots, S_t = s_t) = P(S_{t+1} = k | S_t = s_t)$$

- If at time  $t$  the probability distribution over the  $n$  states is

$$P = \begin{bmatrix} P_{1,1} & P_{1,2} & \dots & P_{1,n} \\ P_{2,1} & P_{2,2} & \dots & P_{2,n} \\ \vdots & \ddots & \ddots & \vdots \\ P_{n,1} & P_{n,2} & \dots & P_{n,n} \end{bmatrix}$$

$$\vec{q}^t = (q_1^t, q_2^t, \dots, q_n^t)$$

what is the probability of being in state  $i$  at time  $t+1$ ?

$$q_i^{t+1} = \sum_{s=1}^n q_s^t P_{s,i}$$

# Markov Chains

- If all entries of  $P$  are strictly positive ( $P_{i,j} > 0$ ), there is a “stationary” (or “limiting”) distribution in the limit of infinite time:

$$\lim_{t \rightarrow \infty} \vec{q}^t = \lim_{t \rightarrow \infty} \vec{q} P^t = \vec{r}$$

- The stationary distribution satisfies:  $\vec{r} = \vec{r}P$
- Since all entries of distribution must sum to 1, can set up system of eqns to solve:

$$\vec{r} = (r_1, r_2, \dots, 1 - \sum_{i=1}^{n-1} r_i)$$

- May also notice that  $\vec{r}$  is an eigenvector of  $P$  with eigenvalue 1. Can use eigenvector approaches instead of systems of eqns to determine  $\vec{r}$  if you're familiar with those

# Practice Problem

- You decided to make a model of purine (R) and pyrimidine (Y) evolution. Multiple sequence alignment of promoters (50% R, 50% Y) leads to:

$$PAM_1 = \begin{bmatrix} 0.995 & 0.005 \\ 0.015 & 0.985 \end{bmatrix}, P_{R,R} = 0.995, P_{R,Y} = 0.005, P_{Y,R} = 0.015, P_{Y,Y} = 0.985$$

- What is the composition of a sequence evolving under this model after a long time?

$$\text{Let } P_Y = 1 - P_R : \quad (P_R, 1 - P_R) = (P_R, 1 - P_R) \begin{bmatrix} 0.995 & 0.005 \\ 0.015 & 0.985 \end{bmatrix} \\ \implies (P_R, P_Y) = (0.75, 0.25)$$

- What is  $PAM_\infty$ ?

Since the (0.75, 0.25) outcome must be the same no matter where we start from (e.g. (1, 0) or (0, 1)):

$$\lim_{t \rightarrow \infty} PAM_t = \begin{bmatrix} 0.75 & 0.25 \\ 0.75 & 0.25 \end{bmatrix}$$

# Practice Problem

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- What would be the average % sequence identity between an initial sequence (composition 50% R, 50% Y) and the sequence evolved from this initial sequence under the  $PAM_\infty$  matrix?

- In  $PAM_\infty$ ,  $P_{R,R} = 0.75$  and  $P_{Y,Y} = 0.25$ . We start with 50% R and 50% Y. The fraction of R's remaining the same is  $(0.75)(0.50) = 0.375$  and the fraction of Y's remaining the same is  $(0.25)(0.50) = 0.125$ . Therefore the total % sequence identity is  $0.375 + 0.125 = 0.50$  or 50%.

# PAM

vs.

# BLOSUM

- Evolutionary time measured in Percent Accepted Mutations (PAMs)
- One PAM: 1% of the residues have changed, averaged over all 20 amino acids.
- To get the relative frequency of each type of mutation, count the times it was observed in a database of multiple sequence *global* alignments
- The PAM1 is the matrix calculated from comparisons of sequences with no more than 1% divergence
- Mutation frequencies assume a Markov model of evolution. Other matrices derived from PAM1:

PAM250 ~ (PAM1)<sup>250</sup>

- BLOSUM matrices are based on *local* alignments
- BLOSUM 62 is a matrix calculated from alignment of sequences with ~62% identity.
- BLOSUM matrices are based on observed alignments; unlike PAM, they are not extrapolated from comparisons of closely related proteins
- BLOSUM 62 is the default matrix in BLAST. It's tailored for comparisons of moderately distant proteins.
- Alignment of more distant proteins may be more accurate with a different matrix based on substitutions observed in more distantly evolved proteins

# Jukes-Cantor model

- the number of observed differences between two homologous sequences is smaller than the actual number of changes that have occurred, due to reversions (e.g.  $A \rightarrow G \rightarrow A$  )
- can underestimate the genetic distance between the sequences
- How to compensate? need some model of how mutations occur
- Jukes-Cantor model assumes that all mutations are equally likely and occur with rate  $\alpha$ ; if this is true, then you can apply the following correction:

$$K = -\frac{3}{4} \ln\left[1 - \frac{4}{3}P\right]$$

P = observed fraction sites that differ  
K = actual number of substitutions

- This is very simple; other models are much more complex (e.g. Kimura, which has transitions  $C \leftrightarrow T$  and  $A \leftrightarrow G$  occurring more frequently than transversions  $R \leftrightarrow Y$  and  $Y \leftrightarrow R$  ).

# Positive / Negative Selection

$K_a/K_s$  (or dN/dS) test:

- $K_a$  (or dN): # of nonsynonymous substitutions per nonsynonymous site

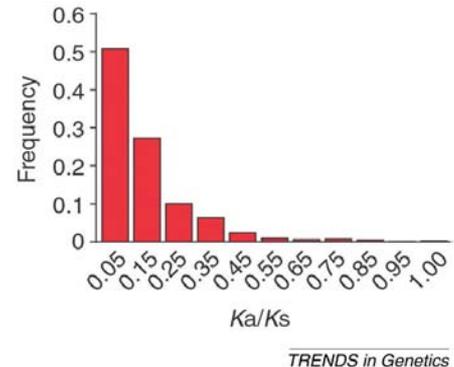
- $K_s$  (or dS): # of synonymous substitutions per synonymous site

- What are typical  $K_a/K_s$  ratios you expect for protein-coding genes?

-Most proteins have evolved to a near-optimal sequence & structure, so most mutations will be deleterious ( $K_a/K_s \ll 1$ ).

The frequency of different values of  $K_a/K_s$  for 835 mouse-rat orthologous genes.

Hurst *Trends in Genetics* 18: 2002.



Courtesy of Elsevier. Used with permission.  
Source: Hurst, Laurence D. "The  $K_a/K_s$  Ratio: Diagnosing the Form of Sequence Evolution." *Trends in Genetics* 18, no. 9 (2002): 486-7.

$K_a/K_s \sim 1$  generally means neutral evolution (averaged over calculated region) - e.g. pseudogenes

<sup>14</sup>  $K_a/K_s > 1$  generally means positive selection (e.g. immune system genes coevolving with parasites) - see first 3 pages of Sabeti review on Positive Selection in humans in "Resources" on course website

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