

Image credit: NASA.

## Assignment

- **Remember:**
  - Problem Set #6 Propositional Logic, due this Wednesday, October 27<sup>th</sup>.
  - 16:413 Project Part 1: Sat-based Activity Planner, due Wednesday, November 3<sup>rd</sup>.
- **Reading**
  - Today: Johan de Kleer and Brian C. Williams, "Diagnosing Multiple Faults," *Artificial Intelligence*, 32:100-117, 1987.
  - Wednesday: Brian C. Williams, and Robert Ragno, "Conflict-directed A\* and its Role in Model-based Embedded Systems," Special Issue on Theory and Applications of Satisfiability Testing, *Journal of Discrete Applied Math*, January 2003.

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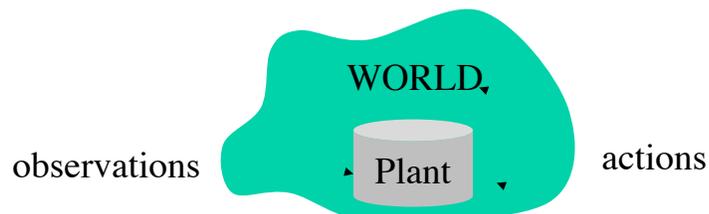
## Outline

- Self-Repairing Agents
  - Model-based Programming
  - Diagnosis as Conflict-directed Search
- Formulating a Diagnosis
- Diagnosis from Conflicts

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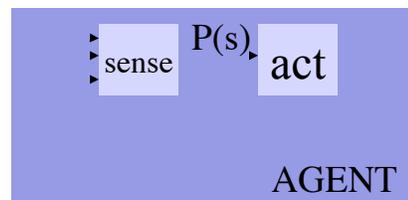
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Self-Repairing Agent:

- Monitors & Diagnoses
- Repairs & Avoids
- Probes and Tests

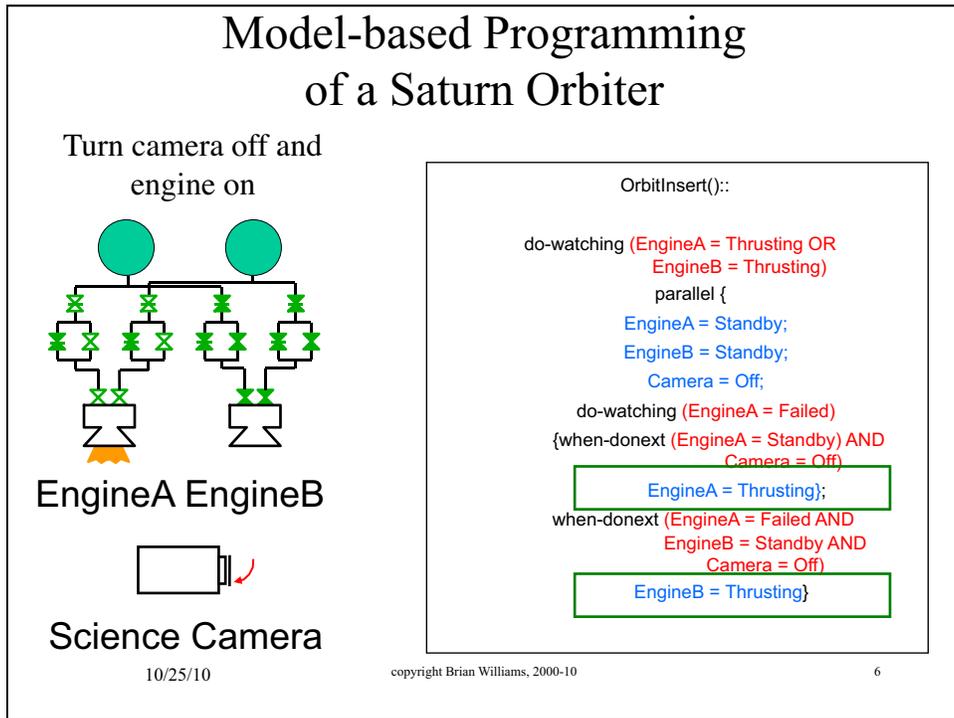
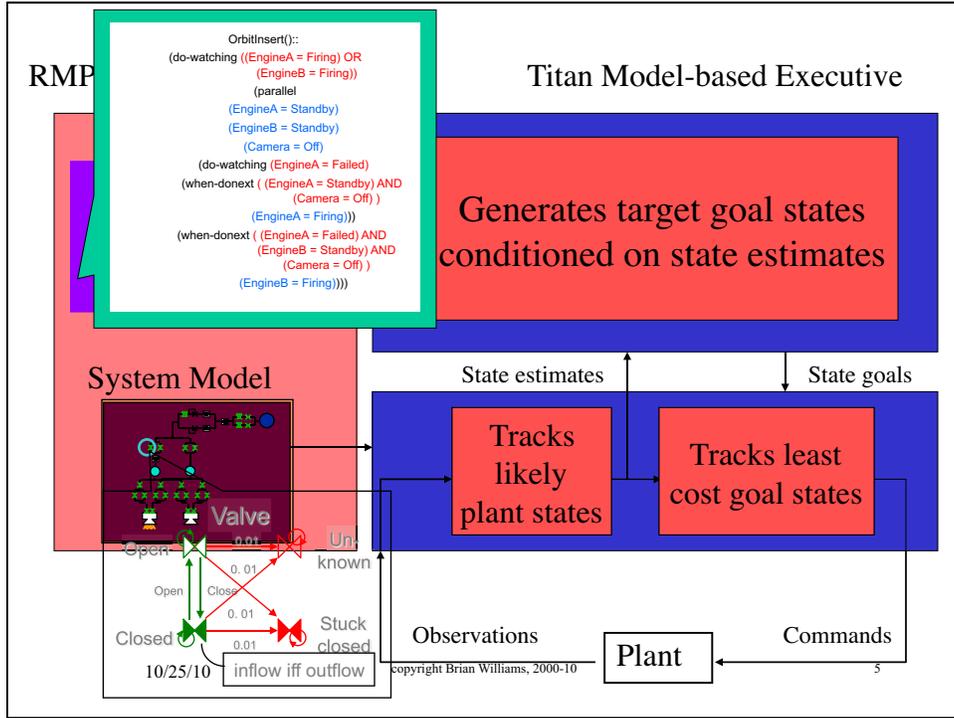


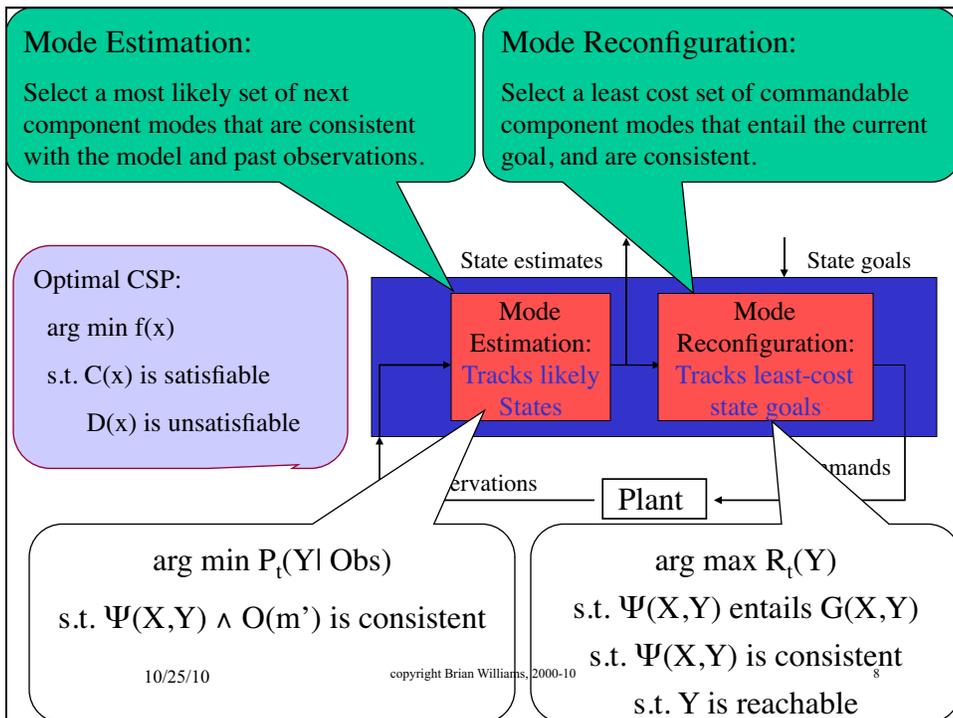
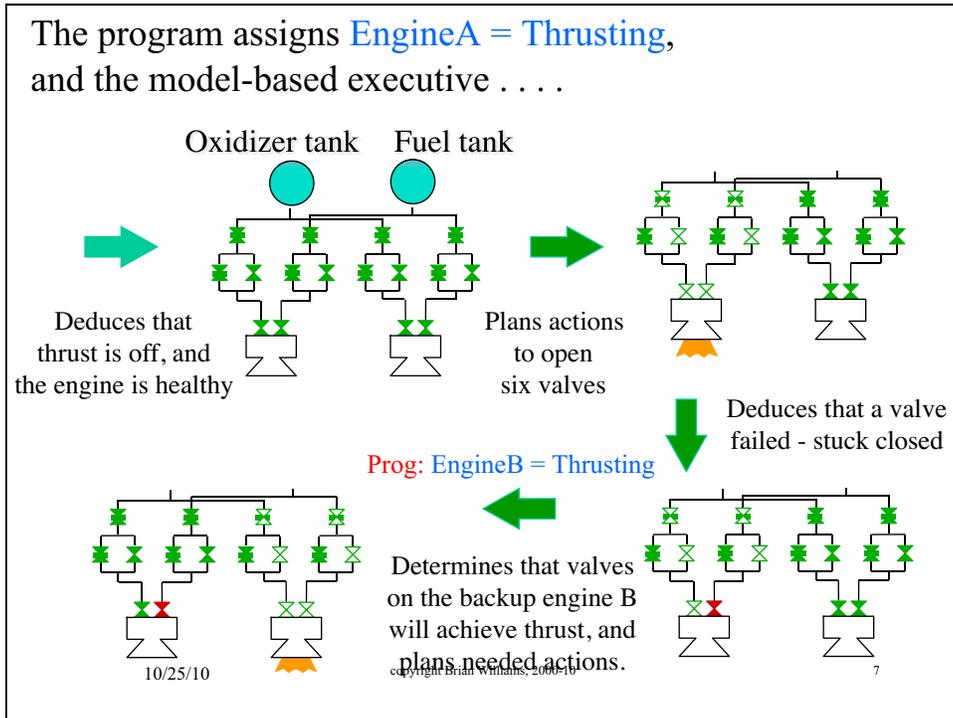
Symptom-directed

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## Outline

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  - Diagnosis as Conflict-directed Search
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## Model-based Diagnosis as Conflict-directed Best First Search

When you have eliminated the impossible,  
whatever remains, however improbable, must be  
the truth.

- Sherlock Holmes. The Sign of the Four.

1. Generate most likely candidate.
- ▶ 2. Test candidate.
3. If Inconsistent, **learn reason for inconsistency**  
(a **conflict**).
4. Use **conflicts** to **leap over similarly infeasible options**  
to the next best candidate.

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### Compare Most Likely Candidate to Observations

$Flow_1 = zero$   
 $Pressure_1 = nominal$   
 $Pressure_2 = nominal$   
 $Acceleration = zero$

Oxidizer tank      Fuel tank  
 Main Engines      Helium tank

It is most likely that all components are okay.  
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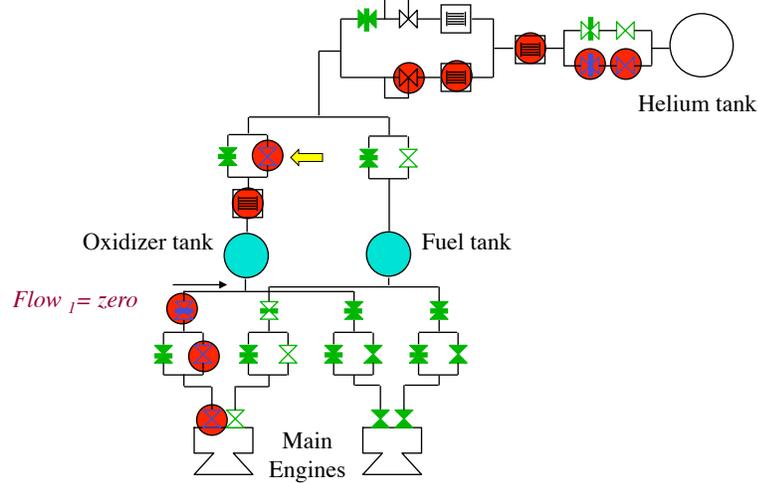
### Isolate Conflicting Information

$Flow_1 = zero$

Oxidizer tank      Fuel tank  
 Main Engines      Helium tank

The red component modes *conflict* with the model and observations.  
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## Leap to the Next Most Likely Candidate that Resolves the Conflict



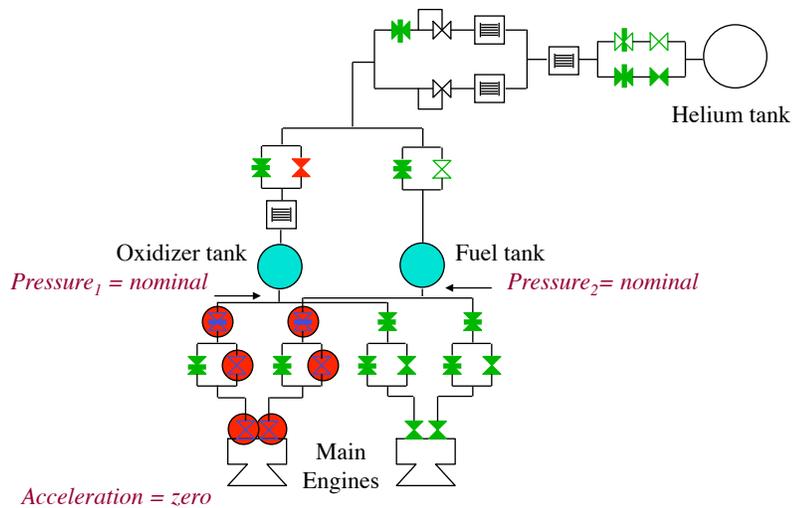
The next candidate must remove the conflict.

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## New Candidate Exposes Additional Conflicts



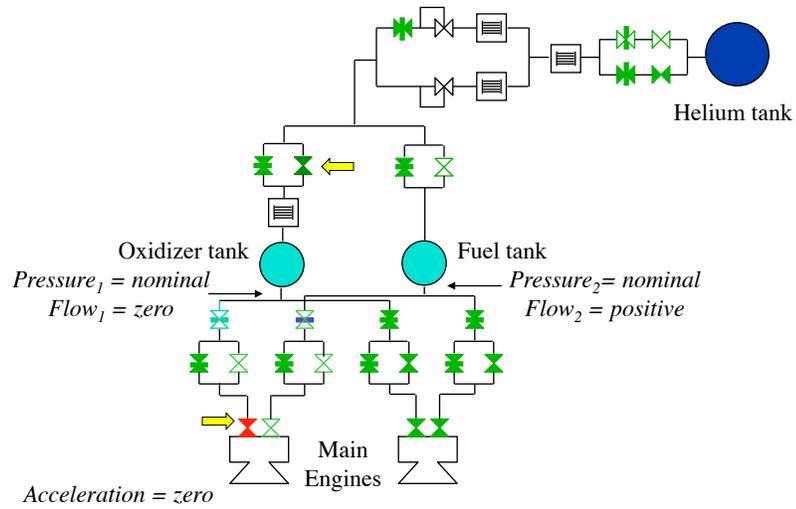
Another conflict, try removing both

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## Final Candidate Resolves all Conflicts



Implementation: Conflict-directed A\* search.

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## Outline

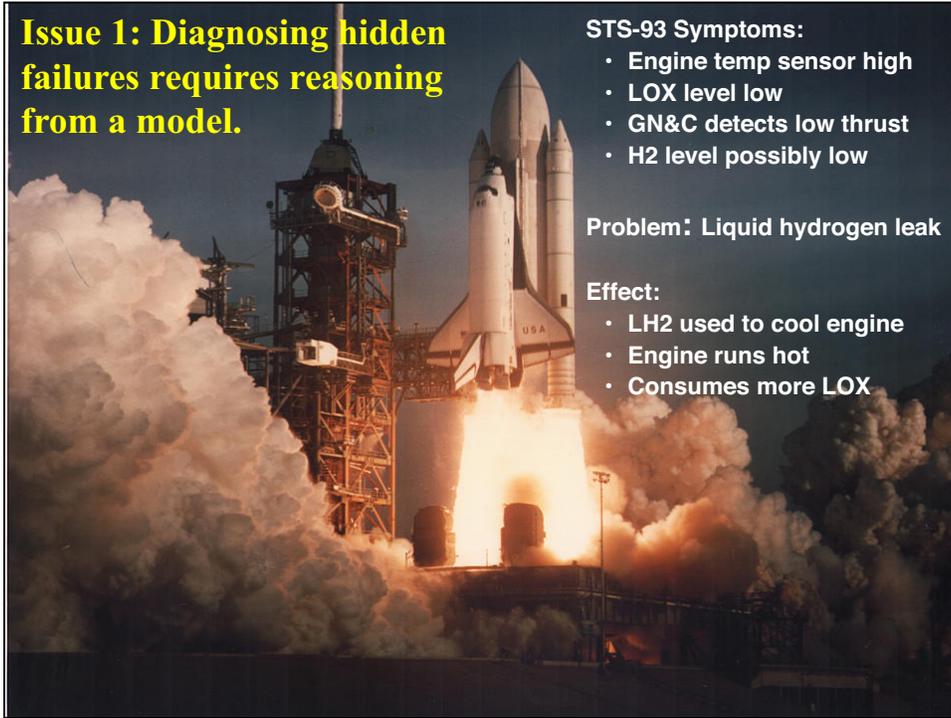
- Self-Repairing Agents
- **Formulating a Diagnosis**
- Diagnosis from Conflicts

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**Issue 1: Diagnosing hidden failures requires reasoning from a model.**



**STS-93 Symptoms:**

- Engine temp sensor high
- LOX level low
- GN&C detects low thrust
- H2 level possibly low

**Problem: Liquid hydrogen leak**

**Effect:**

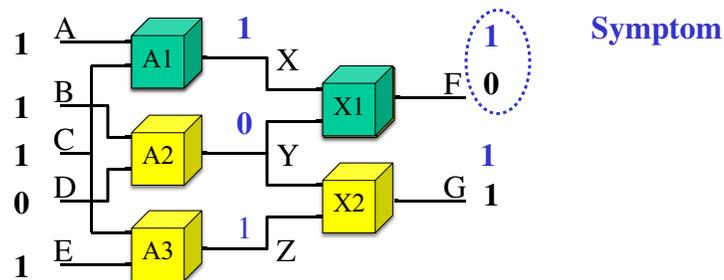
- LH2 used to cool engine
- Engine runs hot
- Consumes more LOX

Image credit: NASA.

## Model-based Diagnosis

Input: **Observations** of a system with symptomatic behavior, and a **model  $\Phi$**  of the system.

Output: **Diagnoses** that **account** for the **symptoms**.



## Solution: Diagnosis as Hypothesis Testing

1. Generate candidates, given symptoms.
  2. Test if candidates account for all symptoms.
- Set of diagnoses should be **complete**.
  - Set of diagnoses should **exploit all available information**.

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## Outline

- Self-Repairing Agents
- **Formulating Diagnosis**
  - Explaining failures
  - Handling unknown failures
  - Multiple faults
  - Partial explanation
  - Execution monitoring
- **Diagnosis from Conflicts**

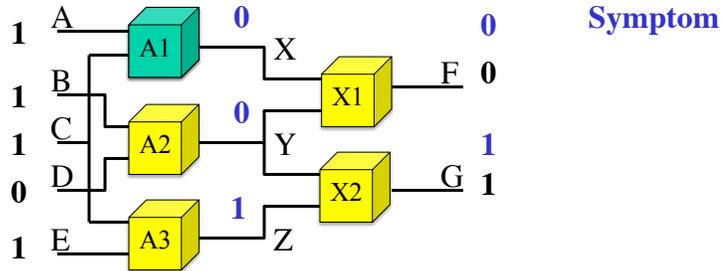
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# How Should Diagnoses Account for Symptoms?

**Abductive Diagnosis:** Given symptoms, find diagnoses that predict observations.



- **Fault Model:** A1's output is stuck at 0.
- **Abductive diagnosis needs exhaustive fault models.**

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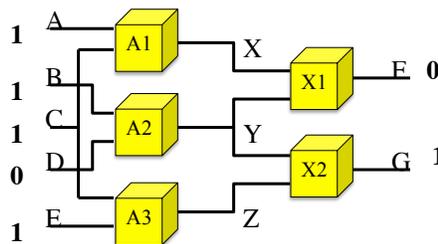
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# Input: Abductive, Model-based Diagnosis

Xor(i):

- **G(i):**  
Out(i) = In1(i) xor In2(i)
- **Stuck\_0(i):**  
Out(i) = 0



- **Model  $\Phi$** 
  - Structure.
  - Model of **normal behavior** for each component.
  - Model for **every** component **failure mode**.
- **Observations Obs**
  - Inputs and Response.

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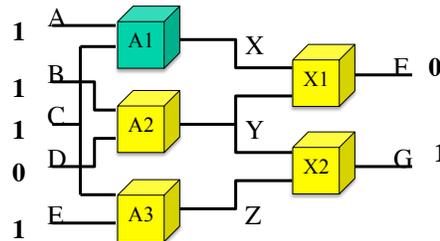
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## Model: Abductive, Model-based Diagnosis

Xor(i):

- G(i):  
Out(i) = In1(i) xor In2(i)
- Stuck\_0(i):  
Out(i) = 0



- X mode variables, one for each component c.
- $D_c$  modes of component c = domain of  $m_c \in M$ .
- Y state variables, with domains  $D_Y$ .
- $\Phi(X, Y)$  model constraints.
- O observed variables  $O \subseteq M \cup Y$ .  
» Partitioned into Input I and Response R variables.

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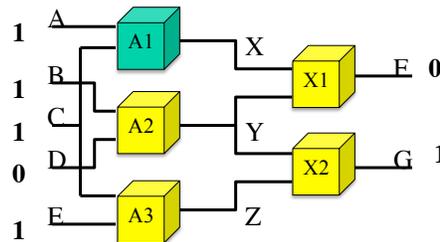
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## Output: Abductive, Model-based Diagnosis

Xor(i):

- G(i):  
Out(i) = In1(i) xor In2(i)
- Stuck\_0(i):  
Out(i) = 0



Candidate = {X1=G, X2=G, A1=G, A2=G, A3=G}

Diagnosis = {X1=G, X2=G, A1=S0, A2=G, A3=G}

- Obs = <Inp; Rsp> Assignment to I and R, respectively.
- Candidate  $C_i$ : Assignment of modes to X.
- Diagnosis  $D_i$ : A candidate such that  
 $D_i \wedge \text{Inp} \wedge \Phi$  entails Rsp.

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## Abductive Diagnosis by Generate and Test

**Given:** exhaustive fault models, structure and observations.

**Generate:** candidate mode assignment  $C_i$ .

**Test:**  $C_i$  as an abductive diagnosis:

1. Find Rsp entailed by  $C_i$ , given Inp.
2. Compare observed and predicted Rsp:
  - Disagree: Discard
  - Agree: Keep
  - No prediction: **Discard**

**Exonerate:** component if none of its fault models agree.

**Problem:**

- Fault models are typically incomplete.
- May incorrectly exonerate faulty components.

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## Issue 2: Failures are Often Novel



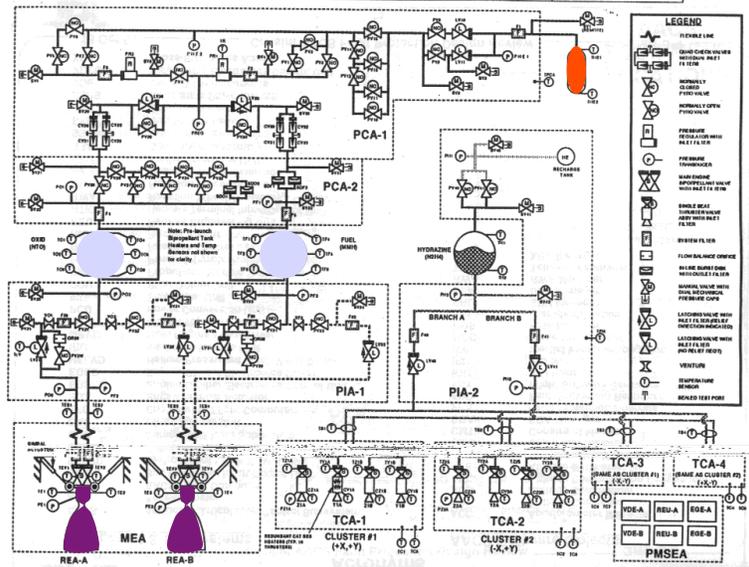
Image credit: NASA/JPL.

- Mars Observer
- Mars Climate Orbiter
- Mars Polar Lander
- Deep Space 2

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Failure models are never completely known.

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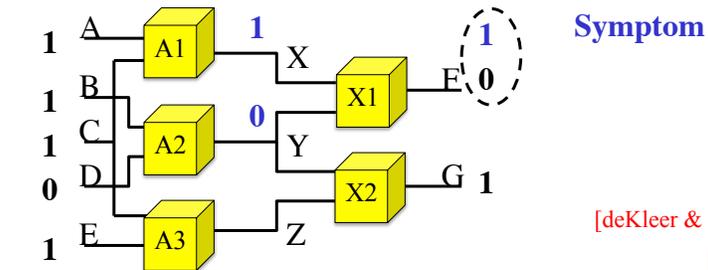
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## How Should Diagnoses Account for Novel Symptoms?

**Consistency-based Diagnosis:** Given symptoms, find diagnoses that are consistent with symptoms.

**Suspending Constraints:** For novel faults, make no presumption about faulty component behavior.



[deKleer & Brown, 83]

[Davis, 84]

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[Geneserth, 84]

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## Outline

- Self-Repairing Agents
- Formulating Diagnosis
  - Explaining failures
  - Handling unknown failures
  - **Multiple faults**
  - Partial explanation
  - Execution monitoring
- Diagnosis from Conflicts

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## Issue 3: Multiple Faults Occur



Image source: NASA.

- Three shorts, tank-line and pressure jacket burst, and panel flies off.
- ➔ Diagnosis = mode assignment.
- ➔ Solve by divide & conquer:
  1. Diagnose each symptom.
  2. Summarize conflicts.
  3. Combine diagnoses.

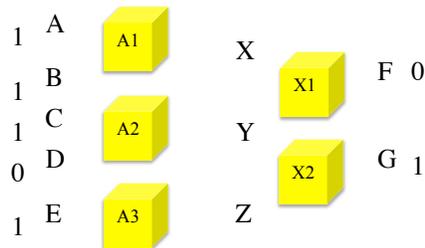
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## Solution: Identify all Combinations of Consistent “Unknown” Modes

And(i):

- G(i):  
Out(i) = In1(i) AND In2(i)
- U(i):



Candidate = {A1=G, A2=G, A3=G, X1=G, X2=G}

- **Candidate:** Assignment of G or U to each component.

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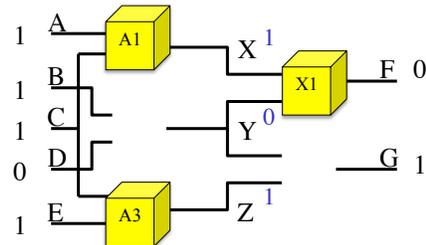
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## Solution: Identify all Combinations of Consistent Unknown Modes

And(i):

- G(i):  
Out(i) = In1(i) AND In2(i)
- U(i):



Diagnosis = {A1=G, A2=U, A3=G, X1=G, X2=U}

- **Candidate:** Assignment of G or U to each component.
- **Diagnosis:** Candidate consistent with model and observations.

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## Outline

- Self-Repairing Agents
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Issue 4: The cause of failure is often needed to plan a recovery strategy (*Partial Explanation*).

Issue 5: Component mode estimates are needed to confirm correct behavior (Execution Monitoring).

courtesy of NASA

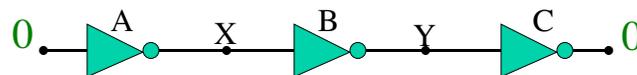
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## Incorporating Failure Modes: Mode Estimation

Sherlock  
[de Kleer & Williams, IJCAI 89]



Inverter(i):

- G(i):      Out(i) = not(In(i))
- S1(i):     Out(i) = 1
- S0(i):     Out(i) = 0
- U(i):

- Isolates unknown.
- Explains.

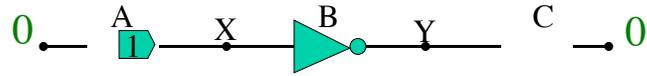
Nominal, Fault and Unknown Modes

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# Example Diagnoses

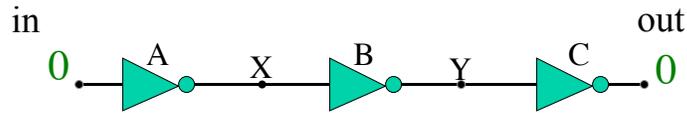


Diagnosis: [S1(A),G(B),U(C)]

Sherlock 10/25/10  
[de Kleer & Williams, IJCAI 89]

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Diagnoses: (42 of 64 candidates)

**Fully Explained Failures**

- [G(A),G(B),S0(C)]
- [G(A),S1(B),S0(C)]
- [S0(A),G(B),G(C)]
- ...

**Partial Explained**

- [G(A),U(B),S0(C)]
- [U(A),S1(B),G(C)]
- [S0(A),U(B),G(C)]
- ...

**Fault Isolated, But Unexplained**

- [G(A),G(B),U(C)]
- [G(A),U(B),G(C)]
- [U(A),G(B),G(C)]

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## Mode Estimation

- Mode, State, Observation Variables: X, Y, O
- Model:  $\Phi(X,Y) = \text{components} + \text{structure}$

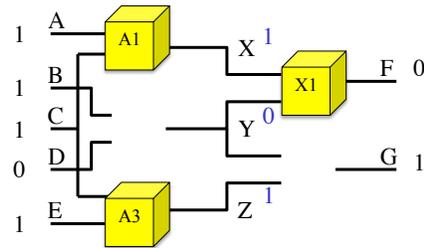
And(i):

G(i):

Out(i) = In1(i) AND In2(i)

U(i):

ALL components have "unknown Mode" U, whose assignment is never mentioned in any constraint.



Diagnosis = {A1=G, A2=U, A3=G, X1=G, X2=U}

- Candidate  $C_i$ : Assignment of modes to X.
- Obs: Assignment to O.
- Diagnosis  $D_i$ : Candidate consistent with Model and Obs:  
 $D_i \wedge \text{Obs} \wedge \Phi(X,Y)$  is satisfiable.

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## Mode Estimation

Given:

- Mode, State, Observation Variables: X, Y, O
- Model:  $\Phi(X,Y) = \text{components} + \text{structure}$

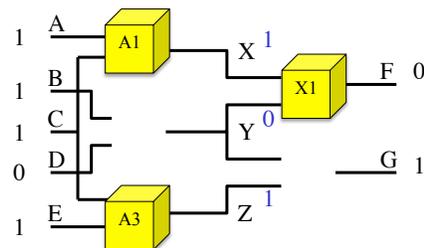
And(i):

G(i):

Out(i) = In1(i) AND In2(i)

U(i):

• All behaviors associated with modes.  
• ALL components have "unknown Mode" U, whose assignment is never mentioned in any constraint.



Return:

$$D_{\Phi,obs} \equiv \{X \in D_X \mid \exists Y \in D_Y \text{ st } \text{Obs} \wedge \Phi(X,Y)\}$$

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## Constraint Modeling and Consistency Testing

### → Propositional Logic:

- Complete: DPLL. (Titan)
- Incomplete: Unit propagation. (Livingstone/DS1)

### • Finite Domain Constraints:

- Complete: Backtracking with forward checking.
- Incomplete: AC-3 / Waltz constraint propagation.

### • Algebraic Constraints:

(GDE/Sherlock/GDE+/XDE)

- Complete: Gaussian Elimination.
- Incomplete: Sussman/Steele Constraint Propagation.
  - Propagate newly assigned values through equations that mention the newly assigned variables.
  - To propagate, use assigned values of constraint to deduce unknown value(s) of constraint.

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## Models in Propositional State Logic

### And(i):

- G(i):  

$$\text{Out}(i) = \text{In1}(i) \text{ AND } \text{In2}(i) \quad i=G \rightarrow \{[\text{In1}(i)=1 \wedge \text{In2}(i)=1] \text{ iff } \text{Out}(i)=1\}$$
- U(i):

### Or(i):

- G(i):  

$$\text{Out}(i) = \text{In1}(i) \text{ OR } \text{In2}(i) \quad i=G \rightarrow \{[\text{In1}(i)=1 \vee \text{In2}(i)=1] \text{ iff } \text{Out}(i)=1\}$$
- U(i):



$$X \in \{1,0\} \quad X=1 \vee X=0 \\ \neg[X=1 \wedge X=0]$$

$$\neg(i=G) \vee \neg(\text{In1}(i)=1) \vee \text{Out}(i)=1 \\ \neg(i=G) \vee \neg(\text{In2}(i)=1) \vee \text{Out}(i)=1 \\ \neg(i=G) \vee \neg(\text{In1}(i)=0) \vee \neg(\text{In2}(i)=0) \vee \text{Out}(i)=0$$

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## Solution: Diagnosis as Hypothesis Testing

1. Generate candidates  $C_i$ , given symptoms.
    - Use Backtrack Search over mode variables  $X$ .
  2. Test if candidates account for all symptoms.
    - Use DPLL to find assignment to  $Y$  such that  $C_i \wedge \text{Obs} \wedge \Phi(X,Y)$  is satisfiable.
- Set of diagnoses should be complete.
  - Set of diagnoses should exploit all available information.

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## Outline

- Self-Repairing Agents
- Formulating Diagnosis
- Diagnosis from Conflicts
  - Kernels
  - Conflicts
  - Candidate Generation
  - Conflict Recognition

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# Mode Estimation

- Mode, State, Observation Variables: X, Y, O
- Model:  $\Phi(X,Y) = \text{components} + \text{structure}$

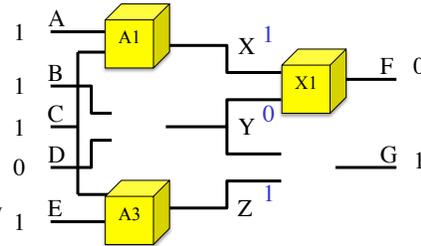
And(i):

G(i):

Out(i) = In1(i) AND In2(i)

U(i):

ALL components have "unknown Mode" U, whose assignment is never mentioned in any constraint.



$$D_{\Phi,obs} \equiv \{X \in D_X \mid \exists Y \in D_X \text{ st } Obs \wedge \Phi(X,Y)\}$$

As more constraints are relaxed, candidates are more easily satisfied.

→ Typically an exponential number of diagnoses (mode estimates).

How do we encode solutions compactly?

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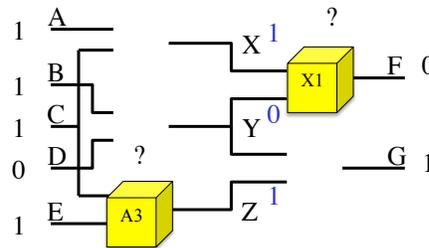
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# Partial Diagnoses

Partial Diagnosis

$\{A1=U, A2=U, X2=U\}$



Partial Diagnosis:

A partial mode assignment M, all of whose full extensions are diagnoses.

- M "removes all symptoms."

Extensions (Diagnoses):

- $\{A1=U, A2=U, A3=G, X1=G, X2=U\}$
- $\{A1=U, A2=U, A3=G, X1=U, X2=U\}$
- $\{A1=U, A2=U, A3=U, X1=G, X2=U\}$
- $\{A1=U, A2=U, A3=U, X1=U, X2=U\}$

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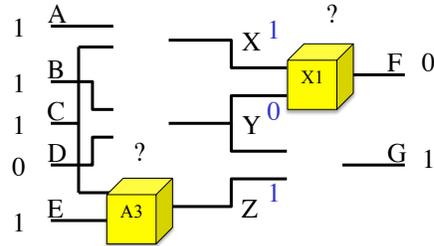
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# Partial Diagnoses

Partial Diagnosis

$$\{A1=U, A2=U, X2=U\}$$



Partial Diagnosis:

A partial mode assignment M, all of whose full extensions are diagnoses.

- M “removes all symptoms.”
- $M \wedge \Phi \wedge \text{Obs}$  is consistent.
- M entails  $\Phi \wedge \text{Obs}$ . (*implicant*)

Extensions (Diagnoses):

$$\{A1=U, A2=U, A3=G, X1=G, X2=U\}$$

$$\{A1=U, A2=U, A3=G, X1=U, X2=U\}$$

$$\{A1=U, A2=U, A3=U, X1=G, X2=U\}$$

$$\{A1=U, A2=U, A3=U, X1=U, X2=U\}$$

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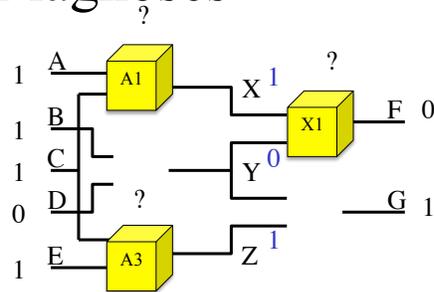
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# Kernel Diagnoses

Kernel Diagnosis

$$\{A2=U, X2=U\}$$



Partial Diagnosis:

A partial mode assignment M, all of whose full extensions are diagnoses.

- M entails  $\Phi \wedge \text{Obs}$  (*implicant*)

Kernel Diagnosis:

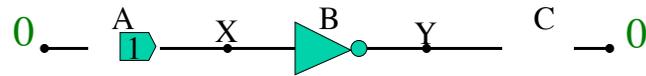
A partial diagnosis K, no subset of which is a partial diagnosis.

- K is a *prime implicant* of  $\Phi \wedge \text{Obs}$

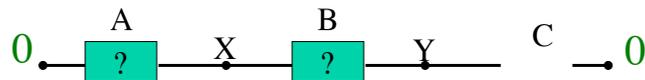
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## Example Diagnoses

Sherlock  
[de Kleer & Williams, IJCAI 89]



Diagnoses: [S1(A),G(B),U(C)] (42 total)



Kernel Diagnoses: [U(C)] [S1(B),G(C)]  
 [S0(C)] [U(A),G(B),G(C)]  
 [U(B),G(C)] [S0(A),G(B),G(C)]

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## Diagnosis by Divide and Conquer

Given model  $\Phi$  and observations Obs

1. Find all symptoms.
2. Diagnose each symptom separately (each generates a **conflict**).
3. Merge diagnoses (set covering  $\rightarrow$  **kernel diagnoses**).

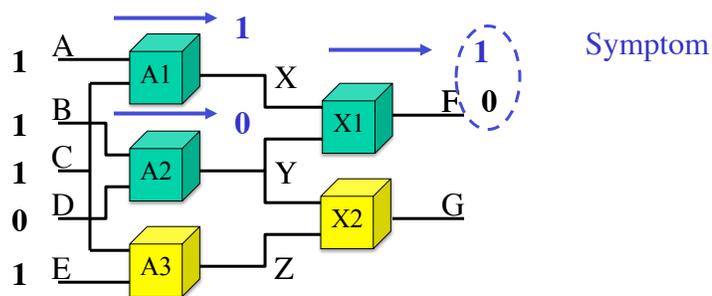
General Diagnostic Engine  
[de Kleer & Williams, AIJ 87]  
[Reiter AIJ 87]

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## Conflicts Explain How to Remove Symptoms



**Symptom:**

F is observed 0, but predicted to be 1 if A1, A2 and X1 are okay.

**Conflict 1:**

{A1=G, A2=G, X1=G} is inconsistent.

$\rightarrow$  One of A1, A2 or X1 must be broken.

**Conflict:**

An inconsistent partial assignment to mode variables X.

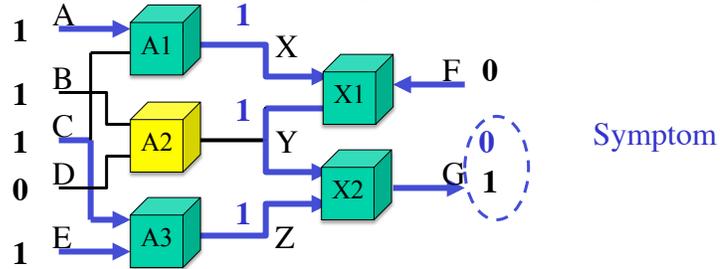
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## Second Conflict

Conflicting modes aren't always upstream from symptom.



**Symptom:** G is observed 1, but predicted 0.

**Conflict 2:** {A1=G, A3=G, X1=G, X2=G} is inconsistent.

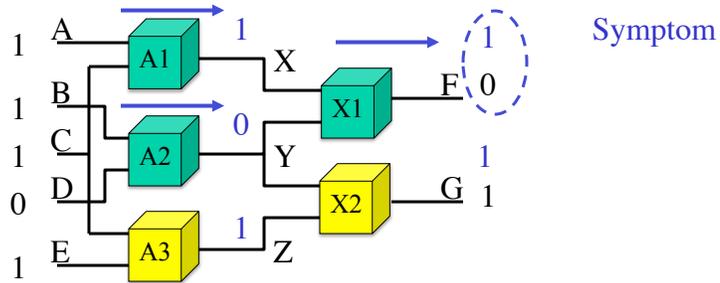
→ One of A1, A3, X1 or X2 must be broken.

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## Summary: Conflicts



**Conflict:** A partial mode assignment  $M$  that is inconsistent with the model and observations.

**Properties:**

- Every superset of a conflict is a conflict.
- Only need conflicts that are minimal under subset.
- $\Phi \wedge Obs \succ \neg M$

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# Outline

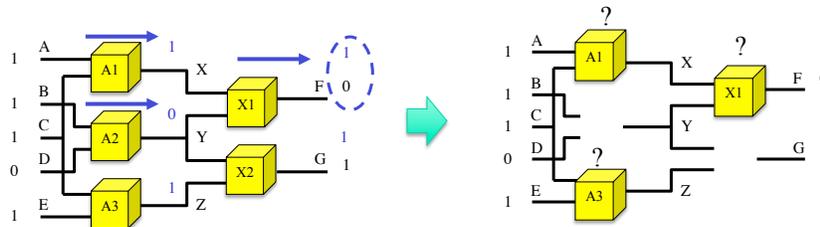
- Self-Repairing Agents
- Formulating Diagnosis
- Diagnosis from Conflicts
  - Kernels
  - Conflicts
  - Candidate Generation
  - Conflict Recognition

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## From Conflicts to Kernels



**Constituent Kernel:** An assignment  $a$  that “resolves” one conflict  $C_i$ .

$\{A2=U\}$  resolves  $\{A1=G, A3=G, X1=G, X2=G\}$ .

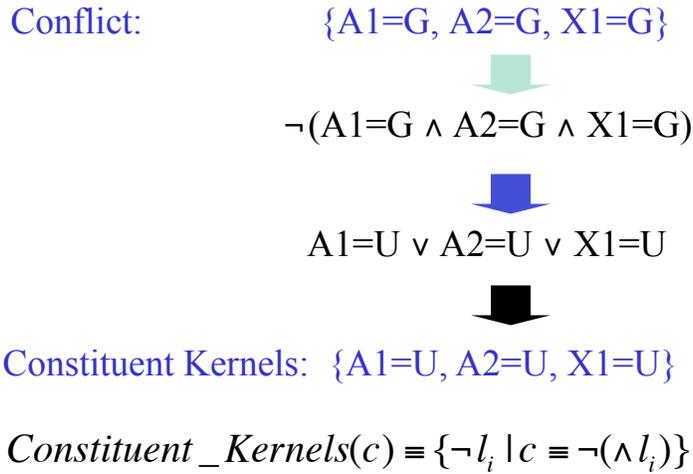
$a$  entails  $\neg C_i$ .

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# Mapping Conflicts to Constituent Kernels

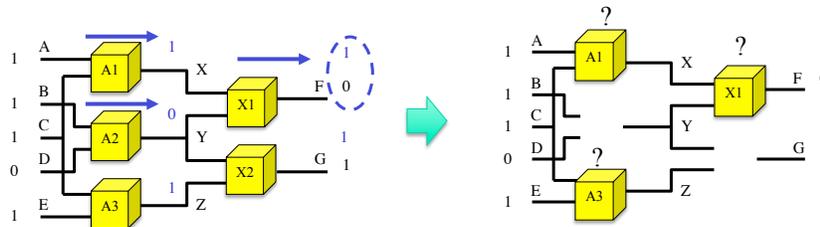


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## From Conflicts to Kernels



**Constituent Kernel:** An assignment **a** that “resolves” one conflict  $C_i$ .

$\{A2=U\}$  resolves  $\{A1=G, A3=G, X1=G, X2=G\}$ .

**Kernel:** A minimal set of assignments **A** that “resolve” all conflicts  $C$ .

$\{A2=U, X2=U\}$  resolves  $\{A1=G, A3=G, X1=G, X2=G\}$ , and

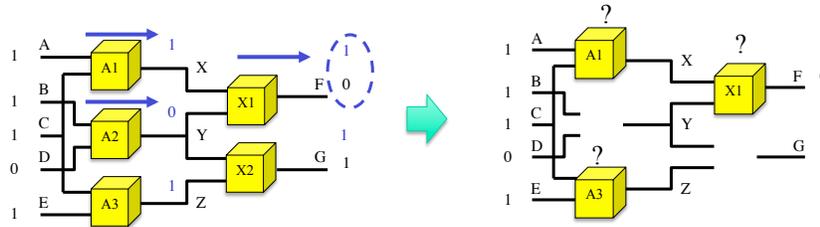
$\{A2=U, X2=U\}$  resolves  $\{A1=G, A2=G, X1=G\}$ .

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## From Conflicts to Kernels



**Constituent Kernel:** An assignment  $a$  that “resolves” a conflict  $C_i$ .  
 $a$  entails  $\neg C_i$ .

**Kernel:** A minimal set of assignments  $A$  that “resolves” all conflicts  $C$ .  
 $A$  entails  $\neg C_i$  for all  $C_i$  in  $C$ .

⇒ Map constituent kernels to kernels by **minimal set covering**.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$  Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$  Conflict 2.

$\{A1=U, A2=U, X1=U\}$  constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$  constituents of Conflict 2.

Kernel Diagnoses =

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$

Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$

Conflict 2.

$\{A1=U, A2=U, X1=U\}$

constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$

constituents of Conflict 2.

Kernel Diagnoses =  $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - New superset Old.
  - Old superset New.

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$

Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$

Conflict 2.

$\{A1=U, A2=U, X1=U\}$

constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$

constituents of Conflict 2.

Kernel Diagnoses =  ~~$\{A1=U, A3=U\}$~~   
 $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - New superset Old.
  - Old superset New.

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$

Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$

Conflict 2.

$\{A1=U, A2=U, X1=U\}$

constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$

constituents of Conflict 2.

Kernel Diagnoses =  $\{\cancel{A1=U, X1=U}\}$   
 $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - New superset Old.
  - Old superset New.

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$

Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$

Conflict 2.

$\{A1=U, A2=U, X1=U\}$

constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$

constituents of Conflict 2.

Kernel Diagnoses =  $\{\cancel{A1=U, X2=U}\}$   
 $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - New superset Old.
  - Old superset New.

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$  Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$  Conflict 2.

$\{A1=U, A2=U, X1=U\}$  constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$  constituents of Conflict 2.

Kernel Diagnoses =

- $\{A2=U, X2=U\}$
- $\{A2=U, X1=U\}$
- $\{A2=U, A3=U\}$
- ~~$\{A2=U, A1=U\}$~~
- $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - **New superset Old.**
  - **Old superset New.**

“Smallest” sets of modes that remove all conflicts.

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$  Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$  Conflict 2.

$\{A1=U, A2=U, X1=U\}$  constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$  constituents of Conflict 2.

Kernel Diagnoses =

- $\{X1=U\}$
- ~~$\{X1=U, A3=U\}$~~
- ~~$\{X1=U, A1=U\}$~~
- $\{A2=U, X2=U\}$
- ~~$\{A2=U, X1=U\}$~~
- $\{A2=U, A3=U\}$
- $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - **New superset Old.**
  - **Old superset New.**

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## Generate Kernels From Conflicts

$\{A1=G, A2=G, X1=G\}$

Conflict 1.

$\{A1=G, A3=G, X1=G, X2=G\}$

Conflict 2.

$\{A1=U, A2=U, X1=U\}$

constituents of Conflict 1.

$\{A1=U, A3=U, X1=U, X2=U\}$

constituents of Conflict 2.

Kernel Diagnoses =  $\{X1=U\}$   
 $\{A2=U, X2=U\}$   
 $\{A2=U, A3=U\}$   
 $\{A1=U\}$

1. Compute cross product.
2. Remove supersets.
  - New superset Old.
  - Old superset New.

“Smallest” sets of modes that remove all conflicts.

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```

Candidate-Generation(Conflicts){
  // Compute all minimal coverings of Conflicts
  Next_Kernels = {};
  For each c in Conflicts {
    Kernels = Next_Kernels;
    Next_Kernels = {};
    For each c' in Constituent_Kernels(c) {
      For each k in Kernels {
        Next_Kernels
          = Add_Kernel(c'∪k, Next_Kernels)
      }
    }
  }
  return Next_Kernels}}

```

}

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```

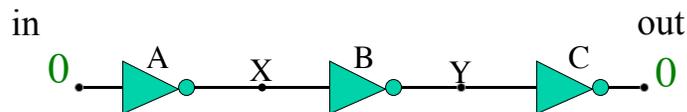
Add-Kernel(Kernel, Kernels){
  // Add Kernel to Kernels while preserving minimality.
  If  $\exists k \in Kernels. k \subseteq Kernel$ 
    Then return Kernels
  Else {
    New_Kernels = {};
    For each k in Kernels{
      Unless  $Kernel \subseteq k$ 
        Add_To_End(k, New_Kernels)};
    return  $\{Kernel\} \cup New\_Kernels$ 
  }
}

```

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Diagnoses: (42 of 64 candidates)

#### Fully Explained Failures

- [G(A),G(B),S0(C)]
- [G(A),S1(B),S0(C)]
- [S0(A),G(B),G(C)]
- ...

#### Partial Explained

- [G(A),U(B),S0(C)]
- [U(A),S1(B),G(C)]
- [S0(A),U(B),G(C)]
- ...

#### Fault Isolated, But Unexplained

- [G(A),G(B),U(C)]
- [G(A),U(B),G(C)]
- [U(A),G(B),G(C)]

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## Generate Kernels from Conflicts

- [G(C), S0(C), U(C)]
- [G(B), S1(B), U(B), S1(C), S0(C), U(C)]
- [G(A), S0(A), U(A), S1(B), S0(B), U(B), S1(C), S0(C), U(C)]
- [S1(A), S0(A), U(A), S1(B), S0(B), U(B), S1(C), S0(C), U(C)]



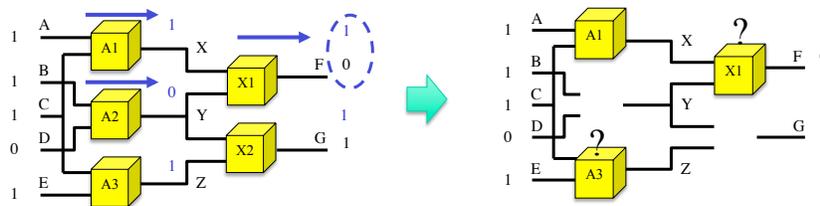
- |               |                     |
|---------------|---------------------|
| ▪ [U(C)]      | ▪ [S1(B),G(C)]      |
| ▪ [S0(C)]     | ▪ [U(A),G(B),G(C)]  |
| ▪ [U(B),G(C)] | ▪ [S0(A),G(B),G(C)] |

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## Summary: Mapping Conflicts to Kernels



**Conflict  $C_i$ :** A partial mode assignment, to X, that is inconsistent with model  $\Phi$  and obs.

$$C_i \wedge \Phi \wedge \text{obs is inconsistent} \quad \Phi \wedge \text{obs entails } \neg C_i$$

**Constituent Kernel:** An assignment a that resolves one conflict  $C_i$ .

$$a \text{ entails } \neg C_i$$

**Kernel:** A minimal partial assignment that resolves all conflicts C.

$$A \text{ entails } \neg C_i \text{ for all } C_i \text{ in } C$$

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# Outline

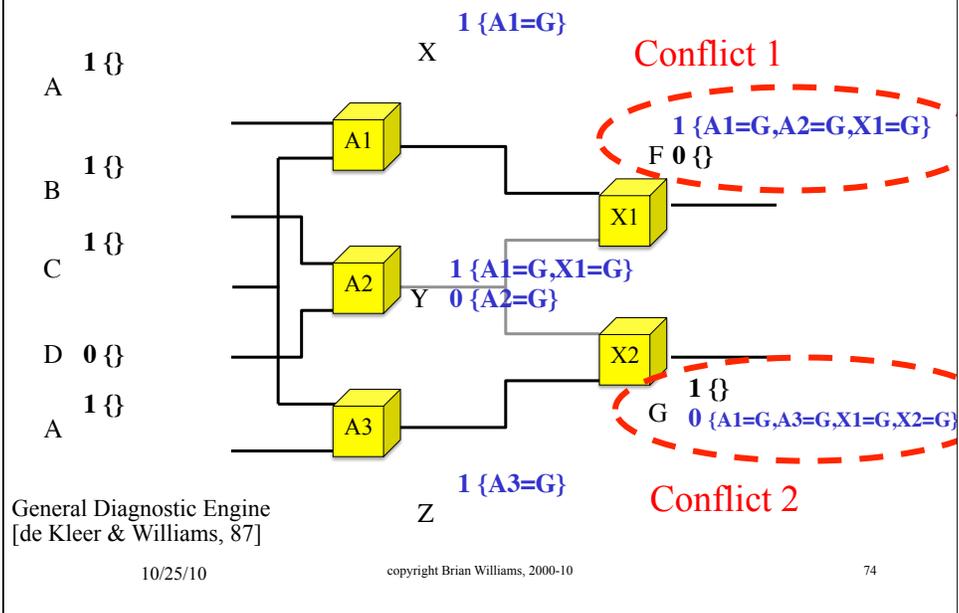
- Self-Repairing Agents
- Formulating Diagnosis
- Diagnosis from Conflicts
  - Kernels
  - Conflicts
  - Candidate Generation
  - Conflict Recognition

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## Recognizing Conflicts within GDE

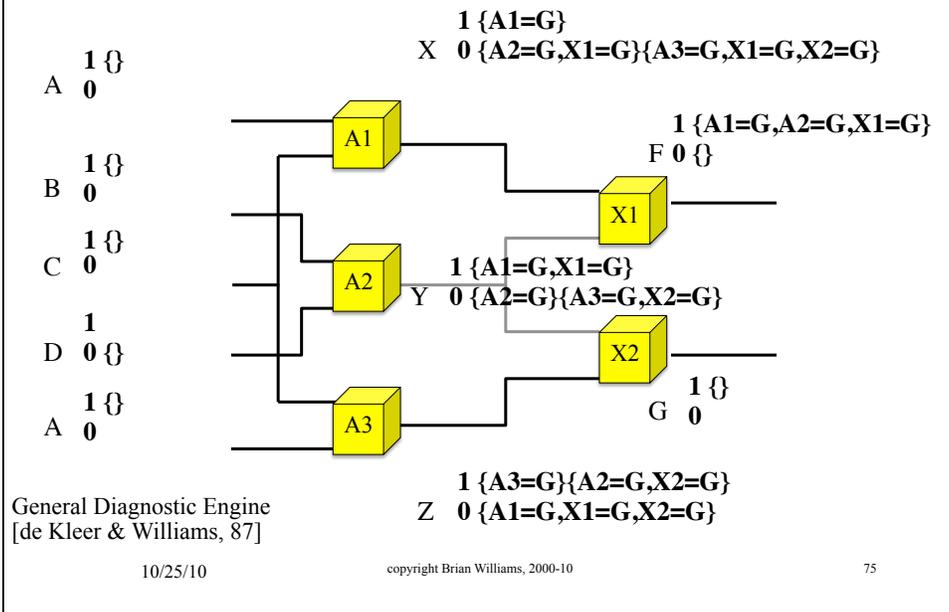


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## Recognizing Conflicts within GDE



## Summary: Mode Estimation

- A failure is a **discrepancy** between the **model** and **observations** of an artifact.
- **Mode estimation** supports diagnosis of **unknown failures**, **multiple faults**, **partial explanation** and **execution monitoring**.
- Mode estimates are **encoded compactly** using **kernels**.
- **Symptoms** are used to **recognize conflicts**, which are merged to **produce kernels**.
- **Conflict-directed search** is at the foundation of **fast satisfiability** and **optimization**.

## Outline

- Self-Repairing Agents
- Formulating Diagnosis
- Diagnosis from Conflicts
- Appendix: Single Fault Diagnosis

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## Single Fault Diagnosis

The single fault diagnoses are the intersections of the conflict constituent kernels.

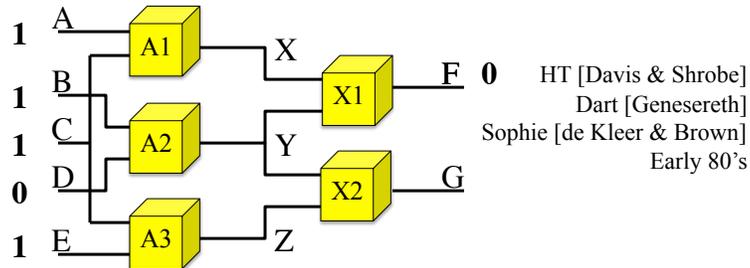
$\{A1=G, A2=G, X1=G\}$  Conflict 1.  
 $\{A1=G, A3=G, X1=G, X2=G\}$  Conflict 2.  
 $\{A1=U, A2=U, X1=U\}$  constituents of Conflict 1.  
 $\{A1=U, A3=U, X1=U, X2=U\}$  constituents of Conflict 2.  
 Single Fault Diagnoses =  $\{A1=U\}$   $\{X1=U\}$

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## Finding Single Fault Diagnosis



1. Generate initial candidates:
  - Assume all components okay and test consistency.
  - If inconsistent, conflict kernels denote single fault candidates.
2. Check consistency of each candidate:
  - Prune candidate if superset of a conflict.
  - Else check consistency and record conflict if inconsistent.

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## Procedure Single\_Fault\_w\_Conflicts( $M_d, M, Obs$ )

**Input:** A model  $M_d$ , Mode variables  $M$ , and observations  $Obs$ .

**Output:** A set of consistent, single fault diagnoses.

```

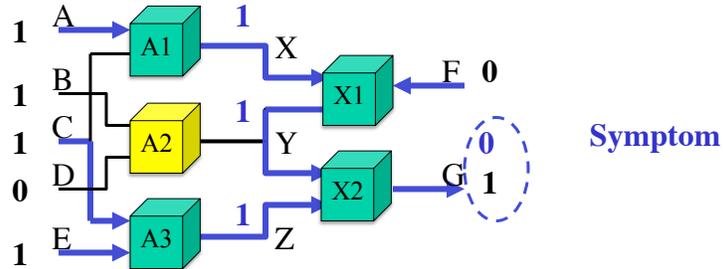
All_Good ← {  $M_i=G \mid M_i \in M$  };           Assume all components are okay,
Conflict ← Test_Candidate(All_Good,  $M_d, Obs$ )
If Conflict = Consistent
  Return All_Good
Else
  Cands ← { {  $M_i=U$  } ∪ {  $Z=G$  } |  $M_i=G \in Conflict, Z = M - \{M_i\}$  };
  Generate single fault candidates
  Diagnoses ← Test_Candidates(Cands,  $M_d, Obs$ )
  Return Diagnoses
  
```

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## Generate Candidates From Symptom



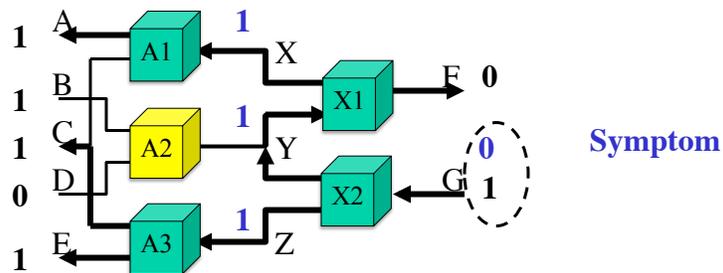
- Symptom: G is observed 1, but predicted 0
- Conflict: {A1=G, A3=G, X1=G, X2=G} is inconsistent
- Candidates: {{A1=U...}, {A3=U...}, {X1=U...}, {X2=U...}}

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## Generate Candidates From Symptom



- Symptom: G is observed 1, but predicted 0
- Conflict: {A1=G, A3=G, X1=G, X2=G} is inconsistent
- Candidates: {{A1=U...}, {A3=U...}, {X1=U...}, {X2=U...}}

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### Procedure Single\_Fault\_Test\_Candidates(C,M, Obs)

**Input:** Candidates C, Model Md, Observation Obs  
**Output:** The set of consistent single-fault diagnoses.

```

Diagnoses ← {}, Conflicts ← {}
For each Ci in C
  If Ci is a superset of some Conflictj in Conflicts
    Then inconsistent candidate Ci, ignore.
  Else Conflicti = Test_Candidate(Ci, M, Obs)
    If Conflicti = Consistent
      Then add Ci to Diagnoses
    Else add Conflicti to Conflicts
return Diagnoses
    
```

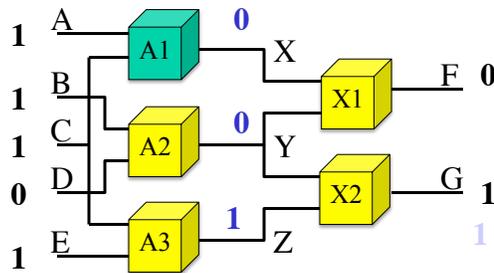
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### Test Candidates, Collect Conflicts

Candidates: ~~{{A1=U...}}~~, {A3=U...}, {X1=U...}, {X2=U...}  
 Diagnoses: {{A1=U...}}



- First candidate {A1=U, ...}
- Suspend A1's constraints
- Test consistency → consistent
- Add to diagnoses

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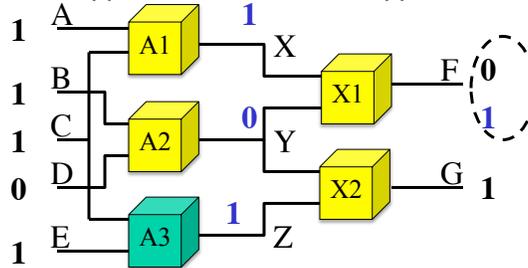
84

## Test Candidates, Collect Conflicts

Candidates:  $\{\{A3=U\dots\}, \{X1=U\dots\}, \{X2=U\dots\}\}$

Diagnoses:  $\{\{A1=U\dots\}\}$

Conflicts:  $\{\{A1=G, A2=G, X1=G\}\}$



- Second candidate  $\{A3=U, \dots\}$
- Suspend  $A3$ 's constraints
- Test consistency  $\rightarrow$  inconsistent
- Extract conflict  $\{A1=G, A2=G, X1=G\}$
- Intersect candidates

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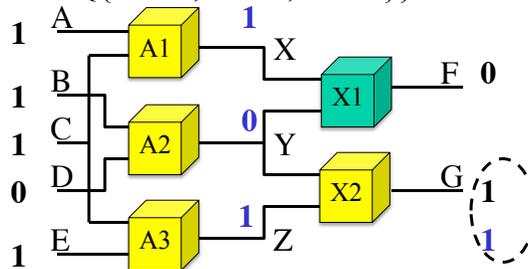
85

## Test Candidates, Collect Conflicts

Candidates:  $\{\{X1=U\dots\}, \{X2=U\dots\}\}$

Diagnoses:  $\{\{A1=U\dots\}\}$

Conflicts:  $\{\{A1=G, A2=G, X1=G\}\}$



- Third candidate  $\{X1=U, \dots\}$
- Superset of conflict?  $\rightarrow$  No, since  $X1 = U$ , not  $X1=G$
- Suspend  $X1$ 's constraints
- Test consistency  $\rightarrow$  consistent

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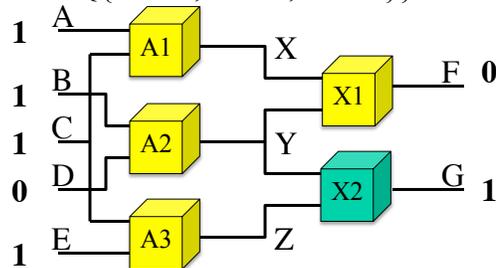
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## Test Candidates, Collect Conflicts

Candidates: ~~{{X2=U...}}~~

Diagnoses: {{A1=U...}, {X1=U...}}

Conflicts: {{A1=G, A2=G, X1=G}}



- Fourth candidate {X2=U, ...}
- Superset of conflict? → Yes, since A1=G, A2=G and X1=G
- Eliminate candidate

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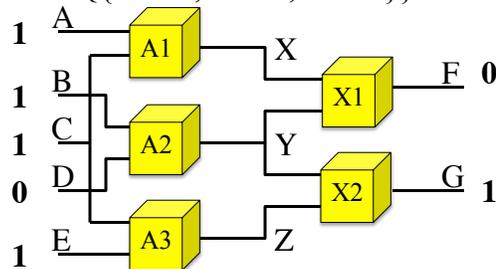
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## Test Candidates, Collect Conflicts

Candidates: {}

Diagnoses: {{A1=U...}, {X1=U...}}

Conflicts: {{A1=G, A2=G, X1=G}}



- Return diagnoses → A1 or X1 broken

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