

Photo and map removed for copyright reasons.

Photo taken in Donora PA at noon, Oct. 29 1948 (Pittsburgh Post-Gazette).
Map showing Donora approximately 25 miles south of Pittsburgh.

What's the dose-response?

Early epidemiologic / biostatistical studies:

from England: excess **mortality** when avg. airborne concs. of:

“smoke” > 750 $\mu\text{g}/\text{m}^3$

SO_2 > 0.25 ppm

What about excess **morbidity**?

“Diary” studies with *chronic bronchitics* in London¹:

Correlated daily symptoms with daily pollutant levels.

NOELs seemed to be:

“smoke” = 250 $\mu\text{g}/\text{m}^3$ (24-hr. avg.)

SO_2 = 0.19 ppm (24-hr. avg.)

¹ Lawther, PJ et al., *Environ. Res.* 10:355-67, 1975.

National Ambient Air Quality Standards (NAAQS)

The Clean Air Act, which was last amended in 1990, requires EPA to set **National Ambient Air Quality Standards** for pollutants considered harmful to public health and the environment. The Clean Air Act established two types of national air quality standards. **Primary standards** set limits to protect public health, including the health of "sensitive" populations such as asthmatics, children, and the elderly. **Secondary standards** set limits to protect public welfare, including protection against decreased visibility, damage to animals, crops, vegetation, and buildings.

The EPA Office of Air Quality Planning and Standards (OAQPS) has set National Ambient Air Quality Standards for six principal pollutants, which are called "criteria" pollutants. They are listed below. Units of measure for the standards are parts per million (ppm) by volume, milligrams per cubic meter of air (mg/m^3), and micrograms per cubic meter of air ($\mu\text{g}/\text{m}^3$).

National Ambient Air Quality Standards

POLLUTANT	STANDARD VALUE*		STANDARD TYPE
Carbon Monoxide (CO)			
8-hour Average	9 ppm	(10 mg/m^3)	Primary
1-hour Average	35 ppm	(40 mg/m^3)	Primary
Nitrogen Dioxide (NO₂)			
Annual Arithmetic Mean	0.053 ppm	(100 $\mu\text{g}/\text{m}^3$)	Primary & Secondary
Ozone (O₃)			
1-hour Average	0.12 ppm	(235 $\mu\text{g}/\text{m}^3$)	Primary & Secondary
8-hour Average	0.08 ppm	(157 $\mu\text{g}/\text{m}^3$)	Primary & Secondary
Lead (Pb)			
Quarterly Average	1.5 $\mu\text{g}/\text{m}^3$		Primary & Secondary
Particulate (PM 10) Particles with diameters of 10 micrometers or less			
Annual Arithmetic Mean	50 $\mu\text{g}/\text{m}^3$		Primary & Secondary
24-hour Average	150 $\mu\text{g}/\text{m}^3$		Primary & Secondary
Particulate (PM 2.5) Particles with diameters of 2.5 micrometers or less			
Annual Arithmetic Mean	15 $\mu\text{g}/\text{m}^3$		Primary & Secondary
24-hour Average	65 $\mu\text{g}/\text{m}^3$		Primary & Secondary
Sulfur Dioxide (SO₂)			
Annual Arithmetic Mean	0.030 ppm	(80 $\mu\text{g}/\text{m}^3$)	Primary
24-hour Average	0.14 ppm	(365 $\mu\text{g}/\text{m}^3$)	Primary
3-hour Average	0.50 ppm	(1300 $\mu\text{g}/\text{m}^3$)	Secondary

* Parenthetical value is an approximately equivalent concentration.

✓✓✓

very new ; not yet enforced...

→ Thousands of other airborne substances not regulated via NAAQS

U.S. EPA PM NAAQS

1971: Total suspended PM (TSP; PM_{40})

1987: PM_{10} (inhalable)

1996: PM_{10} and $PM_{2.5}$

$PM_{2.5}$

$15 \mu\text{g}/\text{m}^3$, annual average standard

(average of **three years of quarterly means of 24-hour** measurements).

$65 \mu\text{g}/\text{m}^3$, 24-hour standard

(**98th** percentile of 24-hour measurements)

Figures removed for copyright reasons.

Source: Figures 2, 14 and 15 in Lighty, Veranth, and Sarofim. "2000 Critical Review -- Combustion Aerosols: Factors Governing Their Size and Composition and Implications to Human Health." *Journal of the Air and Waste Management Association* 50 (September 2000).

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"Size and chemical species distributions of particles from specific sources."

Catalyst-equipped gasoline-powered engines

Non-catalyst-equipped gasoline-powered engines

Medium-duty diesel vehicles

Cigarette smoke

Concentrations of solvent vapors or other gases in air:
 by volume (parts per million [billion]);
 by mass (mg [μ g] per m^3)

Convert between ppm and $mg\ m^{-3}$ using the following approaches. Combining equations from the Ideal Gas Law and Dalton's Law of Partial Pressures yields an equation for the ready conversion of these units:

$$\text{Ideal Gas Law} \quad PV = nRT$$

$$\text{Dalton's Law} \quad \frac{P_s}{P_T} = \frac{n_s}{n_T}$$

P_s = Partial pressure of solvents (atm)
 P_T = Total atmospheric pressure (1 atm)
 n_s = Number of moles of solvents
 = Weight (wt.) of S/molecular weight (MW) of S

n_T = Total number of moles
 V = Volume (m^3)
 R = Gas constant (0.082 atm $^\circ$ K mol)
 T = Temperature (298 $^\circ$ K = 25 $^\circ$ C)
 C_s = Concentration of S (weight of s/volume)

$$P_s V = n_s RT$$

$$P_s = \frac{n_s RT}{V}$$

$$P_s = \frac{C_s}{MW_s} 24.45$$

$$\frac{P_s}{P_T} = \frac{n_s}{n_T} \text{ (ppm)} = \frac{C_s}{MW_s} 24.45$$

$$\text{ppm} = \frac{C_s}{MW_s} 24.45$$

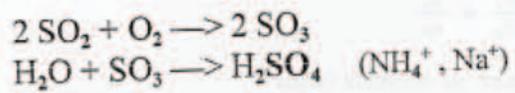
Types and Sources of Air Pollutants

Mobile sources: motor vehicles, airplanes

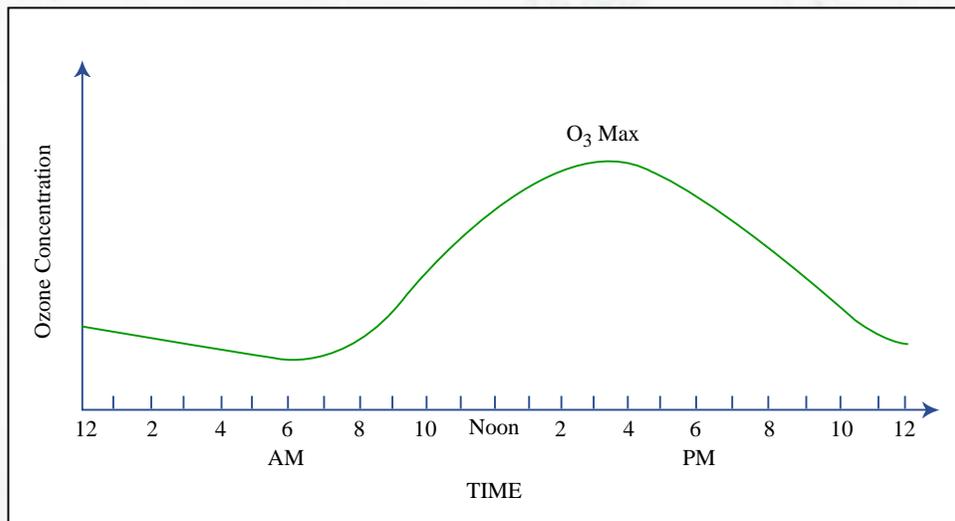
Stationary sources: power plants, factories, refineries

Primary pollutants: enter the atmosphere directly.

Secondary pollutants: form through atmospheric chemical reactions *e.g.*:

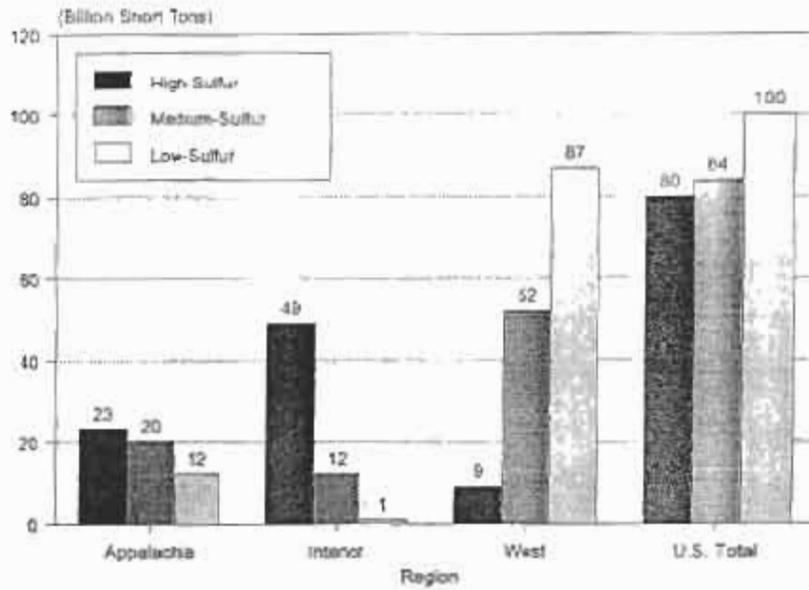


O_3 from VOC, NO_x (NO and NO_2), OH^\cdot , sunlight



Natural sources: vegetation: pollens, terpenes; fire, blowing dust, sea spray, volcanoes

Figure ES1 Estimates of Recoverable Coal Reserves in the United States by Sulfur Content and Region as of January 1, 1992

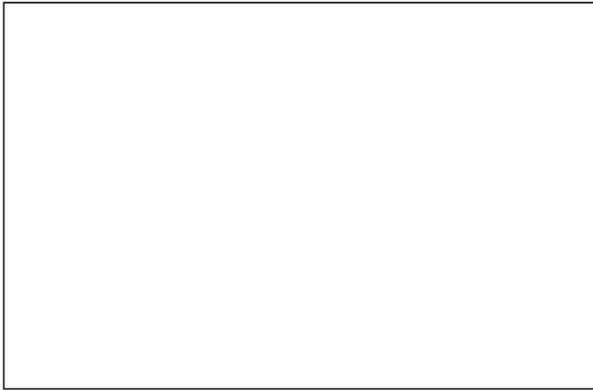


Source: Energy Information Administration estimates.

Estimated emissions to air, U.S., since 1940.

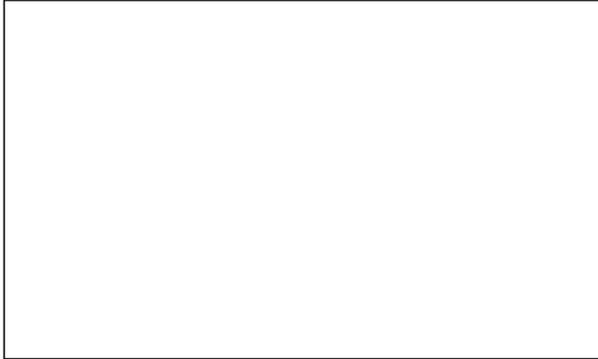
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Concentration, ppm, CO, 8-hr. avg. (NAAQS = 9 ppm)

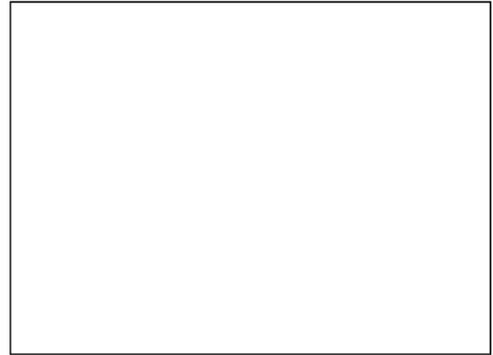


**Are current concs.
of criteria pollutants
safe?**

Concentration, ppm, SO₂, annual avg. (NAAQS = 0.030 ppm)



Concentration, $\mu\text{g}/\text{m}^3$, PM₁₀, annual avg.
(NAAQS = $50\mu\text{g} / \text{m}^3$)



Three graphs removed for copyright reasons.

Graphs removed for copyright reasons.
Figures 1 and 2.

Figure 1 and 2. Age-, sex-, and race-adjusted population-based mortality rates for 1980 plotted against mean sulfate air pollution levels for 1980. Data from metropolitan areas that correspond approximately to areas used in perspective cohort analysis.

Figure from: Pope, C. A. III.; Thun, M. J.; Namboodiri, M. M.; Dochery, D. W.; Evans, J. S.; Speizer, F. E., and Heath, C. W. Jr. "Particulate air pollution as a predictor of mortality in a prospective study of U.S. adults." *American Journal of Respiratory and Critical Care Medicine* 151 (1995): 669-674.

Figure removed for copyright reasons.

Figure 4 in Pope, C. A., et al. "Lung Cancer, Cardiopulmonary Mortality, and Long-term Exposure to Fine Particulate Air Pollution." *JAMA* 287, no. 9 (March 6, 2002): 1132-1141.

NYPA's small, gas-fired power plants

The Supreme Court of the State of New York determined that the New York Power Authority was remiss in not "addressing the health impacts of $PM_{2.5}$ emissions" of 11 small (< 44 MW) natural gas-powered turbine electric generator units. (July 2001)

The Court noted that NYPA had quantified " $PM_{2.5}$ emissions by assuming that all PM_{10} emissions were $PM_{2.5}$ emissions and concluded that the individual and cumulative impacts of such emissions by the proposed facilities would be insignificant [*e.g.* max. impacts < 2% of $PM_{2.5}$ std]." But the Court found that such an analysis was inadequate.

The Court wrote:

"Particulate matter is a nonthreshold pollutant."

and

"In light of the undisputed potential adverse health effects that can result from $PM_{2.5}$ emissions, we conclude that NYPA failed to take the requisite 'hard look' at this area of environmental concern."

Figures removed due to copyright reasons.

Please see:

Figures 2 and 6 in Dominici, F., et al. "A Report to The Health Effects Institute: Reanalyses of the NMMAPS Database." *Johns Hopkins University*, October 31, 2002.

Jamie Robins (2001) writes:

I believe that, in an observational study, every two variables have an unmeasured common cause, and thus there is always some uncontrolled confounding. . . . As epidemiologists, we should always seek highly skeptical subject-matter experts to elaborate the alternative causal theories needed to help keep us from being fooled by noncausal associations.

From: "Data, design, and background knowledge in etiologic inference." *Epidemiology* 2001 May;12(3):313-20

3 Possibilities:

Deaths associated with ambient $PM_{2.5}$ are:

1. Caused entirely by PM :
Easily controlled
↕
Uncontrollable
2. Partially caused by PM
Partially confounded by other causes → → → Pollution
↘ ↘ ↘ ↘ ↘
Non-pollution
3. Entirely confounded

Are current levels of ambient PM in fact toxic?

Does this question even make sense?

How can it, since $PM_{2.5}$ refers to *hundreds of thousands* of different things?

Differences in:

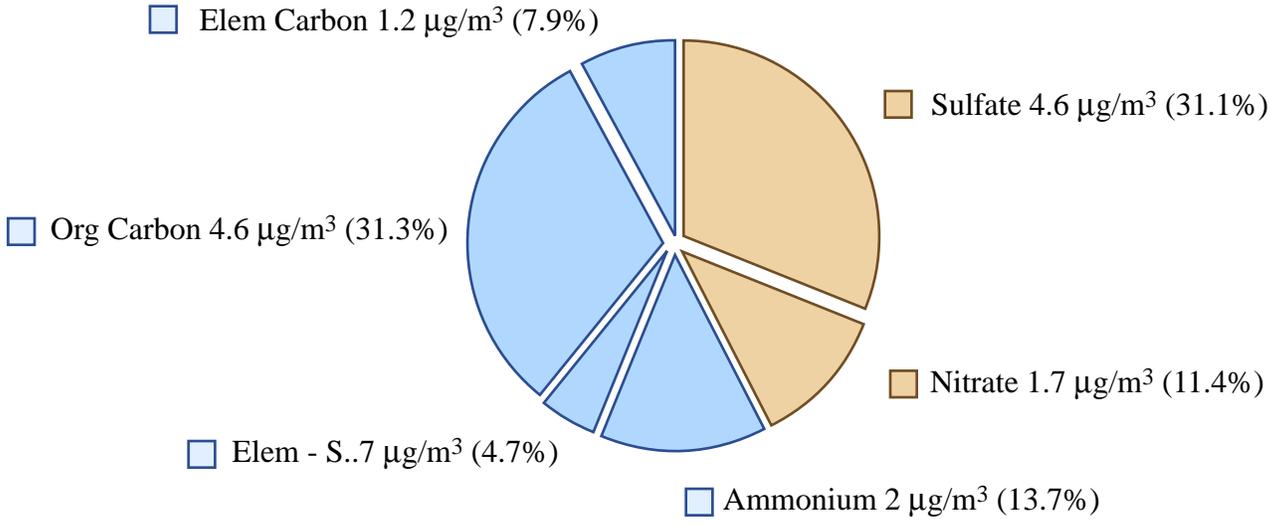
Size/Shape

Solubility/biopersistence

Chemical composition/pH

Biologic/Immunologic properties

Relative Concentrations of Selected Species of PM-2.5 in the Bronx



Relative Concentrations of Selected Species of PM-2.5 in the Queens

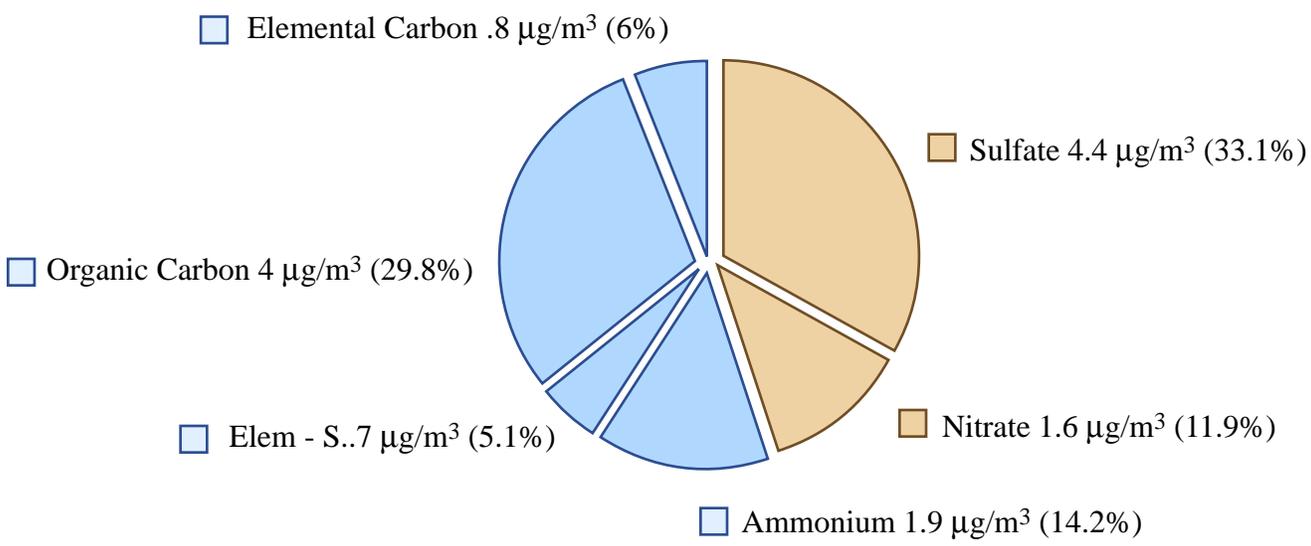


Figure by MIT OCW.

Secondary PM from gas-to-aerosol conversion of NO_x and SO₂ emissions

- Visibility concerns
- Health concerns?
 - sulfuric acid-layered ZnO / ozone (Mary Amdur)
 - “ordinary” sulfates?

Ammonium sulfate and ammonium nitrate are water-soluble

Virtually all metal nitrates soluble

Most metal sulfates also soluble (except Ca, Pb, Hg, few other salts)

Amdur (1986):

“ . . . an air quality standard based on “suspended sulfate” without further characterization would be entirely inappropriate; the term is toxicologically meaningless.”

Inhaled SO_4^{2-} : Is it toxic at moderate levels?

1. Most bronchodilator medications used to treat asthma (such as albuterol, metaproterenol, and terbutaline), are supplied as the sulfate salts.
2. Each puff from a standard inhaler supplies a metered $100 \mu\text{g}$ of albuterol sulfate, supplying some $20 \mu\text{g}$ of sulfate.
3. Assume a person breathes in 2 L of air along with each puff of an inhaler.
4. Thus, $20 \mu\text{g}$ of sulfate per 2 L of air = $10 \mu\text{g}$ of sulfate per L of air, = $10,000 \mu\text{g}$ of sulfate per m^3 of air.
5. Is there evidence that this concentration causes harm?
6. Mildly acidic sulfate salts have been tested in several systems; inhaled concentrations below several hundred micrograms per cubic meter are NOAELs.

Inhaled SO_4^{2-} : Is it *carcinogenic* at moderate levels?

Chronic Cancer Bioassays of Inorganic Sulfate Salts			
Sulfate salt	vehicle	test species	result
Aluminum potassium sulfate	water	mouse rat	negative
Beryllium sulfate	water	mouse rat	negative
Sodium sulfate	food	mouse	negative
Vanadyl sulfate	water	mouse	negative
Zirconium (IV) sulfate	water	mouse	negative

Case-crossover studies

1. Drew Levy, Lianne Sheppard, *et al.* (2000)

Hypothesis: Risk of cardiac arrest = f [ambient PM]

Subjects: 362 cases of cardiac arrest in Seattle, 1988-94

Air pollution data: nephelometry, PM_{2.5}, PM₁₀, SO₂, CO, O₃, temp.

Results: Nonpositive. Point estimates suggested that as ambient concentrations of PM *increased*, relative risk of cardiac arrest *decreased*.

For an increase of 19.3 $\mu\text{g PM}_{10}/\text{m}^3$,
relative risk of cardiac arrest = 0.868
(95% c.i. = 0.744 - 1.012)

Case-crossover studies

2. Peters, Dockery, *et al.* (2001)

Hypothesis: Risk of myocardial infarction
= f [ambient PM]

Subjects: 772 cases of m.i. in Boston, 1995-96

Air pollution data: PM_{2.5}, carbon black, SO₂, CO, O₃,

Results: Positive.

For an increase of 25 $\mu\text{g PM}_{2.5}/\text{m}^3$ 2 hrs. prior,
relative risk of m.i. = 1.48
(95% c.i. = 1.09 - 2.02)

For an increase of 20 $\mu\text{g PM}_{2.5}/\text{m}^3$ 24 hrs. prior,
relative risk of m.i. = 1.69
(95% c.i. = 1.13 - 2.34)

But these case crossover studies have not measured behavioral/emotional factors known to precipitate/increase risk of m.i.

Consider a case-crossover analysis performed as part of the Stockholm Heart Epidemiology Program (SHEEP) Möller *et al.*. (1999)

Hypothesis: Triggering of myocardial infarction
= f [anger]

Subjects: 699 cases of m.i. in Stockholm County, 1993-4

Data on “hostile behavior” and symptoms in days/hours prior to m.i.: gathered through detailed, structured interviews (interviewers blind to hypotheses)

Results: Strongly positive.

During 1 hour after an episode of anger,
relative risk of m.i. = 15.7
(95% c.i. = 7.6 - 32.4)

Thus, if daily/hourly fluctuations in traffic/other activities that increase ambient PM also increase anger, the latter could confound associations between the former and m.i.

Figure removed for copyright reasons.

See Phillips, D. P. et al. "The Hound of the Baskervilles effect: natural experiment on the influence of psychological stress on timing of death." *BMJ* 323 (2001): 1443-1446.

Allergens Present in Paved Road Dust in Los Angeles

Allergens (Common Names)
Cladosporium mold
sycamore
Russian thistle
lambs quarters
mountain cedar
white elm
white pine
white ash
white oak
alder
mugwort
alternaria mold
meadow fescue grass
dog dander-epithelium
perennial rye grass
olive
western ragweed
Italian cypress
cat dander-epithelium
Bermuda grass
brome grass
house dust mite
natural rubber latex
timothy grass

from: Miguel, A.G., Cass, G.R., Clovsky, M.M., and Weiss, J. (1999). *Environ. Sci. Technol.* 33:4159-4168.

Biologic aspects of Particles/Nanoparticles

Viruses:

Sizes: 20 - 250 nanometers

Shapes: two basic - icosahedron (capsid with 20 triangular faces); helix

Constituents: various proteins; nucleic acids

Types: some 3,600 named species in some 164 genera

Properties: benign - virulent

Some Diseases Caused by Viruses

Animals	Plants	Humans
Rabies	Tobacco mosaic disease	Common cold and flu
Foot and mouth disease in cattle	Tomato bushy stunt	German measles and mumps
Newcastle disease in chickens	Maize dwarf	Chickenpox
Distemper in dogs	Alfalfa mosaic disease	Mononucleosis
Cowpox	Sugar beet curly top	Cold sores, hepatitis, warts
Influenza in cows, horses, and sheep	Dwarfism in rice	Herpes and AIDS

Bacteria:

Sizes: 50 - 1,000 nm (Some agglomeration in air: most airborne bacteria clumps \leq 8,000 nm)

Shapes: many: cocci, rods, ovoids, spirochetes, filaments

Types: about 5,000 named species in some 800 genera

Properties: benign - virulent

- Role of microorganisms/infection/inflammation in atherosclerosis/other chronic diseases?
- Methods for counting airborne microorganisms are inadequate.

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Figures removed for copyright reasons.

Table 1, Figures 1 3 and 5, in Stieb, D. M., et al. "Meta-analysis of time-series studies of air pollution and mortality: effects of gases and particles and the influence of cause of death, age, and season." *J Air Waste Manag Assoc* 52, no. 4 (2002 April): 470-84.

See Gordon, T., et al. "Effects of Concentrated Ambient Particles in Rats and Hamsters: An Exploratory Study." *Health Effects Institute Research Report 93*, (2000). <http://www.healtheffects.org/Pubs/st93.htm>.

What have toxicologic studies with concentrated ambient particles (CAP) revealed?

No peer-reviewed reports of CAP-induced deaths.

None of the inhaled CAP exposures reviewed in the draft CD appear to have seriously affected healthy *or compromised* animals; many of the slight effects observed are reversible within 18-24 hrs.

Some of the noted changes, such as recruitment of neutrophils, are the normal and appropriate responses of a functioning immune system. Moreover, they may represent responses to the antigenic, "natural" portion of airborne PM_{2.5}.

Sizable fractions of airborne PM contain biochemicals: Miguel, Cass, *et al.* (1999) report 1 - 6 $\mu\text{g}/\text{m}^3$ protein in ambient air; Taylor *et al.* (2002) find "fragmented pollen cytoplasm in the size range 0.12 to 4.67 microns" which "were loaded with group 1 allergens."

CAP studies so far have provided (i) little evidence that moderate levels of PM_{2.5} produce or significantly aggravate disease, and (ii) little support for susceptibility factors, such as age or pre-existing disease.

Animal models of cardiopulmonary disease seem to be difficult to control and reproduce, and may need validation with simpler materials than CAP, so that the observed variability in responses can be better understood.

Do current concentrations of particulate matter (PM) in air in the United States *cause* disease and death?

The Dutch equivalent of a draft CD for PM noted (Netherlands Aerosol Programme, 2001, p.108):

From the standpoint of dose, there appears to be little coherence between epidemiological and toxicological studies. While the former show association of increased mortality/morbidity with acute exposure PM at ambient concentrations below the current standards, the latter show associations of biological responses with PM atmospheres, both concentrated ambient PM and PM surrogates, *only* at orders of magnitude higher than ambient levels. . . . a number of toxicological studies with concentrated ambient PM have shown *no obvious relationship between exposure concentration and response*.