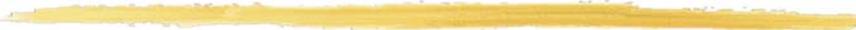


Propositional Logic and Satisfiability

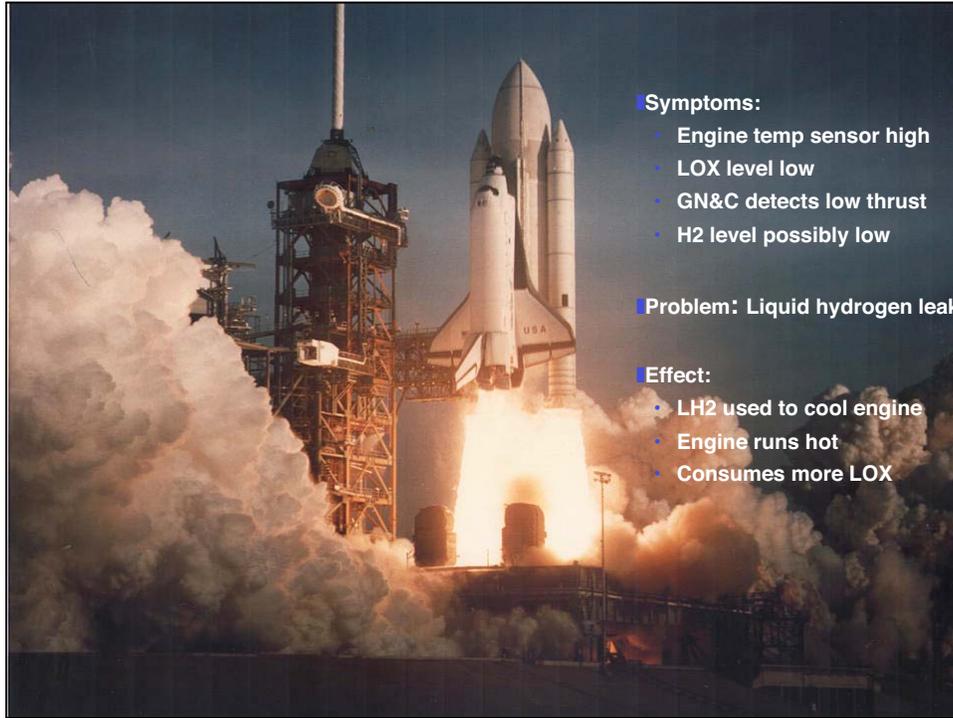


Slides draw upon
material from:
Prof. Bart Selman
Cornell University

Brian C. Williams
16.410-13
October 13th, 2010

Assignments

- Assignment:
 - Problem Set #5: Activity Planning, due today Wednesday, October 13th, 2010.
 - Problem Set #6: Propositional Logic and Satisfiability, out today; due October 27th, 2010 (in 2 weeks).
- Reading:
 - Today: [AIMA] Ch. 7, 8
 - Monday: TBD
- Exam:
 - Mid-Term - October 20th.



Symptoms:

- Engine temp sensor high
- LOX level low
- GN&C detects low thrust
- H2 level possibly low

Problem: Liquid hydrogen leak

Effect:

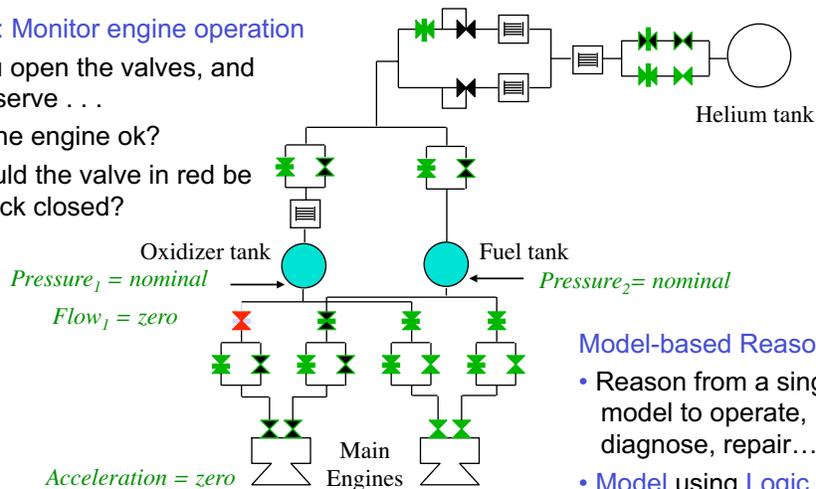
- LH2 used to cool engine
- Engine runs hot
- Consumes more LOX

Image credit: NASA.

How Do We Reason About Complex Systems using Commonsense Models?

Task: Monitor engine operation

- You open the valves, and observe . . .
- Is the engine ok?
- Could the valve in red be stuck closed?



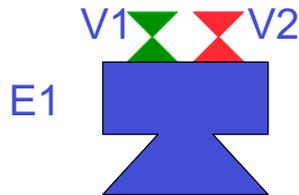
Model-based Reasoning:

- Reason from a single model to operate, diagnose, repair...
- **Model** using Logic.
- Reason using Sat.

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Modeling an Engine in Propositional Logic

“An Engine E1 can either be okay, or broken in some unknown way.
When E1 is okay, it will thrust when there is a flow through V1 and v2.”



$(mode(E1) = ok \text{ or } mode(E1) = unknown)$ and
not $(mode(E1) = ok \text{ and } mode(E1) = unknown)$ and
 $(mode(E1) = ok \text{ implies } (thrust(E1) = on \text{ if and only if } flow(V1) = on \text{ and } flow(V2) = on))$

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Reasoning From the Model

Monitoring:

Are the observations O consistent with model M?

Fault Diagnosis:

What fault modes of M are consistent with O?

Reconfiguration:

What component modes of M produce behavior G?

⇒ Propositional Satisfiability:

Find a truth assignment that satisfies some logical sentence S:

1. Reduce S to clausal form.
2. Perform search similar to MAC = (BT+CP)
[Davis, Logmann & Loveland, 1962]

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Propositional Satisfiability

Find a truth assignment that satisfies logical sentence T:

- Reduce sentence T to clausal form.
- Perform search similar to MAC = (BT+CP)
[Davis, Logmann & Loveland, 1962]

Propositional satisfiability testing

1990: 100 variables / 200 clauses (constraints)

1998: 10,000 - 100,000 vars / 10^6 clauses

2010: millions

Novel applications:

e.g. diagnosis, planning, software verification, circuit testing, machine learning, and protein folding

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What Formal Languages Exist for Describing Constraints?

- | | |
|-----------------------|---------------------------|
| • Algebra | values of variables |
| • Probability | degree of belief |
| • Propositional logic | truth of facts |
| • Temporal logic | time, |
| • Modal logics | knowledge, belief ... |
| • First order logic | facts, objects, relations |

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Outline

- Propositional Logic
 - Syntax
 - Semantics
 - Reduction to Clauses
- Propositional Satisfiability
- Empirical, Average Case Analysis
- Appendices

Logic in General

- Logic
 - A formal language for representing information that can be used to draw conclusions.
 - About the truth of statements and their consequences.
- Syntax
 - Defines the expressible sentences in the language.
- Semantics
 - Defines the “meaning” of these sentences
 - ⇒ truth of a sentence in some world.

Logic Example: Arithmetic

- **Syntax** – legal sentences

- “ $X + 2 > Y$ ” is a legal sentence.
- “ $X 2 + Y >$ ” is not a legal sentence.

- **Semantics** - truth in world

- “ $X + 2 > Y$ ” is true iff the number $X + 2$ is not less than or equal to the number Y
- “ $X + 2 > Y$ ” is true in a world where $X = 7, Y = 1$
- “ $X + 2 > Y$ ” is false in a world where $X = 0, Y = 6$

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Propositional Logic: Syntax

Propositions

- A statement that is **true** or **false**
 - (valve v1)
- Assignments to finite domain variables - State Logic
 - (= voltage high)

Propositional Sentences (S)

- $S ::= \text{proposition} \mid$
- $(\text{NOT } S) \mid$
- $(\text{OR } S1 \dots Sn) \mid$
- $(\text{AND } S1 \dots Sn)$

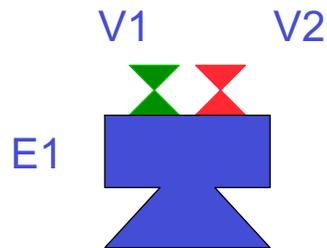
Defined Constructs

- (implies $S1 S2$) $\Rightarrow ((\text{not } S1) \text{ OR } S2)$
- (IFF $S1 S2$) $\Rightarrow (\text{AND } (\text{IMPLIES } S1 S2)(\text{IMPLIES } S2 S1))$

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Propositional Sentences: Engine Example

(mode(E1) = ok or mode(E1) = unknown) and
not (mode(E1) = ok and mode(E1) = unknown) and
(mode(E1) = ok implies
 (thrust(E1) = on if and only if
 flow(V1) = on and flow(V2) = on))



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Outline

- Propositional Logic
 - Syntax
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Propositional Logic: Semantics

Interpretation I of sentence S assigns **true** or **false** to every proposition P in S .

- $S = (A \text{ or } B) \text{ and } C$
- $I = \{A=\text{True}, B=\text{False}, C=\text{True}\}$
- $I = \{A=\text{False}, B=\text{True}, C=\text{False}\}$

All Interpretations \longrightarrow

| A | B | C |
|-------|-------|-------|
| True | True | True |
| True | True | False |
| True | False | True |
| True | False | False |
| False | True | True |
| False | True | False |
| False | False | True |
| False | False | False |

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Propositional Logic: Semantics

The **truth of sentence S wrt interpretation I** is defined by a composition of Boolean operators applied to I :

- “Not S ” is True iff “ S ” is False

| | |
|---------|-------|
| Not S | S |
| False | True |
| True | False |

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Propositional Logic: Semantics

The truth of sentence S_i wrt Interpretation I :

- “Not S ” is True iff “ S ” is False
- “ S_1 and S_2 ” is True iff “ S_1 ” is True **and** “ S_2 ” is True
- “ S_1 or S_2 ” is True iff “ S_1 ” is True **or** “ S_2 ” is True

| S1 and S2 | S1 | S2 | S1 or S2 | S1 | S2 |
|-----------|-------|-------|----------|-------|-------|
| True | True | True | True | True | True |
| False | True | False | True | True | False |
| False | False | True | True | False | True |
| False | False | False | False | False | False |

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Propositional Logic: Semantics

The truth of sentence S_i wrt Interpretation I :

- “Not S ” is True iff “ S ” is False
- “ S_1 and S_2 ” is True iff “ S_1 ” is True **and** “ S_2 ” is True
- “ S_1 or S_2 ” is True iff “ S_1 ” is True **or** “ S_2 ” is True
- “ S_1 implies S_2 ” is True iff “ S_1 ” is False **or** “ S_2 ” is True
- “ S_1 iff S_2 ” is True iff “ S_1 implies S_2 ” is True
and “ S_2 implies S_1 ” is True

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Example: Determining the Truth of a Sentence

(mode(E1) = ok implies
[(thrust(E1) = on if and only if (flow(V1) = on and flow(V2) = on)) and
(mode(E1) = ok or mode(E1) = unknown) and
not (mode(E1) = ok and mode(E1) = unknown)])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if (True and False)) and
(True or False) and
not (True and False)])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if (True and False)) and
(True or False) and
not (True and False)])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if (True and False)) and
(True or False) and
not False])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if (True and False)) and
(True or False) and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if False) and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False if and only if False) and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(False implies False) and (False implies False)] and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(not False or False) and (not False or False)] and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(True or False) and (True or False)] and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[(True and True) and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
[True and
True and
True])

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(True implies
True)

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(not True or
True)

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

(False or
True)

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Example: Determining the Truth of a Sentence

True!

If a sentence S evaluates to True in interpretation I , then:

- “ I satisfies S ”
- “ I is a *Model* of S ”

Interpretation:

| | |
|--------------------|----------|
| mode(E1) = ok | is True |
| thrust(E1) = on | is False |
| flow(V1) = on | is True |
| flow(V2) = on | is False |
| mode(E1) = unknown | is False |

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Satisfiability versus Validity

Satisfiable

A sentence is **satisfiable** if there is an **interpretation** (a truth assignment) that makes the clause true.

- $(\text{not } A \text{ or } B)$ *is satisfiable*.
- $(A \text{ implies not } B) \text{ and } (A \text{ implies } B)$ *is unsatisfiable*.

Valid

A sentence is **valid** if it is true for all interpretations.

- Is $(\text{not } A \text{ or } A \text{ or } B)$ valid?
Yes, it is valid over all possible interpretations.
- Is $(A \text{ or } B)$ valid with respect to the interpretations $\{A=\text{true}, B=\text{false}\}$ and $\{A=\text{false}, B=\text{false}\}$?

Outline

- Propositional Logic
 - Syntax
 - Semantics
 - **Reduction to Clauses**
- Propositional Satisfiability
- Appendices

Propositional Clauses: A Simpler Form

- Literal: A proposition or its negation.
 - B, Not A
- Clause: A disjunction (“or”) of literals.
 - (not A or B or E)
- Conjunctive Normal Form:
A conjunction (“and”) of clauses.
 - $\Phi = (A \text{ or } B \text{ or } C) \text{ and } (not \ A \ \text{or } B \ \text{or } E) \text{ and } (not \ B \ \text{or } C \ \text{or } D)$
 - Represented by a set of clauses.

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Reduction to Clausal Form: Engine Example

(mode(E1) = ok implies
(thrust(E1) = on iff (flow(V1) = on and flow(V2) = on))) and
(mode(E1) = ok or mode(E1) = unknown) and
not (mode(E1) = ok and mode(E1) = unknown)



not (mode(E1) = ok) or not (thrust(E1) = on) or flow(V1) = on;
not (mode(E1) = ok) or not (thrust(E1) = on) or flow(V2) = on;
not (mode(E1) = ok) or not (flow(V1) = on) or not (flow(V2) = on)
or thrust(E1) = on;
mode(E1) = ok or mode(E1) = unknown;
not (mode(E1) = ok) or not (mode(E1) = unknown);

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Reducing Propositional Formula to Clauses (CNF)

See Appendix for Detailed Example:

1) Eliminate **iff** and **implies**

- $E1 \text{ iff } E2 \Rightarrow (E1 \text{ implies } E2) \text{ and } (E2 \text{ implies } E1)$
- $E1 \text{ implies } E2 \Rightarrow \text{not } E1 \text{ or } E2$

2) Move negations in, towards propositions, using De Morgan's Theorem:

- $\text{not } (E1 \text{ and } E2) \Rightarrow (\text{not } E1) \text{ or } (\text{not } E2)$
- $\text{not } (E1 \text{ or } E2) \Rightarrow (\text{not } E1) \text{ and } (\text{not } E2)$
- $\text{not } (\text{not } E1) \Rightarrow E1$

3) Move conjunctions out using Distributivity

- $E1 \text{ or } (E2 \text{ and } E3) \Rightarrow (E1 \text{ or } E2) \text{ and } (E1 \text{ or } E3)$

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Outline

- Propositional Logic
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- **Propositional Satisfiability**
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Propositional Satisfiability

Input: A Propositional Satisfiability Problem is a pair $\langle P, \Phi \rangle$, where:

- P is a finite set of propositions.
- Φ is a propositional sentence over P
 - We assume it is reduced to a set of clauses.

Output: True iff there exists a model of Φ .

Is an instance of a CSP:

- **Variables:** Propositions
- **Domain:** {True, False}
- **Constraints:** Clauses

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Models of $\langle P, \Phi \rangle$

- An **interpretation** is a truth assignment to all propositions P .
- A **model** is an interpretation such that all clauses are satisfied:
 - A clause is **satisfied** iff at least one literal is true.
 - A clause is **violated** iff all literals are false.

Example: C1: Not A or B
C2: Not C or A
C3: Not B or C

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Testing Satisfiability of $\langle P, \Phi \rangle$

1. Apply systematic, complete procedure
 - BT + unit propagation, shortest clause heuristic
 - [Davis, Logmann, & Loveland 1962; Crawford & Auton 1997; Nayak & Williams, 1997]
2. Apply stochastic, incomplete procedure
 - [Minton et al. 90; Selman et. al 1993] – see Appendix
3. Apply exhaustive clausal resolution
 - [Davis, Putnam 1960; Dechter Rish 1994]

Testing Satisfiability of $\langle P, \Phi \rangle$

1. Apply systematic, complete procedure
 - BT + unit propagation, [shortest clause heuristic](#)
[Davis, Logmann, & Loveland 1962]
 - State-of-the-art implementations:
 - ntab [Crawford & Auton, 1997]
 - itms [Nayak & Williams, 1997]
 - many others! [See SATLIB 1998 / Hoos & Stutzle](#)
2. Apply stochastic, incomplete procedure (Appendix)
 - MinConflict [Minton et a. 90]
 - GSAT/WalkWat [Selman et. al 1993]– see Appendix
3. Apply exhaustive clause resolution (Not Covered)
 - [Davis, Putnam, 1960]

Outline

- Propositional Logic
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 - Backtrack Search
 - Unit Propagation
 - DPLL: Unit Propagation + Backtrack Search
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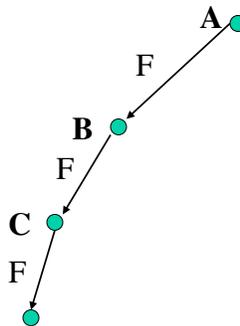
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: Not A or B satisfied
- C2: Not C or ~~A~~ satisfied
- C3: Not B or C satisfied



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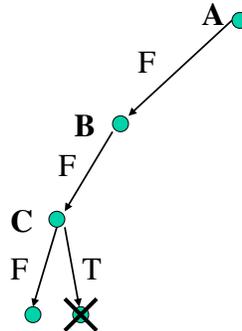
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: Not A or B **satisfied**
- C2: ~~Not C~~ or ~~A~~ **violated**
- C3: Not B or C **satisfied**



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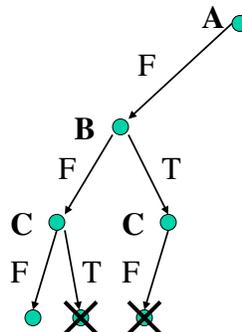
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: Not A or B **satisfied**
- C2: Not C or ~~A~~ **satisfied**
- C3: ~~Not B~~ or ~~C~~ **violated**



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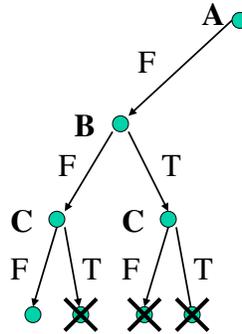
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: Not A or B **satisfied**
- C2: ~~Not C~~ or ~~A~~ **violated**
- C3: ~~Not B~~ or C **satisfied**



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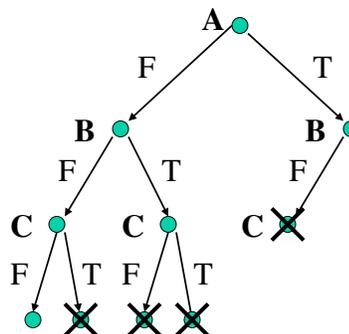
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: ~~Not A~~ or ~~B~~ **violated**
- C2: Not C or A **satisfied**
- C3: Not B or C **satisfied**



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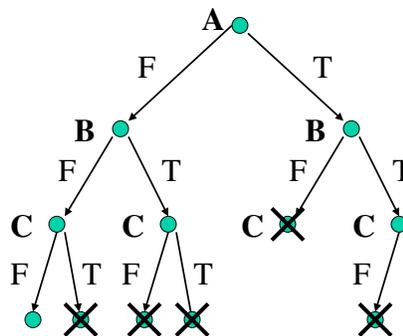
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: ~~Not~~ A or B **satisfied**
- C2: Not C or A **satisfied**
- C3: ~~Not~~ B or ~~C~~ **violated**



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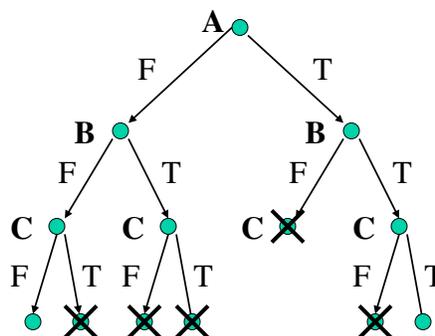
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Propositional Satisfiability using Backtrack Search

- Assign true or false to an unassigned proposition.
- Backtrack as soon as a clause is violated.

Example:

- C1: ~~Not~~ A or B **satisfied**
- C2: Not C or A **satisfied**
- C3: ~~Not~~ B or C **satisfied**



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Clausal Backtrack Search: Recursive Formulation

Procedure: $BT(\Phi, A)$

Input: A *cnf* theory Φ ,
An assignment A to some propositions in Φ .

Output: true if Φ is satisfiable; false otherwise.

If a clause in Φ is **violated**, Return **false**;
Else If **all** propositions in Φ are **assigned** by A , Return **true**;
Else $Q =$ **some** proposition in Φ **unassigned** by A ;
Return ($BT(\Phi, A[Q = \text{True}])$ or
 $BT(\Phi, A[Q = \text{False}])$)

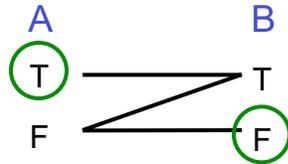
Outline

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Unit Clause Resolution

Idea: Apply arc consistency (AC-3) to binary clauses

Clause: (not A or B)



Unit clause resolution (aka unit propagation rule):

If all literals are **false** save L, then assign true to L:

- $\frac{(\text{not } A) \quad (\text{not } B) \quad (A \text{ or } B \text{ or } C)}{C}$
- Unit propagation = repeated application of rule.

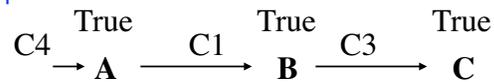
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Unit Propagation Examples

- C1: ~~Not A~~ or B Satisfied
- C2: Not C or A Satisfied
- C3: ~~Not B~~ or C Satisfied
- C4: A Satisfied

Support

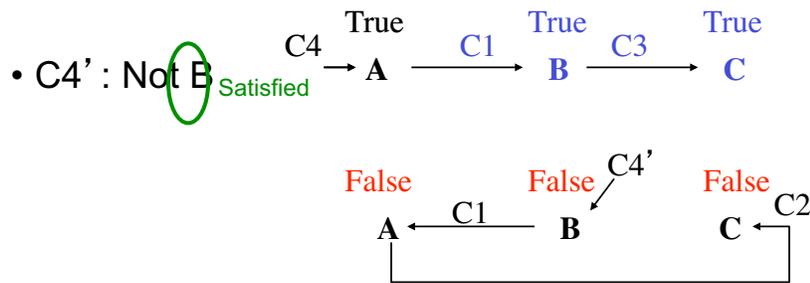


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Unit Propagation Examples

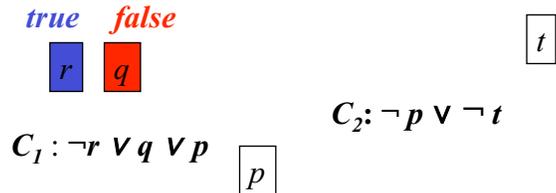
- C1: Not A or B Satisfied
- C2: Not C or A Satisfied
- C3: Not B or C Satisfied
- C4: A



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Unit Propagation

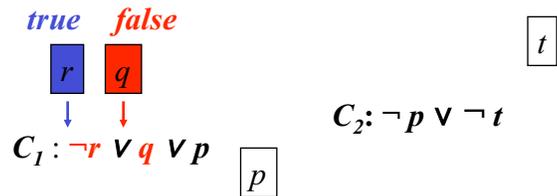


Procedure: *propagate*(**C**) // **C** is a clause
if all literals in **C** are false except **L**, and **L** is unassigned
then assign true to **L** and
record **C** as a support for **L** and
for each clause **C'** mentioning “not **L**”,
propagate(**C'**)
end propagate

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Unit Propagation



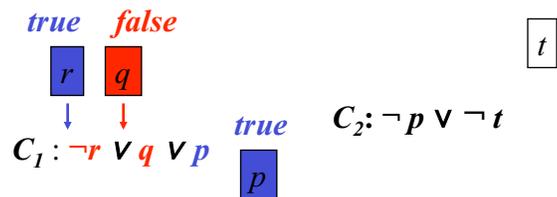
Procedure: *propagate(C)* // C is a clause

- ⇒ if all literals in C are false except L , and L is unassigned
- then assign true to L and
- record C as a support for L and
- for each clause C' mentioning “not L ”,
- propagate(C')*
- end *propagate*

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Unit Propagation



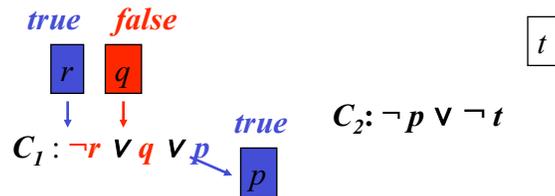
Procedure: *propagate(C)* // C is a clause

- if all literals in C are false except L , and L is unassigned
- ⇒ then assign true to L and
- record C as a support for L and
- for each clause C' mentioning “not L ”,
- propagate(C')*
- end *propagate*

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Unit Propagation

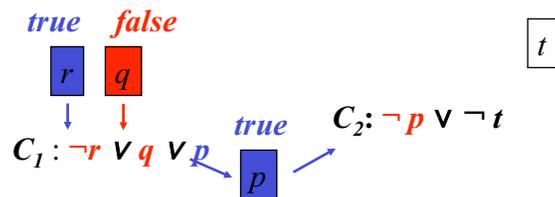


Procedure: *propagate*(C) // C is a clause
 if all literals in C are false except L , and L is unassigned
 then assign true to L and
 → record C as a support for L and
 for each clause C' mentioning “not L ”,
 propagate(C')
 end *propagate*

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Unit Propagation

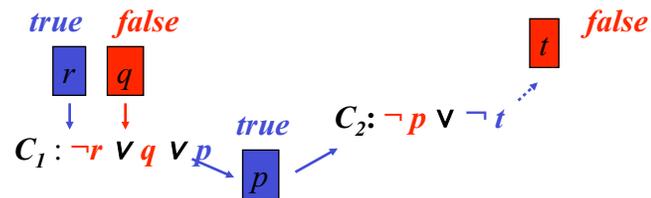


Procedure: *propagate*(C) // C is a clause
 if all literals in C are false except L , and L is unassigned
 then assign true to L and
 record C as a support for L and
 → for each clause C' mentioning “not L ”,
 propagate(C')
 end *propagate*

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Unit Propagation



```

Procedure: propagate(C)                                // C is a clause
  if all literals in C are false except L, and L is unassigned
  then assign true to L and
    record C as a support for L and
    for each clause C' mentioning "not L",
      propagate(C')
  end propagate
  
```

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Outline

- Propositional Logic
- Propositional Satisfiability
 - Backtrack Search
 - Unit Propagation
 - DPLL: Unit Propagation + Backtrack Search
- Empirical, Average Case Analysis
- Appendices

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Propositional Satisfiability using DPLL [Davis, Logmann, Loveland, 1962]

Initially:

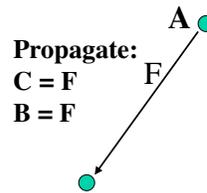
- Unit propagate.

Repeat:

- Assign **true** or **false** to an unassigned proposition.
- Unit propagate.
- Backtrack as soon as a clause is violated.
- Satisfiable if assignment is complete.

Example:

- C1: Not A or B satisfied
- C2: Not C or ~~A~~ satisfied
- C3: Not B or ~~C~~ satisfied



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Propositional Satisfiability using DPLL [Davis, Logmann, Loveland, 1962]

Initially:

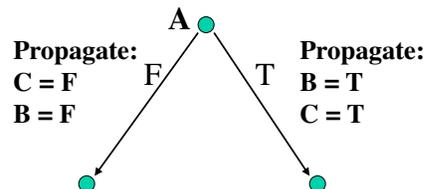
- Unit propagate.

Repeat:

- Assign **true** or **false** to an unassigned proposition.
- Unit propagate.
- Backtrack as soon as a clause is violated.
- Satisfiable if assignment is complete.

Example:

- C1: ~~Not A~~ or B satisfied
- C2: Not C or A satisfied
- C3: ~~Not B~~ or C satisfied



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How Do We Fold Unit Propagation into Backtracking?

Procedure: $BT(\Phi, A)$

Input: A *cnf* theory Φ ,
An assignment A to some propositions in Φ

Output: A decision of whether Φ is satisfiable.

If a clause in Φ is **violated**, Return **false**;
Else If **all** propositions of Φ are **assigned** in A , Return **true**;
Else $Q =$ **some unassigned** proposition in Φ ;
Return ($BT(\Phi, A[Q = \text{True}]$) or
 $BT(\Phi, A[Q = \text{False}])$)

Hint: Like MAC and Forward Checking:

- limited inference
- apply inference after assigning each variable.

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D(P)LL Procedure [Davis, Logmann, Loveland, 1961]

Procedure: $DPLL(\Phi, A)$

Input: A *cnf* theory Φ ,
An assignment A to propositions in Φ

Output: A decision of whether Φ is satisfiable.

➔ $A' = \text{propagate}(\Phi)$;

If a clause in Φ is **violated**, given A' Return **false**;
Else If **all** propositions of Φ are **assigned** in A' , Return **true**;
Else $Q =$ **some unassigned** proposition in Φ ;
Return ($DPLL(\Phi, A [Q = \text{True}]$) or
 $DPLL(\Phi, A' [Q = \text{False}])$)

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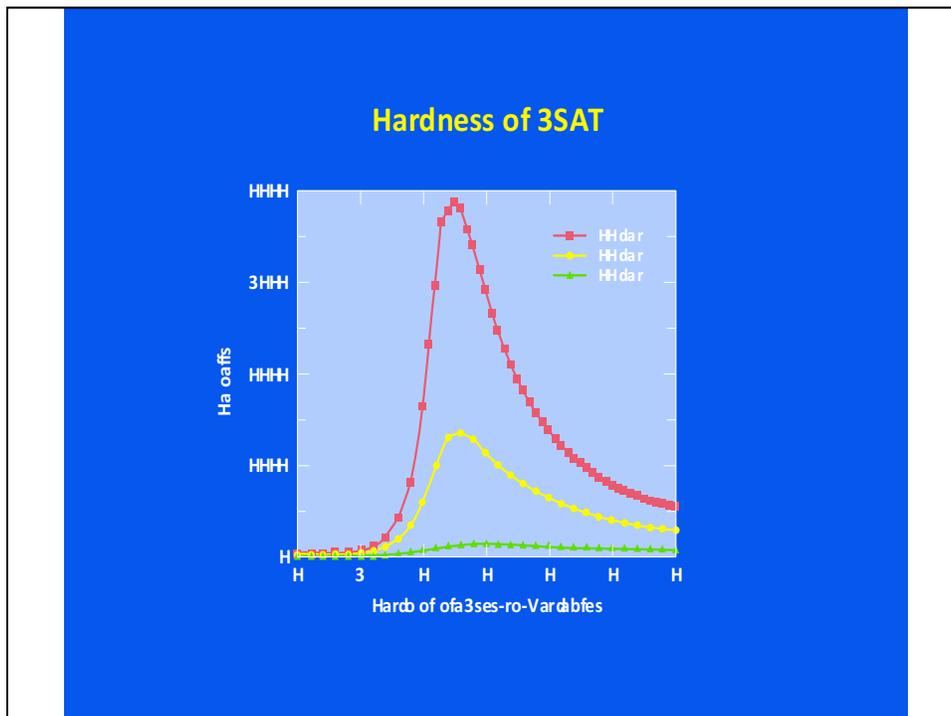
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Outline

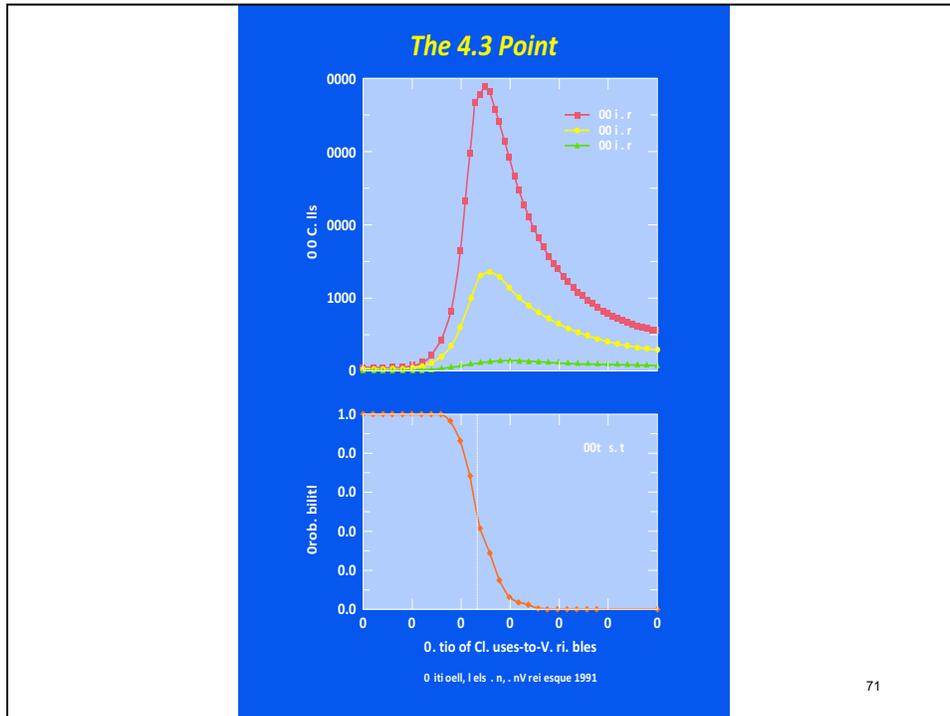
- Propositional Logic
- Propositional Satisfiability
 - Backtrack Search
 - Unit Propagation
 - DPLL: Unit Propagation + Backtrack Search
- Empirical, Average Case Analysis
- Appendices

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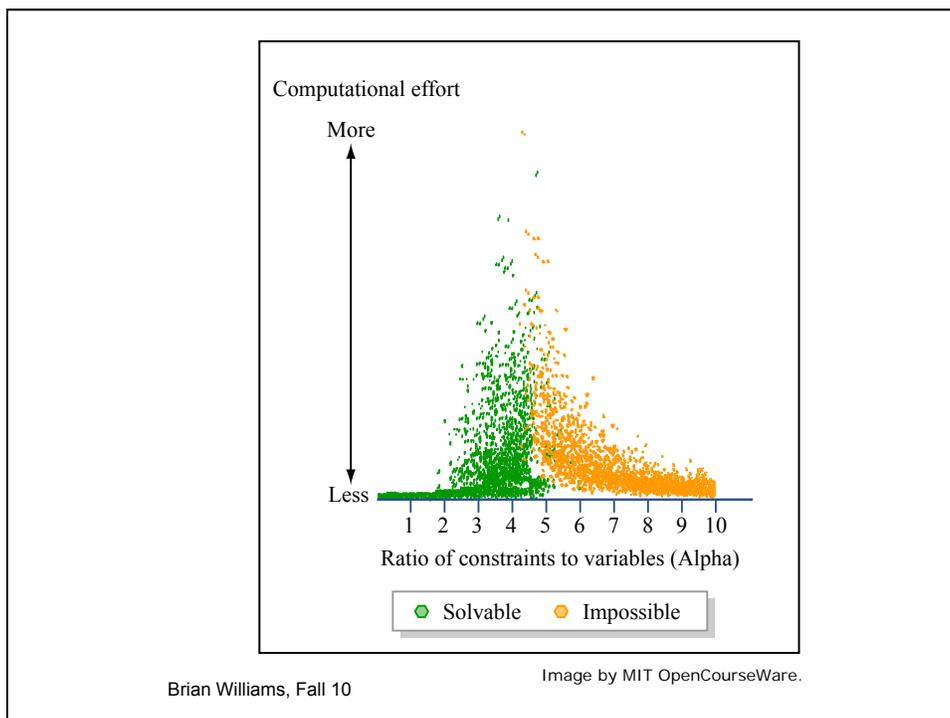


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Image by MIT OpenCourseWare.

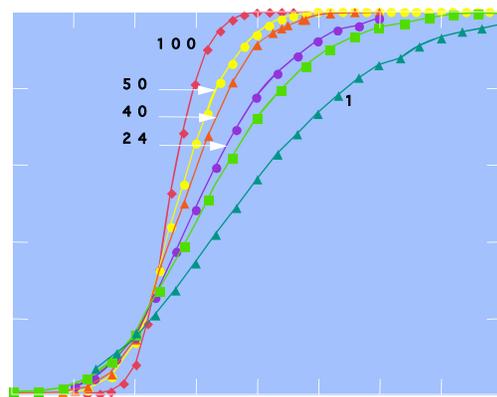
Intuition

- At low ratios:
 - few clauses (constraints)
 - many assignments
 - easily found
- At high ratios:
 - many clauses
 - inconsistencies easily detected

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Phase Transitions for Different Numbers of Variables

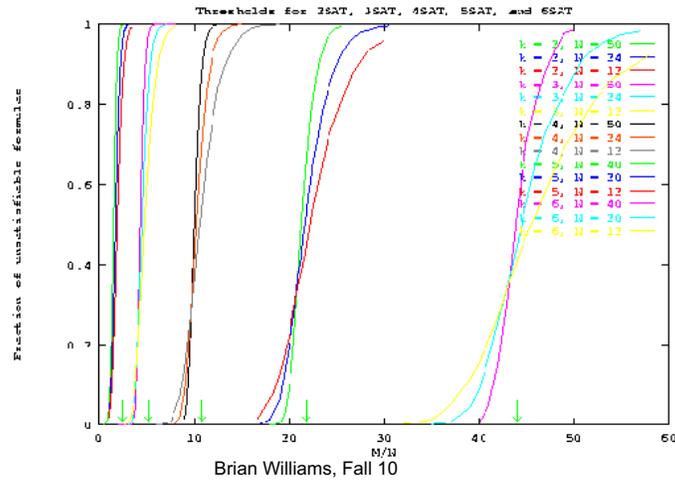


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Phase Transitions: 2, 3 4, 5 and 6-SAT



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Required Appendices

You are responsible for reading and knowing this material:

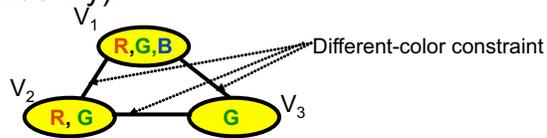
- 1. Local Search using Min_Conflict and GSAT**
- 2. Reduction to Clausal Form**

Incremental Repair (Min-Conflict Heuristic)

Spike Hubble Telescope Scheduler [Minton et al.]

1. Initialize a candidate solution using “greedy” heuristic – get solution “near” correct one.
2. Repeat until consistent:
 1. Select a variable in a conflict (violated constraint)
 2. assign it a value that minimizes the number of conflicts (break ties randomly).

Graph Coloring
Initial Domains



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GSAT

- C1: Not A or B
- C2: Not C or Not A
- C3: or B or Not C

1. Init: Pick random assignment
2. Check effect of flipping each assignment, by counting violated clauses.
3. Pick assignment with fewest violations,
4. End if consistent, Else goto 2

| | | | |
|---------------------|-------------|-------------|--------------|
| | True | False | True |
| C1, C2, C3 violated | A | B | C |
| | False | True | False |
| | C3 violated | C2 violated | C1 violated |

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GSAT

- C1: Not A or B
- C2: Not C or Not A
- C3: or B or Not C

| | | | |
|-------------|-----------|-----------|-------------------|
| | True | False | False |
| C1 violated | A | B | C |
| | False | True | True |
| | Satisfied | Satisfied | C1,C2,C3 violated |

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1. Init: Pick random assignment
2. Check effect of flipping each assignment, counting violated clauses.
3. Pick assignment with fewest violations,
4. End if consistent, Else goto 2

GSAT

- C1: Not A or B
- C2: Not C or Not A
- C3: or B or Not C

| | | | |
|-----------|----------|----------|----------|
| | True | True | False |
| Satisfied | A | B | C |

Problem: Pure hill climbers get stuck in local minima.

Solution: Add random moves to get out of minima (WalkSAT)

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Required Appendices

You are responsible for reading and knowing this material:

- 1. Local Search using Min_Conflict and GSAT**
- 2. Reduction to Clausal Form**

Reduction to Clausal Form: Engine Example

$(mode(E1) = ok \text{ implies } (thrust(E1) = on \text{ iff } flow(V1) = on \text{ and } flow(V2) = on)) \text{ and } (mode(E1) = ok \text{ or } mode(E1) = unknown) \text{ and } not (mode(E1) = ok \text{ and } mode(E1) = unknown)$



$not (mode(E1) = ok) \text{ or } not (thrust(E1) = on) \text{ or } flow(V1) = on;$
 $not (mode(E1) = ok) \text{ or } not (thrust(E1) = on) \text{ or } flow(V2) = on;$
 $not (mode(E1) = ok) \text{ or } not (flow(V1) = on) \text{ or } not (flow(V2) = on) \text{ or } thrust(E1) = on;$
 $mode(E1) = ok \text{ or } mode(E1) = unknown;$
 $not (mode(E1) = ok) \text{ or } not (mode(E1) = unknown);$

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Reducing Propositional Formula to Clauses (CNF)

1) Eliminate **IFF** and **Implies**:

- $E1 \text{ iff } E2 \quad \Rightarrow \quad (E1 \text{ implies } E2) \text{ and } (E2 \text{ implies } E1)$
- $E1 \text{ implies } E2 \Rightarrow \text{not } E1 \text{ or } E2$

Eliminate IFF: Engine Example

$(\text{mode}(E1) = \text{ok}) \text{ implies}$
 $(\text{thrust}(E1) = \text{on} \text{ iff } (\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on}))$ and
 $(\text{mode}(E1) = \text{ok} \text{ or } \text{mode}(E1) = \text{unknown})$ and
 $\text{not } (\text{mode}(E1) = \text{ok} \text{ and } \text{mode}(E1) = \text{unknown})$



$(\text{mode}(E1) = \text{ok}) \text{ implies}$
 $((\text{thrust}(E1) = \text{on} \text{ implies } (\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on})) \text{ and}$
 $((\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on}) \text{ implies } \text{thrust}(E1) = \text{on}))$ and
 $(\text{mode}(E1) = \text{ok} \text{ or } \text{mode}(E1) = \text{unknown})$ and
 $\text{not } (\text{mode}(E1) = \text{ok} \text{ and } \text{mode}(E1) = \text{unknown})$

Eliminate Implies: Engine Example

$(\text{mode}(E1) = \text{ok}) \text{ implies } ((\text{thrust}(E1) = \text{on}) \text{ implies } (\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on})) \text{ and } ((\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on}) \text{ implies } \text{thrust}(E1) = \text{on}))$ and
 $(\text{mode}(E1) = \text{ok} \text{ or } \text{mode}(E1) = \text{unknown})$ and
 $\text{not } (\text{mode}(E1) = \text{ok} \text{ and } \text{mode}(E1) = \text{unknown})$



$\text{not } (\text{mode}(E1) = \text{ok}) \text{ or } ((\text{not } (\text{thrust}(E1) = \text{on}) \text{ or } (\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on})) \text{ and } (\text{not } (\text{flow}(V1) = \text{on} \text{ and } \text{flow}(V2) = \text{on}) \text{ or } \text{thrust}(E1) = \text{on}))$ and
 $(\text{mode}(E1) = \text{ok} \text{ or } \text{mode}(E1) = \text{unknown})$ and
 $\text{not } (\text{mode}(E1) = \text{ok} \text{ and } \text{mode}(E1) = \text{unknown})$

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Reducing Propositional Formula to Clauses (CNF)

2) Move **negations** in **towards propositions** using De Morgan's Theorem:

- $\text{not } (E1 \text{ and } E2) \Rightarrow (\text{not } E1) \text{ or } (\text{not } E2)$
- $\text{not } (E1 \text{ or } E2) \Rightarrow (\text{not } E1) \text{ and } (\text{not } E2)$
- $\text{not } (\text{not } E1) \Rightarrow E1$

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Move Negations In: Engine Example

(not (mode(E1) = ok) or
((not (thrust(E1) = on) or (flow(V1) = on and flow(V2) = on)) and
(not (flow(V1) = on and flow(V2) = on)) or thrust(E1) = on))) and
(mode(E1) = ok or mode(E1) = unknown) and
not (mode(E1) = ok and mode(E1) = unknown)



(not (mode(E1) = ok) or
((not (thrust(E1) = on) or (flow(V1) = on and flow(V2) = on)) and
(not (flow(V1) = on) or not (flow(V2) = on)) or thrust(E1) = on)) and
(mode(E1) = ok or mode(E1) = unknown) and
(not (mode(E1) = ok) or not (mode(E1) = unknown)))

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Reducing Propositional Formula to Clauses (CNF)

3) Move conjunctions out using distributivity:

- $E1 \text{ or } (E2 \text{ and } E3) \Rightarrow (E1 \text{ or } E2) \text{ and } (E1 \text{ or } E3)$

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Move Conjunctions Out: Engine Example

(not (mode(E1) = ok) or
((not (thrust(E1) = on) or (flow(V1) = on and flow(V2) = on)) and
(not (flow(V1) = on) or not (flow(V2) = on) or thrust(E1) = on))) and
(mode(E1) = ok or mode(E1) = unknown) and
(not (mode(E1) = ok) or not (mode(E1) = unknown)))



(not (mode(E1) = ok) or
(((not (thrust(E1) = on) or flow(V1) = on) and
(not (thrust(E1) = on) or flow(V2) = on)) and
(not (flow(V1) = on) or not (flow(V2) = on) or thrust(E1) = on))) and
(mode(E1) = ok or mode(E1) = unknown) and
(not (mode(E1) = ok) or not (mode(E1) = unknown)))

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Move Conjunctions Out: Engine Example

(not (mode(E1) = ok) or
(((not (thrust(E1) = on) or flow(V1) = on) and
(not (thrust(E1) = on) or flow(V2) = on)) and
(not (flow(V1) = on) or not (flow(V2) = on) or thrust(E1) = on))) and
(mode(E1) = ok or mode(E1) = unknown) and
(not (mode(E1) = ok) or not (mode(E1) = unknown)))



(not (mode(E1) = ok) or not (thrust(E1) = on) or flow(V1) = on) and
(not (mode(E1) = ok) or not (thrust(E1) = on) or flow(V2) = on) and
(not (mode(E1) = ok) or not (flow(V1) = on) or not (flow(V2) = on)
or thrust(E1) = on) and
(mode(E1) = ok or mode(E1) = unknown) and
(not (mode(E1) = ok) or not (mode(E1) = unknown)))

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Reducing Propositional Formula to Clauses (CNF)

4) “Simplify by Equivalence”

remove double negations

- $(\text{not not } E1) \Rightarrow E1$

apply commutativity and associativity

- $(E1 \text{ or } (E3 \text{ or } (\text{not } E1))) \Rightarrow (E1 \text{ or } (\text{not } E1) \text{ or } E3)$

remove duplicate literals

- $(E1 \text{ or } E1) \Rightarrow E1$

remove duplicate clauses

- $(E1 \text{ or } (\text{not } E2)) \text{ and } (E1 \text{ or } (\text{not } E2)) \Rightarrow (E1 \text{ or } (\text{not } E2))$

reduce by tautology

- $(E1 \text{ or } \dots \text{ or } (\text{not } E1)) \Rightarrow \text{true}$

definition of **and/or**

- $\text{true and } E1 \Rightarrow E1$ $\text{false and } E1 \Rightarrow \text{false}$
- $(\text{true or } E1) \Rightarrow \text{true}$ $(\text{false or } E1) \Rightarrow E1$

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Reducing Propositional Formula to Clauses (CNF)

1) Eliminate IFF and Implies

- $E1 \text{ iff } E2 \Rightarrow (E1 \text{ implies } E2) \text{ and } (E2 \text{ implies } E1)$
- $E1 \text{ implies } E2 \Rightarrow \text{not } E1 \text{ or } E2$

2) Move negations in towards propositions using

De Morgan's Theorem:

- $\text{not } (E1 \text{ and } E2) \Rightarrow (\text{not } E1) \text{ or } (\text{not } E2)$
- $\text{not } (E1 \text{ or } E2) \Rightarrow (\text{not } E1) \text{ and } (\text{not } E2)$
- $\text{not } (\text{not } E1) \Rightarrow E1$

3) Move conjunctions out using Distributivity

- $E1 \text{ or } (E2 \text{ and } E3) \Rightarrow (E1 \text{ or } E2) \text{ and } (E1 \text{ or } E3)$

4) Simplify by Equivalence

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