

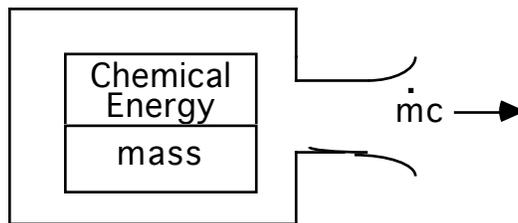
## 16.50 Lecture 5

### Subjects: Non-Chemical rockets; Optimum exhaust velocity

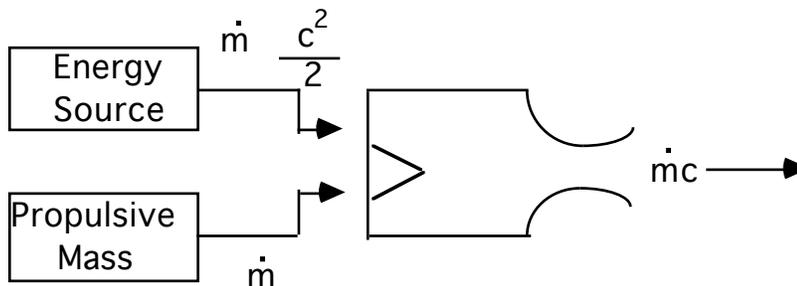
#### 1) Non-chemical rockets

A shared characteristic of all non-chemical propulsion systems is that the energy and propellant mass are separate initially

#### Chemical



#### Non-chemical



There are several possible energy sources:

- 1) Solar
  - a) Photovoltaic
  - b) Solar thermal
  - c) Solar pressure
  
- 2) Nuclear
  - a) Fission
  - b) Radioisotope
  - c) Fusion?

There are also many ways to bring the mass and energy together to produce thrust, but all behave according to the rocket equation.

$$\frac{m_{final}}{m_{tot}} = e^{-\frac{\Delta V}{c}}$$

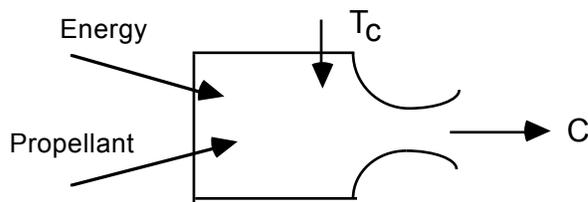
or breaking the final mass into its constituent parts,

$$\frac{m_{pay}}{m_{tot}} = e^{-\frac{\Delta V}{c}} = \frac{m_{proppsys} + m_{struct}}{m_{tot}}$$

There are 2 general categories of systems, Thermal and Electrical, separable according to whether the energy is available in electrical or mechanical form, or only as thermal energy at some limiting temperature.

### A. Thermal

Here the energy is used directly to heat the propellant, which is then expanded through a nozzle to produce thrust.



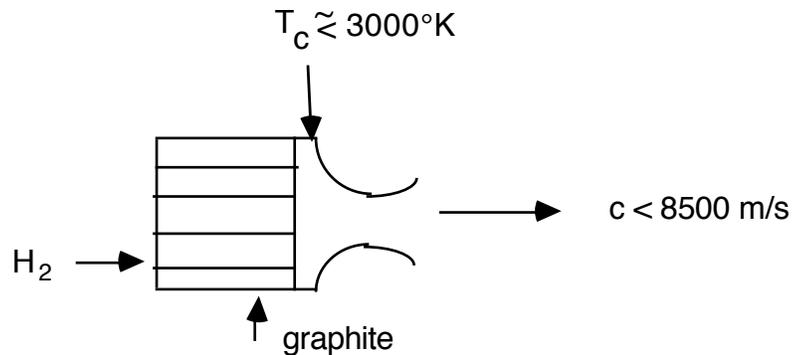
Now there is a chamber temperature  $T_c$ , limited by the energy source, and the exhaust velocity is given approximately by:

$$c_p T_c = \frac{c^2}{2} \quad \text{or} \quad c \approx \sqrt{2c_p T_c} \approx \sqrt{\frac{2\gamma}{\gamma-1} \frac{R}{M} T_c}$$

What limits  $T_c$ ?

- 1) Source Temperature e.g.  $T_{sun} = 6000^\circ\text{K}$
- 2) Materials

So for these we generally want low  $M$ , e.g.,  $\text{H}_2 \rightarrow 2\text{H}$ . For a nuclear thermal rocket

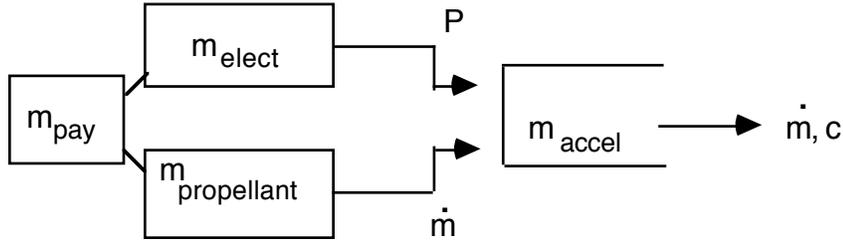


Figures of merit are: a) Specific impulse,  $c$ ; b) Thrust per unit mass,  $\frac{F}{m_{eng}g}$

## B. Electrical

If the energy is available in electrical form, then there is no limit in principle on  $c$  (other than the speed of light) and in practice we can achieve very high  $c$  with good efficiency by using any of a number of electrical accelerators.

The system requirement is to produce a  $\Delta V$  on a payload,  $m_{\text{pay}}$ . In the absence of gravity loss,  $\frac{m_{\text{final}}}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}}$ , so why not make it very close to 1 by increasing  $c$ ? To see the answer we must analyze the whole system, and take account of the mass of the energy source.



The total mass of the system can be broken out as:

$$m_{\text{tot}} = m_{\text{pay}} + m_{\text{elect}} + m_{\text{prop}} + m_{\text{eng}} + m_{\text{struct}}$$

so that ratio of final mass to initial mass is

$$\frac{m_{\text{final}}}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}} = \frac{m_{\text{pay}} + m_{\text{elect}} + m_{\text{eng}} + m_{\text{struct}}}{m_{\text{tot}}}$$

The Figure of Merit for such a system is

$$\frac{m_{\text{pay}}}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}} - \frac{m_{\text{elect}} + m_{\text{eng}} + m_{\text{struct}}}{m_{\text{tot}}}$$

Let us neglect  $m_{\text{eng}} + m_{\text{struct}}$  for the moment, compared to  $m_{\text{elect}}$  (or simply redefine  $m_{\text{pay}}$  to include  $m_{\text{eng}} + m_{\text{struct}}$ , which makes sense for some missions).

We know that:

$$F = \dot{m}c$$

and the power  $P$  is

$$P \geq \dot{m} \frac{c^2}{2} = \frac{Fc}{2}$$

Define a specific weight  $\alpha_e \equiv \frac{m_{\text{elect}}}{P}$ , and an initial acceleration  $a_o = \frac{F}{m_{\text{tot}}}$ . Then

$$\frac{m_{\text{pay}}}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}} - \frac{\alpha_e P}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}} - \frac{\alpha_e Fc}{2m_{\text{tot}}}$$

or finally in terms of the minimum number of dimensionless parameters,

$$\frac{m_{\text{pay}}}{m_{\text{tot}}} = e^{-\frac{\Delta V}{c}} - \left( \frac{\alpha_e a_o \Delta V}{2} \right) \left( \frac{c}{\Delta V} \right) \quad (1)$$

Here the group  $(\frac{\alpha_e a_o \Delta V}{2})$  is determined by technology level ( $\alpha_e$ ), the mission requirement ( $\Delta V$ ) and how fast we want to achieve it ( $a_o$ ). So we should consider this relation a way to find  $c_{opt}$  to maximize  $m_{pay}/m_{tot}$ , given  $\Delta V$ ,  $a_o$  and  $\alpha_e$ .

Differentiating,

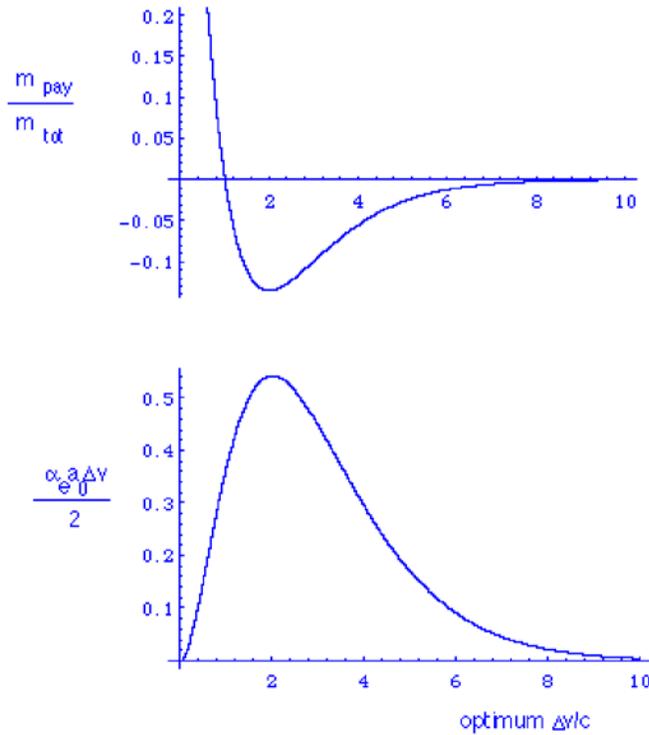
$$\frac{\partial(\frac{m_{pay}}{m_{tot}})}{\partial(\frac{\Delta V}{c})} = -e^{-\frac{\Delta V}{c}} + (\frac{\alpha a_o \Delta V}{2})(\frac{c}{\Delta V})^2 = 0$$

$$(\frac{\Delta V}{c})_{opt}^2 e^{-(\frac{\Delta V}{c})_{opt}} = (\frac{\alpha a_o \Delta V}{2}) \quad (2)$$

which we must solve for the optimum  $c/\Delta V$ ). For graphical presentation, let us eliminate the group  $(\frac{\alpha a_o \Delta V}{2})$  between (2) and (1):

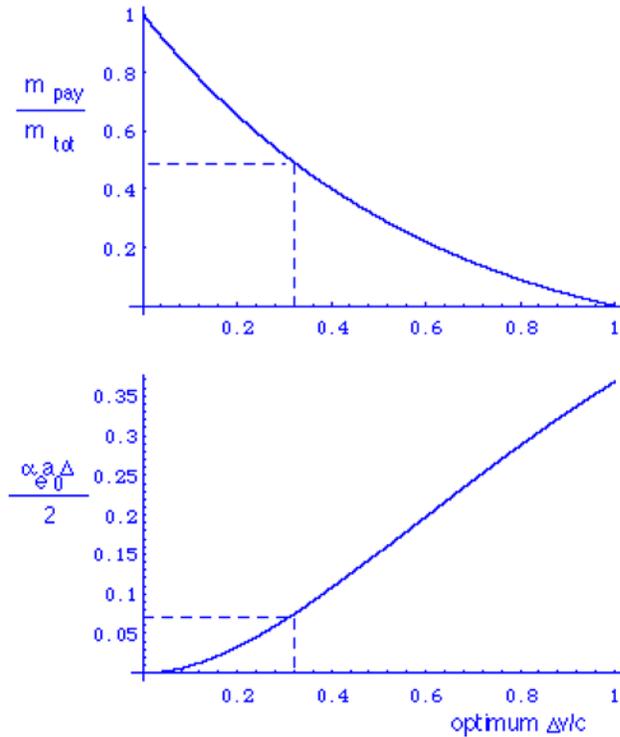
$$\frac{m_{pay}}{m_{tot}} = e^{-\frac{\Delta V}{c}} (1 - \frac{\Delta V}{c}) \quad (3)$$

Equations (3) and (2) are represented below over a broad range of  $\Delta V/c$ :



So we see that it only makes sense to choose  $\frac{\Delta V}{c} < 1$  or  $\frac{c}{\Delta V} > 1$  for such systems. This is because for  $\frac{\Delta V}{c} > 1$  the exponential is so small it outweighs the term representing  $m_{elect}$ .

Expanding the range  $0 < (\frac{\Delta V}{c})_{opt} < 1$ ,



Let us take a look at the meaning of these results:

- 1) If we choose  $a_0$  and have given  $\alpha_e$  and  $\Delta V$ , this gives us the  $(\frac{\Delta V}{c})_{opt}$ , and in turn the maximum  $\frac{m_{pay}}{m_{tot}}$ .
- 2) For given  $\Delta V$  and  $\alpha_e$ , increasing  $a_0$  (for a faster mission) increases  $(\frac{\Delta V}{c})_{opt}$ , which reduces  $\frac{m_{pay}}{m_{tot}}$ .
- 3) For given  $\Delta V$  and  $a_0$ , reducing  $\alpha_e$  (lighter power plant) increases  $\frac{m_{pay}}{m_{tot}}$ .

Take an example:

Suppose that the mission gives as a requirement  $\Delta V = 10^4$  m/s and technology enables  $\alpha_e = \frac{m_{elect}}{P} = 20$  kg/kW = 0.020 kg/W

Then  $\frac{\alpha_e a_o \Delta V}{2} = 100 a_o$  where  $a_o$  is in  $m/s^2$ .

We can still choose how fast we want to do the mission, within limits. We know that the upper limit of  $\frac{\alpha_e a_o \Delta V}{2} = 1/e = .368$ . So for the assumed mission and technology,

$100 a_o = \frac{\alpha_e a_o \Delta V}{2} \leq .368$ . This implies  $a_o \leq .00368 m/s^2$  or  $3.8 \times 10^{-4}$  g's and for this maximum

available acceleration,  $\frac{m_{pay}}{m_{tot}} = 0$ , not a very useful result! Suppose we insist on  $\frac{m_{pay}}{m_{tot}} = 0.5$ .

This gives  $(\frac{\Delta v}{c})_{opt} = .3$ , which in turn implies  $\frac{\alpha_e a_o \Delta v}{2} = .07$ . The acceleration is then  $a_o =$

$\frac{.07}{100} = 7 \times 10^{-4} m/s^2 = 7 \times 10^{-5}$  g's. This is only about 1/5 the maximum acceleration, but now we have lots of payload.

The time required to achieve the  $\Delta V$  is

$$t = \frac{m_{propellant}}{\dot{m}} = \frac{m_0(1 - e^{-\frac{\Delta V}{c}})}{F/c} = \frac{c}{a_0}(1 - e^{-\frac{\Delta V}{c}})$$

and for our example,

$$t = \frac{10^4 / 0.3}{7 \times 10^{-4}}(1 - e^{-0.3}) \approx 1.23 \times 10^7 s. = 142 \text{ days}$$

This type of propulsion requires patience! Note that for a  $H_2, O_2$  rocket,

$$\frac{m_{pay}}{m_{tot}} \approx e^{-\frac{\Delta V}{c}} - \frac{m_{struct}}{m_{tot}} \approx e^{-\frac{10^4}{4500}} - .1 \approx .0084$$

So we would probably use 2 stages. But the main point is the very much smaller payload to total mass ratio of the chemical system. In addition, if the coasting period for a chemical rocket is very long, as in an interplanetary transfer, a continuous low thrust can in many cases accelerate the mission.

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16.50 Introduction to Propulsion Systems  
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