

# Thermodynamics of hydrogel swelling

## Applications of hydrogels in bioengineering

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<b>Last Day:</b>	Structure of hydrogels
<b>Today:</b>	bioengineering applications of hydrogels Thermodynamics of hydrogel swelling
<b>Reading:</b>	
<b>Supplementary Reading:</b>	P.J. Flory, 'Principles of Polymer Chemistry,' Cornell University Press, Ithaca, pp. 464-469, pp. 576-581 (Statistical thermodynamics of networks and network swelling)  P.J. Flory, 'Principles of Polymer Chemistry,' Cornell University Press, Ithaca, pp. 495-507 (Entropy of polymer-solvent mixing)

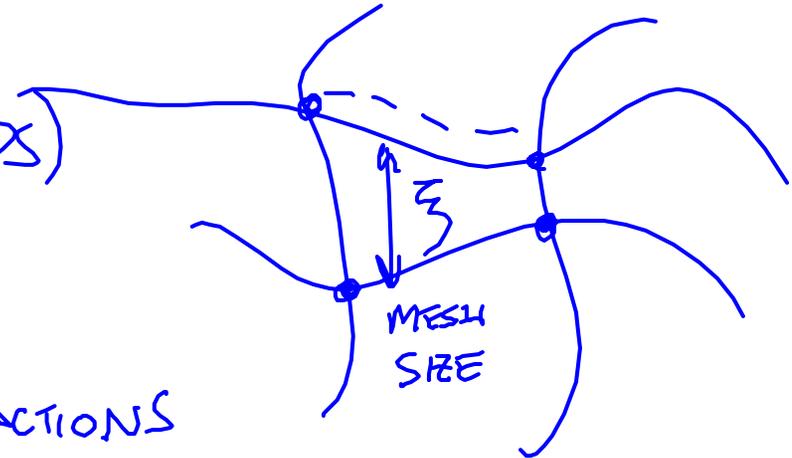
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**Announcements:** PS 2 DUE 5 pm TODAY (OR TURN IN AFTER CLASS)  
PS 3 POSTED LATER TODAY  
DUE NEXT THURS.

# hydrogels

↳ CROSSLINKED WATER-SWOLLEN POLYMER NETWORK

\* COVALENT (COVALENT BONDS)



\* PHYSICAL GELS

IONIC INTERACTIONS

HYDROPHOBIC ASSOCIATIONS / Van der Waals

HYDROGEN BONDING

(COMBINATIONS)

MOST CRITICAL PARAMETER: SWELLING RATIO. (Q OR S)

$$Q = \frac{V_{\text{POLYMER}} + V_{\text{SOLVENT}}}{V_{\text{POLYMER}}} \rightarrow \text{DETERMINES}$$

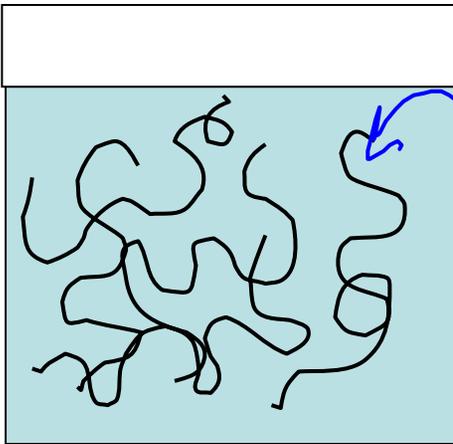
⚡

# Thermodynamics of hydrogel swelling

HOW DOES SWELLING DEPEND ON:

SOLVENT QUALITY:  $\chi$  INTERACTION PARAMETER  
 (CALLED  $\Omega$  IN REGULAR SOLUTION THEORY)

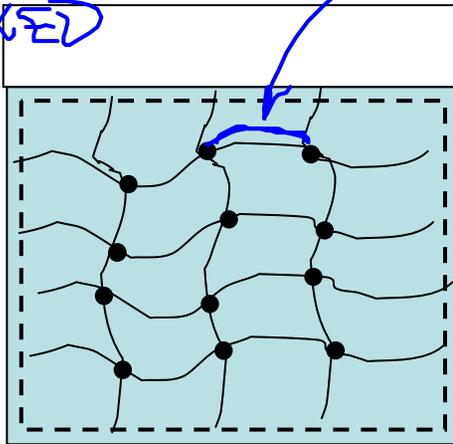
$M \rightarrow$  MW OF INITIAL CHAINS USED TO FORM NETWORK



polymerize

$M_c =$  MW BETWEEN XLINKS

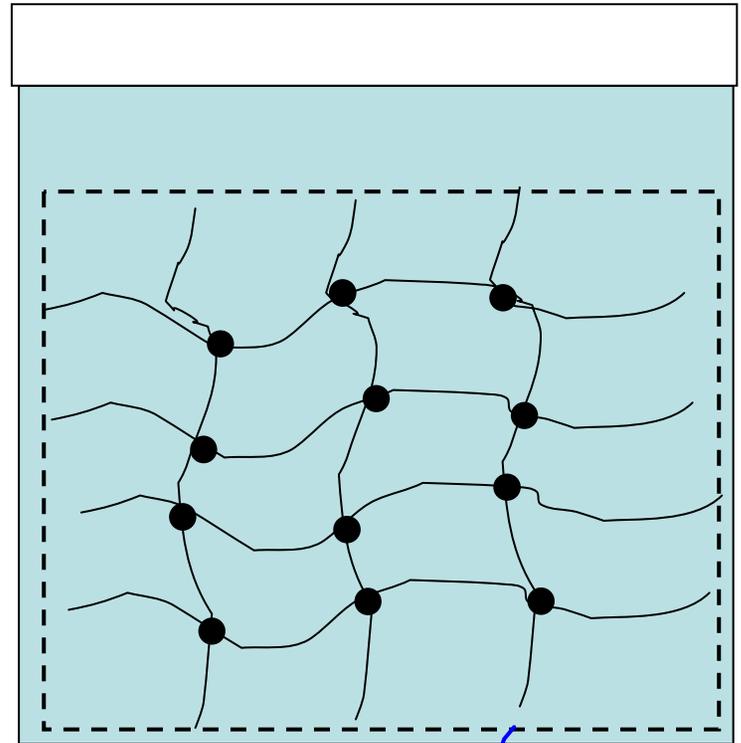
RELAXED



$V_r \leftarrow$  RELAXED

$\Delta G$

Move to a new, larger aqueous bath

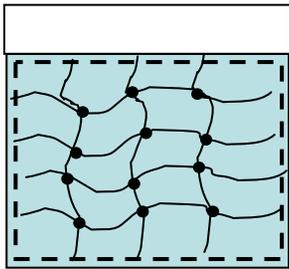


swelling

SWOLLEN  $\rightarrow$

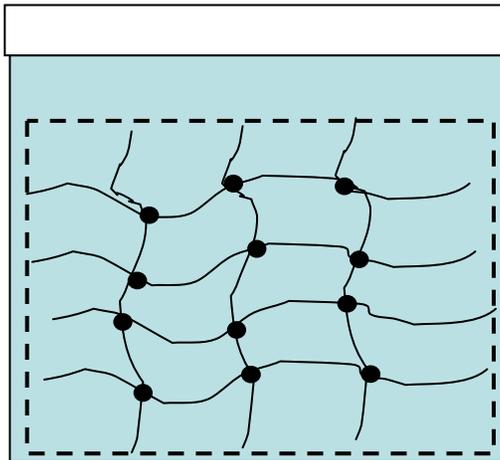
$V_s$

# Thermodynamics of hydrogel swelling



$V_r$

$\Delta G_{TOTAL} < 0$



swelling

$V_s$

Competing driving forces determine total swelling:

$$\Delta G_{TOTAL} = \Delta G_{mix} + \Delta G_{el}$$

DRIVING SWELLING:

$\Delta G_{mix}$

— GAIN IN ENTROPY BY MIXING SOLVENT AND POLYMER

— FAVORABLE CONTACTS BETWEEN P + S ALSO POSSIBLE

RESISTING SWELLING:

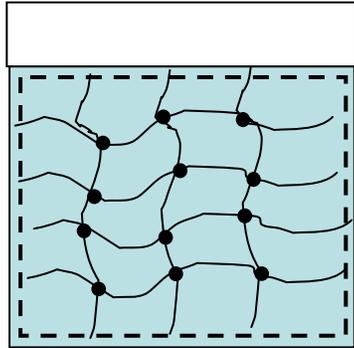
$\Delta G_{el}$

— LOSS IN ENTROPY IN NETWORK CHAINS AS THEY ARE STRETCHED

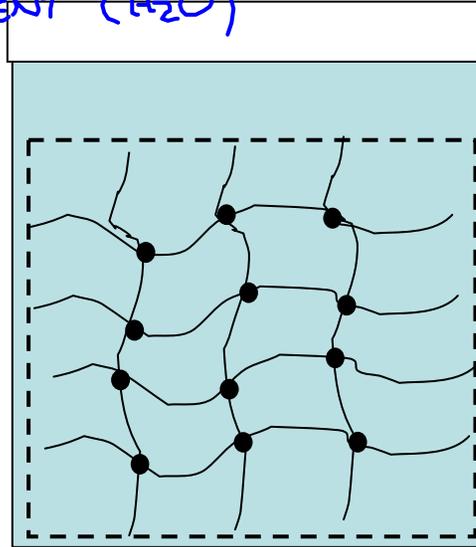
# Description of cross-linked network

SUBSCRIPTS: "2" = POLYMER

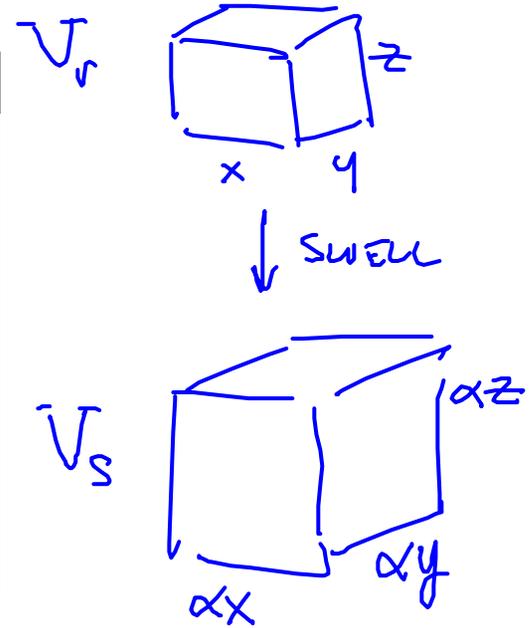
"1" = SOLVENT (H<sub>2</sub>O)



Cross-linking (relaxed)  $V_r$



$V_s$



Expansion factor:  $\alpha$

ISOTROPIC SWELLING:  $\alpha_x = \alpha_y = \alpha_z = \alpha$

$V_{m,1}$  = VOLUME PER MOLECULE

$$\alpha_x \alpha_y \alpha_z = \alpha^3 = V_s / V_r = (V_2 + n_1 v_{m,1}) / V_r$$

swelling

↳ # WATER MOLECULES

volume fraction of polymer in swollen gel

volume fraction of polymer in relaxed gel

$$\phi_{2,s} = V_2 / (V_2 + n_1 v_{m,1})$$

$$\phi_{2,r} = V_2 / V_r$$

$$Q = \frac{1}{\phi_{2,s}}$$

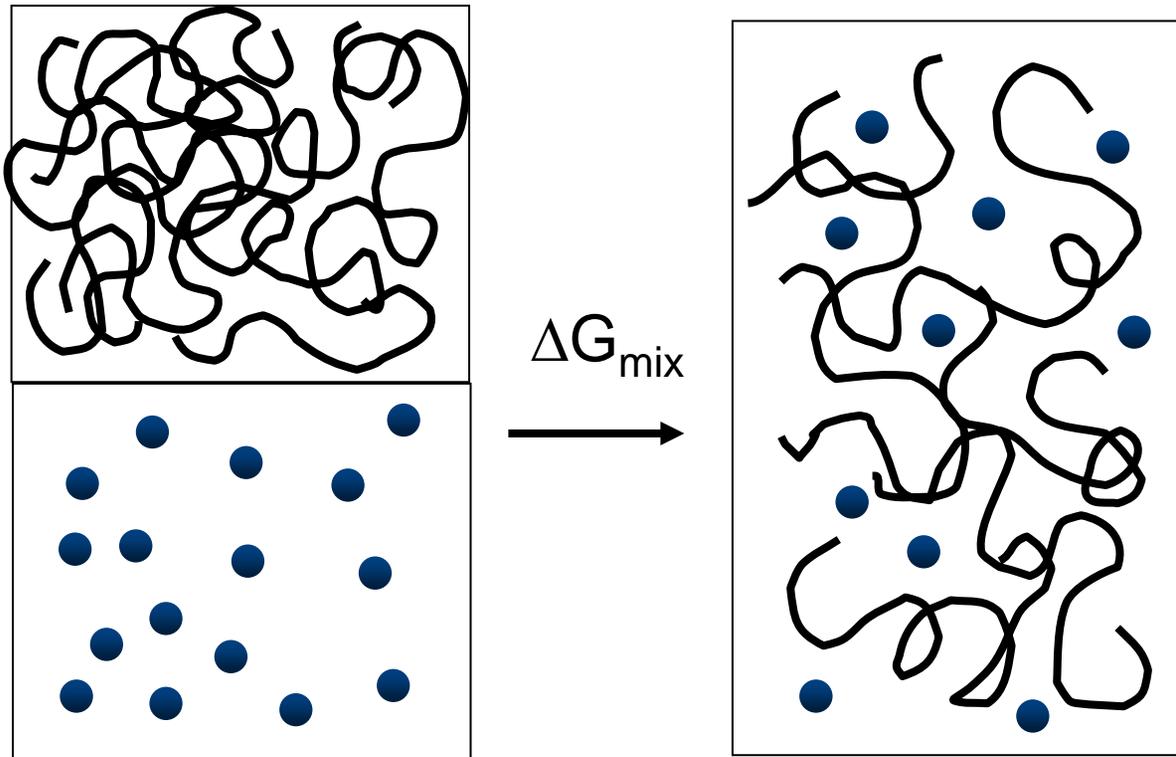
$$Q = \frac{V_2}{\text{TOTAL VOL.}}$$

# Free energy of mixing in the network:

Starting point: thermodynamic description of simple polymer-solvent mixing:

Seek to derive an expression for the free energy of mixing:

$$\Delta G_{\text{mix}} = \underline{\Delta H_{\text{mix}}} - T \underline{\Delta S_{\text{mix}}} = G_{\text{mix}} - G_{\text{UNMIXED}}$$



# Free energy of mixing in the network:

## Lattice model description of polymers: (Flory/Huggins)

ENTHALPY OF MIXING:

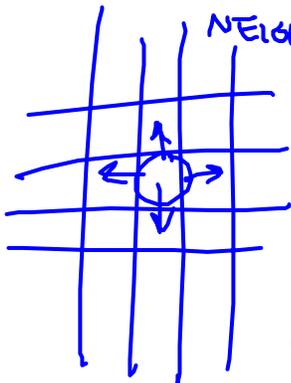
Energy of contacts:



$\Delta\omega_{12}$

CHANGE IN ENERGY ON FORMING A 1-2 CONTACT

$z = \#$  NEAREST NEIGHBORS

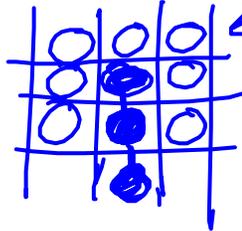


$$n_2 \times \phi_1 = n_1 \phi_2$$

$$\delta = z n_1 \phi_{2,1S}$$

$$\therefore \Delta H_{mix} = z n_1 \phi_{2,1S} \Delta\omega_{12}$$

LATTICE OCCUPIED BY EITHER SOLVENT MOLECULES OR POLYMER SEGMENT



$$\Delta H_{mix} = \int \Delta\omega_{12}$$

$$\delta = (\# \text{ 1-2 CONTACTS})$$

$$\delta = \left( \begin{matrix} \text{TOTAL \#} \\ \text{POLYMER SEGMENTS} \end{matrix} \right) \left( \begin{matrix} \# \text{ CONTACTS} \\ \text{w/ SOLVENT PER} \\ \text{SEGMENT} \end{matrix} \right)$$

$$\delta = (n_2 \times) \left[ (z) (\phi_{1,S}) \right]$$

# SEGMENTS PER CHAIN

MEAN FIELD APPROXIMATION

# Free energy of mixing in the network:

Lattice model description of polymers: (Flory/Huggins)

ENTHALPY OF MIXING:

DEFINE INTERACTION PARAMETER  $\chi$ :

$$\chi \equiv \frac{z\Delta\omega_{12}}{k_B T} \quad [\text{UNITLESS ENERGY}]$$



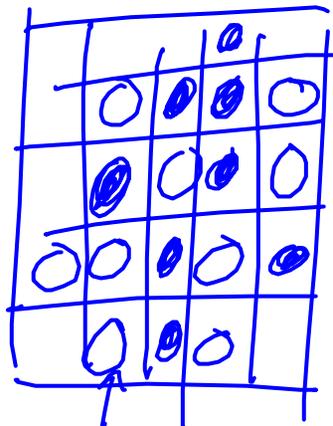
↑  
BOLTZMANN CONSTANT

$$\therefore \Delta H_{\text{mix}} = k_B T n_1 \phi_{2,1} \chi$$

# Free energy of mixing in the network:

Lattice model description of polymers: (Flory/Huggins)

ENTROPY OF MIXING:



A AND B  
AND TOTAL  
MOLECULES  $n = n_A + n_B$

$$S = k_b \ln \Omega$$

UNIQUE!  
↓  
# WAYS TO FILL LATTICE

↑  
# CONFIGURATIONS POSSIBLE

STIRLING'S APPROXIMATION:

$$\Omega = \frac{n!}{n_A! n_B!} \implies \ln n! \approx n \ln n - n$$

$$S = -k_b [n_A \ln x_A + n_B \ln x_B]$$

$$x_A = \frac{\text{MOLE FRACTION A}}{A} = \frac{n_A}{n_A + n_B}$$

# Free energy of mixing in the network:

## Lattice model description of polymers: (Flory/Huggins)

ENTROPY OF MIXING:

$$S = k_b \ln \Omega$$

↓

$$\Omega = \# \text{ STATES}$$

$$\Delta S_{\text{mix}} = S_{\text{MIXED}} - S_{\text{UNMIXED}} = k_b \ln \frac{\Omega_{\text{MIXED}}}{\Omega_{\text{UNMIXED}}}$$

$$\Delta S_{\text{mix}} = -k_b [n_1 \ln \phi_{1,s} + n_2 \ln \phi_{2,s}]$$

↑  
FOR A  
SOLUTION

$$\phi_{1,s} = \frac{\text{VOLUME FRACTION OF SOLVENT}}{n_1 + n_2 \chi} = \frac{n_1}{n_1 + n_2 \chi}$$

OF POLYMER + SOLVENT

FOR GEL:  $n_2 \approx 0$  (NO FREE POLYMER CHAINS)

$$\Delta S_{\text{mix}}^{\text{GEL}} \approx -k_b n_1 \ln \phi_{1,s}$$

Image removed due to copyright reasons. Please see: Figure 110 in Flory, P. J. *Principles of Polymer Chemistry*. Ithaca, NY: Cornell University Press, 1953.

# Free energy of mixing in the network:

Lattice model description of polymers: (Flory/Huggins)

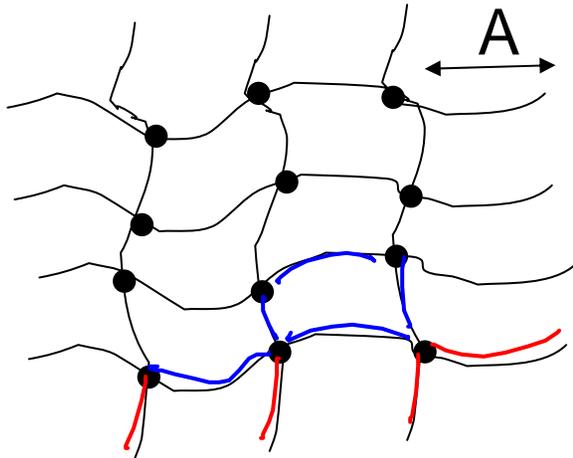
: TOTAL FREE ENERGY OF MIXING:

$$\Delta G_{\text{MIX}}^{\text{GEL}} = \Delta H_{\text{MIX}} - T\Delta S_{\text{MIX}}$$

$$= k_B T n_1 \phi_{2,1S} \chi - T \left[ -k_b n_1 \ln \phi_{1,1S} \right]$$

$$\Delta G_{\text{MIX}}^{\text{GEL}} = k_B T \left[ n_1 \ln \phi_{1,1S} + n_1 \phi_{2,1S} \chi \right]$$

# Description of cross-linked network



Assume cross-links are randomly placed; on average, all are equidistant:

$v$  = number of subchains in cross-linked network

$v_e$  = number of **'effective'** subchains: tethered at both ends

$M$  = MW of original chains

$M_c$  = MW of subchains = MW between cross-links

Example: assume polymer chains have a molecular weight  $M = 4A$  and each 'subchain' has molecular weight  $A$ :

Two useful relationships:

$v = \frac{V}{v_{sp,2} M_c}$  (where  $v_{sp,2}$  is the specific volume of polymer)

$v_e = v(1 - 2(M_c/M))$

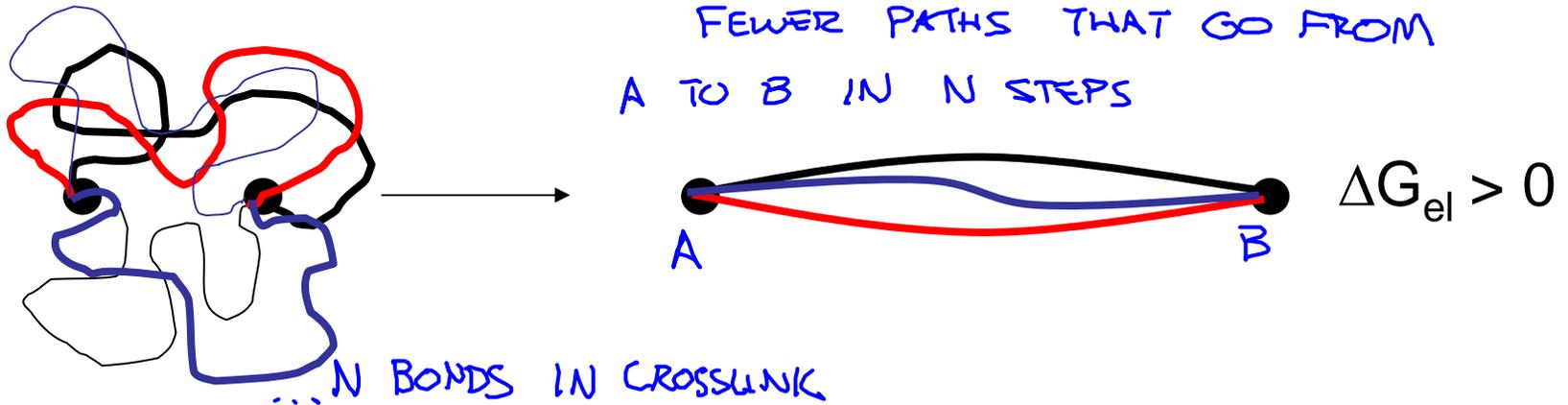
Handwritten derivation:  $V = \frac{V_2 N_{AV}}{v_{sp,2} M_c}$

Units:  $\frac{cm^3}{g}$  (for  $v_{sp,2}$ ),  $cm^3$  (for  $V$ ),  $\frac{g}{mole}$  (for  $M_c$ )

# Elastic contribution to hydrogel free energy:

$$\Delta G_{el} \quad (\text{RUBBER ELASTICITY THEORY})$$

- Account for entropic retraction force that restrains swelling:



$$\Delta G_{el} = -T \Delta S_{el} \quad (\text{NO ENTHALPIC COMPONENT})$$

$$\hookrightarrow S = k_b \ln \Omega$$

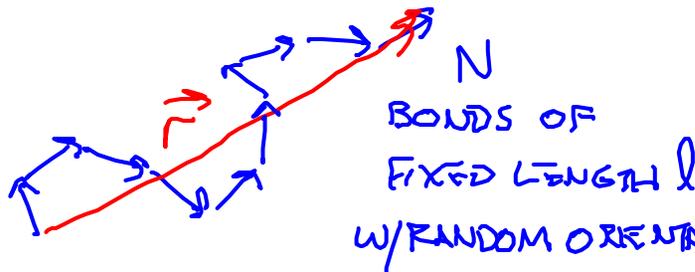
RELAXED  
STATE

STRETCHED  
STATE

# Elastic contribution to hydrogel free energy:

$$\Delta G_{el}$$

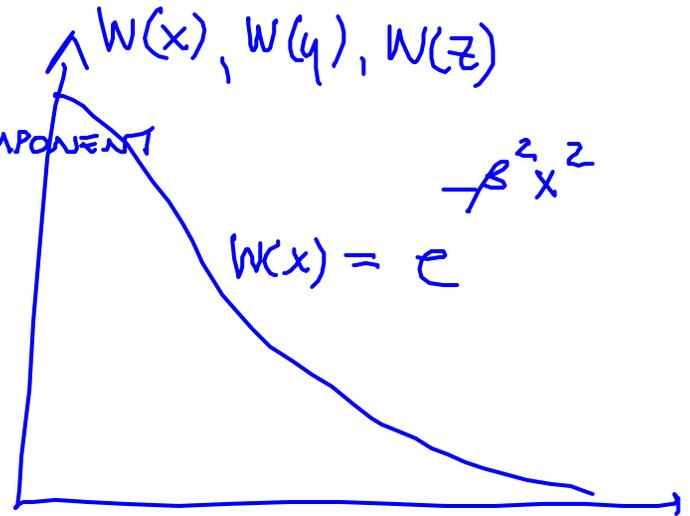
TREAT POLYMER COILS AS "FREELY JOINTED CHAINS":



$\vec{r}$  = END-TO-END DISTANCE

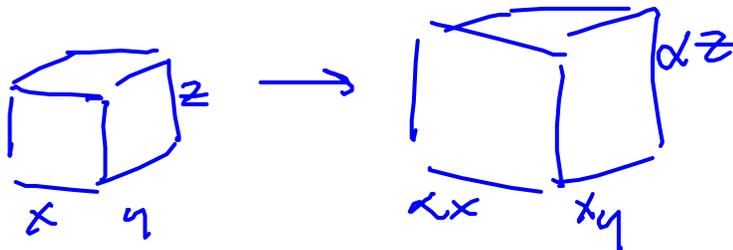
GAUSSIAN DISTRIBUTION FUNCTION FOR END-TO-END DISTANCE

PROB. OF A GIVEN  $x, y, z$  COMPONENT DISTANCE TO  $\vec{r}$

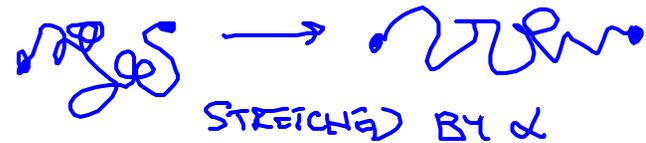


$$\beta \equiv \sqrt{\frac{3}{2}} \frac{1}{N^{1/2} l}$$

$W(x)W(y)W(z)$  → DIRECTLY RELATES TO  $\Omega$



INDIVIDUAL CHAINS MUST UNDERGO SAME DEFORMATION



# Elastic contribution to hydrogel free energy:

$$\Delta G_{el}$$

PROBABILITY THAT A CHAIN HAS  $\vec{r}(\alpha_x, \alpha_y, \alpha_z)$  AFTER SWELLING = PROB. THAT CHAIN STARTED w/  $\vec{r}(x, y, z)$  BEFORE SWELLING  $\propto W(x)W(y)W(z)$

$$W(x)W(y)W(z)$$

$\Omega$  IS DETERMINED AS A PRODUCT OF ALL POSSIBLE PROBABILITIES FOR EACH POSSIBLE  $\vec{r}$  (SEE FLORY SUPPLEMENTAL READING)

$$\Delta S_{el} = S_{swollen} - S_{relaxed} = k_b \ln \frac{\Omega_{swollen}}{\Omega_{relaxed}}$$

$$\Delta S_{el} = -\frac{3}{2} k_b \nu_e \left[ \alpha^2 - 1 - \ln \alpha \right]$$

$$\therefore \Delta G_{el} = -T \Delta S_{el} = \frac{3}{2} k_b T \nu_e \left[ \alpha^2 - 1 - \ln \alpha \right]$$

# Complete expression for the free energy of the gel:

$$\Delta G_{\text{TOTAL}} = \Delta G_{\text{mix}} + \Delta G_{\text{gel}} = \text{FREE ENERGY CHANGE AS H}_2\text{O ENTERS GEL}$$

CLOSED SYSTEM @ CONSTANT  $T, P, V$ : FREE ENERGY IS MINIMIZED AND SYSTEM IS AT EQUILIBRIUM WHEN CHEMICAL POTENTIAL OF H<sub>2</sub>O IS THE SAME INSIDE AND OUTSIDE THE GEL:

$$\begin{aligned}
 & \overset{\text{BATH}}{N_1} = N_1 \quad \text{STO STATE} \quad \overset{\text{CHEM. POT. IN GEL}}{\uparrow} N_1 \quad N_1 \equiv \left( \frac{\partial G}{\partial n_1} \right)_{T, P, n_2, \dots} \\
 & N_1^0 = N_1 \\
 & N_1 - N_1^0 = 0 \\
 & \Delta N_1 = \Delta(N_1)_{\text{mix}} + \Delta(N_1)_{\text{gel}} = 0 \\
 & \Delta(N_1)_{\text{mix}} = \left( \frac{\partial \Delta G_{\text{mix}}}{\partial n_1} \right)_{T, P, n_2} \\
 & \Delta(N_1)_{\text{gel}} = \left( \frac{\partial \Delta G_{\text{gel}}}{\partial n_1} \right)_{T, P, n_2}
 \end{aligned}$$

Complete expression for the free energy of the gel:

$$\begin{aligned}
 (\Delta \mu_1)_{\text{mix}} &= \left( \frac{\partial \Delta G_{\text{mix}}}{\partial n_1} \right)_{T, P, n_2} = \frac{\partial}{\partial n_1} \left[ k_B T \left[ n_1 \ln \phi_1 + \chi n_1 \phi_2 \right] \right] \\
 &= \frac{\partial}{\partial n_1} \left[ k_B T \left[ n_1 \ln \phi_1 + \chi \frac{n_1 n_2 x}{n_1 + n_2 x} \right] \right] \\
 &= k_B T \left[ \ln(1 - \phi_2) + 1 - 1 + \phi_2 + \chi \phi_2 - \chi \phi_2 \right. \\
 &\quad \left. + \chi \phi_2^2 \right] \\
 &\boxed{(\Delta \mu_1)_{\text{mix}} = k_B T \left[ \ln(1 - \phi_2) + \phi_2 + \chi \phi_2^2 \right]}
 \end{aligned}$$

Complete expression for the free energy of the gel:

$$(\Delta N_1)_{\text{gel}} = \left( \frac{\partial \Delta G_{\text{gel}}}{\partial n_1} \right)_{T,P} \quad \left| \quad \Delta G_{\text{gel}} = \frac{3}{2} k_B T V_e \left[ \alpha^3 - 1 - \ln \alpha \right] \right.$$

DEPENDENCE ON  $n_1$ ?

$$\alpha^3 = \frac{V_s}{V_r} = \frac{V_z + n_1 v_{m,1}}{V_r} = \frac{\phi_{z,r}}{\phi_{z,s}}$$

$$\therefore (\Delta N_1)_{\text{gel}} = \left( \frac{\partial \Delta G_{\text{gel}}}{\partial \alpha} \right)_{T,P,n_2} \left( \frac{\partial \alpha}{\partial n_1} \right)_{T,P,n_2}$$

$$\left( \frac{\partial \alpha}{\partial n_1} \right)_{T,P,n_2} = \frac{1}{3} \left( \frac{V_z + n_1 v_{m,1}}{V_r} \right)^{-2/3} \frac{v_{m,1}}{V_r} = \frac{v_{m,1}}{3 \alpha^2 V_r}$$

$$\left( \frac{\partial \Delta G_{\text{gel}}}{\partial \alpha} \right)_{T,P,n_2} = \frac{3}{2} k_B T V_e \left[ 2\alpha - \frac{1}{\alpha^2} \right]$$

Complete expression for the free energy of the gel:

$$\therefore (\Delta N_1)_{el} = k_B T \nu_e \left( \frac{\nu_{mi}}{\nu_r} \right) \left( \alpha - \frac{1}{2\alpha} \right) \frac{1}{\alpha^2} = k_B T \nu_e \left( \frac{\nu_{mi}}{\nu_r} \right) \left( \frac{1}{\alpha} - \frac{1}{2\alpha^3} \right)$$

↓ SUBSTITUTE:  $\nu_e = \nu \left( 1 - 2 \frac{m_c}{M} \right)$

$$(\Delta N_1)_{el} = k_B T \nu \left( 1 - 2 \frac{m_c}{M} \right) \frac{\nu_{mi}}{\nu_r} \left[ \left( \frac{\phi_{2,S}}{\phi_{2,r}} \right)^{1/3} - \frac{1}{2} \left( \frac{\phi_{2,S}}{\phi_{2,r}} \right) \right]$$



$$\alpha^3 = \frac{\phi_{2,r}}{\phi_{2,S}}$$

# Complete expression for the free energy of the gel:

EQUILIBRIUM CONDITION:  $(\Delta N_1)_{\text{mix}} + (\Delta N_1)_{\text{el}} = 0$

$$k_B T \left[ \underbrace{\ln \phi_1 + \phi_{2,s} + \chi \phi_{2,s}^2}_{(\Delta N_1)_{\text{mix}}} + \nu \left(1 - 2 \frac{M_c}{m}\right) \frac{V_{m1}}{V_r} \left[ \underbrace{\left(\frac{\phi_{2,s}}{\phi_{2,r}}\right)^{1/3} - \frac{1}{2} \left(\frac{\phi_{2,s}}{\phi_{2,r}}\right)}_{(\Delta N_1)_{\text{el}}} \right] \right] = 0$$

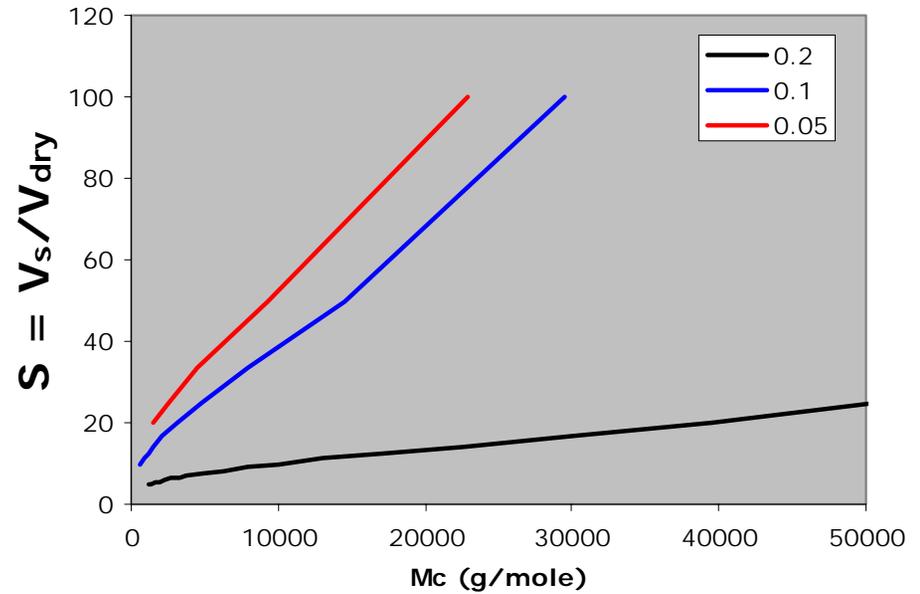
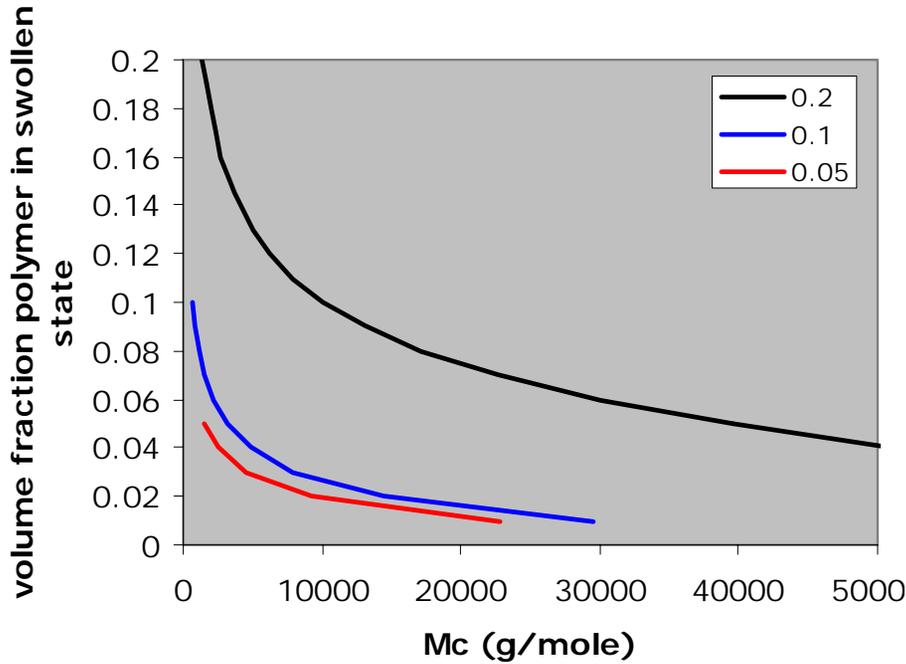
REARRANGE:

$$\frac{1}{M_c} = \frac{2}{m} - \frac{V_{sp,2}}{\bar{V}_1} \frac{\left[ \ln(1 - \phi_{2,s}) + \phi_{2,s} + \chi \phi_{2,s}^2 \right]}{\phi_{2,r} \left[ \left(\frac{\phi_{2,s}}{\phi_{2,r}}\right)^{1/3} - \frac{1}{2} \left(\frac{\phi_{2,s}}{\phi_{2,r}}\right) \right]}$$

$$\underbrace{\nu = \frac{V_2 N_{AV}}{V_{sp,2} M_c}}_{\substack{\uparrow \\ \text{NOLAR VOLUME OF} \\ \text{SOLVENT}}} \quad \frac{\bar{V}_1}{V_{m1} \nu} = \frac{V_{sp,2} M_c}{V_{m1} \phi_{2,r} N_{AV}} = \frac{V_{sp,2} M_c}{\bar{V}_1 \phi_{2,r}}$$

# Predictions of Flory/Peppas theory

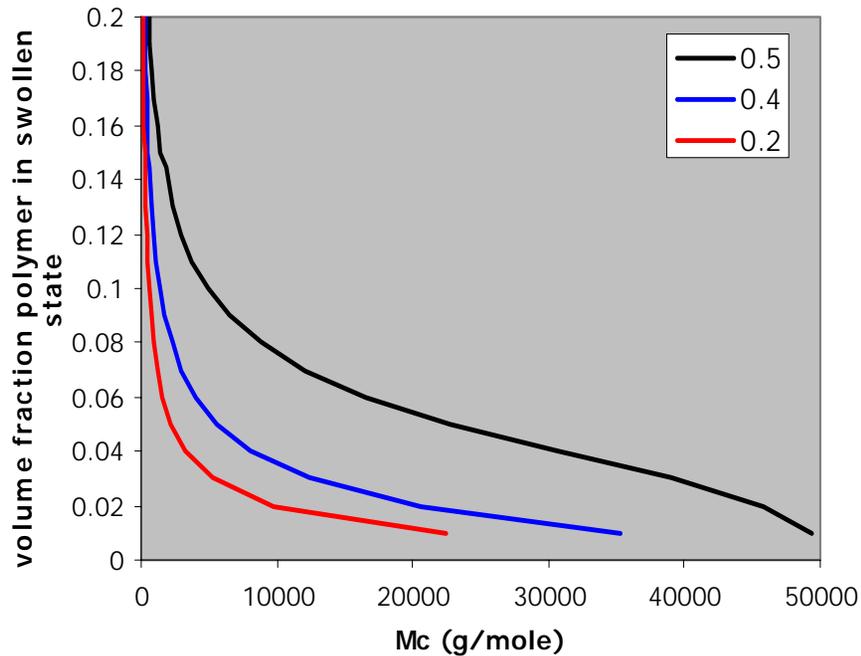
Varying  $\phi_{2,r}$ :



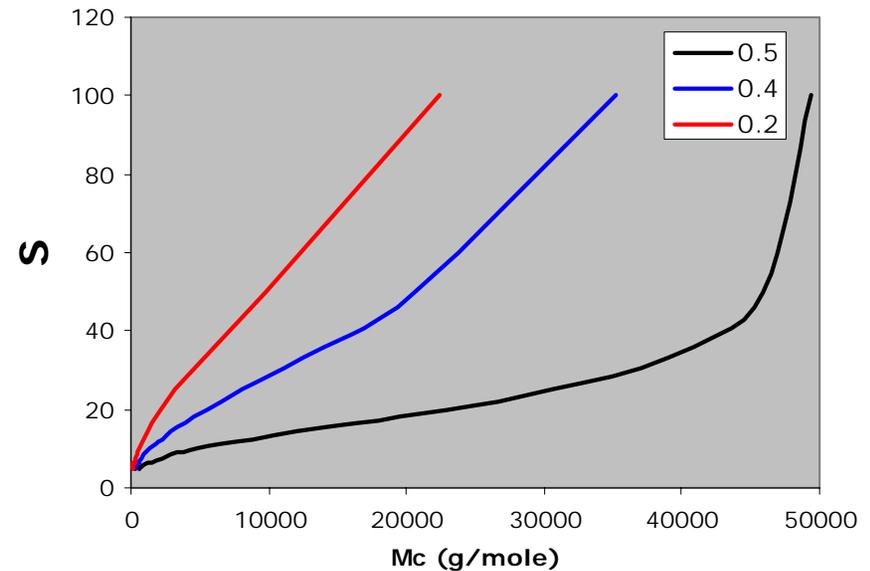
# Predictions of Flory/Peppas theory

Varying  $\chi$ :

hydrogel swelling vs. solvent quality



hydrogel swelling vs. solvent quality



## Model parameters

$\mu_1^{\text{bath}}$	chemical potential of water in external bath ( $= \mu_1^0$ )
$\mu_1$	chemical potential of water in the hydrogel
$\mu_1^0$	chemical potential of pure water in standard state
$\Delta w_{12}$	pair contact interaction energy for polymer with water
$z$	model lattice coordination number
$x$	number of segments per polymer molecule
$M$	Molecular weight of polymer chains before cross-linking
$M_c$	Molecular weight of cross-linked subchains
$n_1$	number of water molecules in swollen gel
$\chi$	polymer-solvent interaction parameter
$k_B$	Boltzman constant
$T$	absolute temperature (Kelvin)
$V_{m,1}$	molar volume of solvent (water)
$V_{m,2}$	molar volume of polymer
$V_{sp,1}$	specific volume of solvent (water)
$V_{sp,2}$	specific volume of polymer
$V_2$	total volume of polymer
$V_s$	total volume of swollen hydrogel
$V_r$	total volume of relaxed hydrogel
$\nu$	number of subchains in network
$\nu_e$	number of 'effective' subchains in network
$\phi_1$	volume fraction of water in swollen gel
$\phi_{2,s}$	volume fraction of polymer in swollen gel
$\phi_{2,r}$	volume fraction of polymer in relaxed gel

# Key properties of hydrogels for bioengineering applications:

- EASILY CHEMICALLY MODIFIED
  - IN SITU FORMABILITY
  - DEGRADABILITY
  - RESPONSIVE SWELLING  
'SMART' DEVICES
  - <sup>SOFT</sup> TISSUE-LIKE STRUCTURE/PROPERTIES
- 
- A diagram with a large right-facing square bracket. Three arrows point from the left side of the bracket to the text 'IN SITU FORMABILITY', 'DEGRADABILITY', and 'RESPONSIVE SWELLING'. To the right of the bracket, the following text is listed: 'hv UV IRRADIATION', 'ΔT TEMP.', 'CROSSLINKING ENZYMES', and 'PRESENCE OF DIVALENT SALS'.

# Further Reading

1. Flory, P. J. & Rehner Jr., J. Statistical mechanics of cross-linked polymer networks. II. Swelling. *J. Chem. Phys.* **11**, 521-526 (1943).
2. Flory, P. J. & Rehner Jr., J. Statistical mechanics of cross-linked polymer networks. I. Rubberlike elasticity. *J. Chem. Phys.* **11**, 512-520 (1943).
3. Peppas, N. A. & Merrill, E. W. Poly(vinyl-Alcohol) Hydrogels - Reinforcement of Radiation-Crosslinked Networks by Crystallization. *Journal of Polymer Science Part a-Polymer Chemistry* **14**, 441-457 (1976).
4. Flory, P. J. *Principles of Polymer Chemistry* (Cornell University Press, Ithaca, 1953).
5. An, Y. & Hubbell, J. A. Intraarterial protein delivery via intimately-adherent bilayer hydrogels. *J Control Release* **64**, 205-15 (2000).
6. Brannonpeppas, L. & Peppas, N. A. Equilibrium Swelling Behavior of Ph-Sensitive Hydrogels. *Chemical Engineering Science* **46**, 715-722 (1991).
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